

**The Unique McGucken Lagrangian: All Four Sectors
— Free-Particle Kinetic, Dirac Matter, Yang-Mills
Gauge, Einstein-Hilbert Gravitational — Forced by
the McGucken Principle $dx_4/dt = ic$**

*A Derivation of the Least-Action Functional for Physics
from the Single Geometric Principle $dx_4/dt = ic$,
with a History of Lagrangian Methods from Maupertuis to Witten
and a Formal Uniqueness Proof*

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Light Time Dimension Theory

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“More intellectual curiosity, versatility and yen for physics than Elliot McGucken’s I have never seen in any senior or graduate student. Originality, powerful motivation, and a can-do spirit make me think that McGucken is a top bet..” — John Archibald Wheeler, Joseph Henry Professor of Physics, Princeton University

*“If anyone were to give an account of a science, he must first describe the action.” — Pierre Louis Moreau de Maupertuis, *Essai de cosmologie* (1750)*

“Behind it all is surely an idea so simple, so beautiful, that when we grasp it — in a decade, a century, or a millennium — we will all say to each other, how could it have been otherwise?” — John Archibald Wheeler

“A theory is the more impressive the greater is the simplicity of its premises, the more different are the kinds of things it relates and the more extended the range of its applicability.” — Albert Einstein

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Abstract

The McGucken Lagrangian (\mathcal{L}_{McG}) is the first Lagrangian in the 282-year history of Lagrangian physics whose complete four-sector form — free-particle kinetic, Dirac matter, Yang-Mills gauge, Einstein-Hilbert gravitational — is forced by a single geometric principle: the McGucken Principle that the fourth dimension is expanding at the velocity of light in a spherically-symmetric manner, $dx_4/dt = ic$. And too, \mathcal{L}_{McG} is the first Lagrangian in the history of physics built upon dynamical geometry exalted by a principle.

This paper derives the unique Lagrangian of physics from the McGucken Principle $dx_4/dt = ic$, states its form explicitly, and proves its uniqueness. The free-particle sector is $S = -mc \int |dx_4|$, the accumulated magnitude of x_4 's advance along the worldline, which is — by a theorem established in the Noether-unification paper [MG-Noether, Proposition II.10] — the unique Lorentz-scalar reparametrization-invariant functional of a worldline. The matter sector is the Dirac Lagrangian $\bar{\psi}(i\gamma^\mu D_\mu - m)\psi$ subject to the matter orientation condition $\Psi = \Psi_0 \cdot \exp(+I \cdot k x_4)$ with $k = mc/\hbar > 0$, which is — by the Dirac-derivation paper [MG-Dirac, §IV] — the unique first-order Lorentz-scalar Lagrangian consistent with the Clifford algebra forced by the Minkowski signature, whose mathematics is exalted by the physical McGucken Principle. The gauge sector is $-\frac{1}{4} F_{\mu\nu} F^{\mu\nu}$ with covariant derivative $D_\mu = \partial_\mu - ig A_\mu$, which is — by [MG-SM, Theorems 10-11] — the unique gauge-invariant Lagrangian for any compact Lie group G given local gauge invariance (itself derived from x_4 -phase indeterminacy per [MG-SM, Theorem 5]); the specific Standard Model gauge group $U(1) \times SU(2) \times SU(3)$ is an empirical input per [MG-SM, §XV.1], with candidate geometric interpretations in [MG-Noether] and [MG-Broken]. The gravitational sector is $(c^4/16\pi G) R[g]$, the Einstein-Hilbert action, which is — by [MG-SM, Theorem 12] via Schuller's constructive-gravity closure [arXiv:2003.09726] — the unique diffeomorphism-invariant gravitational dynamics compatible with the universal Lorentzian principal polynomial that the McGucken Principle forces on all matter sectors.

Taken together, the four sectors give the full McGucken Lagrangian \mathcal{L}_{McG} , which is unique in the sense that each sector is forced rather than chosen, each uniqueness proof reduces to the single geometric principle $dx_4/dt = ic$, and no Lagrangian strictly simpler than \mathcal{L}_{McG} produces the empirical content of quantum mechanics, special and general relativity, and the Standard Model. The paper opens with a history of Lagrangian methods from Maupertuis (1744) through Euler, Lagrange, Hamilton, Einstein-Hilbert, Dirac, Yang-Mills, Weinberg-Salam, and Witten, situating the McGucken Lagrangian in the two-and-a-half-century tradition of least-action formulations and identifying what structurally distinguishes it from its predecessors. The central technical result is the four-fold uniqueness theorem (Theorem VI.1) establishing that each sector is forced by the McGucken Principle combined with minimal consistency requirements (Lorentz invariance, reparametrization invariance, first-order

field equations, locality), and that the full Lagrangian is therefore determined by one simple, geometric principle $dx_4/dt = ic$ rather than by the long list of independent postulates on which the Standard Model Lagrangian and the Einstein-Hilbert action separately rest.

A particularly striking consequence concerns three phenomena that are not sectors of any prior Lagrangian in the 282-year tradition — the Second Law of Thermodynamics, Brownian motion, and the arrows of time. No Lagrangian from Maupertuis 1744 through the Standard Model plus Einstein-Hilbert accounts for any of them. In \mathcal{L}_{McG} all three follow as theorems of the same geometric principle $dx_4/dt = ic$ that forces the four sectors of the Lagrangian itself: entropy increases because x_4 expands, Brownian motion is isotropic because x_4 's expansion is spherically symmetric, and all five arrows of time point forward because x_4 advances in $+ic$ and never $-ic$ (§VIII.14). Einstein's two 1905 postulates of special relativity — the relativity principle and the invariance of c — are likewise theorems rather than axioms in the McGucken framework: the invariance of c is the frame-invariant rate of x_4 's expansion, and the relativity principle is the Lorentz-boost covariance of that rate, both following from $dx_4/dt = ic$ (§VIII.18). §VIII.21 catalogs thirteen structural axes on which \mathcal{L}_{McG} does what the Standard Model plus Einstein-Hilbert does not do: (i) Structural Forcing Versus Empirical Assembly; (ii) Gravity Is Inside Rather Than Outside; (iii) The i , the \hbar , and the c Are Unified; (iv) Quantum Mechanics Is Forced, Not Inherited; (v) The Arrows of Time Are Unified; (vi) The Strong CP Problem Dissolves; (vii) Dark Matter Phenomenology Is Geometric; (viii) The Cosmological Constant Is Derived; (ix) The Horizon, Flatness, and Homogeneity Problems Are Resolved Without Inflation; (x) The De Broglie Clock Is Physical; (xi) The Wick Rotation and Euclidean Field Theory Acquire Physical Meaning; (xii) The Fundamental Constants Are Derived. Each axis marks a place where a combination of independent postulates and empirical inputs in the Standard Model is replaced by a consequence of the single McGucken Principle $dx_4/dt = ic$ in \mathcal{L}_{McG} . §VIII.21 develops the thirteen axes in full.

The paper is structured as follows. §I introduces the problem and places it in historical context. §II traces the history of Lagrangian methods from Maupertuis to the present day. §III reviews the McGucken Principle and the geometric structures it generates. §IV derives the free-particle sector and proves its uniqueness. §V derives the matter sector and proves its uniqueness. §VI derives the gauge sector, the gravitational sector, and the full four-fold uniqueness theorem. §VII compares the McGucken Lagrangian with the standard model Lagrangian and with the Einstein-Hilbert action, emphasizing the parsimony advance. §VIII discusses empirical content, scope, and open questions. §IX concludes.

The goal of physics has ever been to discover reality's deeper physical foundations, and so often it is that — as foundations are literally the common ground that all physical theories must stand upon — the discovery of deeper foundations unifies previously disparate realms of physics. The McGucken Lagrangian is developed in the spirit of McGucken's original mentor J. A. Wheeler, who stated that "behind it all is surely an

idea so simple, so beautiful, that when we grasp it — in a decade, a century, or a millennium — we will all say to each other, how could it have been otherwise?” and Wheeler’s colleague Albert Einstein, who stated that “a theory is the more impressive the greater is the simplicity of its premises, the more different are the kinds of things it relates and the more extended the range of its applicability.” The derivations assembled here draw on a broad range of foundational physical phenomena and complementary frameworks. The specific physical phenomena that descend as theorems from the McGucken Principle include: special relativity (both 1905 postulates — the relativity principle and the invariance of c — as theorems of $dx_4/dt = ic$ rather than axioms, with the relativity principle derived in [MG-Noether, Proposition V.3] and the invariance of c as the budget-constraint corollary of the master equation per §III.3 and §VIII.18); general relativity (the Einstein field equations $G_{\mu\nu} + \Lambda g_{\mu\nu} = 8\pi G T_{\mu\nu}$ as a theorem of $dx_4/dt = ic$, per §VI and [MG-SM, Theorem 12]); Newtonian gravity ($F = GMm/R^2$ as a theorem of $dx_4/dt = ic$, via the eight-step derivation chain of [MG-Newton] from the master equation through the McGucken Sphere area law $4\pi r^2$ to the inverse-square force, cross-validated by the horizon-entropy route of [MG-Susskind]); the Dirac equation ($i\gamma^\mu D_\mu \psi = m\psi$ and its 4π fermion periodicity as theorems of $dx_4/dt = ic$ — the Clifford algebra forced by the Minkowski signature, the first-order linearization forced by the matter orientation condition $\Psi = \Psi_0 \cdot \exp(+I \cdot k \cdot x_4)$, and the 4π periodicity as the geometric signature of x_4 ’s perpendicular rotation per §V and [MG-Dirac, §IV]); the Schrödinger equation ($i\hbar \partial \psi / \partial t = \hat{H} \psi$ as a theorem of $dx_4/dt = ic$, derived via an eight-step chain from the master equation $u^\mu u_\mu = -c^2$ through the Compton-frequency factorization $\psi = \tilde{\psi} \cdot \exp(-imc^2 t / \hbar)$ to the non-relativistic limit, with the factor i in $i\hbar \partial / \partial t$ being the same i as in $x_4 = ict$ [MG-HLA, §V; MG-Noether, Remark III.4.2]); the Klein-Gordon equation (mass-shell relation $E^2 = p^2 c^2 + m^2 c^4$ as a theorem of $dx_4/dt = ic$, following from the four-momentum norm $p^\mu p_\mu = -m^2 c^2$ which in turn follows from the master equation $u^\mu u_\mu = -c^2$ forced by the Principle, per §III.3 and [MG-Noether, §II]); the ten Poincaré conservation laws (energy, three momenta, three angular momenta, three boost charges, as theorems of $dx_4/dt = ic$: energy from x_4 ’s temporal uniformity [MG-Noether, Propositions IV.1-IV.2], three momenta from x_4 ’s spatial homogeneity [MG-Noether, Propositions IV.3-IV.4], three angular momenta from the spherical symmetry of x_4 ’s expansion [MG-Noether, Propositions V.1-V.2], and three boost charges from the Lorentz-covariance of $dx_4/d\tau = ic$ [MG-Noether, Propositions V.3-V.5]); gauge conservation laws (electric charge, weak isospin, color charge, as theorems of $dx_4/dt = ic$ via x_4 -phase indeterminacy and its non-Abelian extensions — the Principle specifies the magnitude and direction of x_4 ’s advance but not any orthogonal reference within the perpendicular plane, making local phase invariance a geometric necessity rather than an ad hoc demand [MG-QED, §III; MG-SM, Theorems 5, 10-11]); quantum nonlocality (EPR correlations and Bell-inequality violation $E(a,b) = -\cos \theta_{ab}$ as theorems of $dx_4/dt = ic$ — entangled particles produced at a common spacetime point share the same expanding McGucken Sphere, and the singlet correlation is derived from the $SO(3)$ Haar-measure symmetry of that Sphere without any local hidden variable, per §VIII.9 and [MG-Twistor, Propo-

sition X.6)); the Born rule ($|\psi|^2$ as a theorem of $dx_4/dt = ic$ — the SO(3)-symmetric spherical-projection measure forced by the spherical symmetry of x_4 's expansion, per [MG-Born]); the Heisenberg uncertainty principle ($\Delta x \cdot \Delta p \geq \hbar/2$ as a theorem of $dx_4/dt = ic$ — a geometric theorem about the complementarity between position in the spatial dimensions and momentum-advance in x_4 , per §VIII.11); the canonical commutation relation ($[\hat{x}, \hat{p}] = i\hbar$ as a theorem of $dx_4/dt = ic$ — the specific factor of $i\hbar$ is forced by the Compton coupling of matter to x_4 's oscillation, with the i arising from $x_4 = ict$'s perpendicularity and the \hbar from the Planck-scale quantum of x_4 -oscillation, per §VIII.6); the Wick rotation ($t \rightarrow -it$ as a theorem of $dx_4/dt = ic$ — the physical $\pi/2$ rotation in the (x_0, x_4) plane that exchanges the real time axis with the imaginary x_4 axis, with physical meaning rather than a mere calculational trick, per §VIII.7 and [MG-Wick]); de Broglie's 1924 internal clock $\omega = mc^2/\hbar$ (as a theorem of $dx_4/dt = ic$ — matter's physical coupling to x_4 's advance at the Compton rate, mechanizing what de Broglie postulated without physical specification, per §VIII.5 and Postulate III.3.P); the Compton wavelength coupling ($\lambda_C = h/mc$ as a theorem of $dx_4/dt = ic$ — the x_4 -phase accumulation rate of matter per unit of spatial motion, per §III.5 and [MG-Compton]); the Second Law of Thermodynamics ($dS/dt > 0$ strictly, not approximately, as a theorem of $dx_4/dt = ic$ — x_4 advances in $+ic$ and never $-ic$, so entropy increase is the directional content of the Principle itself, per §VIII.14 and [MG-Entropy]); Brownian motion (isotropic diffusion as a theorem of $dx_4/dt = ic$ — the spatial projection of x_4 's spherically symmetric expansion onto the three spatial dimensions, per §VIII.14 and [MG-Entropy, §IV]); the five arrows of time (thermodynamic, cosmological, radiative, quantum-collapse, psychological — all as theorems of $dx_4/dt = ic$ unified by x_4 's $+ic$ directionality, per §VIII.14 and [MG-Singular, §V-VI]); Huygens' Principle (retarded Green's function as a theorem of $dx_4/dt = ic$ — the caustic of x_4 's spherical wavefront propagating through the three spatial dimensions, per §VIII.14 and [MG-Proof]); the principle of least action itself ($\delta S = 0$ as a theorem of $dx_4/dt = ic$ — extremization of proper time along x_4 's advance, with the free-particle action $S = -mcf|dx_4|$ being the unique Lorentz-scalar reparametrization-invariant functional of a worldline, per §IV-VI and [MG-Noether, Proposition II.10]); the constants c and \hbar (both as theorems of $dx_4/dt = ic$ rather than empirical inputs — c as the frame-invariant rate of x_4 's expansion per §VIII.10, \hbar as the Planck-scale quantum of x_4 -oscillation per [MG-Bekenstein, Proposition IV.1]); twistor space CP^3 (as a theorem of $dx_4/dt = ic$ — the natural complex-projective geometry forced by x_4 's perpendicularity to the three spatial dimensions, resolving Penrose's 2015 'magical' characterization per [MG-Twistor, Theorem III.1]); the cosmological constant (Λ as a theorem of $dx_4/dt = ic$ rather than a 10^{122} -discrepancy fine-tuning, per §VIII.12); the horizon, flatness, monopole, and low-entropy initial-condition problems (all resolved as theorems of $dx_4/dt = ic$ without requiring inflation, per §VIII.13); the Bekenstein-Hawking area law ($S = A/4\ell_P^2$ as a theorem of $dx_4/dt = ic$, following from the Planck-scale quantization of x_4 -oscillation that supplies exactly A/ℓ_P^2 independent modes on any null hypersurface of area A , per [MG-Bekenstein]); the no-graviton prediction (as a theorem of $dx_4/dt = ic$ — gravity is spatial-metric dynamics h_{ij} which is smooth and continuous rather than oscill-

latory, so no quantum of spatial curvature exists; only x_4 's oscillatory Planck-scale structure supplies quanta, per §VIII.16.4 and [MG-GR, §VII.3]); and the no-magnetic-monopole prediction (as a theorem of $dx_4/dt = ic$ — the uniform direction $+ic$ of x_4 's advance across all spacetime supplies a globally-defined reference phase, making the x_4 -orientation bundle topologically trivial with $H^2(\mathbb{R}^3) = 0$ and $\pi_2(S^3) = 0$, per §III.5).

In addition to the above fundamental physical phenomena shown to descend from the McGucken Principle, numerous complementary frameworks are also demonstrated to naturally descend as theorems from the McGucken Principle, including: Schuller's constructive gravity [Schuller 2020, arXiv:2003.09726; MG-SM, Theorem 12], Jacobson's thermodynamic derivation of Einstein's equations [Jacobson 1995, Phys. Rev. Lett. 75, 1260; MG-JacobsonVerlindeMarolf; MG-Susskind, §III], Verlinde's entropic gravity [Verlinde 2011, JHEP 04 (2011) 029; MG-VerlindeEntropic; MG-JacobsonVerlindeMarolf; MG-Susskind, §III], Penrose's twistor theory [MG-Twistor] and Witten's twistor string programme [MG-WittenTwistor], Maldacena's AdS/CFT [Maldacena 1997; MG-AdSCFT], 't Hooft's and Susskind's holographic principle ['t Hooft 1993; Susskind 1995; MG-Holography], Bekenstein and Hawking on horizon thermodynamics [Bekenstein 1973; Hawking 1975; MG-Bekenstein; MG-Hawking], Ryu-Takayanagi entanglement entropy [Ryu-Takayanagi 2006; MG-AdSCFT, Propositions VIII.1-VIII.3], the Arkani-Hamed amplituhedron programme [Arkani-Hamed-Trnka 2012; MG-Twistor, Proposition IX.1], Lindgren and Liukkonen's stochastic derivation of quantum mechanics [Lindgren-Liukkonen 2019; MG-HLA], Hestenes's geometric algebra [Hestenes 1966], and the classical lineage from Maupertuis (1744), Lagrange (1788), Hamilton (1834), Noether (1918), Hilbert (1915), Dirac (1928), and Yang-Mills (1954) — each previously cited in its own terms and each now established as a consequence of $dx_4/dt = ic$. The reduction of myriad independent programs to theorems of a single geometric principle demonstrates the framework's structural robustness. One cannot get any simpler than $dx_4/dt = ic$, and one cannot find a greater range than all of physics.

Keywords: Lagrangian; principle of least action; McGucken Principle; $dx_4/dt = ic$; uniqueness theorem; history of physics; Maupertuis; Lagrange; Hamilton; Einstein-Hilbert action; Dirac Lagrangian; Yang-Mills; Standard Model; Light Time Dimension Theory.

I. Introduction

I.1 The Lagrangian Tradition in Physics

Physics, in its most mature expressions, proceeds by specifying a single scalar functional of the relevant field variables — the action S — and requiring that physical trajectories extremize it. The functional is conventionally written as the integral of a scalar density \mathcal{L} (the Lagrangian) over the spacetime region of interest:

$$S = \int \mathcal{L}(\phi, \partial\phi, x) d^4x, \quad \delta S/\delta\phi = 0.$$

The Euler-Lagrange equations $\delta S/\delta\phi = 0$ then supply the field equations as a consequence of the extremization requirement. This structure — a single scalar S whose extrema are the physically realized configurations — has provided the organizing principle of theoretical physics since Maupertuis’s 1744 formulation of the Principle of Least Action. The specific form of \mathcal{L} for any given theory has, historically, been the central empirical and theoretical content of that theory: $\mathcal{L}_{\text{classical}} = T - V$ for Newtonian mechanics, $\mathcal{L}_{\text{EM}} = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu}$ for electromagnetism, $\mathcal{L}_{\text{EH}} = (c^4/16\pi G)R$ for general relativity, $\mathcal{L}_{\text{Dirac}} = \bar{\psi}(i\gamma^\mu\partial_\mu - m)\psi$ for relativistic quantum mechanics, \mathcal{L}_{SM} for the Standard Model of particle physics. The question of what principle forces a specific \mathcal{L} — rather than some alternative — has been a recurring open problem across the history of theoretical physics.

I.2 The Principle $dx_4/dt = ic$ Forces the Full Form of the McGucken Lagrangian

The Standard Model Lagrangian is the product of a long historical development — seventy years of experimental and theoretical work from Fermi’s four-fermion theory (1934) through Yang-Mills (1954), Weinberg-Salam (1967), and the consolidation of QCD and the electroweak sector (1973). It contains the Dirac kinetic term for each fermion, the Yang-Mills kinetic term for each gauge boson, the Higgs potential, the Yukawa couplings, and — once coupled to gravity — the Einstein-Hilbert term. Each sector was introduced independently, motivated by specific experimental findings and consistency requirements, and the combined Lagrangian is built from approximately twenty separate structural assumptions (gauge groups, representation content, coupling constants, symmetry-breaking patterns). Much of this content is empirically correct to extraordinary precision; little of it is derivable from a deeper organizing principle.

The question addressed in this paper is whether a single geometric principle — the McGucken Principle $dx_4/dt = ic$ — forces the form of the full physical Lagrangian, so that each sector of the Standard Model plus gravity is a theorem rather than a postulate. The answer is yes for the structural form of each sector, subject to the honest scope statement of [MG-SM, §XV.1] that the specific gauge group $U(1) \times SU(2) \times SU(3)$ requires the observed matter content as empirical input. The McGucken Principle has already been shown, in approximately forty technical papers at elliottm-guckenphysics.com (2024–2026), to generate the Minkowski metric [MG-Proof], the four-momentum operator and the commutation relation $[\hat{q}, \hat{p}] = i\hbar$ [MG-Commut], the Schrödinger equation [MG-HLA], the Born rule [MG-Born], the Dirac equation with its Clifford structure and spin- $\frac{1}{2}$ [MG-Dirac], the QED Lagrangian [MG-QED], the general Yang-Mills Lagrangian for any compact Lie group G [MG-SM, Theorems 10-11], and the Einstein field equations [MG-SM, Theorem 12 via Schuller closure], each as a theorem rather than as an independent postulate. The specific observed gauge group $SU(3) \times SU(2) \times U(1)$ is currently an empirical input with candidate geometric interpretations in [MG-Noether] and [MG-Broken]; completing these to full derivations is open work. The present paper consolidates these derivations at the Lagrangian level, writing the full \mathcal{L}_{McG} explicitly, and proving (§VI) that its form is forced by

the McGucken Principle combined with the 11 consistency conditions stated in Theorem VI.1.

I.3 The Proof of the McGucken Lagrangian's Uniqueness

The central result of the paper is the four-fold uniqueness theorem (Theorem VI.1):

The McGucken Lagrangian $\mathcal{L}_{\text{McG}} = -mc \sqrt{(-\partial_\mu x_4 \partial^\mu x_4)} + \bar{\psi}(i\gamma^\mu D_\mu - m)\psi - \frac{1}{4}F_{\mu\nu}F^{\mu\nu} + (c^4/16\pi G)R[g]$, subject to the constraint $\partial_\mu x_4 \partial^\mu x_4 = -c^2$ and the matter orientation condition $\Psi(x, x_4) = \Psi_0(x) \cdot \exp(+I \cdot kx_4)$ with $k = mc/\hbar > 0$, is the unique Lorentz-invariant, reparametrization-invariant, first-order local Lagrangian consistent with the McGucken Principle $dx_4/dt = ic$.

Each sector is forced by a specific uniqueness subtheorem:

- (a) The free-particle kinetic term is forced by Proposition IV.1 (the unique-action theorem for the free worldline), which is a restatement and extension of [MG-Noether, Proposition II.10].
- (b) The Dirac matter sector is forced by Proposition V.1 (the unique first-order Lagrangian on Clifford-algebra fields), established in [MG-Dirac, §§III-IV].
- (c) The Yang-Mills gauge sector is forced by Proposition VI.2 (the unique Lagrangian consistent with local x_4 -phase invariance for a given compact Lie group G), established in [MG-SM, Theorems 10-11]. The specific Standard Model gauge group $U(1) \times SU(2) \times SU(3)$ is an empirical input per [MG-SM, §XV.1].
- (d) The Einstein-Hilbert gravitational sector is forced by Proposition VI.3 (the unique diffeomorphism-invariant second-order scalar action on the ADM-foliated spatial metric), via the Schuller closure theorem of [MG-SM, Theorem 12]; the ADM foliation on which the closure operates is itself the physically preferred x_4 -foliation established in [MG-GR, §II.2].

Each uniqueness subtheorem reduces to the McGucken Principle combined with a specific minimal consistency requirement — Lorentz invariance for (a) and (b), local gauge invariance for (c), diffeomorphism invariance for (d). No additional free structural choices are made at any step. The composite Lagrangian \mathcal{L}_{McG} is therefore determined by the single postulate $dx_4/dt = ic$, not by the long list of independent postulates underlying the Standard Model Lagrangian and the Einstein-Hilbert action separately.

I.4 Structure of the Paper

§II traces the history of Lagrangian methods from Maupertuis (1744) through the modern era, identifying the specific structural developments at each stage and situating the McGucken Lagrangian in the two-and-a-half-century tradition. §III reviews the McGucken Principle and its immediate consequences (Minkowski metric, four-momentum, oscillatory form) as required for the Lagrangian derivation. §IV derives the free-particle sector $S_{\text{free}} = -mc \int |dx_4|$ and proves its uniqueness (Proposition IV.1). §V derives the matter sector and proves its uniqueness (Proposition V.1), with

the matter orientation condition (M) imposed as an algebraic consequence of [MG-Dirac]. §VI derives the gauge and gravitational sectors, proves their uniqueness (Propositions VI.2 and VI.3), and states the full four-fold uniqueness theorem (Theorem VI.1). §VII presents the explicit comparison with the Standard Model Lagrangian and the Einstein-Hilbert action, highlighting the parsimony advance. §VIII addresses scope, empirical content, and open questions. §IX concludes.

I.5 Historical Note: The Princeton Origin of the McGucken Principle

“More intellectual curiosity, versatility and yen for physics than Elliot McGucken’s I have never seen in any senior or graduate student. . . Originality, powerful motivation, and a can-do spirit make me think that McGucken is a top bet for graduate school in physics. . . I say this on the basis of close contacts with him over the past year and a half. . . I gave him as an independent task to figure out the time factor in the standard Schwarzschild expression around a spherically-symmetric center of attraction. I gave him the proofs of my new general-audience, calculus-free book on general relativity, A Journey Into Gravity and Space Time. There the space part of the Schwarzschild geometric is worked out by purely geometric methods. ‘Can you, by poor-man’s reasoning, derive what I never have, the time part?’ He could and did, and wrote it all up in a beautifully clear account. . . his second junior paper . . . entitled Within a Context, was done with another advisor (Joseph Taylor), and dealt with an entirely different part of physics, the Einstein-Rosen-Podolsky experiment and delayed choice experiments in general. . . this paper was so outstanding. . . I am absolutely delighted that this semester McGucken is doing a project with the cyclotron group on time reversal asymmetry. Electronics, machine-shop work and making equipment function are things in which he now revels. But he revels in Shakespeare, too. Acting the part of Prospero in The Tempest. . .”

— Dr. John Archibald Wheeler, Joseph Henry Professor of Physics, Princeton University [Wheeler-Letter]

The McGucken Principle traces to the author’s undergraduate research with John Archibald Wheeler at Princeton University in the late 1980s and early 1990s. Two Wheeler-supervised projects — an independent derivation of the time factor in the Schwarzschild metric (the foundational geometric object that features centrally in the gravitational sector of \mathcal{L}_{McG} and in the full general-relativity corpus paper [MG-GR]), and a study of the Einstein-Podolsky-Rosen paradox and delayed-choice experiments (the phenomena whose resolution informs the quantum-sector structure developed in §V and §VIII) — planted the seeds of the framework developed here.

A passage from the author’s 2017 book *Quantum Entanglement & Einstein’s Spooky Action at a Distance Explained: The Foundational Physics of Quantum Mechanics’ Nonlocality & Probability* [MG-BookEntanglement] records the specific exchange with P. J. E. Peebles that established the second foundational input to the Principle:

Later that afternoon, I found myself down the hall in P.J.E Peebles’ office, as Peebles (the Albert Einstein Professor Emeritus of Science) was my professor for quantum mechanics. Many argued that Peebles should have been awarded the Nobel in physics for predicting the microwave background radiation shortly before it was accidently dis-

covered by Arno Penzias and Robert Woodrow Wilson while they were experimenting with the Holmdel Horn Antenna. [Editor’s note, added 2026: Peebles was subsequently awarded one half of the 2019 Nobel Prize in Physics “for theoretical discoveries in physical cosmology,” shared with Michel Mayor and Didier Queloz. The passage above, from the author’s 2017 book, predates this award.] Such are life and science, that there is often a lot of luck involved! And while somebody has to win the Nobel Prize every year, nobody has to come up with a new principle, which is why LTD Theory’s new principle is so valuable. And we must note that somehow, Einstein never won the Nobel for Special nor even General Relativity, even though General Relativity is one of the most beautiful theories ever created. I am quite sure that Einstein would rather have General Relativity to his name than just another Nobel Prize. In Peebles’ class we were using the galleys for his upcoming textbook *Quantum Mechanics* (now in print — buy one — it’s an epic treatise!) for his two-semester course. “So in the simplest case,” I addressed my question to Professor Peebles, “When a photon is emitted from a source, it has an equal chance of being found anywhere upon a spherically-symmetric wavefront expanding at the rate of c ?”

Peebles’s affirmative answer, combined with Wheeler’s earlier confirmation that a photon remains stationary in the fourth dimension throughout its spatial journey, together with Joseph Taylor’s (Nobel Laureate in Physics, 1993; the author’s advisor for the junior paper on quantum nonlocality, entanglement, the EPR paradox, and delayed-choice experiments) framing of the foundational question — “*Schrödinger said that entanglement is the characteristic trait of quantum mechanics. Figure out the source of entanglement, and you’ll figure out the source of the quantum, as nobody really knows what, nor why, nor how \hbar is*” — set the three physical inputs that constitute the McGucken Principle. If a photon remains stationary in x_4 while x_4 advances at c , and if photon propagation is spherically symmetric at c , then x_4 itself must be expanding at c in a spherically symmetric manner: $\mathbf{dx}_4/\mathbf{dt} = \mathbf{ic}$. The synthesis came during a windsurfing-trip reading of Einstein’s 1912 Manuscript on Relativity.

The first written formulation of the McGucken Principle appeared as an appendix to the author’s 1998 NSF-funded UNC Chapel Hill Ph.D. dissertation, *Multiple Unit Artificial Retina Chipset to Aid the Visually Impaired and Enhanced Holed-Emitter CMOS Phototransistors* [MG-Dissertation], where the appendix treated time as an emergent phenomenon arising from a fourth expanding dimension. The same dissertation’s primary technical work on the artificial retina chipset received Fight for Sight and NSF grants and a Merrill Lynch Innovations Award, and is now helping the blind see.

The principle appeared throughout the internet in the early 2000s as Moving Dimensions Theory. It received formal treatment in five Foundational Questions Institute (FQXi) essays between 2008 and 2013: the 2008 “Time as an Emergent Phenomenon” essay (in memory of John Archibald Wheeler) [MG-FQXi2008], which introduced the principle as “time is an emergent phenomenon resulting from a fourth dimension expanding relative to the three spatial dimensions at the rate of c ,” from which Einstein’s relativity is derived and for which diverse phenomena in relativity, quantum mechanics, and statistical mechanics are accounted; the 2009 “What is Ultimately Possible in

Physics?” essay [MG-FQXi2009], extending the derivational reach to Huygens’ Principle, the wave/particle, energy/mass, space/time, and E/B dualities, and time and all its arrows and asymmetries; the 2010–2011 “On the Emergence of QM, Relativity, Entropy, Time, $i\hbar$, and ic ” essay [MG-FQXi2011], which observed that $dx_4/dt = ic$ and the canonical commutation relation $[q, p] = i\hbar$ share the structural feature of placing a differential or commutator on the left and an imaginary quantity on the right — as Bohr had noted — and proposed that both equations reflect a foundational change occurring in a “perpendicular” manner through the expanding fourth dimension; the 2012 “MDT’s $dx_4/dt = ic$ Triumphs Over the Wrong Physical Assumption that Time is a Dimension” essay [MG-FQXi2012], addressing Gödel’s and Eddington’s challenges regarding the reality of time; and the 2013 “Where is the Wisdom we have lost in Information?” essay [MG-FQXi2013], situating the program within the heroic tradition of physics.

The principle was consolidated across seven books between 2016 and 2017: *Light Time Dimension Theory: The Foundational Physics Unifying Einstein’s Relativity and Quantum Mechanics* (2016) [MG-Book2016]; *The Physics of Time* (2017) [MG-BookTime]; *Quantum Entanglement & Einstein’s Spooky Action at a Distance Explained* (2017) [MG-BookEntanglement]; *Einstein’s Relativity Derived from LTD Theory’s Principle* (2017) [MG-BookRelativity]; *The Triumph of LTD Theory and Physics over String Theory, the Multiverse, Inflation, Supersymmetry, M-Theory, LQG, and Failed Pseudoscience* (2017) [MG-BookTriumph]; *Relativity and Quantum Mechanics Unified in Pictures* (2017) [MG-BookPictures]; and an additional LTD Theory volume in the Hero’s Odyssey Mythology Physics series [MG-BookHero]. The principle has been extensively developed at elliottmcguckenphysics.com (2024–2026), with the recent papers cited throughout this work.

The Lagrangian content of the present paper is thus the mature development of ideas whose seeds were planted at Princeton under Wheeler’s supervision, first published as an appendix to the 1998 UNC dissertation, and developed publicly from 2003 onward across internet forums, FQXi essays, seven books, and the current derivation programme at elliottmcguckenphysics.com — a research program spanning more than three decades.

II. A History of Lagrangian Methods in Physics

The idea that physical trajectories extremize a scalar functional — the Principle of Least Action — is older than Newtonian mechanics and has been reformulated several times across nearly three centuries. This section traces the historical development of the idea, identifying the specific structural advance at each stage and situating the McGucken Lagrangian within this tradition.

II.1 Maupertuis (1744) and the Principle of Least Action

Pierre Louis Moreau de Maupertuis, in a 1744 paper read before the Académie des Sciences and developed at length in his 1746 *Les lois du mouvement et du repos* and his 1750 *Essai de cosmologie*, introduced the Principle of Least Action in its original form. Maupertuis proposed that nature operates with maximum economy: a physical process selects, among all conceivable paths, the one for which a quantity he called “action” is least. The action was defined for mechanical systems as the integral of mass times velocity times distance — equivalently, the integral of twice the kinetic energy along the path. The principle was presented as a metaphysical claim about the economy of nature, supported by empirical demonstrations in optics (Fermat’s principle of least time, to which Maupertuis appealed) and in mechanics (Maupertuis showed that the principle reproduced known results for elastic collisions and for equilibria of massive systems).

Maupertuis’s formulation was imprecise by modern standards. The quantity he identified as “action” was not the full action of Euler-Lagrange mechanics; the extremization principle was stated as a minimum rather than as an extremum (extrema can be maxima or saddle points as well as minima); and the metaphysical framing drew sharp critical responses from d’Alembert and others. Nevertheless, the 1744 paper established the central idea: physics proceeds by extremizing a scalar functional. Every subsequent development of Lagrangian mechanics has been an elaboration and correction of this starting point.

II.2 Euler (1744) and the First Rigorous Variational Calculation

Leonhard Euler, working independently of Maupertuis and publishing in the same year, supplied the first mathematically rigorous version of the Principle of Least Action for a specific class of mechanical problems. In his 1744 treatise *Methodus inveniendi lineas curvas* — the foundational text of the calculus of variations — Euler showed that the trajectory of a particle in a conservative potential $V(x)$ extremizes the integral

$$S = \int \sqrt{2m(E - V)} ds$$

along the path, with E the total energy. The extremization condition produces Newton’s equations of motion as a differential consequence. Euler’s formulation clarified what Maupertuis had stated metaphysically: the action is a well-defined functional, its extrema are the solutions of a well-defined variational problem, and the Euler-Lagrange equations supply the field equations automatically. The 1744 treatise also gave the variational calculus its modern form — the Euler equation, the boundary conditions, the functional derivative — and remains the mathematical foundation of every subsequent Lagrangian formulation.

II.3 Lagrange (1788) and Analytical Mechanics

Joseph-Louis Lagrange, in his 1788 *Mécanique Analytique*, consolidated and extended the work of Maupertuis and Euler into the systematic formulation that bears his name.

Lagrange introduced the Lagrangian function $L(q, \dot{q}, t) = T - V$ (kinetic minus potential energy) and wrote the action as

$$S = \int L(q, \dot{q}, t) dt,$$

with the equations of motion supplied by the Euler-Lagrange equations

$$d/dt (\partial L/\partial \dot{q}) - \partial L/\partial q = 0.$$

The *Mécanique Analytique* recast all of classical mechanics — the mechanics of particles, of constrained systems, of continuous media — in this single formalism. The advance over Euler was structural: where Euler had treated specific mechanical problems variationally, Lagrange supplied a universal framework in which every mechanical system admits a Lagrangian formulation. The book contained, in Lagrange’s famous remark, “no figures” — the entire treatment was algebraic — and it established analytical mechanics as a coherent mathematical discipline separate from the geometric mechanics of Newton.

The structural significance of the Lagrangian formulation is twofold. First, the action S is Lorentz-invariant (or, in the classical case, Galilean-invariant) if and only if the Lagrangian L is — so symmetries of the underlying theory correspond directly to properties of a single scalar function, rather than to properties of the full system of differential equations. Second, the Euler-Lagrange equations are automatically the correct equations of motion for any L ; the derivation is mechanical and does not require insight into the specific dynamics. A Lagrangian-based theory is therefore specified by a single function L , and all physical content is extractable from L by variational calculus.

II.4 Hamilton (1834) and the Principle of Stationary Action

William Rowan Hamilton, in his 1834 and 1835 memoirs *On a General Method in Dynamics*, recast Lagrange’s formulation in what is now called Hamiltonian mechanics. Hamilton introduced the conjugate momentum $p = \partial L/\partial \dot{q}$ and the Hamiltonian function $H(q, p, t) = p\dot{q} - L$, writing the equations of motion as

$$\dot{q} = \partial H/\partial p, \quad \dot{p} = -\partial H/\partial q.$$

Hamilton’s contribution was not merely a change of variables but a deep structural reframing. In the Hamiltonian formulation, phase space (q, p) is the natural arena of mechanics; the Poisson bracket structure $\{\cdot, \cdot\}$ organizes the algebra of observables; and the transition from classical to quantum mechanics — made a century later by Heisenberg, Schrödinger, and Dirac — proceeds through the replacement of Poisson brackets by commutators, $\{q, p\} = 1 \rightarrow [\hat{q}, \hat{p}] = i\hbar$. The Hamiltonian formulation also made clear that the action S , viewed as a function of the endpoints of the trajectory, satisfies the Hamilton-Jacobi equation

$$\partial S/\partial t + H(q, \partial S/\partial q, t) = 0,$$

a first-order partial differential equation that anticipated — by nearly a century — the Schrödinger equation of quantum mechanics. Hamilton’s formalism supplied the

structural bridge between classical and quantum physics, and it is the formalism in which modern theoretical physics is most often written.

II.5 Noether (1918) and the Theorem of Symmetries and Conservation Laws

Emmy Noether, in her 1918 paper *Invariante Variationsprobleme*, proved the theorem that bears her name: every continuous symmetry of the action corresponds to a conserved current, and every conservation law in a Lagrangian theory arises from a continuous symmetry of the action. Time-translation invariance gives energy conservation; spatial-translation invariance gives momentum conservation; rotational invariance gives angular-momentum conservation; gauge invariance gives charge conservation. Noether's theorem unified the experimental conservation laws (energy, momentum, angular momentum, electric charge) as consequences of symmetries of the Lagrangian, and established the Lagrangian as the object through which all symmetry structure of a physical theory is expressed.

The structural advance of Noether's theorem is that it makes explicit what Maupertuis, Euler, Lagrange, and Hamilton had left implicit: the full content of a physical theory is contained in the symmetries of its Lagrangian. Two theories with Lagrangians related by a change of variables — or by the addition of a total derivative — are physically equivalent. Two theories with Lagrangians having different symmetry content are physically distinct. Noether's theorem is therefore the bridge from the Lagrangian formulation to the modern formulation of theoretical physics in terms of symmetry groups and their representations.

II.6 Einstein-Hilbert (1915) and the Action Principle for Gravity

David Hilbert, in his November 1915 communication to the Göttingen Academy, supplied the first action-principle formulation of general relativity. The Einstein-Hilbert action

$$S_{EH} = (c^4/16\pi G) \int R \sqrt{-g} d^4x,$$

with R the Ricci scalar and g the metric determinant, reproduces Einstein's field equations $G_{\mu\nu} = (8\pi G/c^4) T_{\mu\nu}$ by variational extremization. Hilbert's formulation was presented five days before Einstein's own arrival at the field equations (by a different route), and the priority dispute that followed has been a subject of historical study ever since. Regardless of priority, the 1915 Einstein-Hilbert action established that general relativity admits a Lagrangian formulation, that the Lagrangian density is the simplest possible diffeomorphism-invariant scalar of the metric (the Ricci scalar R), and that gravity — like every other fundamental force — is a least-action theory.

The Einstein-Hilbert action is unique in a specific sense. Lovelock's theorem (1971) established that in four spacetime dimensions, the Einstein-Hilbert action plus a cosmological constant is the unique diffeomorphism-invariant scalar action that produces second-order field equations on the metric. This uniqueness result — which anticipates the structural role of uniqueness arguments in the modern Lagrangian tradi-

tion — establishes that once the principle of diffeomorphism invariance is adopted, the gravitational Lagrangian is forced rather than chosen.

II.7 Dirac (1928) and the Relativistic Lagrangian for Matter

Paul Dirac, in his 1928 paper *The Quantum Theory of the Electron*, produced the relativistic wave equation for spin- $\frac{1}{2}$ particles by linearizing the Klein-Gordon equation with a specific requirement: the equation should be first-order in both time and space derivatives, Lorentz-covariant, and consistent with the Klein-Gordon dispersion relation $E^2 = p^2c^2 + m^2c^4$. The linearization forced the introduction of the gamma matrices γ^μ satisfying $\{\gamma^\mu, \gamma^\nu\} = 2\eta^{\mu\nu}$ (the Clifford algebra of Minkowski spacetime), and produced the Dirac equation $(i\gamma^\mu \partial_\mu - m)\psi = 0$. The corresponding Lagrangian is

$$*\mathcal{L}_{\text{Dirac}} = \bar{\psi}(i\gamma^\mu \partial_\mu - m)\psi.*$$

Dirac's derivation of $\mathcal{L}_{\text{Dirac}}$ was structural rather than empirical. The requirement of first-order Lorentz-covariant field equations consistent with the Klein-Gordon dispersion relation forces the Clifford algebra structure; the Clifford algebra forces the gamma-matrix representation; the gamma matrices force the four-component spinor structure; and the four-component spinor structure forces the prediction of antimatter (Dirac 1931). The Dirac Lagrangian is therefore the unique first-order Lorentz-scalar Lagrangian for spin- $\frac{1}{2}$ matter in Minkowski spacetime, and every subsequent relativistic treatment of fermions — in QED, QCD, the electroweak theory, and the full Standard Model — has used $\mathcal{L}_{\text{Dirac}}$ as its fermion sector.

II.8 Yang-Mills (1954) and the Non-Abelian Gauge Principle

Chen-Ning Yang and Robert Mills, in their 1954 paper *Conservation of Isotopic Spin and Isotopic Gauge Invariance*, extended the U(1) gauge structure of electromagnetism to non-Abelian groups. The Yang-Mills Lagrangian for a gauge group G with Lie algebra \mathfrak{g} is

$$\mathcal{L}_{\text{YM}} = -(1/4g^2) F^a_{\mu\nu} F^{\mu\nu a},$$

with $F^a_{\mu\nu} = \partial_\mu A^a_\nu - \partial_\nu A^a_\mu + f^{abc} A^b_\mu A^c_\nu$ the non-Abelian field strength and f^{abc} the structure constants of \mathfrak{g} . The Yang-Mills construction established that the gauge principle — local invariance under a continuous symmetry group — forces the Lagrangian to have a specific form: the matter field must be minimally coupled through a covariant derivative $D_\mu = \partial_\mu + igA_\mu$, and the gauge field must have kinetic term proportional to $F^a_{\mu\nu} F^{\mu\nu a}$. No other structure is compatible with local gauge invariance combined with renormalizability and locality.

The Yang-Mills principle became, in the following two decades, the organizing principle of the Standard Model. The electroweak theory (Weinberg-Salam 1967, Glashow 1961) is a Yang-Mills theory with gauge group $SU(2) \times U(1)$ broken to $U(1)_{\text{EM}}$ by the Higgs mechanism. Quantum chromodynamics (Gross-Wilczek-Politzer 1973, Fritzsche-Gell-Mann-Leutwyler 1973) is a Yang-Mills theory with gauge group $SU(3)_c$. The com-

bined Standard Model Lagrangian is a Yang-Mills theory with gauge group $SU(3) \times SU(2) \times U(1)$, broken by the Higgs field to $SU(3) \times U(1)_{EM}$, with matter content in specific representations. The specific choices of gauge group and representation content are empirical inputs, not derived; the Yang-Mills principle supplies the form of each sector of the Lagrangian once the gauge group and representations are given.

II.9 Feynman (1948) and the Path-Integral Reformulation

Richard Feynman, in his 1948 paper *Space-Time Approach to Non-Relativistic Quantum Mechanics*, reformulated quantum mechanics as a sum over paths, each weighted by $\exp(iS/\hbar)$ with S the classical action of the path. The path integral

$$K(x_f, t_f; x_i, t_i) = \int \mathcal{D}x(t) \exp(iS[x(t)]/\hbar)$$

reproduces the Schrödinger equation (by the standard derivation that Feynman gave in his 1948 paper) and gives an alternative, manifestly covariant formulation of quantum field theory. The path-integral formulation makes the action S , rather than the Hamiltonian H , the central object of quantum mechanics — inverting Hamilton’s 1834 move and restoring the Lagrangian to its 1788 status. Modern theoretical physics — especially quantum field theory, gauge theory, and string theory — is most naturally formulated in the path-integral language, with the Lagrangian density specifying the theory directly.

II.10 Witten (1995) and the M-Theory Lagrangian Problem

Edward Witten, in his 1995 Strings conference lecture and subsequent *String Theory Dynamics in Various Dimensions*, proposed that the five perturbative superstring theories plus eleven-dimensional supergravity are six limits of a single underlying theory, which he called M-theory. A natural question following Witten’s 1995 proposal has been: what is the Lagrangian of M-theory? For each of the six perturbative limits, a Lagrangian is known — the Polyakov action for the bosonic string, the Green-Schwarz-Siegel action for the superstring, the Cremmer-Julia-Scherk action for 11D supergravity. For M-theory itself, no Lagrangian formulation is known. Thirty years of effort has produced partial results (matrix models, AdS/CFT duality, topological string theory) but no fundamental Lagrangian.

The absence of an M-theory Lagrangian has been treated as a structural problem — M-theory is known only through its limits, and a first-principles formulation has not been found. In [MG-Witten1995] of the present corpus, the claim is made that $dx_4/dt = ic$ is the non-perturbative formulation of M-theory: M-theory is the theory of x_4 ’s advance, and the Lagrangian \mathcal{L}_{McG} derived in the present paper is its Lagrangian formulation. Witten’s Lagrangian problem is thereby resolved: the M-theory Lagrangian is the McGucken Lagrangian.

II.11 The Structural Question Left Open by the Historical Development

The 282-year development from Maupertuis to Witten supplied, at each stage, a Lagrangian formulation for the physics then known. Maupertuis gave the Principle of

Least Action; Euler and Lagrange gave the rigorous variational calculus; Hamilton gave the canonical formulation; Noether gave the symmetry-conservation correspondence; Hilbert gave the gravitational action; Dirac gave the relativistic matter action; Yang-Mills gave the non-Abelian gauge principle; Feynman gave the path-integral reformulation; Witten sought the M-theory action. At each stage the Lagrangian of the then-accepted theory has been written, and at each stage the specific form of that Lagrangian has been the empirical and theoretical content of the theory.

What has been left open, across the entire historical development, is the question of what principle forces the Lagrangian. The Einstein-Hilbert action has the Lovelock uniqueness result — once diffeomorphism invariance is imposed, gravity's Lagrangian is forced. The Dirac Lagrangian has an analogous uniqueness result — once first-order Lorentz-covariance and the Klein-Gordon dispersion relation are imposed, the fermion sector is forced. The Yang-Mills Lagrangian has an analogous result — once local gauge invariance is imposed, the gauge sector is forced. But the underlying postulates (diffeomorphism invariance, Lorentz covariance, gauge invariance) have themselves been treated as independent principles, each motivated by its own historical and empirical considerations.

The McGucken Lagrangian — the subject of the remainder of this paper — differs structurally from its predecessors in that all four sectors (free-particle kinetic, matter, gauge, gravity) are forced by a single geometric principle: $dx_4/dt = ic$. Lorentz invariance follows from $dx_4/dt = ic$ through the Minkowski metric [MG-Proof]. Diffeomorphism invariance follows from $dx_4/dt = ic$ through the curved-spacetime generalization of x_4 's advance [MG-GR]. Local gauge invariance follows from $dx_4/dt = ic$ through the absence of a preferred x_4 -reference direction [MG-QED]. The four uniqueness subtheorems of §VI — each a standard uniqueness result in its own sector — therefore combine into a single four-fold uniqueness theorem in which the underlying principle is not a list of invariances but the single geometric statement that x_4 is advancing at the velocity of light. This is the structural advance that the present paper claims.

III. The McGucken Principle and Its Geometric Structures

This section reviews the McGucken Principle and the geometric structures it generates, collecting the results required for the Lagrangian derivation in §§IV-VI. Full proofs of each result are available in the cited corpus papers.

III.1 The McGucken Principle

The McGucken Principle. *The fourth coordinate $x_4 = ict$ of Minkowski spacetime is a real geometric axis advancing at invariant rate*

$$dx_4/dt = ic.$$

The advance proceeds from every spacetime point $p \in \mathcal{M}$ simultaneously, spherically symmetrically about each point, with magnitude $|dx_4/dt| = c$ invariant under Lorentz transformations. The factor i is the perpendicularity marker — the algebraic signature of x_4 's orthogonality to the three spatial dimensions — not a sign of unreality. The foundational proof of the McGucken Principle is given in [MG-Proof]. The McGucken Principle has been developed in the author's writings for more than three decades [MG-Dissertation, appendix; MG-FQXi2008; MG-FQXi2009; MG-FQXi2011; MG-FQXi2012; MG-FQXi2013; MG-Book2016; MG-BookTime; MG-BookEntanglement; MG-BookRelativity; MG-BookTriumph; MG-BookPictures; MG-BookHero], beginning with undergraduate research under J. A. Wheeler at Princeton in the late 1980s, first written in the appendix to the author's 1998 NSF-funded UNC Chapel Hill Ph.D. dissertation, developed across five FQXi essays (2008–2013), consolidated across seven books (2016–2017), and continuing in the current derivation programme at elliottmcguckenphysics.com (2024–2026). §I.5 above gives the full historical summary.

III.2 The Minkowski Metric

Proposition III.1 (*Minkowski metric from $dx_4/dt = ic$*).

Under the McGucken Principle, the Minkowski metric of special relativity is derived by direct substitution of $dx_4 = ic dt$ into the Euclidean four-distance:

$$d\ell^2 = dx_1^2 + dx_2^2 + dx_3^2 + dx_4^2 = dx_1^2 + dx_2^2 + dx_3^2 + (ic dt)^2 = dx_1^2 + dx_2^2 + dx_3^2 - c^2 dt^2 = ds^2.$$

The Lorentz-invariant line element $ds^2 = \eta_{\mu\nu} dx^\mu dx^\nu$ with $\eta = \text{diag}(+, +, +, -)$ is therefore a theorem of $dx_4/dt = ic$, not an independent postulate.

Proof in [MG-Proof, §II]. The substitution is immediate once x_4 is read as physical (i.e., once i is understood as perpendicularity marker rather than as absence of physical reality); the only subtlety is the sign convention $\eta = (+, +, +, -)$ versus $\eta = (-, +, +, +)$, which is a matter of choice and does not affect the Lagrangian structure.

III.3 The Four-Speed Budget

Proposition III.2 (*The four-speed budget $u^\mu u_\mu = -c^2$*).

Under the McGucken Principle, every object in spacetime has four-velocity $u^\mu = dx^\mu/d\tau$ satisfying the constraint

$$u^\mu u_\mu = \eta_{\mu\nu} u^\mu u^\nu = -c^2,$$

which expresses that the total four-speed of every object is fixed at c , partitioned between spatial motion (components u^1, u^2, u^3) and x_4 -advance (component u^4).

Proof. The proof proceeds in two steps: establish the master equation in the rest frame from the McGucken Principle directly, then extend it to all inertial frames by Lorentz covariance. Step 1 (rest frame). For a particle at spatial rest, $dx^i/dt = 0$ for $i = 1, 2, 3$, and coordinate time t coincides with proper time τ in the rest frame ($dt/d\tau =$

1 when $v = 0$). The four-velocity in the rest frame is therefore $u^\mu = (0, 0, 0, u^4)$ with $u^4 = dx^4/d\tau = dx^4/dt = ic$ by the McGucken Principle. Evaluating the Minkowski norm with signature $(+, +, +, -)$ implicit in $x^\mu = (x, y, z, ict)$: $u^\mu u_\mu = \eta_{\mu\nu} u^\mu u^\nu = (0)^2 + (0)^2 + (0)^2 - c^2(dt/d\tau)^2 = -c^2$ when $dt/d\tau = 1$. Equivalently in the $(+, +, +, +)$ signature for $x^\mu = (x, y, z, x_4)$ with $x_4 = ict$: $u^\mu u_\mu = 0 + 0 + 0 + (ic)^2 = -c^2$. Either signature convention gives the same result. Step 2 (general frame). Under a Lorentz boost with three-velocity v , the four-velocity transforms as a four-vector $u'^\mu = \Lambda^\mu_\nu u^\nu$ with Λ^μ_ν the Lorentz matrix. The Minkowski inner product $u^\mu u_\mu = \eta_{\mu\nu} u^\mu u^\nu$ is a Lorentz scalar: $\Lambda^T \eta \Lambda = \eta$ by the defining condition of Lorentz transformations. Therefore $u'^\mu u'_\mu = u^\mu u_\mu = -c^2$ in every inertial frame related to the rest frame by a Lorentz transformation. Since the McGucken Principle applied in any inertial frame produces the same rest-frame analysis ($dx_4/dt = ic$ is form-invariant under the covariance argument of [MG-Proof, Theorem 6.2]), and since any two inertial frames are connected by a Lorentz transformation, $u^\mu u_\mu = -c^2$ holds for every physical object in every inertial frame. Equivalently: if $u^1 = u^2 = u^3 = 0$ in some frame (i.e. the rest frame exists), $u^4 = +ic$ in that frame, and Lorentz covariance extends the master equation to all frames. The $+$ sign of ic is selected by the matter orientation condition (M) of [MG-Dirac, §IV.2]; the $-$ sign would correspond to antimatter, handled separately in the Dirac sector. **QED.**

Remark III.2.1. The master equation is the Lorentz-invariant expression of the McGucken Principle. The McGucken Principle $dx_4/dt = ic$ is the rest-frame content of the master equation; the master equation is its covariant extension to all frames. The two-step structure above makes explicit what [MG-Proof, Axiom 3] states as a postulate: $u^\mu u_\mu = -c^2$ is a theorem given the rest-frame content of M1 plus Lorentz covariance. The present paper therefore takes $u^\mu u_\mu = -c^2$ as Proposition III.2 rather than as an independent axiom, and Lorentz covariance as the bridge between the McGucken Principle's rest-frame statement and its general-frame expression. This closes a gap that existed implicitly in [MG-Proof], where Axiom 3 was stated independently of Axiom M1.

III.4 The Oscillatory Form of the Principle

The McGucken Principle $dx_4/dt = ic$ states only that x_4 advances continuously at rate ic ; it does not by itself state that this advance is quantized. To connect the classical continuous expansion to the quantum structure of matter (Proposition III.4, §V) and to the Planck-scale content of \hbar (§VIII.10), an additional physical postulate is required. This postulate is the oscillatory quantization of x_4 's advance, which the McGucken framework introduces explicitly rather than silently absorbing into the principle. Under this postulate, the self-consistency argument then determines the oscillation scale and the value of \hbar .

Postulate III.3.P (Oscillatory quantization of x_4 's advance)

In addition to the McGucken Principle $dx_4/dt = ic$, we postulate that x_4 's advance proceeds in discrete oscillatory steps. Each step is a complete oscillation of the fourth

axis, and the advance of x_4 at continuous rate ic is the coarse-grained description of the sequence of these oscillations. The oscillation is a standing-wave mode of x_4 itself, with a specific wavelength λ_s to be determined by self-consistency, and each complete cycle carries a definite quantum of action — this quantum is what we call \hbar , identified by its role as the action per x_4 -oscillation.

Postulate III.3.P is a physical hypothesis, not a theorem of $dx_4/dt = ic$ alone. It is the McGucken framework's commitment to x_4 being a quantum object at small scales, parallel to the commitments made by loop quantum gravity (area and volume operators with discrete spectra at the Planck scale), string theory (minimum length of order ℓ_P), and causal set theory (discrete spacetime elements). The paper is transparent about this: Postulate III.3.P is an additional physical input beyond the McGucken Principle, not a derived consequence of it. Given Postulate III.3.P, the following corollary determines the oscillation scale and the value of \hbar in terms of c and G .

Proposition III.3 (Corollary — Planck-scale oscillation and \hbar determined from c, G).

Under the McGucken Principle combined with Postulate III.3.P (oscillatory quantization), and under the requirement that the oscillation be neither gravitationally collapsed nor dispersively unstable, the oscillation wavelength is fixed to be the Planck length $\lambda_s = \ell_P = \sqrt{\hbar G/c^3}$, and the action per cycle determines \hbar in terms of c and G via $\hbar = \lambda_s^2 c^3/G = \ell_P^2 c^3/G$.

Proof. The self-consistency argument proceeds in three steps [MG-Holography, §III; MG-Constants, §III-V]. Step 1: a quantum of x_4 's oscillation with wavelength λ and frequency $f = c/\lambda$ carries energy $E = \hbar\omega = 2\pi\hbar f = hc/\lambda$ by the postulated action-per-cycle identification. Step 2: the quantum must not gravitationally self-collapse, i.e. its Schwarzschild radius $r_S = 2GE/c^4 = 2Gh/(\lambda c^3)$ must not exceed its own wavelength λ . The self-consistency condition $r_S = \lambda$ (equality saturating the bound) gives $2Gh/(\lambda c^3) = \lambda$, hence $\lambda^2 = 2Gh/c^3$, so $\lambda = \sqrt{2Gh/c^3} = \sqrt{2} \cdot \sqrt{\hbar G/c^3} = \sqrt{2} \cdot \ell_P$. The factor of $\sqrt{2}$ reflects the saturation of the equality; the self-consistency is compatible with a range scaling linearly in ℓ_P , and the natural normalization setting $\lambda_s = \ell_P$ exactly is adopted by convention in identifying the Planck length with the x_4 -oscillation wavelength. Equivalently, the self-consistency condition $r_S < \lambda$ forbids wavelengths below ℓ_P (they would be inside their own Schwarzschild radius), and $r_S > \lambda$ forbids wavelengths above ℓ_P being fundamental (they would be decomposable into shorter self-consistent quanta); the marginal case is the Planck scale. Step 3: given $\lambda_s = \ell_P$ and the action-per-cycle identification, $\hbar = \lambda_s^2 c^3/G$ follows by solving $\ell_P = \sqrt{\hbar G/c^3}$ for \hbar . This expresses \hbar as a function of c and G plus the oscillation-scale self-consistency, rather than as an independent empirical constant. **QED.**

Remark III.3.1. The structure of this derivation is important. The McGucken Principle gives c (the rate of x_4 's expansion). Postulate III.3.P gives the quantization (that x_4 's advance is oscillatory). The self-consistency argument combined with Newton's constant G then forces the oscillation wavelength to be ℓ_P and the action quantum

to be \hbar . Of the three fundamental constants c , \hbar , G , the McGucken framework therefore takes c as forced by the principle itself, \hbar as forced by the quantization postulate plus G , and G alone as an empirical input. This is a reduction from three independent constants to one, at the cost of one additional postulate (III.3.P). The reduction is the structural content of §VIII.10's first-of-its-kind claim on the constants c and \hbar .

III.5 The Compton-Frequency Coupling of Matter

Having established the oscillatory quantization of x_4 (Postulate III.3.P) with Planck-scale wavelength and \hbar as action per cycle (Proposition III.3), the next question is how matter couples to this oscillation. The McGucken Principle plus Postulate III.3.P alone does not determine the coupling: x_4 could oscillate in isolation from matter, or matter could couple to x_4 at a specific sub-harmonic frequency set by the particle's rest energy. The McGucken framework selects the latter through an additional physical postulate.

Postulate III.4.P (Compton-frequency coupling)

*In addition to the McGucken Principle and Postulate III.3.P, we postulate that a massive particle of rest mass m couples to x_4 's **oscillatory advance at the sub-harmonic frequency $\omega_C = mc^2/\hbar$ (the Compton angular frequency), through the matter orientation condition $\Psi(x, x_4) = \Psi_0(x) \cdot \exp(+I \cdot k_C \cdot x_4)$, with I the Clifford pseudoscalar of the spacetime algebra ($I^2 = -1$), $k_C = mc/\hbar$, and the $+$ sign distinguishing matter from antimatter (which takes the $-$ sign). The coupling frequency is not free — it is set by the ratio of the particle's rest energy mc^2 to the action quantum \hbar of the Planck-scale x_4 -oscillation.***

Postulate III.4.P is a physical hypothesis about how matter attaches to x_4 , parallel to de Broglie's 1924 proposal of an internal clock at frequency $\nu_0 = mc^2/h$. It is the McGucken framework's specific resolution of what de Broglie's clock physically is: matter's coupling to x_4 's Planck-scale oscillation at the sub-harmonic frequency fixed by the particle's own rest energy. The companion paper [MG-Compton, §1] makes the same epistemic separation explicit, stating that "the matter coupling is a distinct physical input, not a consequence of the structural postulate alone" and drawing the direct parallel to the Maxwell-Lorentz and Einstein-geodesic structures: "Maxwell's field equations describe what the electromagnetic field is doing; the Lorentz force law specifies how charges couple to it. Einstein's field equations describe how spacetime curves; the geodesic equation specifies how test matter moves in that curvature. The matter coupling is a distinct physical input, not a consequence of the structural postulate alone." The present paper adopts that framing directly: the McGucken Principle ($dx_4/dt = ic$) is the structural postulate about what x_4 is doing, and Postulate III.4.P is the matter coupling specifying how matter responds. The Compton-frequency identification can be motivated by three independent considerations: (a) energy conservation requires the coupling frequency times \hbar to equal the particle's rest energy, giving $\omega = mc^2/\hbar$ directly; (b) the Lindgren-Liukkonen 2019 stochastic-optimal-control derivation of the Schrödinger equation independently requires an internal oscillation

at exactly this frequency; (c) the Schrödinger 1930 zitterbewegung analysis of the Dirac equation produces an oscillation at $2\omega_C$ (the paired-virtual-antiparticle rate at the Compton frequency). These three convergent motivations make Postulate III.4.P the natural coupling prescription, but it is nonetheless a postulate rather than a theorem of $dx_4/dt = ic$ alone. [MG-Compton, §9] closes by reiterating this: “The Compton coupling is one proposal among possible choices. The McGucken Principle admits other matter couplings that would yield different diffusion predictions and different experimental signatures.”

Proposition III.4 (Consequences of the Compton coupling).

Under the McGucken Principle combined with Postulates III.3.P and III.4.P, the following three consequences follow as theorems:

- (i) The matter field $\Psi(x, x_4)$ factorizes as $\Psi_0(x) \cdot \exp(+I \cdot k_C \cdot x_4)$, with the x_4 -dependence carried entirely by the phase $\exp(+I \cdot k_C \cdot x_4)$ and the x -dependence carried by the 3D wavefunction $\Psi_0(x)$. This factorization is the matter orientation condition (M) [MG-Dirac, §IV.2].
 - (ii) The Klein-Gordon equation $p^\mu p_\mu \Psi = -m^2c^2 \Psi$ follows from the mass-shell content of Postulate III.4.P combined with Proposition III.2 (master equation).
 - (iii) The de Broglie relation $\lambda_{dB} = h/p$ for the matter wave follows from the four-wavevector identification $k^\mu = p^\mu/\hbar$ applied to the Lorentz-boosted image of Postulate III.4.P [MG-deBroglie, §IV].
- All three are derivations from the postulates, not additional postulates.

Proof. (i) The matter orientation condition is the explicit statement of Postulate III.4.P; factorization is the form. (ii) The operator form of Postulate III.4.P is $\hat{p}^4 \Psi = k_C \cdot \hbar \cdot \Psi = mc \cdot \Psi$, whose scalar reduces to $p^4 = mc$ in the rest frame. Combined with Proposition III.2’s rest-frame $u^4 = ic$ and $p^\mu = mc u^\mu/c$, the rest-frame content is $p^4 = i \cdot mc$, $p^i = 0$, and $p^\mu p_\mu = (i \cdot mc)^2 + 0 + 0 + 0 = -m^2c^2$. By the Lorentz scalar property of $p^\mu p_\mu$ (inherited from the master equation’s Lorentz covariance as established in the Step 2 of Proposition III.2’s proof), $p^\mu p_\mu = -m^2c^2$ in every inertial frame. Acting on Ψ with $\hat{p}^\mu = i\hbar\partial/\partial x^\mu$ gives the Klein-Gordon equation $(\square - m^2c^2/\hbar^2)\Psi = 0$ in operator form. (iii) The four-wavevector identification $k^\mu = p^\mu/\hbar$ follows from the operator $\hat{p}^\mu = i\hbar\partial/\partial x^\mu$ and the plane-wave form $\Psi \propto \exp(I k^\mu x_\mu)$ of Postulate III.4.P extended to general frames. Applying $k^\mu = p^\mu/\hbar$ to the spatial component $|k| = |p|/\hbar$ rearranges to $\lambda_{dB} = 2\pi/|k| = 2\pi\hbar/|p| = h/|p|$, which is the de Broglie relation [MG-deBroglie, Theorem 4]. **QED.**

Remark III.4.0. The structural content of Proposition III.4 is the determination of three standard quantum-mechanical results — the matter orientation factorization, the Klein-Gordon equation, and the de Broglie relation — from the three postulates $dx_4/dt = ic$ + III.3.P + III.4.P. In standard quantum mechanics, each of these is either postulated directly (de Broglie 1924) or derived via canonical quantization of the classical action (Klein-Gordon). The McGucken framework supplies a specific physical mechanism for each — the Compton coupling as matter’s attachment to x_4 ’s

oscillation — at the cost of two additional postulates beyond the classical McGucken Principle itself.

Remark III.4.1 (The ten Poincaré charges and the four gauge conservation laws as geometric properties of x_4 's expansion)

Noether's theorem — that every continuous symmetry of the action produces a conserved current — relates the conservation laws of physics to corresponding symmetries of the Lagrangian [MG-HLA, §VI; MG-Noether, §§IV-VII]. In the McGucken framework, the full ten-charge Poincaré catalog plus the internal gauge conservation laws are derived as theorems rather than independent postulates. The ten Poincaré charges correspond to four distinct geometric properties of x_4 's spherically symmetric advance at rate ic . Conservation of energy (one charge) corresponds to time-translation symmetry: x_4 advances at the uniform rate ic at all times, with no preferred moment — [MG-Noether, Proposition IV.1] establishes temporal uniformity of x_4 's advance as time-translation invariance, and [MG-Noether, Proposition IV.2] establishes energy conservation as the Noether consequence, with the Noether charge being the Hamiltonian $H = pq - L$. Conservation of three-momentum (three charges) corresponds to spatial-translation symmetry: x_4 expands identically from every spatial point, with the McGucken Sphere at any spatial location identical to that at any other — [MG-Noether, Proposition IV.3] establishes spatial homogeneity of x_4 's expansion as translation invariance, and [MG-Noether, Proposition IV.4] establishes momentum conservation as the Noether consequence, with the Noether charges being $P^i = \int T^{0i} d^3x$. Conservation of angular momentum (three charges) corresponds to rotational symmetry: x_4 's expansion is spherically symmetric with no preferred direction — [MG-Noether, Proposition V.1] establishes spherical isotropy of x_4 's expansion as $SO(3)$ invariance, and [MG-Noether, Proposition V.2] establishes angular-momentum conservation as the Noether consequence, with the Noether charges being $L = r \times p$. This is the deepest structural identification: the McGucken Principle's central assertion that x_4 expands spherically symmetrically is precisely the symmetry that generates angular-momentum conservation. Conservation of the three Lorentz boost charges $K^i = tP^i - x^iE/c^2$ (three charges completing the Poincaré catalog) corresponds to Lorentz-boost symmetry: the rate $dx_4/d\tau = ic$ is form-invariant in every inertial frame — [MG-Noether, Propositions V.3-V.5] establishes Lorentz covariance of x_4 's rate as boost invariance of the action, with the Noether charges being the center-of-energy generators whose conservation states that the center of energy of an isolated system moves with constant velocity $v_{CE} = c^2P/E$. The four internal conservation laws — electric charge from global $U(1)$ phase invariance (the absence of a preferred phase origin on x_4 's oscillation), weak isospin from local $SU(2)_L$ gauge invariance (candidate geometric origin: the stabilizer of x_4 's direction within $Spin(4) = SU(2)_L \times SU(2)_R$, per [MG-Noether, §VII.1] and the broken-symmetries program [MG-Broken]), color charge from local $SU(3)_c$ gauge invariance (candidate geometric origin: rotations among the three spatial dimensions equally transverse to x_4 , per [MG-Noether, §VII.2]), and covariant energy-momentum conservation $\nabla_\mu T^{\mu\nu} = 0$

from four-dimensional diffeomorphism invariance — follow the same Noether pattern [MG-Noether, §§VI-VII]. The Noetherian catalog derived in the McGucken framework — ten Poincaré generators (four translations, three rotations, three Lorentz boosts), twelve internal gauge generators (one $U(1)$, three $SU(2)_L$, eight $SU(3)_c$), and the infinite-dimensional diffeomorphism group — is the same catalog that appears in the Standard Model plus general relativity, with the structural difference that every symmetry is derived from x_4 's expansion rather than postulated independently [MG-Noether, §VII.4]. Grouped by conservation-law principle rather than by generator count, the ten Poincaré laws (energy, three momenta, three angular momenta, three boost charges) plus four principle-level internal laws (electric charge conservation, weak isospin conservation, color conservation, covariant energy-momentum conservation $\nabla_\mu T^{\{\mu\nu\}} = 0$) comprise fourteen distinct conservation principles, all consequences of the single geometric reality that x_4 expands uniformly, homogeneously, isotropically, Lorentz-covariantly, and phase-invariantly from every point of space-time. The specific gauge group $G = U(1) \times SU(2)_L \times SU(3)_c$ of the observed Standard Model is, per [MG-SM, §XV.1], not derived from the McGucken Principle alone — it requires the observed matter content as additional empirical input — and the geometric identifications of the three factors with x_4 -perpendicular structural sectors are currently proposed in [MG-Noether, §VII] and [MG-Broken] as the natural geometric reading of the empirically observed group, not as a first-principles derivation of that group. Future work completing these identifications to full derivation status would establish the specific group as a theorem; at present, it remains an empirical input with a proposed geometric interpretation. The uniqueness of \mathcal{L}_{McG} established by Theorem VI.1 inherits all fourteen conservation laws as automatic structural features rather than as independent symmetry requirements imposed on the Lagrangian form. Einstein's 1905 two postulates — the relativity principle (that physical laws are the same in all inertial frames) and the invariance of c — are both derived: the relativity principle is [MG-Noether, Proposition V.3], and the invariance of c is the budget-constraint corollary of the master equation (Proposition III.2). Both are consequences of the single postulate that x_4 is a real axis advancing at rate ic .

Remark III.4.2 (The Schrödinger equation as a consequence of the Compton coupling and $dx_4/dt = ic$)

The Schrödinger equation is derived from the McGucken Principle via an eight-step chain that proceeds without any additional postulates [MG-HLA, §V]. Step 1: The master equation $u^\mu u_\mu = -c^2$ (Proposition III.2) multiplied by m^2 gives the four-momentum norm $p^\mu p_\mu = -m^2c^2$. Step 2: writing $p^\mu = (E/c, \mathbf{p})$, this expands to $E^2 = |\mathbf{p}|^2c^2 + m^2c^4$, the relativistic energy-momentum relation. Step 3: canonical quantization replaces the classical four-momentum with the differential operator $i\hbar\partial_\mu$, with the specific rule $E \rightarrow i\hbar\partial/\partial t$ following from the McGucken Principle: the energy is the time component $p_0 = -E/c$, and $p_0 = i\hbar\partial/\partial x_4 = i\hbar\partial/\partial(ict) = (\hbar/c)\partial/\partial t$, giving $E = i\hbar\partial/\partial t$. The factor i arises not as a postulate but from $x_4 = ict$ — the imaginary character of the fourth dimension propagates into the momentum operator. Step 4: applying

the quantization rule to the energy-momentum relation gives the Klein-Gordon equation $(\square - m^2c^2/\hbar^2)\psi = 0$, the covariant quantum wave equation. Step 5: factor out the rapid rest-mass oscillation $\psi(x,t) = \tilde{\psi}(x,t)\exp(-imc^2t/\hbar)$ — the Compton-frequency phase of Proposition III.4. Step 6: substituting into the Klein-Gordon equation produces three terms after expansion. Step 7: in the nonrelativistic limit $|\partial^2\tilde{\psi}/\partial t^2| \ll (mc^2/\hbar)|\partial\tilde{\psi}/\partial t|$, the second time-derivative term drops and the rest-mass energy terms cancel, yielding $i\hbar\partial\tilde{\psi}/\partial t = -(\hbar^2/2m)\nabla^2\tilde{\psi}$. Step 8: adding an external potential via minimal coupling gives $i\hbar\partial\psi/\partial t = -(\hbar^2/2m)\nabla^2\psi + V\psi$, the Schrödinger equation. Every step is a mathematical consequence of the master equation and the Compton coupling; no additional postulate is introduced. The factor i in front of $\partial/\partial t$ is the i in $x_4 = ict$; the constant \hbar is the quantum of x_4 's oscillatory expansion at the Planck scale [MG-Constants]. The Schrödinger equation is therefore not a new postulate sitting alongside \mathcal{L}_{McG} but a dynamical consequence of the Compton-coupling structure that Proposition III.4 establishes. The convergence of this geometric derivation with the independent Lindgren-Liukkonen stochastic-optimal-control derivation of the Schrödinger equation [Lindgren & Liukkonen 2019] — which requires a relativistically invariant stochastic action to have an imaginary Lagrangian ($\sqrt{(\det g)} = i$ in Minkowski signature) — provides cross-validation: both derivations reach the same endpoint, with the McGucken Principle supplying the physical origin of the imaginary structure that the stochastic derivation leaves as an analytic continuation.

III.6 Local x_4 -Phase Invariance

Remark III.4.3 (The de Broglie relation $\lambda_{\text{dB}} = h/p$ as theorem of the Compton coupling)

The Schrödinger-equation derivation of Remark III.4.2 extends, via the same Compton-coupling mechanism, to a full derivation of the de Broglie relation $\lambda = h/p$ as a theorem of the McGucken Principle [MG-deBroglie, §§III-IV]. This establishes that the foundational matter-wave relation of quantum mechanics is neither an independent postulate (as in de Broglie's 1924 heuristic) nor an inherited identity (as in the covariant four-vector identification $\hat{p}^\mu = \hbar k^\mu$), but a geometric theorem of $dx_4/dt = ic$. For the photon case, the derivation proceeds as three theorems [MG-deBroglie, §III]: Theorem 1 establishes $E = h\nu$ from the oscillatory form of the McGucken Principle (each complete oscillation of x_4 carries action \hbar per radian, so a wavefront at frequency ν carries energy $h\nu$) — derived, not empirically postulated as by Planck 1900 and Einstein 1905; Theorem 2 establishes $c = \lambda\nu$ for the McGucken Sphere wavefront from the kinematic identity that wavefronts expand at the rate of x_4 's advance; Theorem 3 establishes $p = h/\lambda$ by combining these with the null-norm condition $E = pc$ that the photon inherits from the mass-shell equation $\hat{p}^\mu p_\mu = 0$ [MG-deBroglie, §III.5]. For the massive-particle case, Theorem 4 establishes $\lambda_{\text{dB}} = h/p$ [MG-deBroglie, §IV.4]: the four-wavevector $k^\mu = \hat{p}^\mu/\hbar$ is forced by the operator identity $\hat{p}^\mu = i\hbar\partial/\partial x_\mu$ (whose factor i is the same i as in $dx_4/dt = ic$ — the perpendicularity marker of x_4 's orthogonality to the three spatial dimensions), and the spatial component $|k| = |p|/\hbar$ rearranges to $\lambda_{\text{dB}} = h/p$ directly. The rest-mass

phase factor $\exp(-imc^2\tau/\hbar)$ that standard quantum field theory treats as a global phase without direct physical significance is elevated in the McGucken framework to a physical oscillation driven by x_4 's advance at the Compton rate $\omega_C = mc^2/\hbar$ — the foundational content of the Compton coupling. The de Broglie wavelength is the Lorentz-boosted spatial projection of this rest-frame Compton oscillation: in the particle's rest frame the oscillation has temporal period $1/\nu_C$ and infinite spatial wavelength (synchronous across all of 3D space, since a rest-frame particle has no 3D motion); Lorentz-boosting to an observer frame where the particle moves with momentum p produces a wave with temporal period $1/(\gamma\nu_C)$ and spatial period $\lambda_{dB} = h/p$, as required by the four-wavevector Lorentz-covariance. The Compton coupling thus mechanizes de Broglie's 1924 "internal rest-frame clock": what de Broglie postulated without physical specification — an oscillation at frequency $\nu_0 = mc^2/h$ whose Lorentz-boosted image is the matter wave — is identified as matter's physical coupling to x_4 's advance at the Compton rate. The 102-year-old question of what de Broglie's clock physically was is answered by the matter orientation condition (M). Three structural consequences follow. First, the phase-velocity puzzle $v_{\text{phase}} = c^2/v > c$ is resolved without appeal to the abstraction that nothing physical propagates at v_{phase} [MG-deBroglie, §V]: v_{phase} is the Lorentz-boosted image of x_4 's rest-frame synchronous oscillation, the kinematic closure of the two-velocity system (c for x_4 , v for the particle) as expressed in the identity $v_{\text{phase}} \times v_{\text{group}} = c^2$. Second, the wave-particle duality is dissolved rather than interpreted [MG-deBroglie, §VI]: the "wave" is the physical 3D cross-section of x_4 's spherical expansion (the McGucken Sphere with oscillatory amplitude at frequency ν for photons, at the boosted Compton frequency for massive particles), and the "particle" is the 3D localization event at which the sphere-wide amplitude is reduced to a point detection by the Born rule. They are the pre-measurement and measurement aspects of a single geometric process, not two different things. Third, the de Broglie relation joins the derivational chain in which every step is a theorem of $dx_4/dt = ic$: $dx_4/dt = ic \rightarrow$ oscillatory form at the Planck frequency with action \hbar per cycle [MG-Constants] \rightarrow Minkowski metric $ds^2 = dx^2 + dy^2 + dz^2 - c^2dt^2$ [MG-HLA] \rightarrow four-momentum $\hat{p}^\mu = i\hbar\partial/\partial x_\mu$ as translation generator [MG-Commut] \rightarrow mass-shell condition $\hat{p}^\mu p_\mu = -m^2c^2 \rightarrow$ Compton-frequency coupling $\omega_C = mc^2/\hbar \rightarrow$ four-wavevector $\hat{k}^\mu = \hat{p}^\mu/\hbar \rightarrow \lambda_{dB} = h/p$. The foundational matter-wave relation of quantum mechanics is a geometric consequence of the expanding fourth dimension. The matter orientation condition (M) — $\Psi(x, x_4) = \Psi_0(x) \cdot \exp(+I \cdot k_C \cdot x_4)$ — that enters Proposition V.1 of the present paper as the structural constraint forcing the Dirac Lagrangian inherits the physical interpretation that the $\exp(+I \cdot k_C \cdot x_4)$ factor is not a mathematical convention but the particle's physical rest-mass-phase oscillation driven by x_4 's advance, whose Lorentz-boosted spatial projection is the matter wave of Davisson-Germer-confirmed de Broglie diffraction.

Proposition III.5 (Local x_4 -phase invariance forces $U(1)$ gauge structure).

Under the McGucken Principle, the matter orientation condition $\Psi(x, x_4) = \Psi_0(x) \cdot \exp(+I \cdot kx_4)$ is invariant under global rotations of the x_4 -phase; promoting this invariance to a local symmetry — required by the absence of a globally preferred orthogonal reference direction within the plane perpendicular to x_4 's advance — forces the introduction of a U(1) gauge connection A_μ with minimal coupling $D_\mu = \partial_\mu - ieA_\mu$ and field strength $F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$. The covariant-derivative structure is specifically the vector-coupling form (not axial-vector), forced by the right-multiplication action of x_4 -phase rotations on matter under condition (M).

Proof in [MG-QED, §§II-IV]. The argument proceeds in three steps. First, the absence of a globally preferred x_4 -phase reference is a direct consequence of the McGucken Principle: the principle specifies the magnitude and direction of x_4 's advance but not any orthogonal reference within the 2D plane perpendicular to the advance. Different spacetime points can — and in the absence of a preferred reference, must — have different local reference frames for measuring x_4 -orientation. Local phase invariance is therefore not an ad hoc demand (as it appears in the standard textbook treatment) but a geometric necessity: physics cannot depend on the local choice of x_4 -orientation reference because no such choice is physically privileged. This is the structural advance [MG-QED, §III.2] over the standard Yang-Mills derivation, which treats local gauge invariance as a mathematical demand whose justification is circular (“demand local invariance, see what follows”). The McGucken framework makes local invariance a theorem of the geometric absence of a preferred reference direction.

Second, requiring the Dirac Lagrangian $\bar{\psi}(i\gamma^\mu \partial_\mu - m)\psi$ to remain invariant under local rotations $\Psi \rightarrow \exp(+i\alpha(x) \cdot I)\Psi$ (with $\alpha(x)$ spacetime-dependent) produces an obstruction term linear in $\partial_\mu \alpha$, which can be canceled only by introducing a compensating gauge connection A_μ and replacing ∂_μ with the covariant derivative $D_\mu = \partial_\mu - ieA_\mu$ transforming under $A_\mu \rightarrow A_\mu + (1/e)\partial_\mu \alpha$. The U(1) structure is forced by the Clifford-pseudoscalar parametrization of the x_4 -phase ($I^2 = -1$ giving a complex phase, whose symmetry group is U(1)); no larger gauge group is compatible with the single-parameter orientation structure of condition (M) applied to the x_4 -phase alone.

Third — and this is the structural point that the standard textbook derivation leaves implicit — the covariant-derivative form $i\gamma^\mu D_\mu = i\gamma^\mu \partial_\mu + e\gamma^\mu A_\mu$ produces the standard QED vector coupling $-e\bar{\psi}\gamma^\mu\psi A_\mu$ (rather than the axial-vector coupling $-e\bar{\psi}\gamma^{\mu\nu}\psi A_\mu$ that a naive left-multiplication action of the x_4 -phase on matter would give). The vector-coupling form is forced by the specific action of the x_4 -phase on matter: under condition (M) of [MG-Dirac, §IV], the x_4 -phase enters the matter field $\Psi(x, x_4) = \Psi_0(x) \cdot \exp(+I \cdot kx_4)$ by right-multiplication, and the single-sided-action theorem [MG-Dirac, §IV.3] establishes that right-multiplication is the unique action preserving (M) across all Lorentz generators. Under the geometric-algebra-to-matrix correspondence, right-multiplication by $e^{(+i\alpha \cdot I)}$ on the even-grade multivector Ψ translates to left-multiplication by $e^{(+i\alpha)}$ on the matrix spinor ψ (with no γ^5 factor appearing), giving the covariant derivative $D_\mu = \partial_\mu - ieA_\mu$ and the vector coupling $-e\bar{\psi}\gamma^\mu\psi A_\mu$ rather than the axial-vector alternative. The vector-coupling structure

of QED is therefore a theorem of the right-multiplication structure of (M) , forced by the Clifford-algebraic structure of [MG-Dirac], not a postulated input to the gauge theory.

Proposition III.5a (Triviality of the x_4 -orientation bundle and the absolute absence of magnetic monopoles).

Under the McGucken Principle, the principal $U(1)$ -bundle whose connection is A_μ admits a globally-defined reference section — the direction specified by $dx_4/dt = +ic$, uniform across all spacetime. Any principal $U(1)$ -bundle admitting a global section is trivial: $P \cong \mathcal{M} \times U(1)$. The first Chern class $c_1(P)$ therefore vanishes, and no magnetic monopoles can exist in the McGucken framework. The absence of monopoles is a rigorous bundle-triviality theorem, not a phenomenological observation.

Proof in [MG-QED, §VIII.3]. The argument is standard differential geometry applied to the specific LTD context. A magnetic monopole at a point $p \in \mathcal{M}$ corresponds geometrically to a non-trivial $U(1)$ -bundle over $\mathcal{M} - \{p\}$, with the monopole-surrounding 2-sphere S^2 carrying first Chern class $\int_{S^2} F/(2\pi) = g \neq 0$ (the magnetic charge). A principal G -bundle $P \rightarrow \mathcal{M}$ is trivial if and only if it admits a continuous global section $\sigma: \mathcal{M} \rightarrow P$; for $G = U(1)$, such a section assigns a $U(1)$ -phase angle to each spacetime point. Under the McGucken Principle, the direction $+ic$ of dx_4/dt is uniform across all of spacetime — it is the foundational postulate's specification — and therefore supplies a globally-defined reference phase at every point, constituting a continuous global section of the x_4 -orientation bundle. By the equivalence of global section and bundle triviality, the bundle is $P = \mathcal{M} \times U(1)$, $c_1(P) = 0$, and no magnetic charge can exist. This distinguishes the McGucken framework from Grand Unified Theories, in which monopoles arise via the 't Hooft-Polyakov mechanism at the GUT scale ($\sim 10^{15}$ GeV): GUT monopoles are suppressed but not excluded. The McGucken prediction is absolute: no monopoles at any energy scale. A single monopole observation would refute the McGucken Principle directly by forcing the $+ic$ direction to be non-uniform across some spacetime region.

*****Remark III.5.1 ($SU(2)_L$ and $SU(3)_c$ as geometric extensions of the x_4 -orientation construction)*****

The Proposition III.5 derivation of the $U(1)$ gauge structure from local x_4 -phase invariance suggests an extension to the non-Abelian gauge groups $SU(2)_L$ and $SU(3)_c$ of the electroweak and strong sectors. The program of [MG-Noether, §§VII.1-VII.2] and [MG-Broken] proposes such extensions via two distinct geometric sectors of the four-dimensional manifold on which x_4 expands, and the status of these proposals must be stated carefully. Per [MG-SM, §XV.1], the specific gauge group $SU(3) \times SU(2) \times U(1)$ is not determined by the McGucken Principle alone — it requires the observed matter content as additional empirical input. What the McGucken framework provides is a candidate geometric interpretation of each factor, not a derivation of the specific group.

The candidate interpretation proceeds as follows. At the Euclidean level before imposing $x_4 = ict$, the four-dimensional rotation group has double cover $\text{Spin}(4) \cong \text{SU}(2)_L \times \text{SU}(2)_R$ — a factorization peculiar to four dimensions [MG-Dirac, §III]. Under the McGucken Principle, the $+ic$ direction is globally fixed, and the subgroup of $\text{Spin}(4)$ that stabilizes this direction is $\text{Spin}(3) \cong \text{SU}(2)$; this is the candidate geometric origin of weak $\text{SU}(2)_L$. The color group $\text{SU}(3)_c$ is less directly geometric: the three spatial dimensions x_1, x_2, x_3 equally transverse to x_4 form a symmetric triplet whose real-rotation group is $\text{SO}(3)$, not $\text{SU}(3)$ — so $\text{SU}(3)_c$ cannot be the spatial-rotation group itself. The candidate geometric reading of [MG-Noether, §VII.2] interprets $\text{SU}(3)_c$ as the unitary group acting on a complex triplet structure constructed from the three spatial axes, with the specific group content requiring additional input beyond what the McGucken Principle supplies on its own.

The overall program target is to close each candidate interpretation into a first-principles derivation of the specific group, matching the derivation [MG-SM, Theorems 10-11] provides for the general Yang-Mills Lagrangian given a compact Lie group G . At present, this program is complete for: (i) the existence of $U(1)$ gauge invariance (from x_4 -phase indeterminacy, Proposition III.5 and [MG-SM, Theorem 5]); (ii) the general Yang-Mills Lagrangian structure for any compact Lie group G ([MG-SM, Theorems 10-11]); (iii) the chiral coupling pattern (left-handed fermions to $\text{SU}(2)_L$, from matter orientation condition (M) and [MG-Dirac, §A.2 via MG-Broken]). The program is incomplete for: (iv) the derivation of $\text{SU}(2)_L$ as the specific weak gauge group from first principles, (v) the derivation of $\text{SU}(3)_c$ as the specific color group from first principles, and (vi) the reason nature selected gauge group $U(1) \times \text{SU}(2) \times \text{SU}(3)$ rather than any other compact Lie group consistent with the observed matter content. Items (iv), (v), (vi) are currently empirical inputs per [MG-SM, §XV.1], with the candidate geometric readings outlined above as the natural interpretations pending completion of the derivation program. Proposition VI.2 of the present paper assumes the gauge group G as input (following [MG-SM, Theorem 11]) and proves uniqueness of the kinetic Lagrangian given G ; the group-selection question is separate and open.

Within the strong-sector piece, one concrete conjectural link: the symmetric action of x_4 's advance on the three spatial dimensions (no preferred direction among x_1, x_2, x_3) is a geometric constraint consistent with — and, per [MG-Broken]'s proposed derivation, providing the structural reason for — strong CP conservation $\theta_{\text{QCD}} < 10^{-10}$. This connection between x_4 's symmetric spatial action and the absence of QCD θ -term physics is the kind of derivational move the program aspires to complete for each gauge-group factor.

Remark III.5.2 (The i as algebraic signature of perpendicularity to the spatial dimensions)

A structural observation establishing the geometric status of the imaginary unit throughout \mathcal{L}_{McG} [MG-Noether, §VII.5]: the factor i that appears in $dx_4/dt = ic$, in the matter orientation condition $\Psi(x, x_4) = \Psi_0(x) \cdot \exp(+I \cdot kx_4)$, in the covariant derivative $D_\mu = \partial_\mu - ieA_\mu$, in the canonical commutation relation $[q, p] = i\hbar$, in the

Schrödinger equation $i\hbar\partial\psi/\partial t = \hat{H}\psi$, in the Feynman path-integral weight $e^{\hat{i}S/\hbar}$, in the $+\varepsilon$ prescription for propagators, in the Dirac equation $(i\gamma^{\mu}\partial_{\mu} - m)\psi = 0$, in the Heisenberg equation $dA/dt = (i/\hbar)[H, A]$, in the Wick rotation $t \rightarrow -it$, in the complex wave function $\psi(x, t)$, in the Fourier transform kernel $e^{\hat{i}(-ipx/\hbar)}$, in the Fresnel integral rotation $e^{\hat{i}(\pi/4)}$, in the unitary evolution operator $U = e^{\hat{i}(-iHt/\hbar)}$, and in the Euclidean-Minkowski action relation $iS_M = -S_E$ is, in every instance, the algebraic signature of perpendicularity to the three spatial dimensions — not an imaginarieness in any ontological sense, but the perpendicularity operator in an algebraic framework. Multiplication by i rotates a vector by 90° in the complex plane; in the equation $x_4 = ict$, the i asserts that the fourth coordinate is perpendicular to the coordinate time parameter t measured in the spatial dimensions. In every foundational equation of quantum theory, the i appearing “by hand” is the fourth dimension’s calling card, left in the formalism by Minkowski ($x_4 = ict$, 1908), Schrödinger ($i\hbar\partial/\partial t$, 1926), Dirac ($[q, p] = i\hbar$ and $i\gamma^{\mu}\partial_{\mu}$, 1928-1930), Heisenberg ($(i/\hbar)[H, A]$, 1927), Feynman ($e^{\hat{i}S/\hbar}$, 1948), and Wick ($t \rightarrow -it$, 1954) before the physical fourth dimension underlying all of them had been recognized. What the standard quantum formalism treated as a technical feature requiring no physical explanation — the ubiquitous appearance of i throughout the equations — is the geometric expression of the fourth dimension asserting itself in every foundational equation of twentieth-century physics. The complexification of quantum theory is not a defect to be interpreted away; it is the direct algebraic signature of x_4 in the formalism. The structural parallel between $dx_4/dt = ic$ and $[q, p] = i\hbar$ is an identity, not an analogy: both equations express the same geometric fact, with i marking perpendicularity, c as the rate of x_4 ’s advance, and \hbar as the quantum of action per x_4 -expansion step [MG-Commut, §V.8]. The McGucken Lagrangian \mathcal{L}_{McG} inherits this identification: every i appearing in its matter, gauge, and gravitational sectors is a projection onto x_4 , derived rather than postulated.

III.7 The ADM Foliation and Curved Spacetime

Proposition III.6 (ADM foliation of spacetime by constant- x_4 hypersurfaces).

Under the McGucken Principle, spacetime admits a natural foliation by the level surfaces of x_4 . Each leaf is a spatial three-manifold $\Sigma_{\{x_4\}}$ on which a spatial metric h_{ij} is defined, and the metric on the full spacetime is decomposed in ADM form:

$$ds^2 = -N^2 dt^2 + h_{ij}(dx^i + N^i dt)(dx^j + N^j dt),$$

with N the lapse and N^i the shift, both functions of the foliation. In the curved-spacetime generalization of the McGucken Principle, x_4 ’s advance along any worldline is modulated by the local spatial curvature, giving the Einstein field equations as the dynamical consistency condition.

Proof in [MG-GR, §II]. The ADM foliation is the natural geometric structure on which x_4 ’s advance is defined in curved spacetime, and the Einstein field equations follow as the condition that the advance be consistent (unique and well-defined) across the foliation. The curved-spacetime version of the McGucken Principle is therefore equivalent to general relativity; the specific connection is that $x_4 = ict$ in flat spacetime

is replaced by $x_4 = \int d\tau$ along the worldline in curved spacetime, with proper time τ measured by the metric. The McGucken framework provides the physically preferred choice of time slicing within the ADM framework: the x_4 -foliation, in which $N^i = 0$ (zero shift — no dragging of spatial coordinates) and $N = \sqrt{-g_{00}}$ encodes the ratio of x_4 -advance to coordinate time. In this preferred foliation, $ds^2 = -N^2 c^2 dt^2 + h_{ij} dx^i dx^j$, with the lapse function N encoding the gravitational time dilation and the spatial metric h_{ij} encoding the gravitational length distortion — one dynamical variable (h_{ij}) with a geometrically natural clock (N set by x_4 's advance).

Remark III.6.1 (The metric tensor as refractive index for x_4 's advance)

A central physical identification established in [MG-GR, §X] sharpens the interpretation of $g_{\mu\nu}$ used throughout §VI and §VIII of the present paper. The metric tensor $g_{\mu\nu}$ is the distributed refractive index of three-dimensional space for x_4 's invariant expansion: where space is curved by the presence of mass, x_4 's expansion wavefront must traverse a longer optical path. The lapse function $N = \sqrt{-g_{00}}$ is the local ratio of proper time to coordinate time — the local rate at which x_4 advances relative to its flat-space rate of ic . Near a mass, $N < 1$: x_4 's advance is retarded by the stretched spatial geometry, exactly as light is retarded by an optically dense medium. In Schwarzschild geometry, $n(r) = 1/N = (1 - r_s/r)^{-1/2}$ matches Gordon's optical metric exactly [Gordon 1923]: the gravitational field of mass M acts as an optical medium with this refractive index for the propagation of x_4 's expansion, and photons (which surf x_4 's expansion) travel along the null geodesics of this effective optical medium. The bending of light near a massive object is identical to the bending of light in an optical medium with a radially varying refractive index — producing the observed deflection angle $\delta\theta = 4GM/(bc^2)$. This is not a metaphor: it is the physical identification that underlies the uniqueness of the Einstein-Hilbert action in Proposition VI.3 below.

Remark III.6.2 (The Schwarzschild metric as a theorem of the McGucken Principle)

The Schwarzschild metric is derived in six explicit steps from $dx_4/dt = ic$ alone [MG-GR, §X.2], using no additional assumptions beyond (i) x_4 's invariant expansion rate ic , (ii) spherical symmetry of the expansion from each point, (iii) asymptotic flatness, and (iv) Gauss's law for the gravitational source. These four constraints uniquely fix: the temporal metric component $N^2 = (1 - r_s/r)$ (from the refractive-index consistency condition that x_4 's invariant expansion traverses the stretched spatial geometry consistently); the radial spatial metric component $h_{rr} = 1/(1 - r_s/r)$ (from Gauss's law applied to the stretched spatial geometry, equivalent to the statement that spatial stretching is proportional to the Newtonian potential $\Phi = -GM/r$); and the angular components $h_{\theta\theta} = r^2$, $h_{\varphi\varphi} = r^2 \sin^2\theta$ (preserved by spherical symmetry). The product $N^2 \cdot h_{rr} = 1$ expresses the conservation of x_4 's expansion rate: what is lost in temporal advance is gained in spatial stretching. Birkhoff's theorem — that the Schwarzschild metric is the unique spherically symmetric vacuum solution of Einstein's equations — is therefore the mathematical expression of the four McGucken constraints above. This derivation route is independent of the Lovelock uniqueness argument of Propo-

sition VI.3 below; the two routes converge on the same gravitational sector from different directions.

Remark III.6.3 (The stress-energy tensor as a map of x_4 -resistance)

The stress-energy tensor $T_{\mu\nu}$ of the present paper's matter and gauge sectors (which couple to $g_{\mu\nu}$ through minimal coupling in curved spacetime) has a direct physical interpretation under the McGucken Principle [MG-GR, §X.4]: $T_{\mu\nu}$ is a map of where and how strongly x_4 's invariant expansion is being resisted, diverted, or impeded by the presence of matter and energy. The (0,0) component $T_{00} = \rho c^2$ is the energy density — the concentration of x_4 -impedance per unit spatial volume; the spatial diagonal $T_{ii} = P$ is the pressure — the isotropic flux of x_4 -stretching that a fluid element exerts on the spatial metric; the off-diagonal T_{0i} encodes the directionality of x_4 -advance. Einstein's equations $G_{\mu\nu} = (8\pi G/c^4)T_{\mu\nu}$ then admit the physical reading: the left side is the deformation of x_4 's spherically symmetric wavefront (spatial curvature); the right side is the resistance to x_4 's advance (matter-energy density and flux); the coupling $8\pi G/c^4$ converts resistance into wavefront deformation. The Einstein field equations are the equations of motion for x_4 's wavefront propagating through a three-dimensional universe of matter that resists its advance. This reading supplies the physical interpretation that the Lovelock uniqueness theorem of Proposition VI.3 establishes only at the level of algebraic form.

IV. The Free-Particle Sector and Its Uniqueness

This section derives the free-particle Lagrangian for a worldline under the McGucken Principle and proves its uniqueness.

IV.1 The Free-Particle Action

Consider a classical massive particle of rest mass m tracing a worldline γ in Minkowski spacetime. By the McGucken Principle (§III.1), x_4 advances along the worldline at rate ic in the rest frame, and at the Lorentz-boosted rate in moving frames, subject to the four-speed budget $u^\mu u_\mu = -c^2$ (§III.3). The accumulated magnitude of x_4 's advance along the worldline is

$$*|\Delta x_4|_\gamma = \int_\gamma |dx_4|,*$$

and this is the geometrically natural action functional of the worldline under the McGucken Principle: the functional measures how much x_4 -advance the worldline accumulates. With the dimensionally correct factor $-mc$ out front (mass \times velocity, yielding action units), the free-particle action is

$$*S_{\text{free}} = -mc \int_\gamma |dx_4| = -mc \int_\gamma \sqrt{-ds^2} = -mc^2 \int_\gamma d\tau,*$$

where $ds^2 = \eta_{\mu\nu} dx^\mu dx^\nu$ is the Minkowski line element (Proposition III.1) and τ is the proper time along the worldline. The three expressions for S_{free} are equivalent: the first is in the language of x_4 -advance, the second is in the language of the

Minkowski metric, and the third is in the language of proper time — all three are the same functional of the worldline, written in different notational forms. The second and third forms are the standard relativistic free-particle action that appears in every textbook on special relativity; the first form, in the language of $|dx_4|$, is the form that exposes the geometric origin of the action.

IV.2 The Euler-Lagrange Equation of the Free Worldline

Varying S_{free} with respect to the worldline $x^\mu(\lambda)$ — with λ any parametrization — gives the Euler-Lagrange equation

$$d/d\tau (mc u^\mu) = 0, \quad u^\mu u_\mu = -c^2,$$

which is the relativistic free-particle equation of motion: the four-momentum $p^\mu = mc u^\mu$ is conserved along the worldline, and the four-velocity norm is fixed at $-c^2$ (Proposition III.2). In the rest frame of the particle ($u^1 = u^2 = u^3 = 0$), the constraint gives $u^4 = ic$, recovering the McGucken Principle $dx_4/dt = ic$ as the rest-frame equation of motion. The free-particle Lagrangian is therefore consistent with the McGucken Principle, and the Principle itself is the rest-frame content of the Lagrangian's equation of motion.

IV.3 The Uniqueness Theorem

Proposition IV.1 (*Uniqueness of the free-particle action*).

Let γ be a timelike worldline in Minkowski spacetime and let $S[\gamma]$ be a real scalar functional of γ satisfying: (a) Poincaré invariance — $S[\gamma]$ is invariant under the full Poincaré group (Lorentz transformations plus translations) of spacetime, so that no spacetime point is preferred over any other; (b) reparametrization invariance — $S[\gamma]$ depends on γ only through its image as a curve in \mathcal{M} , not on the choice of parameter λ labeling points on γ ; (c) locality — $S[\gamma]$ is the integral along γ of a local functional of position and first derivatives, $S[\gamma] = \int_\gamma F(x^\mu, \dot{x}^\mu) d\lambda$; (d) first-order derivatives only — F depends on \dot{x}^μ but not on \ddot{x}^μ or higher derivatives; (e) dimensional consistency — S has units of action (energy \times time). Under these five conditions, the unique (up to overall multiplicative constant and additive total-derivative terms) functional $S[\gamma]$ is

$$*S[\gamma] = -mc \int_\gamma \sqrt{-\eta_{\mu\nu} \dot{x}^\mu \dot{x}^\nu} d\lambda = -mc \int_\gamma |dx_4| = -mc^2 \int_\gamma d\tau,*$$

with m a constant of dimension mass. No other functional of the worldline satisfies all five conditions.

Proof.

The argument is a standard result in the calculus of variations, adapted here to the Lorentzian worldline setting. By condition (c), $S[\gamma] = \int F(x, \dot{x}) d\lambda$ for some local F . By condition (b) (reparametrization invariance), F must be homogeneous of degree one in \dot{x}^μ : $F(x, \alpha\dot{x}) = \alpha F(x, \dot{x})$ for $\alpha > 0$. To see this, consider a reparametrization $\lambda \rightarrow \lambda'(\lambda)$ with $d\lambda' = \alpha d\lambda$ for constant $\alpha > 0$ (local rescaling; the argument extends to general monotonic reparametrizations). Then the velocity in the new parameter is $\dot{x}_{\text{new}} :=$

$dx/d\lambda' = (dx/d\lambda) \cdot (d\lambda/d\lambda') = \dot{x}/\alpha$, and the measure is $d\lambda = d\lambda'/\alpha$. The integrand $F(x, \dot{x})d\lambda$ expressed in the new parameter becomes $F(x, \alpha \cdot \dot{x}_{\text{new}}) \cdot (d\lambda'/\alpha)$. Reparametrization invariance requires this equal $F(x, \dot{x}_{\text{new}}) \cdot d\lambda'$, so $F(x, \alpha \cdot \dot{x}_{\text{new}}) = \alpha \cdot F(x, \dot{x}_{\text{new}})$ for all $\alpha > 0$ — F is homogeneous of degree one in its velocity argument. By condition (a) (Lorentz invariance), F must be a Lorentz scalar built from \dot{x}^μ and (possibly) $\eta_{\mu\nu}$. The most general such F that is homogeneous of degree one in \dot{x} is

$$F(x, \dot{x}) = A(x) \sqrt{-\eta_{\mu\nu} \dot{x}^\mu \dot{x}^\nu} + B_\mu(x) \dot{x}^\mu,$$

where $A(x)$ is a Lorentz scalar and $B_\mu(x)$ is a Lorentz covector. The first term — proportional to $\sqrt{-\dot{x}^\mu \dot{x}_\mu}$ — is homogeneous of degree one and Lorentz-invariant; the second term — proportional to \dot{x}^μ itself — is also homogeneous of degree one and Lorentz-covariant when contracted with a covector. Exhaustiveness: any other degree-one scalar built from \dot{x} with η -contractions must be an odd power of $\dot{x} \cdot \dot{x}$, but only the half-power case is degree one, giving the first term; degree-one-in- \dot{x} scalars with external covector structure must contract \dot{x} against a rank-one object, giving the second term. The two-term form above is the most general allowed by (a)-(d).

By condition (d) (no higher-order derivatives) and condition (c) (locality), neither $A(x)$ nor $B_\mu(x)$ can depend on \dot{x}^μ . For a free particle — by hypothesis, no external fields couple to the particle — the $B_\mu(x)\dot{x}^\mu$ term must either vanish or reduce to a boundary term. The reason is that a nonzero field-strength $F_{\mu\nu} = \partial_\mu B_\nu - \partial_\nu B_\mu$ would produce, under variation of the action, an equation of motion $d/d\lambda(\partial F/\partial \dot{x}^\mu) - \partial F/\partial x^\mu = 0$ containing the term $F_{\mu\nu} \dot{x}^\nu$. This is the Lorentz force on a particle of unit charge in an external electromagnetic potential $A_\mu = B_\mu$ (Landau-Lifshitz §16). The free-particle assumption therefore forces $F_{\mu\nu} = 0$, i.e. the covector B_μ is closed. By the Poincaré lemma on the Minkowski manifold, a closed covector on a contractible domain is exact: $B_\mu = \partial_\mu \phi$ for some scalar function ϕ . The resulting contribution to S is $\int \partial_\mu \phi \cdot \dot{x}^\mu d\lambda = \int d\phi/d\lambda \cdot d\lambda = \phi(\text{end}) - \phi(\text{start})$, a pure boundary term. Boundary terms do not affect the Euler-Lagrange equations and can be dropped from S without loss of generality. Therefore B_μ is effectively zero for the free-particle action, and

$$F(x, \dot{x}) = A \sqrt{-\eta_{\mu\nu} \dot{x}^\mu \dot{x}^\nu}$$

with A a constant. (The x -independence of A follows from the translation-invariance piece of condition (a): Poincaré invariance forbids A from depending on x^μ , since any x -dependent $A(x)$ would distinguish some spacetime point as the origin of the function. The x -independence of B_μ similarly follows, before the free-particle argument reduces it to a pure gradient.) By condition (e) (dimensional consistency), A must have units of action divided by time times velocity — that is, units of mass \times velocity. Writing $A = -mc$ (with the conventional sign chosen to make the Euler-Lagrange equations agree with the standard relativistic free-particle equations, and with m a mass parameter), we obtain

$$*S[\gamma] = -mc \int_\gamma \sqrt{-\eta_{\mu\nu} \dot{x}^\mu \dot{x}^\nu} d\lambda,*$$

which is the claimed unique functional. The equivalent forms $S = -mc \int |dx_4|$ and $S = -mc^2 \int d\tau$ follow from Proposition III.1 (ds^2 from $dx_4/dt = ic$) and the definition of proper time $d\tau^2 = -ds^2/c^2$.

■

Remark IV.1 (Connection to Lovelock uniqueness)

Proposition IV.1 is the worldline analogue of Lovelock's uniqueness theorem for the gravitational action. Lovelock (1971) proved that in four spacetime dimensions, the Einstein-Hilbert action is the unique diffeomorphism-invariant scalar action on the metric that produces second-order field equations. Proposition IV.1 proves that on a timelike worldline, the free-particle action $-mc \int |dx_4|$ is the unique Lorentz-invariant, reparametrization-invariant scalar action that produces first-order field equations. The two uniqueness theorems have the same structure — given a symmetry group and an order-of-derivatives requirement, the action is forced. Together they establish that the kinetic sectors of the McGucken Lagrangian are not chosen but forced by the invariance requirements.

Remark IV.2 (The meaning of the minus sign and the factor m)

The minus sign in $-mc \int |dx_4|$ is conventional: with this sign the Euler-Lagrange equation $d/d\tau (mc u^\mu) = 0$ has the standard form, and the energy of a particle at rest is $+mc^2$ (not $-mc^2$). The factor m is the rest mass of the particle, with dimension mass — the only parameter in the free-particle sector of \mathcal{L}_{McG} . No other parameter is free: c is determined by the McGucken Principle ($|dx_4/dt| = c$), and \hbar is determined by the oscillatory form of the principle ($\hbar = \ell_{\text{P}}^2 c^3/G = \text{action per Planck-frequency cycle of } x_4$). The free-particle sector therefore contains exactly one free parameter per species of matter — the rest mass — which matches the empirical content of special relativity (one rest mass per particle species).

Remark IV.3 (Conserved currents via Noether's theorem)

By Noether's theorem (§II.5), the symmetries of S_{free} generate conservation laws. Translation invariance in x^μ gives conservation of four-momentum $p^\mu = mc u^\mu$. Rotational invariance in the spatial triple (x^1, x^2, x^3) gives conservation of angular momentum $L^{ij} = x^i p^j - x^j p^i$. Boost invariance gives conservation of the boost charges $K^i = t p^i - x^i E/c^2$. These conservation laws — the Poincaré charges of special relativity — are derived in full in [MG-Noether, §§IV-V] as consequences of the symmetries of the McGucken free-particle action, with the McGucken Principle supplying the physical content of each symmetry (temporal translation invariance as uniformity of $dx_4/dt = ic$; spatial translation invariance as homogeneity of the McGucken Sphere; rotational invariance as the spherical symmetry of x_4 's advance).

V. The Matter Sector and Its Uniqueness

This section derives the Lagrangian for quantum matter under the McGucken Principle and proves its uniqueness. The free-particle action of §IV governs classical world-lines; the quantum matter Lagrangian governs matter fields — complex-valued, or spinor-valued, fields on spacetime — under the Compton-frequency coupling (Proposition III.4) and the matter orientation condition (M).

V.1 The Matter Field and the Matter Orientation Condition

A quantum matter field Ψ on Minkowski spacetime is, under the McGucken Principle, a standing wave in x_4 at the Compton frequency of the particle species. By [MG-Dirac, §IV], Ψ takes values in the even-grade subalgebra of the spacetime Clifford algebra $Cl(3,1)$, with the matter orientation condition

$$\Psi(x, x_4) = \Psi_0(x) \cdot \exp(+I \cdot k_C \cdot x_4), \quad k_C = mc/\hbar > 0,$$

where I is the Clifford pseudoscalar of spacetime algebra and k_C is the Compton wavenumber of the particle species. The positive sign of k_C selects matter; anti-matter is described by the opposite sign. The factor $\exp(+I \cdot k_C \cdot x_4)$ is the physical rest-mass phase of the particle, elevated from the mathematical global phase of standard quantum field theory to a physical oscillation driven by x_4 's advance (cf. [MG-deBroglie, §IV.3] for the de Broglie-relation derivation that makes this explicit).

In four-component spinor notation, with γ^μ the Dirac matrices satisfying $\{\gamma^\mu, \gamma^\nu\} = 2\eta^{\mu\nu}$, the matter field Ψ becomes a Dirac spinor ψ , and the matter orientation condition translates to the positive-energy mass-shell condition $(i\gamma^\mu \partial_\mu - m)\psi = 0$ — the Dirac equation — as shown in [MG-Dirac, §V]. The Lagrangian derivation in this section is most cleanly presented in the Dirac-spinor notation; the Clifford-algebraic form gives the same result.

V.2 The Dirac Lagrangian

The Dirac Lagrangian for a free matter field of mass m is

$$*\mathcal{L}_{\text{Dirac}} = \bar{\psi}(i\gamma^\mu \partial_\mu - m)\psi,*$$

with $\bar{\psi} = \psi^\dagger \gamma^0$ the Dirac conjugate. Varying the corresponding action $S_{\text{Dirac}} = \int \mathcal{L}_{\text{Dirac}} d^4x$ with respect to $\bar{\psi}$ gives the Dirac equation $(i\gamma^\mu \partial_\mu - m)\psi = 0$ directly. Under the McGucken Principle, this Lagrangian has a specific derivational origin:

- (a) The requirement that the Lagrangian be Lorentz-invariant forces \mathcal{L} to be a Lorentz scalar built from ψ , $\bar{\psi}$, $\partial_\mu \psi$, $\partial_\mu \bar{\psi}$, and the Minkowski metric $\eta_{\mu\nu}$ together with the Dirac matrices γ^μ .
- (b) The requirement that the field equations be first-order in derivatives (the relativistic-covariance requirement that anticipates Dirac's 1928 derivation) forces \mathcal{L} to be linear in first derivatives of ψ and $\bar{\psi}$.

- (c) The requirement that the field equations reproduce the Klein-Gordon dispersion relation $E^2 = p^2c^2 + m^2c^4$ (mass-shell condition) forces the coefficient of the kinetic term to involve $\gamma^\mu \partial_\mu$ with $\{\gamma^\mu, \gamma^\nu\} = 2\eta^{\mu\nu}$.
- (d) The requirement that the Lagrangian reduce, on-shell, to the free-particle action $-mc^2 \int d\tau$ of Proposition IV.1 (the classical-limit correspondence) fixes the overall normalization.

These four requirements together force \mathcal{L}_{Dirac} to the form $\bar{\psi}(i\gamma^\mu \partial_\mu - m)\psi$ up to an overall real multiplicative constant and additive total derivatives. The derivation is carried out in detail in [MG-Dirac, §III], where it is shown that the Clifford algebra $\{\gamma^\mu, \gamma^\nu\} = 2\eta^{\mu\nu}$ is itself a theorem of the McGucken Principle (the Minkowski signature $\eta = \text{diag}(+, +, +, -)$ comes from $dx_4/dt = ic$, and the Clifford algebra is the minimal algebra supporting first-order Lorentz-covariant spinor fields on this signature).

V.3 The Uniqueness Theorem for the Matter Sector

Proposition V.1 (Uniqueness of the Dirac matter sector).

Let ψ be a spinor field on Minkowski spacetime satisfying the matter orientation condition $\Psi(x, x_4) = \Psi_0(x) \cdot \exp(+I \cdot k_C \cdot x_4)$ with $k_C = mc/\hbar > 0$. Let \mathcal{L}_{matter} be a real scalar Lagrangian density for ψ satisfying: (a) Lorentz invariance including parity — \mathcal{L}_{matter} transforms as a true scalar (not a pseudoscalar) under the full Lorentz group $O(3,1)$ including the parity inversion $P: x \rightarrow (-x, t)$; (b) hermiticity — $\mathcal{L}_{matter} = \mathcal{L}_{matter}$; (c) first-order in derivatives — \mathcal{L}_{matter} depends on $\partial_\mu \psi$ and $\partial_\mu \bar{\psi}$ but not on higher derivatives; (d) one matter species — \mathcal{L}_{matter} is a functional of a single spinor field ψ (not of two or more independent fields); (e) locality at a point — $\mathcal{L}_{matter}(x)$ depends only on ψ , $\bar{\psi}$, and their first derivatives evaluated at x , not on values at other points; (f) mass-shell consistency — the field equations derived from \mathcal{L}_{matter} , applied to the rest-mass phase $e^{(-imc^2\tau/\hbar)}$ of ψ , reproduce the Klein-Gordon dispersion relation $E^2 = p^2c^2 + m^2c^4$. Under these six conditions, the unique \mathcal{L}_{matter} (up to overall real constant, field redefinition $\psi \rightarrow A\psi$ for nonzero real A , and additive total derivatives) is*

$$*\mathcal{L}_{matter} = \bar{\psi}(i\gamma^\mu \partial_\mu - m)\psi,*$$

the Dirac Lagrangian.

Proof.

The argument follows Dirac's 1928 derivation, adapted here to the McGucken framework and with the conditions made explicit. By condition (a) (Lorentz invariance including parity) and condition (c) (first-order derivatives), \mathcal{L}_{matter} must be a Lorentz scalar (not pseudoscalar) built from ψ , $\bar{\psi}$, $\partial_\mu \psi$, $\partial_\mu \bar{\psi}$, and the 16 linearly independent elements of the Dirac algebra: 1 , γ^μ , $\sigma^{\{\mu\nu\}} = (i/2)[\gamma^\mu, \gamma^\nu]$, $\gamma^\mu \gamma^5$, γ^5 . The parity-scalar bilinears in $(\psi, \bar{\psi})$ with at most one derivative are: $\bar{\psi}\psi$ (scalar, zero-derivative), $\bar{\psi}\gamma^5\psi$ (pseudoscalar, zero-derivative), $\bar{\psi}\gamma^\mu \partial_\mu \psi$ (scalar, one-derivative, after contraction of γ^μ with ∂_μ), $\bar{\psi}\gamma^\mu \gamma^5 \partial_\mu \psi$ (pseudoscalar, one-

derivative), $\bar{\psi}\sigma^{\mu\nu}\partial_{\mu}\psi$ (scalar, but antisymmetric in (μ,ν) so the derivative piece is a curl term), plus the corresponding terms with ∂_{μ} acting on $\bar{\psi}$. Condition (a)'s parity requirement immediately excludes the pseudoscalar terms $\bar{\psi}\gamma^5\psi$ and $\bar{\psi}\gamma^{\mu}\gamma^5\partial_{\mu}\psi$ (both of which reverse sign under parity because $\gamma^5 \rightarrow -\gamma^5$ under P). The $\sigma^{\mu\nu}\partial_{\mu}\psi$ term is antisymmetric under $(\mu \leftrightarrow \nu)$ on the σ side but symmetric on the $\partial_{\mu}\partial_{\nu}$ side (when acted on by a second derivative), so $\int \bar{\psi}\sigma^{\mu\nu}\partial_{\mu}\psi d^4x$ reduces by integration by parts either to a total derivative (vanishing with standard boundary conditions) or to an equivalent of the $\gamma^{\mu}\partial_{\mu}$ term after using $\{\gamma^{\mu}, \gamma^{\nu}\} = 2\eta^{\mu\nu}$. No Pauli-term coupling survives in the free sector. The surviving parity-scalar Lagrangian is therefore

$$*\mathcal{L}_{\text{matter}} = \alpha \cdot \bar{\psi}(i\gamma^{\mu}\partial_{\mu})\psi + \alpha' \cdot (i\partial_{\mu}\bar{\psi})\gamma^{\mu}\psi + \gamma \cdot \bar{\psi}\psi, *$$

with real coefficients α , α' , γ (by condition (b), hermiticity). By condition (d) (one matter species) the only field variables are ψ and $\bar{\psi}$ (not additional fields ψ' , ψ''). By condition (e) (locality at a point) all three surviving terms are already local, so locality imposes no further constraint at this stage — it has been used implicitly by restricting to terms evaluated at x . Hermiticity (condition (b)) further relates α and α' : the combination $\alpha \cdot \bar{\psi}(i\gamma^{\mu}\partial_{\mu})\psi + \alpha' \cdot (i\partial_{\mu}\bar{\psi})\gamma^{\mu}\psi$ is real if and only if $\alpha = \alpha'$, because $\bar{\psi}(i\gamma^{\mu}\partial_{\mu})\psi$ and its complex conjugate $[\bar{\psi}(i\gamma^{\mu}\partial_{\mu})\psi]^* = (i\partial_{\mu}\bar{\psi})\gamma^{\mu}\psi$ (modulo total derivative, using $(\gamma^{\mu})^{\dagger} = \gamma^0\gamma^{\mu}\gamma^0$) combine symmetrically into $\alpha[\bar{\psi}(i\gamma^{\mu}\partial_{\mu})\psi + (i\partial_{\mu}\bar{\psi})\gamma^{\mu}\psi]/2 = \alpha \cdot \bar{\psi}(i\gamma^{\mu}\partial_{\mu})\psi$ up to a total derivative (integration by parts on the second term). Therefore modulo total derivatives, the Lagrangian reduces to

$$*\mathcal{L}_{\text{matter}} = \alpha \cdot \bar{\psi}(i\gamma^{\mu}\partial_{\mu})\psi + \gamma \cdot \bar{\psi}\psi. *$$

By condition (f) (mass-shell consistency), varying the action $\int \mathcal{L}_{\text{matter}} d^4x$ with respect to $\bar{\psi}$ gives the Euler-Lagrange equation $\alpha(i\gamma^{\mu}\partial_{\mu})\psi + \gamma \psi = 0$, which must be equivalent to the Dirac equation $(i\gamma^{\mu}\partial_{\mu} - m)\psi = 0$ whose squaring (using $\{\gamma^{\mu}, \gamma^{\nu}\} = 2\eta^{\mu\nu}$) produces $(\partial_{\mu}\partial^{\mu} + m^2/\hbar^2 \cdot c^2)\psi = 0$ — the Klein-Gordon equation with the correct mass m set by the Compton coupling of the matter orientation condition (M). Matching coefficients: $\alpha(i\gamma^{\mu}\partial_{\mu}) + \gamma = i\gamma^{\mu}\partial_{\mu} - m$ requires $\alpha = 1$ and $\gamma = -m$ up to an overall real scale. The overall scale is the field redefinition $\psi \rightarrow A\psi$ (real $A \neq 0$), which rescales both α and γ by A^2 while leaving the equations of motion unchanged; this is the field-redefinition freedom named in the theorem statement. Setting the normalization to $\alpha = 1$, we obtain

$$*\mathcal{L}_{\text{matter}} = \bar{\psi}(i\gamma^{\mu}\partial_{\mu} - m)\psi, *$$

which is the Dirac Lagrangian. The uniqueness is therefore (i) up to overall real constant (absorbed into the field redefinition), (ii) up to field redefinition $\psi \rightarrow A\psi$ with real $A \neq 0$ (which does not change physics), and (iii) up to additive total derivatives (which do not affect equations of motion or the action under standard boundary conditions). All three equivalences are trivial in the sense that they produce the same physical theory. No physically inequivalent alternative satisfies the six conditions.

■

Remark V.1.0 (On condition (a)'s parity requirement and the weak sector)

Condition (a) — that $\mathcal{L}_{\text{matter}}$ be a parity-scalar, not a pseudoscalar — is a nontrivial physical input. The free-matter Dirac Lagrangian $\bar{\psi}(i\gamma^\mu\partial_\mu - m)\psi$ satisfies parity, as does the full QED sector. The weak sector of the Standard Model, however, does not: the weak interaction violates parity maximally through its V–A structure (Wu 1957, Lee-Yang 1957), and the Standard Model's Lagrangian contains genuine pseudoscalar terms (e.g. the chiral projections $P_L = (1 - \gamma^5)/2$ applied to fermions coupling to the W boson). Proposition V.1 therefore establishes the uniqueness of the Dirac matter sector — the kinetic and mass structure common to all fermions — not the uniqueness of the full weak-gauge coupling, which enters through the $SU(2)_L$ gauge-covariant derivative D_μ in §VI. The decomposition is standard: the Dirac Lagrangian of this section is the parity-symmetric free-matter sector, and parity-violating weak couplings enter only through the gauge-field sector (Propositions VI.2–VI.3) via the chiral $SU(2)_L \times U(1)_Y$ structure. The full Standard Model Lagrangian is the sum of parity-symmetric free-matter sectors (this section) plus parity-violating gauge couplings (next section), with the parity-violation entirely confined to the gauge-interaction structure. An alternative phrasing: condition (a) can be weakened to 'Lorentz invariance without parity' at the cost of enlarging the allowed Lagrangian space to include γ^5 and $\gamma^\mu\gamma^5$ terms, which must then be restricted at the interaction-coupling stage by the V–A structure of the weak gauge sector. The present paper takes the Dirac kinetic/mass sector as parity-symmetric by condition (a), following the standard textbook convention of Peskin-Schroeder §3.3 and Weinberg QFT vol. I §5.5.

Remark V.1 (The matter orientation condition (M) as rigorous algebraic constraint)

The matter orientation condition (M) — $\Psi(x, x_4) = \Psi_0(x) \cdot \exp(+I \cdot k_C \cdot x_4)$ with $k_C = mc/\hbar > 0$ — was used implicitly in condition (e) (mass-shell consistency): the rest-mass phase of ψ at the Compton frequency is what fixes the mass parameter m in $\mathcal{L}_{\text{Dirac}}$. Without the matter orientation condition, the value of m would be a free parameter of the Lagrangian; with it, m is fixed by the Compton coupling of matter to x_4 's advance, with one m per particle species. The matter orientation condition is therefore a structural input to the uniqueness theorem, and its derivation from the McGucken Principle in [MG-Dirac, §IV] is what makes the Dirac Lagrangian a consequence of $dx_4/dt = ic$ rather than an independent postulate.

The comprehensive April 19 Dirac paper [MG-Dirac, §IV.2] establishes (M) as a rigorous algebraic constraint on even-grade multivectors in $Cl(1,3)$ rather than a pictorial claim. An even-grade multivector Ψ satisfies the matter orientation condition at Compton frequency $k > 0$ if and only if there exist an even-grade rest-frame amplitude Ψ_0 and a real scalar x_4 such that $\Psi(x, x_4) = \Psi_0(x) \cdot \exp(+I \cdot kx_4)$ with multiplication performed on the right. Three features distinguish this from earlier pictorial formulations: (i) the sign of k is positive — this is what distinguishes matter from antimatter; (ii) the x_4 -dependence enters through right-multiplication — this is what picks out a

preferred side of the bivector action on Ψ ; (iii) the pseudoscalar I , not an abstract imaginary unit, is the generator — tying the phase structure to the 4D Clifford geometry. Condition (M) is therefore an algebraic constraint, not a geometric picture, and Theorem IV.3 of [MG-Dirac] operates on this algebraic structure directly.

Remark V.2 (The half-angle as theorem: single-sided uniqueness)

The Dirac Lagrangian $\bar{\psi}(i\gamma^\mu\partial_\mu - m)\psi$ derived in Proposition V.1 governs spinor fields that transform under rotations with the characteristic half-angle: under a spatial rotation by angle θ about axis \hat{n} , ψ transforms as $\psi \rightarrow \exp(-i\theta/2 \cdot \hat{n} \cdot \sigma)\psi$, and a full 2π rotation takes $\psi \rightarrow -\psi$ rather than $\psi \rightarrow \psi$. This half-angle is not a representation-theoretic convention but a theorem of the matter orientation condition (M). Theorem IV.3 of [MG-Dirac] establishes that for any rotor $R = \exp(\theta/2 \cdot e_P)$ generated by a spatial bivector e_P , left-action $\Psi \rightarrow R\Psi$ preserves (M) across all Lorentz generators, while sandwich action $\Psi \rightarrow R^{-1}\Psi R$ does not preserve (M) for x_4 -involving bivectors — sandwich action generates a transformed pseudoscalar $R^{-1}IR \neq I$ that introduces an antimatter admixture into a would-be matter field. Single-sided transformation is therefore the unique action preserving (M), and the half-angle arises because single-sided action applies only one factor of the rotor to Ψ rather than the two factors of sandwich action.

This replaces the earlier pictorial arguments about matter's x_4 -orientation with a rigorous algebraic theorem, and explains why nature chose spin- $1/2$ as a geometric necessity: matter fields, by satisfying (M), must transform by single-sided action to preserve their defining orientation constraint, and single-sided action forces the half-angle. The $SU(2) \rightarrow SO(3)$ double cover is the direct geometric consequence: two distinct spinor transformations (at θ and $\theta + 2\pi$) correspond to the same vector rotation, because vectors do not satisfy (M) and see the full angle via sandwich action $R^{-1}vR$.

Remark V.3 (Antimatter and charge conjugation: Doran-Lasenby component verification)

The uniqueness theorem V.1 produces the Dirac Lagrangian $\bar{\psi}(i\gamma^\mu\partial_\mu - m)\psi$, which — as Dirac 1931 showed — has both positive-energy (matter) and negative-energy (antimatter) solutions. In the McGucken framework, the matter/antimatter distinction is the sign of k_C in (M): $+k_C$ for matter, $-k_C$ for antimatter. [MG-Dirac, §VIII] closes the identification at the component level via the Doran-Lasenby correspondence $\psi_{\text{matrix}} \leftrightarrow \Psi_{\text{geometric}} \cdot \xi_0$ between 4-component matrix spinors and even-grade multivectors in $Cl(1,3)$ with reference spinor $\xi_0 = u_+ = (1,0,1,0)^\wedge T/\sqrt{2}$. Starting from a rest-frame spin-up electron with $\psi_e(t) = u_+ \cdot e^\wedge(-imc^2t/\hbar)$ corresponding to $\Psi_e(t) = \exp(-\gamma^2\gamma^1 \cdot mc^2t/\hbar)$, the standard matrix operation $C \gamma^0 \psi^*$ applied to ψ_e produces $(0,-1,0,1)^T \cdot e^\wedge(+imc^2t/\hbar)$ — the rest-frame spin-up positron. The geometric operation $\Psi_e \rightarrow \Psi_e \cdot \gamma_2\gamma_1$ (right-multiplication by the bivector $\gamma_2\gamma_1$) produces the same 4-spinor component by component. This is the explicit verification that charge con-

jugation IS geometric right-action reversing x_4 -orientation, not merely analogous to it.

Two additional downstream results of §VIII of [MG-Dirac] strengthen the Dirac-sector treatment of \mathcal{L}_{McG} . First, the identity $\gamma^4 = i\gamma^0$ is derived rather than posited: the Clifford algebra must be consistent across both LTD-native coordinates (x_1, x_2, x_3, x_4) with Euclidean-looking signature $(\gamma^4)^2 = +1$ and Lorentzian coordinates (x^0, x^1, x^2, x^3) with $(\gamma^0)^2 = -1$, and the LTD coordinate relation $x_4 = ix^0$ forces $\gamma^4 = i\gamma^0$ as the unique relation consistent with both. Second, the Yvon-Takabayashi angle β in the Hestenes decomposition $\Psi = \rho^{1/2} \cdot R \cdot \exp(i\beta/2)$ acquires a physical interpretation: β measures the local tilt between the particle's x_4 -phase frame and the universal x_4 -expansion direction, with $\beta = 0$ corresponding to pure matter (satisfying (M) with $k > 0$) and $\beta = \pi$ to pure antimatter. CPT emerges automatically as full 4D coordinate inversion preserving the x_4 -dynamics, not as a separately-proved theorem requiring Lorentz invariance and locality as independent axioms.

Remark V.4 (Unified T-violation at all scales from the same $dx_4/dt = +ic$ source)

The sign of $dx_4/dt = +ic$ (not $-ic$) is the geometric source of T-violation at every scale — from microscopic CP/T-violation in kaon and B-meson oscillations to the macroscopic thermodynamic arrow of time. [MG-Dirac, §X.4] establishes this unification by deriving both endpoints from the same source through two parallel chains. The microscopic chain [§X.4.3]: the positive sign of dx_4/dt fixes $k_f = m_f c/\hbar > 0$ for every fermion species; $SU(2)_L$ weak mixing among quarks of different masses produces Compton-frequency interference in $|\psi_{\text{weak}}(x_4)|^2 = |\sum_i U_{ij} \cdot \exp(+i \cdot k_i x_4) \cdot |m_i||^2$; with three generations this interference has an irreducible complex phase (the CKM phase δ , the Kobayashi-Maskawa three-generation requirement now a geometric theorem); and by CPT exactness (automatic in the McGucken framework), CP-violation implies T-violation — directly observed in CPLEAR 1998 and BaBar 2012. The macroscopic chain [§X.4.4]: matter is advected by x_4 -expansion at rate c isotropically; the central limit theorem gives Gaussian spreading $dS/dt = (3/2)k_B/t > 0$, with the positive sign inherited from the positive sign of dx_4/dt .

Both chains start from the same source and reach their endpoints through specific derivations. A counterfactual universe with $dx_4/dt = -ic$ would simultaneously be an antimatter universe (every k_f negative), a time-reversed universe ($dS/dt < 0$), and a universe in which kaons oscillate with the opposite asymmetry — all three features flipping together because they share a single geometric source. In the Standard Model, microscopic T-violation (CKM phase as free parameter) and macroscopic irreversibility (Past Hypothesis as independent boundary condition) are two separate phenomena with two separate explanations; no Standard Model mechanism links them. In the McGucken Lagrangian framework, they are locked together as consequences of the single geometric fact that dx_4/dt has a definite positive sign. The same \mathcal{L}_{McG} whose matter sector is fixed by Proposition V.1 also fixes, through its derivation chain from $dx_4/dt = +ic$, the sign of T-violation at every scale — strong CP conservation (no θ -term) because x_4 's expansion acts symmetrically on $x_1 x_2 x_3$; weak CP violation with a

geometric origin in Compton-frequency interference; and the thermodynamic arrow inherited from the same expansion direction. This is the concrete content of the claim that the four-fold uniqueness theorem (Theorem VI.1) captures the physics of the Standard Model plus general relativity: the same single principle that forces \mathcal{L}_{McG} also forces the sign of T-violation at every scale at which it appears.

VI. The Gauge and Gravitational Sectors, and the Full Uniqueness Theorem

This section derives the gauge and gravitational sectors of the McGucken Lagrangian, proves the uniqueness of each, and states the full four-fold uniqueness theorem.

VI.1 The Gauge Sector

By Proposition III.5, local x_4 -phase invariance forces a U(1) gauge structure with covariant derivative $D_\mu = \partial_\mu - ieA_\mu$. The matter Lagrangian of §V, modified to be locally x_4 -phase-invariant, becomes

$$*\mathcal{L}_{\text{matter+gauge}} = \bar{\psi}(i\gamma^\mu D_\mu - m)\psi = \bar{\psi}(i\gamma^\mu \partial_\mu - m)\psi + e \bar{\psi}\gamma^\mu\psi A_\mu,*$$

with the second term the minimal coupling of matter to the gauge field. The gauge field itself requires a kinetic term of its own, since otherwise the field equations $\delta S/\delta A_\mu = 0$ would be purely algebraic and would not permit the dynamical gauge field that electromagnetism requires. The natural gauge-invariant kinetic term is

$$*\mathcal{L}_{\text{gauge}} = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu}, F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu,*$$

which is the Maxwell Lagrangian. The combined matter-plus-gauge Lagrangian is

$$\mathcal{L}_{\text{matter+gauge+Maxwell}} = \bar{\psi}(i\gamma^\mu D_\mu - m)\psi - \frac{1}{4} F_{\mu\nu} F^{\mu\nu},$$

which is the QED Lagrangian [MG-QED]. The extension to non-Abelian gauge groups — the Yang-Mills structure — proceeds by replacing $\partial_\mu - ieA_\mu$ with $\partial_\mu - igA^a_\mu T^a$ (with T^a the generators of the gauge Lie algebra) and $F_{\mu\nu}$ with $F^a_{\mu\nu} = \partial_\mu A^a_\nu - \partial_\nu A^a_\mu + g f^{\{abc\}} A^b_\mu A^c_\nu$, per [MG-SM, Theorem 10]. The general Yang-Mills Lagrangian for any compact Lie group G is then uniquely $-\frac{1}{4} \text{Tr}(F_{\mu\nu} F^{\mu\nu})$ by [MG-SM, Theorem 11]. The specific Standard Model gauge group $U(1)_Y \times SU(2)_L \times SU(3)_c$ is, per [MG-SM, §XV.1], not determined by the McGucken Principle alone — it requires the observed matter content as additional empirical input. The papers [MG-Noether] and [MG-Broken] propose geometric identifications of each factor with x_4 -perpendicular structural sectors ($U(1)_Y$ with overall phase, $SU(2)_L$ with the Spin(4) stabilizer subgroup, $SU(3)_c$ with spatial-triple rotations) as the natural geometric reading of the empirically observed group, but the full derivation of the specific group from the Principle remains open work.

Proposition VI.2 (Uniqueness of the Yang-Mills gauge sector).

*Let G be a Lie group with Lie algebra \mathfrak{g} , let ψ be a matter field transforming in a representation R of G , and let A^a_μ be the gauge connection for local G -invariance.

Let $\mathcal{L}_{\text{gauge}}$ be a real scalar Lagrangian density satisfying: (a) local G-invariance — $\mathcal{L}_{\text{gauge}}$ is invariant under local G transformations of ψ and $A^a{}_\mu$; (b) Lorentz invariance; (c) first-order in gauge-field derivatives — $\mathcal{L}_{\text{gauge}}$ depends on $\partial_\mu A^a{}_\nu$ but not on higher derivatives; (d) renormalizability — $\mathcal{L}_{\text{gauge}}$ has mass dimension ≤ 4 in natural units; (e) parity invariance — $\mathcal{L}_{\text{gauge}}$ is invariant under P. Under these five conditions, the unique $\mathcal{L}_{\text{gauge}}$ is*

$$*\mathcal{L}_{\text{gauge}} = -\frac{1}{4} F^a{}_{\mu\nu} F^{a\mu\nu}, \quad F^a{}_{\mu\nu} = \partial_\mu A^a{}_\nu - \partial_\nu A^a{}_\mu + g f^{abc} A^b{}_\mu A^c{}_\nu,*$$

the Yang-Mills Lagrangian, up to a θ -term (parity-odd topological term) which is excluded by condition (e).

Proof.

The proof follows the standard Yang-Mills uniqueness result. By condition (a), $\mathcal{L}_{\text{gauge}}$ must be invariant under $A^a{}_\mu \rightarrow A^a{}_\mu + \partial_\mu \alpha^a + f^{abc} A^b{}_\mu \alpha^c$ (local gauge transformation). The gauge-invariant combinations of $A^a{}_\mu$ built from at most first derivatives are: (i) $F^a{}_{\mu\nu}$ itself (the non-Abelian field strength); (ii) its dual $\tilde{F}^a{}_{\mu\nu} = \frac{1}{2}\epsilon^{\mu\nu\rho\sigma} F^a{}_{\rho\sigma}$. Higher gauge-covariant derivatives such as $D_\mu F^a{}_{\nu\rho}$ are excluded by condition (c), which restricts the Lagrangian to terms built from $F^a{}_{\mu\nu}$ without further differentiation. Higher-order invariants in F (products like $\text{Tr}(F^3)$ built using the invariant symbol d^{abc} on the gauge indices) are dimensionally $\text{dim} \geq 6$ in natural units and excluded by condition (d). The most general Lorentz-scalar quadratic functional of $F^a{}_{\mu\nu}$ under conditions (a), (b), (c), (d) is

$$\mathcal{L}_{\text{gauge}} = \alpha F^a{}_{\mu\nu} F^{a\mu\nu} + \beta F^a{}_{\mu\nu} \tilde{F}^a{}_{\mu\nu}$$

with α, β real constants (trace structure on the gauge Lie algebra is fixed to the Killing form δ^{ab} for a simple compact Lie algebra; for semisimple algebras each simple factor has its own coefficient α , but the structural argument is unchanged). The $F \cdot \tilde{F}$ term (the θ -term) is parity-odd — under P the field-strength components transform as $F^a{}_{ij} \rightarrow F^a{}_{ij}$ and $F^a{}_{0i} \rightarrow -F^a{}_{0i}$, so $F^a F^a$ is parity-even while $F^a \tilde{F}^a$ (which contracts electric and magnetic parts) is parity-odd. By condition (e), $\beta = 0$, excluding the θ -term. By condition (d) (renormalizability, mass dimension ≤ 4), $F^a{}_{\mu\nu}$ has mass dimension 2 and $F^a{}_{\mu\nu} F^{a\mu\nu}$ has dimension 4, so the coefficient α is dimensionless. Fixing the conventional normalization $\alpha = -1/4$ (to match the Maxwell Lagrangian in the Abelian U(1) case and to give the canonical-form Yang-Mills field equations $D_\mu F^{a\mu\nu} = 0$) gives

$$\mathcal{L}_{\text{gauge}} = -\frac{1}{4} F^a{}_{\mu\nu} F^{a\mu\nu},$$

which is the claimed Yang-Mills Lagrangian. In the Abelian case $G = U(1)$, $f^{abc} = 0$ and this reduces to the Maxwell Lagrangian $-\frac{1}{4} F_{\mu\nu} F^{\mu\nu}$. Remark on scope: condition (e) constrains the pure gauge kinetic term $-\frac{1}{4} F^a F^a$ to be parity-even. It does not constrain how matter couples to gauge fields (which is specified by the gauge-covariant derivative $D_\mu = \partial_\mu - ig A^a{}_\mu T^a$ in the matter Lagrangian $\mathcal{L}_{\text{matter}}$ of

Proposition V.1). The chiral structure of the Standard Model’s weak sector — where $SU(2)_L$ couples only to left-chiral matter fields — enters through the matter-sector representation choice, not through the pure gauge kinetic term. Proposition VI.2 is therefore consistent with the Standard Model’s parity-violating weak interactions.

■

Remark VI.1 (The θ -term and the strong CP problem)

The parity-odd term $F \cdot \tilde{F}$ that Proposition VI.2 excludes — the so-called θ -term — is a topological invariant (a total derivative in the Abelian case, a Chern-Simons-like structure in the non-Abelian case) that does not contribute to the classical equations of motion but can contribute to quantum effects. Its coefficient in the QCD sector is the θ parameter, whose observed smallness ($|\theta_{\text{QCD}}| < 10^{-10}$) is the content of the strong CP problem. In the present paper’s Proposition VI.2, the θ -term is excluded at the Lagrangian level by the parity invariance requirement (condition (e)), providing a Lagrangian-level resolution: $\theta = 0$ is forced by the parity-invariance requirement of the pure gauge kinetic term. A distinct and complementary structural argument is developed in [MG-Broken, §X]: the $SU(3)_c$ sector arises from the three spatial dimensions, all of which are equivalent under x_4 ’s expansion (x_4 ’s expansion distinguishes $SU(2)_L$ from $SU(2)_R$ in the weak sector but does not distinguish among x_1, x_2, x_3), so no geometric mechanism exists to generate a strong CP-violating phase in the first place. The two arguments — Lagrangian-level parity invariance (present paper) and structural absence of a generating mechanism ([MG-Broken]) — are consistent and mutually reinforcing.

VI.2 The Gravitational Sector

In curved spacetime, the McGucken Principle generalizes via the ADM foliation (Proposition III.6): x_4 ’s advance along a worldline is modulated by the local spatial curvature, and the Einstein field equations arise as the dynamical consistency condition of this modulation. The corresponding gravitational Lagrangian is the Einstein-Hilbert Lagrangian

$$\mathcal{L}_{\text{grav}} = (c^4/16\pi G) R \sqrt{-g},$$

with R the Ricci scalar of the spacetime metric $g_{\mu\nu}$ and $g = \det(g_{\mu\nu})$. By the standard variational derivation, $\int \mathcal{L}_{\text{grav}} d^4x$ with respect to $g_{\mu\nu}$ gives Einstein’s vacuum field equations $G_{\mu\nu} = R_{\mu\nu} - \frac{1}{2}Rg_{\mu\nu} = 0$; coupled to the matter and gauge sectors, the combined Lagrangian gives $G_{\mu\nu} = (8\pi G/c^4) T_{\mu\nu}$ with $T_{\mu\nu}$ the total stress-energy tensor.

Proposition VI.3 (Uniqueness of the Einstein-Hilbert gravitational sector (Lovelock’s theorem)).

Let $g_{\mu\nu}$ be a Lorentzian metric on a four-dimensional spacetime manifold \mathcal{M} , and let $\mathcal{L}_{\text{grav}}$ be a real scalar Lagrangian density satisfying: (a) diffeomorphism invariance — $\mathcal{L}_{\text{grav}}$ is invariant under diffeomorphisms of \mathcal{M} ; (b) locality — $\mathcal{L}_{\text{grav}}$ is a local functional of $g_{\mu\nu}$ and its derivatives at a spacetime point; (c) second-order field

equations — the Euler-Lagrange equations derived from \mathcal{L}_{grav} are at most second-order in derivatives of $g_{\mu\nu}$. Under these three conditions, the unique (up to overall constant and a cosmological-constant term) \mathcal{L}_{grav} on a four-dimensional manifold is

$$\mathcal{L}_{grav} = \alpha (R - 2\Lambda) \sqrt{-g},$$

with R the Ricci scalar, Λ the cosmological constant, and α an overall real constant fixed by dimensional analysis to $\alpha = c^4/(16\pi G)$. This is the Einstein-Hilbert Lagrangian with cosmological constant.

Proof.

This is Lovelock's theorem (1971), applied here to the McGucken framework with the specific identification that $\alpha = c^4/(16\pi G)$ is fixed by the McGucken Principle through the Newtonian-limit correspondence. The physical motivation for condition (c) — second-order field equations — is well-posedness of the Cauchy problem: Ostrogradsky's theorem (1850) establishes that Lagrangians with non-degenerate higher-derivative dependencies produce Hamiltonians unbounded below, so a fundamental Lagrangian with second-order field equations is the unique choice avoiding ghost modes at the classical level. The proof of Lovelock's theorem is standard: in four spacetime dimensions, the most general symmetric divergence-free rank-2 tensor $E_{\mu\nu}$ constructed from the metric and its first two derivatives — equivalently, the variational derivative $\delta S/\delta g^{\mu\nu}$ of a diffeomorphism-invariant action S — is a linear combination of the Einstein tensor $G_{\mu\nu} = R_{\mu\nu} - \frac{1}{2}Rg_{\mu\nu}$ and the metric $g_{\mu\nu}$ itself (giving a cosmological-constant contribution). In 4D, no other such tensors exist: the Gauss-Bonnet tensor $G_{GB,\mu\nu}$ built from $R^2 - 4R_{\mu\nu}R^{\mu\nu} + R_{\mu\nu\alpha\beta}R^{\mu\nu\alpha\beta}$ is identically zero in four dimensions (by the Gauss-Bonnet identity), so the Gauss-Bonnet term is topological — its contribution to the action is proportional to the Euler characteristic of the manifold, independent of the metric, and therefore does not affect the field equations. The same holds for all higher Lovelock tensors in 4D. Integrating backward from the field-equation level to the Lagrangian, the most general Lagrangian satisfying conditions (a)-(c) in four dimensions is therefore $\mathcal{L}_{grav} = \alpha R\sqrt{-g} + \beta \sqrt{-g}$ (plus a boundary Gauss-Bonnet term that is topologically invariant and does not affect the field equations), which with $\beta = -2\alpha\Lambda$ gives the Einstein-Hilbert Lagrangian with cosmological constant.

The value $\alpha = c^4/(16\pi G)$ is fixed by requiring that the Einstein field equations $G_{\mu\nu} = (8\pi G/c^4) T_{\mu\nu}$ reduce, in the Newtonian limit, to Poisson's equation $\nabla^2\Phi = 4\pi G\rho$. In the McGucken framework, the Newtonian limit is derived in [MG-GR, §II.3 and Appendix A.1] as the non-relativistic regime of x_4 's advance coupled to a slowly-varying spatial metric, and the identification of G with the gravitational coupling constant is fixed by the matching of the geodesic equation to Newton's law of universal gravitation. The full derivation of Newton's law $F = -GMm\hat{r}/r^2$ from $dx_4/dt = ic$ is supplied in [MG-Newton] through an eight-step derivation chain: (i) the master equation $u^\mu u_\mu = -c^2$ from the McGucken Principle, (ii) the weak-field Schwarzschild metric $ds^2 = -(1$

– $2GM/rc^2)c^2dt^2 + (1 + 2GM/rc^2)d\mathbf{x}^2$ with mass stretching the three spatial dimensions while x_4 's expansion rate remains invariantly ic , (iii) the resulting gradient of clock rates $d\tau/dt \approx 1 + \Phi/c^2$ with $\Phi = -GM/r$ derived from the invariant x_4 -expansion meeting stretched spatial geometry, (iv) the Principle of Least Action (itself a theorem of $dx_4/dt = ic$ per [MG-HLA]) requiring free particles to follow geodesics of maximal proper time, (v) the geodesic equation in the weak field reducing to $d^2\mathbf{r}/dt^2 = -\nabla\Phi = -GM\cdot\hat{\mathbf{r}}/r^2$ [MG-Newton, §V.2], (vi) spherical symmetry of x_4 's expansion generating the McGucken Sphere with area $A(r) = 4\pi r^2$ [MG-Newton, §VI.1], (vii) Gauss's theorem applied to the McGucken Sphere giving $|\mathbf{g}| \cdot 4\pi r^2 = 4\pi GM$ hence $|\mathbf{g}| = GM/r^2$ [MG-Newton, §VI.2], and (viii) the geometric origin of the $1/r^2$ dependence itself: the surface area of a sphere in n -dimensional space scales as r^{n-1} , and because there are exactly three spatial dimensions perpendicular to x_4 , the boundary sphere is two-dimensional with area $4\pi r^2$ and flux per unit area therefore falls as $1/r^2$ [MG-Newton, §VI.3]. The inverse-square law is not a brute empirical fact fit to observation but a geometric theorem of the dimensionality of the space perpendicular to x_4 — the same reasoning that produces Coulomb's law for charges and the $1/r^2$ fall-off of light from a point source. The Einstein-Hilbert coupling $\alpha = c^4/(16\pi G)$ is therefore not matched to Newton's law from outside; it is determined by the same geometric principle that generates Newton's law from within: the spherical symmetry of x_4 's isotropic expansion combined with Gauss's theorem on the McGucken Sphere.

■

Remark VI.2 (The cosmological constant in the McGucken framework)

The cosmological constant Λ appears in Proposition VI.3 as an additional term permitted by Lovelock uniqueness. In standard general relativity, Λ is a free parameter whose value is fixed empirically; the observed value $\Lambda_{\text{obs}} \approx 10^{-52} \text{ m}^{-2}$ is the source of the cosmological-constant problem (why is Λ so small compared to naive quantum-field-theoretic estimates?). In the McGucken framework, Λ is not a free parameter but is derived geometrically from the McGucken horizon structure. The dark-energy equation-of-state paper [MG-Lambda] derives $w_{\text{eff}}(z) = -1 + \Omega_m(z)/(6\pi)$ with no adjustable parameters, and the FRW holographic paper [MG-FRW-Holography] identifies $\Lambda \sim 1/R_4^2$ with R_4 the McGucken radius at the asymptotic de Sitter horizon. The cosmological-constant problem is therefore resolved in the McGucken framework by deriving Λ rather than fitting it; Proposition VI.3's "free parameter Λ " is fixed to its observed value by the McGucken geometric structure.

Remark VI.3 (Schuller gravitational closure as the deeper derivation mechanism)

Lovelock's theorem establishes the uniqueness of the Einstein-Hilbert action given diffeomorphism invariance and second-order field equations, but treats these invariance conditions as independent postulates. In the McGucken framework, a deeper structural result is available: Schuller's constructive-gravity programme [Schuller 2020, arXiv:2003.09726] establishes that once the matter Lagrangians are specified

— with their principal polynomials (the leading symbols of their equations of motion) determining the causal structure — the compatible gravitational dynamics is uniquely determined as the solution of a system of linear homogeneous partial differential equations (the gravitational closure equations) whose coefficients depend only on the metric $g_{\mu\nu}$ and the matter principal polynomial $P(k)$. Applying the Kuranishi involutivity algorithm to reduce this system to involutive form, Schuller proves that for a matter sector with principal polynomial $P(k) = \eta^{\mu\nu} k_{\mu} k_{\nu}$ (the Lorentzian light-cone), the general solution is exactly the two-parameter Einstein-Hilbert family $(c^4/16\pi G)\int(R - 2\Lambda)\sqrt{-g} d^4x$.

The McGucken Principle supplies precisely what Schuller’s programme requires as input. By the master equation $u^{\mu}u_{\mu} = -c^2$ (Proposition III.1) and the Minkowski signature derived from $x_4 = ict$ (Proposition III.2 of the present paper), every matter field derived from $dx_4/dt = ic$ — the Klein-Gordon scalar of [MG-SM, Theorem 8], the Dirac spinor of [MG-Dirac], the Maxwell vector of [MG-QED], and the Yang-Mills non-Abelian gauge fields of [MG-SM, Theorems 10-11] — has the universal principal polynomial $P(k) = \eta^{\mu\nu} k_{\mu} k_{\nu}$. The universality is not coincidental: all matter fields derive from the same geometric postulate, and all therefore share the same causal cone. Schuller closure then delivers Einstein-Hilbert as a theorem, with G and Λ as the only two parameters not fixed by the principle.

The full 12-theorem proof structure linking $dx_4/dt = ic$ to the Standard Model Lagrangians and general relativity — including the Schuller closure for the gravitational sector — is developed in [MG-SM] and [MG-SMGauge]. The present paper’s four-fold uniqueness theorem (Theorem VI.1 below) is consistent with and supported by the [MG-SM] Theorem 12 derivation: Proposition VI.3’s Lovelock uniqueness is the statement of the Einstein-Hilbert form’s uniqueness within the family of diffeomorphism-invariant second-order actions, while Schuller closure provides the deeper statement that this form is forced by the matter sector’s universal Lorentzian principal polynomial, which is itself forced by $dx_4/dt = ic$. The two statements combine into a single conclusion: once $dx_4/dt = ic$ is imposed, the gravitational Lagrangian is not merely Lovelock-unique among candidate actions — it is the unique solution of the closure equations, with no alternative gravitational dynamics compatible with the McGucken-derived matter sector.

This also yields a specific falsifiability signature. If future observations revealed vacuum birefringence — the two photon polarization modes propagating at different speeds in vacuum — the electromagnetic principal polynomial would factor into two distinct light-cones instead of the single Lorentzian cone $P(k) = \eta^{\mu\nu} k_{\mu} k_{\nu}$. Schuller closure would then yield a non-Einsteinian gravitational action, and the Lagrangian \mathcal{L}_{McG} as derived here would require modification. The current absence of any observed vacuum birefringence is consistent with and required by the framework.

Remark VI.3.1 (Jacobson equation-of-state as a second convergent derivation of the Einstein-Hilbert sector)

The Schuller constructive-gravity closure establishes the Einstein-Hilbert gravitational sector as the unique action compatible with the McGucken-derived matter principal polynomial. A second, mathematically independent derivation of the same sector is available in the McGucken framework through Jacobson’s 1995 thermodynamic-spacetime programme [Jacobson 1995, Phys. Rev. Lett. 75, 1260], and is developed in [MG-Susskind, §III post-Proposition III.1]. Jacobson showed that the Einstein field equations $G_{\mu\nu} + \Lambda g_{\mu\nu} = 8\pi G T_{\mu\nu}$ can be derived as an equation of state from the Clausius relation $\delta Q = TdS$ applied to local Rindler causal horizons through every spacetime point, with δQ the boost-energy flux across the horizon, T the Unruh temperature, and S proportional to horizon area. Jacobson stated plainly that he did not know what the microscopic degrees of freedom supporting this area-law entropy were, calling them “beyond my conceptual horizon.” The McGucken framework identifies them directly. By the x_4 -stationary-horizon identification of [MG-Bekenstein, Proposition III.1], Rindler horizons are x_4 -stationary null hypersurfaces; by the six-sense null-surface identity of [MG-Susskind, §II.4], every pair of points on such a surface is geometrically identified in six independent mathematical senses (foliation leaf, level set, Huygens caustic, Legendrian submanifold, conformal-pencil member, null-hypersurface cross-section); and by the Planck-scale quantization of x_4 -oscillation of [MG-Bekenstein, Proposition IV.1] every such surface of area A supports exactly A/ℓ_P^2 independent modes, each contributing $k_B/4$ of entropy. These x_4 -stationary horizon modes are Jacobson’s missing microscopic degrees of freedom [MG-Susskind, §III]. The chain runs: $dx_4/dt = ic \rightarrow x_4$ -stationary null hypersurfaces are horizons \rightarrow six-sense null-surface identity \rightarrow Planck-area mode count $A/\ell_P^2 \rightarrow$ area-law entropy $S = A/4\ell_P^2 \rightarrow$ Clausius relation $\delta Q = TdS$ on every local Rindler horizon \rightarrow Einstein field equations as equation of state. The structural significance for \mathcal{L}_{McG} is that Proposition VI.3’s gravitational sector now rests on two mathematically independent derivations from the same principle: the Schuller closure route (matter principal polynomial \rightarrow unique compatible gravitational Lagrangian) developed above, and the Jacobson equation-of-state route (horizon thermodynamics + local Rindler Clausius relation \rightarrow Einstein field equations) via [MG-Susskind, §III] and [MG-Bekenstein]. The convergence of two independent derivations on the same gravitational action, from two structurally distinct starting points — one constructive (matter principal polynomial) and one thermodynamic (horizon entropy) — increases the robustness of the uniqueness claim. A related three-line derivation of Newton’s law $F = GMm/R^2$ from the same machinery ($\Delta S = 2\pi k_B mc\Delta x/\hbar$ and $T = \hbar GM/(2\pi k_B Rc^2)$), both derived from Axiom 1 rather than postulated as in Verlinde 2011 [Verlinde 2011, JHEP 04 (2011) 029]) appears in [MG-Susskind, §III post-Proposition III.1] and closes the loop from horizon entropy $S = A/4$ to bulk classical gravity $F = GMm/R^2$ within the same framework.

VI.3 The Full Four-Fold Uniqueness Theorem

Proposition Theorem VI.1 (*The McGucken Lagrangian and its four-fold uniqueness*).

Under the McGucken Principle $dx_4/dt = ic$, combined with the following consistency requirements — (I) Poincaré invariance of the free-particle and matter sectors; (II) reparametrization invariance of worldline actions; (III) locality at a spacetime point; (IV) one matter species per Dirac field and hermiticity of the matter Lagrangian; (V) first-order field equations for matter fields (Dirac-1928 linearization for probabilistic interpretation); (VI) second-order field equations for the gravitational sector (Lovelock-Ostrogradsky well-posedness); (VII) local gauge invariance under $U(1)_Y \times SU(2)_L \times SU(3)_c$, with first-order gauge-field derivatives; (VIII) mass-shell consistency $E^2 = p^2c^2 + m^2c^4$; (IX) parity invariance of the pure gauge kinetic term (excluding the θ -term); (X) renormalizability, i.e. mass dimension ≤ 4 in natural units; (XI) dimensional consistency of all actions — the full Lagrangian density of physics is

$$\mathcal{L}_{McG} = \mathcal{L}_{free} + \mathcal{L}_{matter} + \mathcal{L}_{gauge} + \mathcal{L}_{grav},$$

where

- $\mathcal{L}_{free} = -mc \sqrt{(-\partial_\mu x_4 \partial^\mu x_4)}$,* subject to the four-speed constraint $\partial_\mu x_4 \partial^\mu x_4 = -c^2$ (uniqueness by Proposition IV.1)
- $\mathcal{L}_{matter} = \bar{\psi}(i\gamma^\mu D_\mu - m)\psi$, subject to the matter orientation condition $\Psi = \Psi_0 \cdot \exp(+i \cdot k_C \cdot x_4)$ with $k_C = mc/\hbar > 0$ (uniqueness by Proposition V.1)
- $\mathcal{L}_{gauge} = -\frac{1}{4} F^{\mu\nu} F_{\mu\nu}$ for an empirically specified gauge group G (observed to be $U(1)_Y \times SU(2)_L \times SU(3)_c$ from Standard Model matter content) with covariant derivative $D_\mu = \partial_\mu - igA^{\mu T}a$ (Yang-Mills uniqueness by Proposition VI.2 and [MG-SM, Theorems 10-11]; the specific gauge group is an empirical input per [MG-SM, §XV.1])
- $\mathcal{L}_{grav} = (c^4/16\pi G)(R - 2\Lambda)\sqrt{-g}$ (uniqueness by Proposition VI.3, Lovelock's theorem)

Each sector is uniquely determined by the McGucken Principle combined with the listed consistency requirements. The structural form of \mathcal{L}_{McG} is therefore determined by the single geometric principle $dx_4/dt = ic$ combined with the seven standard field-theoretic consistency requirements (reparametrization invariance, locality, one-species, first-order matter equations, second-order gravitational equations, renormalizability, dimensional consistency) and the empirically observed gauge group content. Four conditions that are independent postulates in the standard Standard Model plus Einstein-Hilbert construction — Poincaré invariance, local gauge invariance, mass-shell consistency, parity-of-kinetic-term — reduce to consequences of the single geometric principle in the McGucken framework.

Proof.

The proof is the combination of Propositions IV.1, V.1, VI.2, and VI.3, with the common origin of the consistency requirements in the McGucken Principle made explicit. Each of the eleven conditions (I) through (XI) either reduces to the McGucken Principle or is a standard consistency requirement of field theory:

- (I) Poincaré invariance (used in Propositions IV.1 and V.1) is a theorem of $dx_4/dt = ic$: the Minkowski metric $\eta_{\mu\nu}$ is derived from the Principle by substitution $dx_4 = ic dt$ (Proposition III.1), Lorentz invariance is its isometry group, and translation invariance is the spatial homogeneity of x_4 's expansion (no preferred spatial point is distinguished — [MG-Noether, Proposition IV.3]). Poincaré invariance is therefore a consequence of the Principle, not an independent postulate.
- (II) Reparametrization invariance (used in Proposition IV.1) is the requirement that physics does not depend on the parameter labeling points on a worldline. This is a general consistency requirement of any geometric theory of trajectories and is not specific to the McGucken framework.
- (III) Locality at a spacetime point (used in all four propositions) is the requirement that Lagrangian densities depend on field values and finite-order derivatives at a single point x . This is a standard requirement of classical and quantum field theory, motivated by causality (information cannot propagate between spacelike-separated points) and reflected in the LSZ formalism and the Wightman axioms.
- (IV) One matter species per Dirac field and hermiticity (used in Proposition V.1) are standard: hermiticity ensures real observable values, and one-species-per-field is the definition of an independent field in field theory.
- (V) First-order matter field equations (used in Proposition V.1) is the Dirac-1928 linearization requirement, motivated by the need for a probabilistic interpretation of ψ (the Klein-Gordon equation's second-order time-evolution admits negative-norm states) and for mass-shell consistency $E^2 = p^2c^2 + m^2c^4$. In the McGucken framework, this is combined with the Clifford-algebra structure forced by the Minkowski signature from $dx_4/dt = ic$ (cf. [MG-Dirac, §III]).
- (VI) Second-order gravitational field equations (used in Proposition VI.3) is the Lovelock-Ostrogradsky condition that produces the Einstein-Hilbert action. Physical motivation: Ostrogradsky's theorem establishes that non-degenerate higher-derivative Lagrangians produce Hamiltonians unbounded below, so well-posedness of the Cauchy problem forces second-order equations. In the McGucken framework, this is combined with the ADM foliation of spacetime by constant- x_4 hypersurfaces (Proposition III.6, [MG-GR, §II.2]), which is the natural geometric structure on which x_4 's advance is defined in curved spacetime. The second-order requirement together with diffeomorphism invariance forces the Einstein-Hilbert Lagrangian via the Schuller closure theorem [MG-SM, Theorem 12].

- (VII) Local gauge invariance (used in Proposition VI.2) is the statement that physics does not depend on the local choice of x_4 -phase reference at each spacetime point. In the McGucken framework this is a theorem of $dx_4/dt = ic$ [MG-SM, Theorem 5]: the Principle specifies the magnitude and direction of x_4 's advance but not any orthogonal reference within the perpendicular plane, so any local rotation of that reference is unobservable, and making the symmetry local in the sense of Theorem 5 forces the gauge connection A_μ . The same argument extends to non-Abelian gauge symmetries [MG-SM, Theorem 10] by replacing the $U(1)$ phase with a general compact Lie group G . Local gauge invariance as a structural principle is therefore a consequence of the Principle. The specific gauge group $G = U(1)_Y \times SU(2)_L \times SU(3)_c$, however, is not determined by the McGucken Principle alone — as [MG-SM, §XV.1] explicitly records, the specific Lie group requires the observed matter content as additional empirical input. The McGucken framework reduces the postulate count for gauge invariance from three independent postulates (existence of gauge symmetry, locality of gauge symmetry, specific gauge group) to one derived principle (local gauge invariance from x_4 -phase indeterminacy) plus one empirical input (observed matter content selecting the specific group). This is a structural reduction of two postulates, with the specific gauge group remaining as an open derivation target for future work.
- (VIII) Mass-shell consistency $E^2 = p^2c^2 + m^2c^4$ (used in Proposition V.1) is the relativistic dispersion relation, which follows from the master equation $u^\mu u_\mu = -c^2$ (Proposition III.2) multiplied by m^2 giving $p^\mu p_\mu = -m^2c^2$. This is a theorem of $dx_4/dt = ic$ via the master equation.
- (IX) Parity invariance of the pure gauge kinetic term (used in Proposition VI.2) excludes the θ -term. In the McGucken framework, parity invariance of the kinetic term $F^{aF}a$ is automatic from the parity properties of $F^{a\mu\nu}$ under spatial inversion. The parity-odd combination $F^{a\tilde{F}}a$ (the θ -term) is excluded at the kinetic-term level; parity violation in the Standard Model enters through matter-gauge coupling in the $SU(2)_L$ chiral representation, not through the pure gauge kinetic term. The strong CP problem is resolved at the QCD kinetic-term level by this parity-of-kinetic-term requirement [MG-Broken].
- (X) Renormalizability (used in Proposition VI.2) is implemented by requiring the Lagrangian to have mass dimension ≤ 4 in natural units. This is a consistency requirement on the quantum theory and is not specific to the McGucken framework; it is a standard requirement of effective field theory, motivated by the power-counting structure of loop divergences.
- (XI) Dimensional consistency (used in Propositions IV.1, V.1, VI.2, VI.3) is the requirement that actions have units of action (energy \times time = \hbar) in any unit system. This is a basic consistency requirement of physical theories and is not specific to the McGucken framework.

Combining (I) through (XI): conditions (I), (VII), (VIII), (IX) are consequences of the McGucken Principle itself (Poincaré invariance, local gauge invariance, mass-shell, parity-of-kinetic-term). Conditions (II), (III), (IV), (V), (VI), (X), (XI) are standard consistency requirements of any physical field theory (reparametrization invariance, locality, one-species, first-order matter equations for probabilistic interpretation, second-order gravitational equations for Ostrogradsky well-posedness, renormalizability, dimensional consistency). The four sectors of \mathcal{L}_{McG} are therefore uniquely determined by the McGucken Principle combined with the standard consistency requirements of field theory. The structural advance over the Standard Model plus Einstein-Hilbert is that the four Poincaré/gauge/parity requirements reduce to $dx_4/dt = ic$, while the seven field-theoretic consistency requirements are retained, giving a net reduction in the structural-postulate count from (approximately) twelve independent postulates to one geometric principle plus seven consistency requirements.

■

Remark VI.3 (The Higgs sector)

Theorem VI.1 as stated does not include the Higgs sector — the scalar field that provides mass to the weak gauge bosons and the fermions through the Yukawa couplings. In the standard Standard Model, the Higgs sector is introduced separately from the gauge sector, with the Higgs Lagrangian

$$\mathcal{L}_{\text{Higgs}} = (D_{\mu}H)^{\dagger}(D^{\mu}H) - V(H), \quad V(H) = \lambda(H^{\dagger}H - v^2/2)^2$$

plus Yukawa terms $-y_f(\bar{L} H f_R + \text{h.c.})$ for each fermion species. In the McGucken framework, the Higgs field is derived in [MG-Broken] as the geometric pointer to the x_4 -direction selected in electroweak symmetry breaking, with the Higgs potential $V(H)$ having a specific minimum at $v = 246$ GeV that is fixed by the McGucken Principle's coupling to the internal $SU(2)_L$ structure. The full derivation of the Higgs sector from the McGucken Principle — including the specific values of λ and v — is the subject of a separate paper in preparation [MG-Higgs, in preparation]; for present purposes, the Higgs sector is included in \mathcal{L}_{McG} as part of $\mathcal{L}_{\text{matter}}$ (through the Yukawa couplings) and $\mathcal{L}_{\text{gauge}}$ (through the $D_{\mu}H$ kinetic term), with its specific form forced by the same local gauge invariance and renormalizability requirements that force the rest of the Standard Model.

Remark VI.4 (The Yukawa couplings and the fermion masses)

The specific values of the Yukawa couplings y_f — which determine the fermion masses after electroweak symmetry breaking — are, in the standard Standard Model, free parameters fit to experiment. Nineteen such parameters (nine fermion masses plus the CKM matrix elements plus the PMNS matrix elements plus the Higgs mass and coupling) must be specified to fully determine the Standard Model Lagrangian. In the McGucken framework, some of these parameters are derived: the three-generation structure is derived in [MG-Jarlskog] as a geometric theorem from the rephasing-counting formula $(n-1)(n-2)/2$ applied under condition (M); the CP-violation pattern

is derived in [MG-Broken]; the specific Yukawa values remain empirical inputs for the moment, but are the subject of ongoing research. Theorem VI.1 therefore reduces the Standard Model's parameter count from ~twenty free parameters to at most nineteen (the specific Yukawa values), and the reduction is expected to continue as further corpus papers derive each Yukawa from geometric principles.

VII. Comparison with the Standard Model Lagrangian and the Einstein-Hilbert Action

This section compares the McGucken Lagrangian \mathcal{L}_{McG} with the standard-Model-plus-gravity Lagrangian $\mathcal{L}_{\text{SM+EH}}$ that it reproduces, and with the Einstein-Hilbert action that the gravitational sector extends. The comparison emphasizes the structural parsimony of the McGucken framework.

VII.1 The Standard Model Plus Gravity Lagrangian

The combined Lagrangian of the Standard Model of particle physics plus the Einstein-Hilbert action for gravity is

$$\mathcal{L}_{\text{SM+EH}} = (c^4/16\pi G)(R - 2\Lambda)\sqrt{-g}$$

- $\sum_f \bar{\psi}_f (i\gamma^\mu D_\mu - m_f)\psi_f$ [Dirac fermion kinetic terms, one per species]
- $\frac{1}{4} B_{\mu\nu} B^{\mu\nu} - \frac{1}{4} W^a_{\mu\nu} W^{\{\mu\nu\}} - \frac{1}{4} G^A_{\mu\nu} G^{\{A\mu\nu\}}$ [Yang-Mills kinetic terms for $U(1)_Y, SU(2)_L, SU(3)_c$]
- $(D_\mu H)^\dagger (D^\mu H) - V(H)$ [Higgs kinetic and potential]
- $\sum_f \bar{y}_f (\bar{L}_f H f_R + \text{h.c.})$ [Yukawa couplings]

with the gauge couplings g_1, g_2, g_3 , the Higgs parameters λ and v , the nine fermion masses m_f , the four CKM matrix elements (three angles plus one phase), the three PMNS matrix elements (plus one phase), the neutrino masses (if Dirac or Majorana), Newton's constant G , and the cosmological constant Λ as free parameters to be fixed by experiment. In total, the Standard Model plus gravity has approximately twenty-five to thirty free parameters, depending on how the neutrino sector is counted.

VII.2 The McGucken Lagrangian

The McGucken Lagrangian \mathcal{L}_{McG} reproduces the same physical content, with a structurally different foundation:

$$\mathcal{L}_{\text{McG}} = -mc \sqrt{(-\partial_\mu x_4 \partial^\mu x_4)} \quad [x_4\text{-kinetic term, subject to } \partial_\mu x_4 \partial^\mu x_4 = -c^2]$$

- $\bar{\psi}(i\gamma^\mu D_\mu - m)\psi$ [Dirac matter, subject to $\Psi = \Psi_0 \cdot \exp(+i \cdot \mathbf{k} \cdot \mathbf{C} \cdot x_4)$]
- $\frac{1}{4} F^a_{\mu\nu} F^{\{\mu\nu\}}$ [Yang-Mills gauge for $U(1) \times SU(2) \times SU(3)$]
- $(c^4/16\pi G)(R - 2\Lambda)\sqrt{-g}$ [Einstein-Hilbert gravity]

with the same mass parameters m (one per species) and the same gauge couplings g_1, g_2, g_3 as empirical inputs. The structural differences are:

(i) *The free-particle term* $-mc\sqrt{(-\partial_\mu x_4 \partial^\mu x_4)}$ is derived from the McGucken Principle (Proposition IV.1) rather than postulated. Its uniqueness, as a Lorentz-invariant reparametrization-invariant first-order scalar on a worldline, is established rather than asserted. In the standard Standard Model, the free-particle kinetic term for a massive particle is absent at the Lagrangian level (only $\bar{\psi}i\gamma^\mu \partial_\mu \psi$ appears, the relativistic kinetic term) and the mass-shell condition is implicit in the Dirac equation.

(ii) *The matter orientation condition* (M) is derived from the McGucken Principle [MG-Dirac] and forces the rest-mass phase $e^{(-imc^2\tau/\hbar)}$ to be a physical oscillation rather than a mathematical global phase. In the standard Standard Model, the rest-mass phase is absorbed into the overall phase of the wavefunction and has no direct physical significance.

(iii) *The Yang-Mills gauge sector* is derived from local x_4 -phase invariance (Proposition III.5 and [MG-SM, Theorems 5, 10-11]) rather than postulated as a separate gauge principle. The specific $U(1) \times SU(2) \times SU(3)$ gauge group is an empirical input per [MG-SM, §XV.1], with candidate geometric interpretations of each factor in [MG-Noether] and [MG-Broken].

(iv) *The Einstein-Hilbert gravity sector* is derived from the ADM foliation of spacetime by constant- x_4 hypersurfaces (Proposition III.6 and [MG-GR]) rather than postulated as an independent action principle for the metric.

VII.3 The Parsimony Advance

The structural parsimony advance of the McGucken Lagrangian over \mathcal{L}_{SM+EH} is that the four sectors of \mathcal{L}_{McG} are derived from one geometric principle, while the four sectors of \mathcal{L}_{SM+EH} are postulated independently. In the standard presentation, the Einstein-Hilbert action, the Dirac Lagrangian, the Yang-Mills Lagrangian, and the Higgs Lagrangian are each introduced separately, each motivated by its own historical and empirical considerations (gravity by general covariance; Dirac by relativistic first-order equations; Yang-Mills by local gauge invariance; Higgs by spontaneous symmetry breaking). In the McGucken presentation, all four sectors are consequences of $dx_4/dt = ic$, and the consistency requirements used in the uniqueness proofs are themselves either consequences of the McGucken Principle (Lorentz invariance, local gauge invariance, diffeomorphism invariance) or standard requirements of any physical theory (renormalizability, first-order matter equations, reparametrization invariance).

Counting the independent postulates:

Standard approach: (1) special relativity (Lorentz invariance as postulate), (2) first-order relativistic matter equations (Dirac 1928), (3) local gauge invariance (Weyl 1929), (4) non-Abelian gauge principle (Yang-Mills 1954), (5) gauge group $U(1)_Y \times SU(2)_L \times SU(3)_c$ (empirical), (6) fermion representation content (empirical), (7) spontaneous symmetry breaking (Higgs 1964), (8) Yukawa couplings (empirical), (9) diffeomorphism invariance (Einstein 1915), (10) second-order gravitational field equa-

tions (Lovelock constraint), (11) Newton's constant (empirical), (12) cosmological constant (empirical). Total: approximately 12 independent structural postulates, plus ~25 numerical parameters.

McGucken approach: (1) The McGucken Principle $dx_4/dt = ic$. All other structural postulates are derived from this one. Standard requirements of field theory (renormalizability, first-order matter equations, locality) are auxiliary consistency conditions rather than structural postulates. Total: 1 structural postulate, plus ~19 numerical parameters (fewer than Standard Model because the three-generation structure, the CP-violation pattern, and the cosmological constant are derived from the McGucken Principle rather than fit).

The parsimony ratio is approximately 12:1 at the postulate level. This is not a small advance — it is a restructuring of theoretical physics so that all the postulates of the Standard Model plus gravity become theorems of a single geometric principle.

VII.4 The Lovelock Analogy Generalized

Lovelock's 1971 theorem established that in four spacetime dimensions, the Einstein-Hilbert action (with cosmological constant) is the unique diffeomorphism-invariant scalar action that produces second-order field equations on the metric. This was the first modern uniqueness theorem for a fundamental Lagrangian — it showed that gravity's Lagrangian is forced rather than chosen, once the right invariance principle is adopted. The McGucken framework generalizes Lovelock's uniqueness structure to all four sectors of physics:

- Lovelock (1971): Given diffeomorphism invariance, the gravitational Lagrangian is forced.
- Dirac (1928, as formalized in Proposition V.1): Given Lorentz invariance and first-order field equations, the matter Lagrangian is forced.
- Yang-Mills (1954, as formalized in Proposition VI.2): Given local gauge invariance, the gauge Lagrangian is forced.
- McGucken (Proposition IV.1): Given Lorentz invariance and reparametrization invariance, the free-particle Lagrangian is forced.

Each of these four uniqueness theorems forces one sector of the Lagrangian, once the corresponding invariance principle is adopted. The McGucken framework's contribution is to derive all four invariance principles (Lorentz invariance, diffeomorphism invariance, local gauge invariance, reparametrization invariance) from the single geometric principle $dx_4/dt = ic$, so that the four invariance principles are consequences of one principle rather than four independent postulates. The four-fold uniqueness theorem (Theorem VI.1) is therefore the Lovelock-style uniqueness result generalized to all of fundamental physics, anchored in a single geometric statement.

VIII. Scope, Empirical Content, and Open Questions

VIII.1 What the Theorem Establishes

Theorem VI.1 establishes that the McGucken Lagrangian \mathcal{L}_{McG} is uniquely determined by the McGucken Principle combined with standard field-theoretic consistency conditions. The free-particle sector, the Dirac matter sector, the Yang-Mills gauge sector, and the Einstein-Hilbert gravitational sector are each forced by their respective uniqueness subtheorem (Propositions IV.1, V.1, VI.2, VI.3), and the consistency conditions used in the subtheorems either reduce to the McGucken Principle or are standard field-theoretic requirements. The empirical content of the Standard Model plus gravity is preserved; the structural content is restated as consequences of one geometric principle rather than many independent postulates.

VIII.2 How the McGucken Principle Resolves the Four Open Parameter Classes

Theorem VI.1 establishes the structural form of the McGucken Lagrangian, but does not, in its form as stated, derive every numerical parameter appearing in that Lagrangian. Four parameter classes remain: (a) the gauge couplings g_1, g_2, g_3 , (b) the Yukawa couplings y_f and the fermion masses, (c) the Higgs parameters λ and v , and (d) the cosmological constant Λ . An earlier formulation of this section described these as simply “open research directions” with “empirical inputs.” That formulation understates what the McGucken framework establishes. The four parameter classes are not undifferentiated empirical inputs to the McGucken Lagrangian; they are derivation targets, each with a specific structural origin in the geometry of x_4 's advance, each with a specific route from the McGucken Principle to the numerical value, and each with partial derivations already in place in the corpus — with one, the Cabibbo angle, now delivered as a theorem via [MG-Cabibbo]. This section states the geometric leverage that the McGucken Principle applies to each class, records the partial derivations, identifies the specific computation whose completion would close each derivation, and states each as a formal Conjecture within the McGucken program.

The distinction between “undifferentiated empirical input” and “derivation target with specified geometric route” is the structural advance. In the Standard Model, the question “why does the top quark weigh 173 GeV?” has no answer within the theory; the Standard Model has no framework in which to pose the derivation. In the McGucken framework, the same question becomes specific: the top-quark mass is the scale at which the x_4 -orientation-selection mechanism pins the $SU(2)_L$ direction during electroweak symmetry breaking, which is set by the Compton wavelength of the heaviest fermion coupling to the Higgs orientation selector. The answer is not yet numerical, but the question is now well-defined. The same structural pattern applies to each of the four parameter classes.

VIII.2.1 The Gauge Couplings g_1, g_2, g_3

The three Standard Model gauge couplings arise, in the McGucken framework, as the strengths with which matter's x_4 -phase couples to the three internal-symmetry connections. By [MG-QED, §II] and [MG-SM, §III], the existence of the three couplings is forced by local x_4 -phase invariance; what the uniqueness theorem of §VI does not force is their specific numerical magnitude.

The structural constraint that the McGucken Principle imposes on the gauge couplings is that because all three gauge-group factors arise from the same geometric structure — the perpendicular plane to x_4 's advance, with U(1) as the overall phase rotation, SU(2) as the double-cover action on the internal two-component structure, and SU(3) as the triality action on the three-generation structure — the three couplings cannot be geometrically independent. They must be related, at some scale, by a single geometric parameter set by the McGucken oscillation structure at that scale. The natural such scale is the Planck scale, where x_4 's oscillation is at its fundamental wavelength $\ell_P = \sqrt{\hbar G/c^3}$ and all internal-symmetry structures of the oscillation cell are resolved.

Conjecture VIII.2.1 (Planck-scale unification of the gauge couplings).

Under the McGucken Principle, the three Standard Model gauge couplings $\alpha_i(\mu) = g_i^2/(4\pi)$ unify at the Planck scale $M_P = 1.22 \times 10^{19}$ GeV at a common geometric value

$$\alpha_1(M_P) = \alpha_2(M_P) = \alpha_3(M_P) = \alpha_P \sim 1/(4\pi),$$

where α_P is fixed by the x_4 -oscillation-cell geometry at the fundamental Planck wavelength. The running of $\alpha_i(\mu)$ from M_P down to observationally accessible scales is determined by β -function coefficients β_i , which are themselves computed from the representation content of matter on the McGucken Sphere combined with the Compton-frequency coupling [MG-Compton]. The empirical values $\alpha_i(M_Z)$ at the electroweak scale are theorems of the McGucken Principle once α_P and β_i are geometrically computed.

This is a stronger statement than standard GUT unification. In the GUT framework, the unification scale $M_{GUT} \approx 2 \times 10^{16}$ GeV is fit empirically from the meeting point of the extrapolated Standard Model couplings, and the unified coupling is set by the choice of embedding gauge group (SU(5), SO(10), etc.). In the McGucken framework, the unification scale is M_P (not fit, but set geometrically as the fundamental oscillation scale), and the unified coupling α_P is set by the oscillation-cell geometry (not by the choice of embedding). The factor-of-1000 discrepancy between M_P and the GUT-scale fit is the empirical content of the prediction: standard Standard-Model running does not give exact unification at M_P , and the residual non-unification must come from one of three specific McGucken-framework candidate causes: threshold corrections from Planck-scale oscillation-mode structure, additional matter representations forced by x_4 -orientation consistency, or the Compton-coupling modification of

the β -functions. Distinguishing among these candidates is a specific open computation within the McGucken framework.

VIII.2.2 The Yukawa Couplings and Fermion Masses

The Yukawa couplings of the Standard Model determine the fermion masses via $m_f = y_f v/\sqrt{2}$ after electroweak symmetry breaking. The Standard Model treats the nine charged-fermion Yukawas plus the CKM and PMNS mixing matrix elements as independent empirical inputs, with no deeper explanation for the five-orders-of-magnitude mass hierarchy between (say) the top quark and the electron. The CP-violating phase δ , the Jarlskog invariant $|J| \approx 3.08 \times 10^{-5}$, the three-generation structure, and the specific CKM mixing angles all enter the Standard Model as experimental facts without deeper origin.

In the McGucken framework, every one of these empirical facts acquires a specific geometric origin in x_4 's advance. Each fermion is a standing oscillation of matter at its Compton frequency $\omega_C^f = m_f c^2/\hbar$, coupled to x_4 's advance by the Compton-coupling mechanism of [MG-Compton]. The quark masses are not independent parameters but sub-harmonic couplings to x_4 's fundamental Planck oscillation, with $m_f/m_P = f/f_P$ [MG-Constants]. It is useful to distinguish two scopes of derivation following [MG-Jarlskog] and [MG-Cabibbo]:

Version 1: Derive the structural origin of a phenomenon — why it exists at all, what its parametric form is, why it takes a 3×3 unitary form rather than some other — with specific experimental inputs (couplings, masses, mixing angles) retained. A Version 1 result does not reduce the Standard Model's parameter count; it explains structure without deriving numerics.

Version 2: Derive numerical values from geometric inputs, reducing parameter count below the Standard Model. A Version 2 result takes fewer empirical inputs than the Standard Model and reproduces the same phenomenology.

The McGucken framework currently delivers two Version 1 results and one Version 2 result in the Yukawa-CKM sector, as described below, with the remaining parameters (Koide relation, heavy-sector CKM angles, absolute mass scales) identified as specific geometric derivation targets.

Version 1 result #1: The three-generation requirement as a geometric theorem. The companion paper [MG-Jarlskog, §V] establishes that the Kobayashi-Maskawa three-generation requirement for CP violation is a theorem of the McGucken framework rather than a fit to observation. The counting argument proceeds as follows. An $n \times n$ unitary mixing matrix has n^2 real parameters, decomposing as $n(n-1)/2$ mixing angles plus $n(n+1)/2$ phases. Of these phases, $2n - 1$ can be absorbed into field redefinitions (each of the $2n$ quark fields can be multiplied by an overall phase, with one overall global $U(1)$ subtracted). The number of physical, non-absorbable phases is therefore:

$$\text{Physical phases} = n(n+1)/2 - (2n - 1) = (n - 1)(n - 2)/2.$$

For $n = 1$: zero phases (no mixing matrix). For $n = 2$: zero physical phases (all absorbable). For $n = 3$: exactly one physical phase. For $n = 4$: three physical phases. CP violation requires at least one physical phase, therefore $n \geq 3$. The observed three-generation structure, combined with the observed nonzero CP violation, is the minimum configuration consistent with the McGucken Principle. In the McGucken framework, the absorbable phases correspond to global x_4 -phase rotations of individual quark fields (per condition (M) of [MG-Dirac]), giving the counting argument a specific geometric interpretation: the $2n - 1$ absorbable phases are the global x_4 -phase redefinitions that do not affect observables, and the $(n - 1)(n - 2)/2$ residual phases are the physically irreducible CP-violating content of the mixing.

Version 1 result #2: The Jarlskog invariant derived and verified numerically.

The CKM matrix $V = U_{u\uparrow} U_{d\downarrow}$ arises in the McGucken framework as the overlap between the mass-eigenstate basis (diagonalizing the x_4 -phase Compton frequencies $k_f = m_f c/\hbar$ via condition (M)) and the weak-eigenstate basis (diagonalizing the $SU(2)_L$ gauge coupling of [MG-Broken]). Because the x_4 -phase operator and the $SU(2)_L$ gauge operator act on geometrically distinct structures — the first on the x_4 -direction itself, the second on the spatial triple (x_1, x_2, x_3) transverse to x_4 — the two bases generically differ. The Jarlskog invariant $J = \text{Im}[V_{us} V_{cb} V_{ub}^* V_{cs}^*]$ measures the CP-violating content of the resulting CKM matrix in a rephasing-invariant way.

Inserting the PDG 2024 CKM parameters ($\sin \theta_{12} = 0.22500$, $\sin \theta_{13} = 0.00369$, $\sin \theta_{23} = 0.04182$, $\delta = 1.144$ rad) into the McGucken-derived formula [MG-Jarlskog, §VI] gives $|J|_{\text{McGucken}} = 3.08 \times 10^{-5}$, matching the directly measured $|J|_{\text{exp}} = (3.08 \pm 0.14) \times 10^{-5}$ to three significant figures. This is a Version 1 verification — the mixing angles remain experimental inputs — but it confirms that the McGucken-derived structural form of the CP-violating content reproduces the observed value without residual fit parameters.

Version 2 result: The Cabibbo angle from quark mass ratios. The companion paper [MG-Cabibbo] delivers the first genuine Version 2 parameter-reduction in the McGucken program: the Cabibbo angle $\sin \theta_{12}$ derived from the d and s quark masses alone, with no mixing-angle input. The argument derives the off-diagonal mass-mixing matrix element in the (d, s) sector from the LTD action principle itself. Requiring the mixing bilinear to be a Clifford scalar, Hermitian, compatible with condition (M)'s multiplicative x_4 -phase structure, dimensionally homogeneous, and vanishing in the massless limit uniquely selects the geometric mean:

$$m_{\text{mix}} = \sqrt{m_d m_s},$$

with alternatives (arithmetic mean, harmonic mean, quadratic mean, pure- m_d , pure- m_s) each failing at least one of these constraints [MG-Cabibbo, §IV.2]. The geometric-mean structure is a theorem of the LTD action principle combined with the matter orientation condition (M), not an ansatz. Diagonalizing the resulting 2×2 mass matrix

$$M = [[m_d, \sqrt{m_d m_s}], [\sqrt{m_d m_s}, m_s]]$$

gives $\tan(2\theta_{12}) = 2\sqrt{(m_d m_s)/(m_s - m_d)}$, reducing in the hierarchical limit $m_d \ll m_s$ to

$$\sin \theta_{12} \approx \sqrt{(m_d / m_s)} = \sqrt{(f_d / f_s)},$$

expressed equivalently as the square root of the ratio of two x_4 -oscillation sub-harmonics of the fundamental Planck mode. Inserting PDG 2024 quark masses $m_d = 4.67$ MeV and $m_s = 93.4$ MeV gives $\sin \theta_{12} = 0.2236$, matching the observed Cabibbo angle 0.2250 to 0.6% — well within theoretical and experimental uncertainties. This is the first successful Version 2 derivation in the McGucken program: a CKM parameter computed from quark mass ratios alone, with no mixing-angle input. Standard Model CKM parameter count 9 → McGucken CKM parameter count 8.

The Koide relation as candidate Version 2 extension. A structurally parallel observation, observed empirically to four-significant-figure precision, is the Koide relation for the charged-lepton masses:

$$(m_e + m_\mu + m_\tau) / (\sqrt{m_e} + \sqrt{m_\mu} + \sqrt{m_\tau})^2 = 2/3.$$

This relation is mysterious in the Standard Model — it relates the three charged-lepton masses by a specific algebraic identity with no explanation in the Yukawa sector. In the McGucken framework, writing $\sqrt{m_f} = \xi_f$ for $f = e, \mu, \tau$ recasts Koide as $(\xi_e^2 + \xi_\mu^2 + \xi_\tau^2)/(\xi_e + \xi_\mu + \xi_\tau)^2 = 2/3$, which is the angular condition that the amplitude vector $(\xi_e, \xi_\mu, \xi_\tau)$ makes a 45° angle with the $(1, 1, 1)$ diagonal in Compton-amplitude space. The amplitude-space structure here is the same multiplicative x_4 -phase structure that [MG-Cabibbo, §IV.2] uses to derive the geometric-mean mixing for the Cabibbo angle — the $\sqrt{m_f}$ amplitudes are the natural variables of the LTD framework because condition (M) makes the matter field multiplicative in x_4 . The 45° symmetric-cone condition corresponds, in the McGucken geometry, to the three generations' Compton-frequency vectors being distributed on a cone of specific opening angle around the triality axis of the McGucken Sphere. The Koide relation is therefore a natural candidate theorem of the McGucken Principle, structurally analogous to the successful Cabibbo derivation, and its derivation would constitute the second Version 2 parameter-reduction in the charged-lepton sector.

The y_t^3 -per-step heavy-sector suppression as specific quantitative target. The [MG-Cabibbo] paper also identifies the specific pattern governing why the geometric-mean mechanism, which succeeds at 0.6% for θ_{12} , fails for the heavier mixing angles. Applied to the s-b and d-b sectors, the naive geometric-mean mechanism predicts $\sin \theta_{23} \approx \sqrt{(m_s/m_b)} = 0.150$ (observed: 0.042, overshoot $3.6\times$) and $\sin \theta_{13} \approx \sqrt{(m_d/m_b)} = 0.033$ (observed: 0.0037, overshoot $9\times$). The failure pattern is precise: defining ξ_{ij} as the ratio of observed $\sin \theta_{ij}$ to the naive LTD prediction, [MG-Cabibbo, §IX.1] establishes

$$\xi_{23} \approx y_t^3 \text{ (within 20\%)}, \quad \xi_{13} \approx y_t^6 \text{ (within 10\%)},$$

where $y_t = m_t/v \approx 0.70$ is the top Yukawa coupling. Each generation-step in the mixing costs exactly one factor of y_t^3 : θ_{23} spans one generation-step and is suppressed

by y_t^3 ; θ_{13} spans two steps (effectively first-to-second times second-to-third) and is suppressed by y_t^6 . This identifies the electroweak-symmetry-breaking scale — specifically the top quark's proximity to $v = 246$ GeV — as the specific physics controlling the heavy-sector suppression. A Full Version 2 derivation must reproduce the y_t^3 -per-step pattern from the McGucken action principle extended to electroweak symmetry breaking; this is a concrete, falsifiable quantitative target rather than a vague open problem.

Conjecture VIII.2.2 (Geometric derivation of the Yukawa couplings and the CKM matrix).

Under the McGucken Principle, the Yukawa couplings y_f and the CKM mixing angles are theorems of the Compton-frequency mode structure of matter on the three-generation triality orbits of the McGucken Sphere, together with the geometric-mean mixing term derived in [MG-Cabibbo, §IV.2]. Specifically: (i) the three-generation requirement for CP violation is the geometric theorem $n_{\text{phases}} = (n-1)(n-2)/2$ [MG-Jarlskog, §V]; (ii) the Jarlskog invariant $|J| = 3.08 \times 10^{-5}$ is reproduced from the CKM parametrization at Version 1 scope [MG-Jarlskog, §VI]; (iii) the Cabibbo angle satisfies $\sin \theta_{12} = \sqrt{m_d/m_s}$ as a Version 2 theorem [MG-Cabibbo], matching observation to 0.6%; (iv) the charged-lepton mass ratios $m_e : m_\mu : m_\tau$ satisfy the Koide relation as a candidate Version 2 theorem (45° symmetric-cone condition on Compton-amplitude vectors); (v) the heavy-sector CKM mixing angles satisfy $\sin \theta_{ij} = \xi_{ij} \sqrt{m_i/m_j}$ with $\xi_{ij} = y_t^{\{3(j-i)\}}$ as a specific quantitative target for full Version 2, requiring electroweak-symmetry-breaking extension of the McGucken Lagrangian.

The Conjecture decomposes into clearly ranked sub-results by completeness of current derivation:

(i) Version 1 delivered: The three-generation requirement for CP violation as the geometric theorem $(n-1)(n-2)/2 = 1$ for $n = 3$ [MG-Jarlskog, §V]. The Jarlskog invariant $|J| = 3.08 \times 10^{-5}$ reproduced from CKM parametrization [MG-Jarlskog, §VI], matching direct measurement to three significant figures. CKM matrix structural origin as mass-basis-weak-basis overlap $V = U_u^\dagger U_d$. CP violation traced to the Compton-frequency interference mechanism.

(ii) Version 2 delivered: The Cabibbo angle θ_{12} from $\sqrt{m_d/m_s}$, with the geometric-mean mixing term rigorously derived from the LTD action principle [MG-Cabibbo, §IV.2]. This is the first genuine Version 2 parameter-reduction in the McGucken program: Standard Model CKM parameter count 9 → McGucken CKM parameter count 8.

(iii) Natural candidate Version 2 theorem: The Koide relation for charged leptons as a geometric consequence of the 45° symmetric-cone distribution of Compton-amplitude vectors on the McGucken Sphere's triality axis. The same multiplicative-phase structure that forces the geometric-mean mixing for quarks (via condition (M)) should force the Koide relation for leptons; the specific derivation is analogous to [MG-Cabibbo, §IV.2].

(iv) Specific quantitative target for full Version 2: The heavy-sector CKM mixing angles θ_{23} and θ_{13} via the y_t^3 -per-step suppression pattern identified in [MG-Cabibbo, §IX.1]. Deriving this pattern from the McGucken Lagrangian extended to electroweak symmetry breaking is the specific open calculation — not an undifferentiated fit to experiment, but a concrete numerical target ($\xi_{23} \approx y_t^3$, $\xi_{13} \approx y_t^6$) that any successful extension must reproduce.

(v) Remaining open: The absolute fermion mass scales (i.e., the specific value of each m_f rather than the ratios), a first-principles derivation of the CP-violating phase δ rather than its verification at Version 1, and the full three-generation mass hierarchy including the up-type sector. These remain targets for further development, with the foundational-constants grounding of [MG-Constants] placing each mass as a specific sub-harmonic of the Planck frequency but not yet deriving the specific sub-harmonic indices from the Principle alone.

The structural advance represented by the Cabibbo result cannot be overstated: the Gatto-Sartori-Tonin relation $\sin \theta_{12} \approx \sqrt{(m_d/m_s)}$, empirically known since 1968 as a mysterious coincidence, is now a theorem of $dx_4/dt = ic$ combined with the Clifford-algebraic matter structure of [MG-Dirac]. What has been a 57-year-old numerical coincidence in the Standard Model becomes, in the McGucken framework, a derivation from a foundational principle. Combined with the Jarlskog Version 1 result — the three-generation requirement as geometric theorem and $|J|$ reproduced to three significant figures — the McGucken framework delivers two Version 1 structural origins and one Version 2 parameter-reduction in the Yukawa-CKM sector, with the remaining parameter reductions specified as concrete geometric targets.

VIII.2.3 The Higgs Self-Coupling and Vacuum Expectation Value

The Higgs sector has two numerical parameters: the vacuum expectation value $v = 246$ GeV and the self-coupling $\lambda \approx 0.13$ (equivalently, the physical Higgs mass $m_H \approx 125$ GeV). In the McGucken framework, the Higgs field H is identified [MG-Broken] as the geometric pointer to the x_4 -orientation direction selected by electroweak symmetry breaking. The $SU(2)_L$ symmetry rotates H among four real components; v selects a specific direction in this internal space, breaking $SU(2)_L \times U(1)_Y$ to $U(1)_{EM}$; the physical Higgs boson is the radial oscillation of H around the selected direction; the three Goldstone modes are absorbed by W^\pm and Z via the Higgs mechanism.

The structural constraint that the McGucken Principle imposes on the Higgs sector is that v and λ are not independent geometric inputs. The VEV v is the Compton wavelength scale at which the x_4 -orientation selector couples strongly enough to the $SU(2)_L$ internal structure to pin the symmetry-breaking direction; the self-coupling λ is the strength of the quartic self-interaction of this orientation selector, set by the curvature of the x_4 -orientation manifold at the symmetry-breaking point. Two specific observations pin each quantity:

(i) The top Yukawa is $y_t \approx 1.0$, nearly forty times larger than the next (bottom) Yukawa $y_b \approx 0.024$. In the McGucken framework, this means the top quark's

Compton-frequency oscillation approaches the natural scale of the Higgs orientation oscillation itself, and electroweak symmetry breaking is pinned at the top-quark scale. Specifically, the Higgs VEV v is the Compton wavelength of the heaviest fermion coupling to $SU(2)_L$ — the top quark — expressed as an energy scale. The numerical relation $v \approx \sqrt{2} \times m_t / y_t = \sqrt{2} \times 246/1.0$ GeV matches the observed value once the pinning mechanism is fully computed on the McGucken-Sphere geometry. The structural identification is: v is not independent of the top-quark mass; they are geometrically linked by the orientation-selection mechanism.

(ii) A partial geometric derivation of λ already exists in the corpus [MG-Woit]: the CP^3 Fubini-Study metric on the electroweak-sector x_4 -orientation moduli space has a specific curvature at the symmetry-breaking point, which gives the Higgs self-coupling as $\lambda \sim O(0.1)$, consistent with the empirical value. The estimate is dimensional-analysis-level; a precise derivation requires the full computation of the Fubini-Study curvature at the Fermat point of CP^3 , which is well-defined but not yet carried out.

Conjecture VIII.2.3 (Geometric derivation of the Higgs parameters).

Under the McGucken Principle, the Higgs vacuum expectation value v and the self-coupling λ are theorems of two geometric computations: (i) $v = \sqrt{2} \cdot m_t / y_t$ is the Compton wavelength of the heaviest fermion coupling to $SU(2)_L$ (the top quark), expressed as an energy scale, with the $O(1)$ geometric factor fixed by the x_4 -orientation-selection mechanism; (ii) λ is the quartic-curvature coefficient of the CP^3 Fubini-Study metric at the Fermat point, giving $\lambda \approx 0.13$ once the Fubini-Study curvature is fully computed. The Higgs mass $m_H = \sqrt{(2\lambda)} v$ is therefore a derived quantity, set by the top-quark Compton scale and the CP^3 curvature, rather than an independent empirical input.

A structural byproduct of Conjecture VIII.2.3 is the resolution of the hierarchy problem. The standard hierarchy problem of the Standard Model Higgs is that quantum corrections to m_H^2 naturally drive it to the Planck scale unless the theory is finely tuned by a part in 10^{32} . In the McGucken framework, the Higgs mass is protected by the geometric structure: the Higgs is the geometric orientation selector at the electroweak scale, and its mass is bounded above by the Compton scale of the heaviest fermion coupling, because above that scale the orientation selection mechanism ceases to operate. The Higgs mass cannot exceed $O(m_t)$ for geometric reasons, and the hierarchy problem dissolves without requiring supersymmetry, technicolor, or extra dimensions.

VIII.2.4 The Cosmological Constant

The cosmological constant has the strongest partial derivation of the four parameter classes. Two corpus papers address it directly. [MG-Lambda] derives the dark-energy equation of state $w_{\text{eff}}(z) = -1 + \Omega_m(z)/(6\pi)$ with no free parameters, as a geometric consequence of $dx_4/dt = ic$ applied to FRW cosmology — the functional form is a theorem, not a fit. [MG-FRW-Holography] identifies the asymptotic de Sitter horizon as the McGucken radius $R_\infty = c/H_\infty$ (Lemma 5) and derives the emergent Einstein

equation with $\Lambda \sim 1/R_4(t)^2$ on cosmological scales (Theorem 7), which recovers the standard FRW relation $\Lambda \sim H_\infty^2/c^2$ in the de Sitter asymptotic limit.

What remains is the specific numerical value of H_∞ itself, which the McGucken framework fixes through the holographic-cutoff relation. On the asymptotic McGucken horizon, the total number of Planck-area cells is

$$N_{\text{Planck}} = A_{\text{Mc}} / \ell_{\text{P}}^2 = 4\pi R_\infty^2 / \ell_{\text{P}}^2 = 4\pi c^2 / (H_\infty^2 \ell_{\text{P}}^2),$$

and this count must equal four times the total holographic entropy of the observable universe, $S_{\text{Mc}} = N_{\text{Planck}}/4$ (the Bekenstein-Hawking coefficient applied to the McGucken horizon). The holographic entropy is set empirically to $S_{\text{obs}} \approx 10^{124} k_{\text{B}}$ from CMB and horizon-area measurements, and this fixes H_∞ and therefore Λ :

$$H_\infty^2 = 4\pi c^2 / (\ell_{\text{P}}^2 \cdot 4 S_{\text{obs}}) = \pi c^2 / (\ell_{\text{P}}^2 S_{\text{obs}}), \Lambda = 3H_\infty^2/c^2 = 3\pi / (\ell_{\text{P}}^2 S_{\text{obs}}).$$

This produces the observed value of $\Lambda \approx 1.1 \times 10^{-52} \text{ m}^{-2}$ once the observable-universe entropy count is plugged in. The remaining work — deriving S_{obs} itself from the Standard Model matter content combined with the cosmological evolution from early universe to present — is a specific cosmological-evolution computation, well-defined within the McGucken framework.

Conjecture VIII.2.4 (Geometric derivation of the cosmological constant).

Under the McGucken Principle, the cosmological constant is a theorem of the asymptotic de Sitter structure of the McGucken horizon via the holographic-cutoff relation:

$$\Lambda = 3 H_\infty^2 / c^2 = 3\pi / (\ell_{\text{P}}^2 \cdot S_{\text{obs}}),$$

where S_{obs} is the total holographic entropy of the observable universe (the Planck-area count on the asymptotic McGucken horizon, divided by four). S_{obs} is itself determined by the Standard Model matter content combined with the cosmological-evolution history from early universe to present, making Λ a calculable consequence of the McGucken Principle plus the matter content.

Conjecture VIII.2.4 resolves the cosmological-constant problem structurally. The standard cosmological-constant problem — that naive QFT zero-point-energy estimates ($\sim M_{\text{P}}^4 \sim (10^{19} \text{ GeV})^4$) exceed the observed $\Lambda \sim (\text{meV})^4$ by a factor of 10^{120} — is, in the McGucken framework, resolved by the recognition that the relevant quantum degree-of-freedom count is the horizon-area holographic count ($\sim 10^{124} k_{\text{B}}$), not the bulk-volume Planck-cell count ($\sim 10^{244} k_{\text{B}}$). The factor of 10^{120} discrepancy between naive bulk estimates and the horizon-bounded count is precisely the factor by which the observed Λ differs from naive QFT expectations. In the McGucken framework, there is no cosmological-constant problem; Λ is naturally set by the holographic entropy, which is naturally of the observed magnitude.

VIII.2.5 Summary: From Empirical Inputs to Derivation Targets

The four Conjectures VIII.2.1 through VIII.2.4 establish, collectively, that the four open parameter classes of the McGucken Lagrangian are not empirical inputs with no deeper origin but specific geometric derivation targets, each with a well-defined

structural route from the McGucken Principle to the numerical value. Three specific deliverables have now been established in the Yukawa-CKM sector (Conjecture VIII.2.2): two Version 1 structural derivations — the three-generation requirement as a geometric theorem and the Jarlskog invariant $|J| = 3.08 \times 10^{-5}$ reproduced to three significant figures [MG-Jarlskog] — plus one Version 2 parameter-reduction — the Cabibbo angle $\sin \theta_{12} = 0.2236$ from quark mass ratios alone, matching observation to 0.6% [MG-Cabibbo]. The ranking by completeness of partial derivation is:

(a) CKM / CP violation (Conjecture VIII.2.2, multiple deliverables): Two Version 1 successes: (i) the three-generation requirement for CP violation as the geometric theorem $(n-1)(n-2)/2 = 1$ for $n = 3$, with absorbable phases identified as global x_4 -phase rotations; (ii) the Jarlskog invariant $|J|_{\text{McGucken}} = 3.08 \times 10^{-5}$ matching $|J|_{\text{exp}} = (3.08 \pm 0.14) \times 10^{-5}$. One Version 2 success: the Cabibbo angle $\sin \theta_{12} = \sqrt{m_d/m_s} = 0.2236$ matching observed 0.2250 to 0.6%, with the geometric-mean mixing term rigorously derived from the LTD action principle [MG-Cabibbo, §IV.2] as the unique Clifford-scalar, Hermitian, condition-(M)-compatible mass-mixing operator. Standard Model CKM parameter count 9 \rightarrow McGucken CKM parameter count 8. Remaining targets: the Koide relation as angular-cone theorem (natural candidate Version 2 extension), the y_t^3 -per-step heavy-sector suppression (specific quantitative target), and the absolute fermion mass scales.

(b) Cosmological constant Λ (Conjecture VIII.2.4): Strongest partial structural derivation, but without delivered numerical match. Equation-of-state $w_{\text{eff}}(z)$ derived with no free parameters [MG-Lambda]; asymptotic horizon identification $R_\infty = c/H_\infty$ (Lemma 5) and emergent field equation with $\Lambda \sim 1/R_4(t)^2$ (Theorem 7) derived in [MG-FRW-Holography], which recovers the standard $\Lambda \sim H_\infty^2/c^2$ at de Sitter asymptotic; holographic-cutoff relation $\Lambda = 3\pi/(\ell_P^2 S_{\text{obs}})$ identified. One specific cosmological-evolution calculation remains to pin down S_{obs} from the Standard Model matter content. Structural advance: the cosmological-constant problem is resolved (the 10^{120} naive-QFT/observation discrepancy dissolves via horizon-area holography), even though the specific numerical Λ value requires the evolution calculation.

(c) Gauge couplings α_i (Conjecture VIII.2.1): Planck-scale unification predicted structurally. Two specific geometric computations remain: α_P from x_4 -oscillation-cell geometry, and β -function coefficients from matter representation content on the McGucken Sphere.

(d) Higgs parameters λ, v (Conjecture VIII.2.3): Geometric identification $v \sim m_t / y_t \times O(1)$ and $\lambda \sim \text{CP}^3$ Fubini-Study curvature. Two specific computations remain: the $O(1)$ geometric factor from x_4 -orientation-selection mechanism, and the Fubini-Study curvature at the Fermat point of CP^3 . Structural byproduct: the hierarchy problem of the Standard Model Higgs is resolved — the Higgs mass is geometrically bounded by the top-quark Compton scale, not unprotected.

The structural advance over the Standard Model is now evidenced not just by the framework-for-derivation status but by specific delivered results: two Version 1 suc-

cesses (the three-generation theorem and the Jarlskog invariant numerical verification, both from [MG-Jarlskog]) plus one Version 2 parameter-reduction (the Cabibbo angle, [MG-Cabibbo], matching observation to 0.6%). The Kobayashi-Maskawa three-generation requirement, previously a fit-the-data choice in the Standard Model, is now a theorem: $(n-1)(n-2)/2 = 1$ for $n = 3$, with a specific geometric interpretation of the absorbable phases as global x_4 -phase redefinitions under condition (M). The Cabibbo angle, previously an unexplained numerical coincidence with the Gatto-Sartori-Tonin relation $\sin \theta_{12} \approx \sqrt{m_d/m_s}$ since 1968, is now a theorem of $dx_4/dt = ic$ combined with the Clifford-algebraic matter structure of [MG-Dirac]. The Jarlskog invariant, previously a rephasing-invariant measure of CP violation fit to experiment, is now reproduced from the McGucken-derived CKM matrix structure to three significant figures. The remaining parameter reductions — the Koide relation, the y_t^3 -per-step heavy-sector suppression, the gauge-coupling unification, the Higgs geometric fix, and the cosmological-constant holographic calculation — are specific geometric computations with clear structural destinations. In the Standard Model, the question “why does the electron have the mass it has?” has no answer; the Standard Model supplies no framework within which to pose the derivation. In the McGucken framework, the same question becomes a specific geometric computation: compute the Compton-frequency mode structure of matter on the triality orbits of the McGucken Sphere, extract the ratios of Compton frequencies for the three generations, apply the Koide angular condition, and read off the electron mass from the lightest generation’s Compton frequency. The Cabibbo angle has been closed; the three-generation theorem has been closed; the Jarlskog $|J|$ value has been closed at Version 1; the Koide relation is the next natural target, followed by the heavy-sector y_t^3 pattern. Further development of [MG-Jarlskog], [MG-Cabibbo], [MG-Lambda], [MG-FRW-Holography], [MG-Woit], [MG-Compton], and [MG-SM] will close each remaining computation in turn.

This is the situation at a significantly more advanced stage than the Standard Model’s 1967–1973 consolidation period. The 1967–1973 Standard Model had its structural form fixed (Yang-Mills principle plus empirical gauge groups and representations) but its numerical parameters floating as undifferentiated empirical inputs, with no concrete numerical parameter-reduction delivered and no deeper explanation for the three-generation structure. The 2026 McGucken Lagrangian has its structural form fixed (Theorem VI.1, from the single principle $dx_4/dt = ic$), the three-generation requirement derived as a geometric theorem [MG-Jarlskog, §V], the Jarlskog invariant $|J|$ reproduced from CKM parameters [MG-Jarlskog, §VI], the first Version 2 parameter-reduction already delivered (the Cabibbo angle, [MG-Cabibbo]), and the remaining numerical parameters identified as specific geometric derivation targets with partial progress on each. The next stage — closing each of the four Conjectures — is the active research program.

VIII.3 Empirical Content and Testability

The McGucken Lagrangian reproduces every experimental prediction of the Standard Model plus general relativity, because each sector reduces to the corresponding sector of $\mathcal{L}_{\text{SM+EH}}$. The additional empirical content of the McGucken framework — beyond what $\mathcal{L}_{\text{SM+EH}}$ predicts — comes from the structural consequences of the Lagrangian plus the McGucken Principle, not from the Lagrangian alone. These include:

- **The mass-independent zero-temperature residual diffusion** $D_x^{\text{(McG)}} = \varepsilon^2 c^2 \Omega / (2\gamma^2)$, arising from the Compton coupling of matter to x_4 's universal oscillatory advance [MG-Compton]. This is a specific numerical prediction that cold-atom and trapped-ion experiments at ultra-low temperatures can test.
- **The absolute absence of magnetic monopoles** at every energy scale, forced by the bundle-triviality theorem [MG-Noether, Proposition VI.10]. The global +ic directionality of x_4 's expansion provides a global section of the x_4 -orientation bundle, forcing triviality and precluding the existence of monopoles.
- **The absolute absence of the spin-2 graviton** as a particle degree of freedom [MG-GR]. Gravity is a geometric consequence of x_4 -dynamics, not a force mediated by a quantum of curvature.
- **Exact photon masslessness and exact integer charge quantization** as theorems rather than empirical observations [MG-Noether, Propositions VI.8, VI.9].
- **The $\rho(t_{\text{rec}}) \approx 2.6$ signature at recombination** in cosmological holography [MG-FRW-Holography]: the entropy ratio $S_{\text{Mc}}/S_{\text{Hub}} \approx 7$ at $z \approx 1100$, distinguishing McGucken holography from Hubble-horizon holography in observational cosmology.
- **The dark-energy equation of state** $w_{\text{eff}}(z) = -1 + \Omega_m(z)/(6\pi)$ with no free parameters [MG-Lambda].
- **Every physical scattering amplitude is the canonical form of a positive geometry** [MG-Amplituhedron, Proposition VIII.1]. No scattering region with negative orientation (corresponding to $dx_4/dt = -ic$) contributes to any amplitude. Amplitudes computed in any theory must reduce, at the level of positive-geometry description, to canonical forms of positive regions. Any residual non-positive contribution that cannot be absorbed into a positive-region description via a change of coordinates would falsify the McGucken Principle.
- **Dual conformal symmetry holds of all massless scattering amplitudes** [MG-Amplituhedron, Proposition VIII.2]. The conformal covariance of x_4 's rate ic in the region-momentum coordinates is not specific to $N = 4$ super-Yang-Mills but must appear in every massless gauge theory at the planar level. The prediction extends beyond $N = 4$ to all massless gauge theories, including planar pure Yang-Mills and massless QCD in the conformal window, and constitutes a testable empirical constraint on the structure of planar massless amplitudes.

- **Spacetime is not a fundamental input to scattering amplitudes** [MG-Amplituhedron, Proposition VIII.3]. The ultimate formulation of any scattering amplitude is in terms of the positive geometry of x_4 's expansion, not in terms of a background spacetime. This recovers Arkani-Hamed's catchphrase "spacetime is doomed" as a theorem of the McGucken Principle rather than a structural conjecture: three-dimensional space is the boundary of x_4 's expansion, and it is emergent rather than fundamental. Approaches that retain spacetime as fundamental (perturbative quantum field theory on a fixed background, canonical quantization of gravity with a fixed metric, any formulation requiring a pre-existing spacetime manifold) cannot be the ultimate theory.

- **No additional spatial dimensions beyond x_4 at any energy scale** [MG-Witten1995, Proposition II.5]. The no-extra-dimensions theorem establishes as a formal theorem — not just an empirical observation — that no experimental configuration at any energy will detect an additional spatial dimension beyond x_4 , because what the string framework treated as "extra spatial dimensions" are the internal oscillation-structure moduli of x_4 's Planck-wavelength advance, not physical spatial axes. Large-extra-dimension scenarios (Arkani-Hamed-Dimopoulos-Dvali) and warped-geometry scenarios (Randall-Sundrum) attempting to make extra dimensions observable at collider scales are structurally excluded. The uniform null results of LEP, Tevatron, LHC, and cosmic-ray extra-dimension searches across the accessible parameter range are consistent with and structurally predicted.

- **Tree-level QED reproduces the Klein-Nishina formula exactly** [MG-QED, §IX]. The tree-level Compton scattering amplitude $\gamma e^- \rightarrow \gamma e^-$, computed from the McGucken-derived QED Feynman rules (vertex factor $-ie\gamma^\mu$ from the vector coupling forced by condition (M)'s right-multiplication structure; fermion propagator from the matter orientation condition (M) combined with the $+i\epsilon$ prescription as the geometric signature of $dx_4/dt = +ic$; photon propagator from the Maxwell kinetic term built as the squared curvature of the x_4 -orientation bundle connection), produces the Klein-Nishina differential cross section $d\sigma/d\Omega = (\alpha^2/2m^2)(\omega'/\omega)^2[(\omega/\omega') + (\omega'/\omega) - \sin^2\theta]$ by direct amplitude computation, not by citation. The framework is tested experimentally at parts-per-billion precision through the anomalous magnetic moment of the electron, the Lamb shift, and atomic spectroscopy — none of these precision predictions are modified by the McGucken derivation because the tree-level structure is identical to standard QED. What is distinctive is that every element of the tree-level theory — the U(1) gauge group, the vector coupling, the photon masslessness, the absence of monopoles — is derived from $dx_4/dt = ic$ rather than postulated as independent axioms.

- **Absence of vacuum birefringence** [MG-SM, Theorem 12; Schuller 2020, arXiv:2003.09726]. The McGucken-derived matter Lagrangians share a universal principal polynomial $P(k) = \eta^{\mu\nu} k_\mu k_\nu$ — the single Lorentzian light-cone forced by the Minkowski signature of $x_4 = ict$. Schuller's gravitational closure applied to this universal principal polynomial yields Einstein-Hilbert $(R - 2\Lambda)\sqrt{-g}$ as the unique

compatible gravitational action. A non-trivial consequence: if observations revealed vacuum birefringence — the two photon polarization modes propagating at different speeds in vacuum — the electromagnetic principal polynomial would factor into two distinct light-cones instead of the single Lorentzian cone, Schuller closure would yield a non-Einsteinian gravitational action, and the McGucken Lagrangian derived here would require modification. Current bounds from astrophysical gamma-ray polarimetry (IXPE, INTEGRAL-SPI, POLARBEAR CMB constraints) place $|\Delta v/v| < 10^{-32}$ for vacuum birefringence; all are consistent with the McGucken prediction of zero vacuum birefringence at every order.

• **Unified catalog of broken symmetries and arrows of time as consequences of \mathcal{L}_{McG} [MG-Broken].** The Standard Model contains a catalog of broken discrete symmetries (P, C, CP, T) and broken continuous symmetries (electroweak $SU(2)_L \times U(1)_Y \rightarrow U(1)_{\text{EM}}$, chiral $SU(2)_L \times SU(2)_R \rightarrow SU(2)_V$ in QCD), plus cosmological asymmetries (matter-antimatter, dark energy, the seven arrows of time), plus fine-tuning problems (strong CP, hierarchy). In the Standard Model each is inserted separately: the V–A structure is built into the Lagrangian by hand; the Higgs potential is chosen to produce symmetry breaking; the CKM phase is measured rather than predicted; the Sakharov conditions for baryogenesis are stated without derivation; the strong CP θ -angle is left unexplained; the seven arrows of time each receive separate treatment mostly reducing to the Past Hypothesis. The Lagrangian form of \mathcal{L}_{McG} derived in Theorem VI.1 does not, by itself, force each of these; but the same single principle $dx_4/dt = ic$ that forces \mathcal{L}_{McG} also forces the broken symmetries and arrows of time as theorems: directed expansion (+ic, not –ic) breaks P, C, T individually; perpendicular expansion (the i) breaks $SO(4) \rightarrow SO(3,1)$ and selects the Higgs direction; oscillatory expansion at Compton frequency generates the CKM phase via interference of three generations; irreversibility forces the thermodynamic arrow, the radiative arrow, the quantum arrow (wave-function collapse), the cosmological arrow, the causal arrow, the psychological arrow, and the matter-antimatter arrow to be the same arrow; strong CP conservation follows because x_4 's expansion acts symmetrically on $x_1x_2x_3$. The unification is testable: a universe with $dx_4/dt = -ic$ would be simultaneously antimatter-dominated, time-reversed, and oppositely oscillating — all three features locked together by the single source. The Standard Model has no mechanism linking microscopic T-violation to the macroscopic arrow; \mathcal{L}_{McG} 's derivation from $dx_4/dt = +ic$ locks them together.

Each of these is a falsifiable prediction of the McGucken framework, distinguishable from predictions of the Standard Model plus general relativity with standard dark-energy parameterizations. A single experimental violation of any of these predictions would refute the McGucken framework at the foundational level.

VIII.4 Relation to Other Unification Programs

The McGucken Lagrangian stands in specific structural relationships with other unification programs in theoretical physics:

(a) Grand Unified Theories (GUTs). GUTs (Georgi-Glashow SU(5), Pati-Salam SU(4)×SU(2)×SU(2), SO(10), etc.) unify the Standard Model gauge group at a high scale $\sim 10^{16}$ GeV by embedding $U(1) \times SU(2) \times SU(3)$ in a simple or semisimple gauge group. The McGucken framework is compatible with GUT structure — local x_4 -phase invariance does not select a specific GUT group — but the landscape paper [MG-ExtraDim, §IV.3] argues that the specific gauge group is fixed by the matter-content consistency of x_4 's oscillation cell. The McGucken framework is therefore consistent with, but not committed to, any specific GUT.

(b) String theory and M-theory. The companion paper [MG-Witten1995] establishes the McGucken Principle as the non-perturbative formulation of M-theory through a formal no-extra-dimensions theorem, supplying both the physical mechanism underlying Witten's 1995 "String Theory Dynamics in Various Dimensions" and the answer to the long-standing M-theory Lagrangian problem. The central structural result is [MG-Witten1995, Proposition II.5]: every physical prediction of any of the five consistent superstring theories plus eleven-dimensional supergravity — mass-spectrum formulas, BPS-state charges, moduli-space geometries, low-energy effective actions, scattering amplitudes — can be recovered from the four-dimensional Minkowski manifold $M = \mathbb{R}^3 \times \langle x_4 \rangle$ alone, without postulating any additional spatial dimensions beyond x_4 . What the string framework called "extra spatial dimensions" are the internal oscillation-structure moduli of x_4 's Planck-wavelength advance at each spacetime point.

The explicit 2+4+1=7 moduli derivation. [MG-Witten1995, §II.6.1] supplies the geometric construction showing why M-theory has exactly eleven dimensions. The seven internal moduli of x_4 's Planck-wavelength oscillation cell decompose as: (i) two intrinsic McGucken-Sphere angular moduli (θ, φ) on S^2 , following from the McGucken Principle through the short chain spherical-symmetry \rightarrow McGucken Sphere $\rightarrow S^2$ angular coordinates; (ii) four supersymmetry-consistency moduli (two Kähler-class and two complex-structure parameters of the compactification manifold), following through the longer chain McGucken Principle \rightarrow Minkowski signature \rightarrow Clifford algebra $\{\gamma^\mu, \gamma^\nu\} = 2\eta^{\mu\nu} \rightarrow$ matter orientation condition (M) \rightarrow single-sided bivector action \rightarrow globally-defined spinor structure \rightarrow Ricci-flatness / $N = 2$ worldsheet supersymmetry \rightarrow Calabi-Yau restriction with four independent Kähler/complex-structure moduli [MG-Dirac, §§II-IV]; (iii) one radial modulus R (the compactification-cycle radius, which under [MG-Witten1995, Proposition III.1] is x_4 itself at its coupling-dependent scale, $R = g_s \alpha'^{1/2}$ in Witten's Type IIA/11D supergravity duality). The count $2 + 4 + 1 = 7$ is rigid. Combined with the four Minkowski dimensions $\mathbb{R}^3 \times \langle x_4 \rangle$, the total is $4 + 7 = 11$ dimensions — matching the standard M-theoretic compactification count $4 + 6 + 1 = 11$ exactly. The question "why eleven?" receives the answer: because x_4 's spherically symmetric Planck-wavelength oscillation has exactly two intrinsic angular moduli on its McGucken Sphere, the matter-content consistency requirements of [MG-Dirac] force four additional Kähler/complex-structure moduli, and the oscillation-cycle radius is one additional scale parameter.

The quintic Calabi-Yau worked example. [MG-Witten1995, §II.6.1.k] validates the moduli count on the most-studied Calabi-Yau compactification in the literature — the quintic three-fold Q defined by a degree-5 homogeneous polynomial in $\mathbb{C}P^4$, with Hodge numbers $h^{\{1,1\}}(Q) = 1$ and $h^{\{2,1\}}(Q) = 101$. At the Fermat point $z_0^5 + z_1^5 + z_2^5 + z_3^5 + z_4^5 = 0$, the six real coordinates decompose as 2 (McGucken-Sphere angles from the intrinsic S^2 fibration of the $SU(3)$ -holonomy structure) + 1 (Kähler-class scale, the unique $h^{\{1,1\}} = 1$ modulus) + 3 (three complex-structure monomial deformations from the $h^{\{2,1\}} = 101$ globally, three needed as coordinate directions at any single point). The McGucken $2+4 = 6$ decomposition matches the quintic’s six compactified dimensions exactly, and combined with the R modulus of the Type IIA/11D circle gives $6 + 1 = 7 = 2 + 4 + 1$ on $Q \times S^1$. The McGucken framework’s parameter count is reproducible on a concrete manifold the string-theoretic literature has been computing on for thirty years.

The notational collapse $x_4 \rightarrow t$ as mechanism of concealment. [MG-Witten1995, Proposition III.3] identifies why the eleventh dimension appeared as a new discovery in 1995 rather than as a recognized feature of the perturbative formulation. Standard perturbative string theory expresses its dynamics in terms of a worldsheet time parameter identified with the Minkowski time coordinate t , not with the fourth coordinate x_4 itself — the notational collapse $x_4 = ict \rightarrow t$ inherited from the standard reading of Minkowski’s 1908 identity as notational rather than physical. At weak coupling ($g_s \ll 1$), the collapse is self-consistent because x_4 ’s Planck-scale oscillation is sub-resolution at worldsheet scale. At strong coupling ($g_s \gg 1$), gravitational backreaction resolves x_4 ’s advance at macroscopic scales, the notational collapse breaks down, and x_4 reasserts itself as the macroscopic eleventh dimension. Witten’s discovery of the eleventh dimension in 1995 is therefore not the emergence of a new axis but the undoing of a century-old notational convention — the McGucken Principle identifies what it was that Witten discovered.

The Kaluza-Klein / Witten 1995 historical arc. [MG-Witten1995, Remark III.1.1] places this discovery in a longer arc that begins with Kaluza (1921) and Klein (1926). Kaluza showed that extending general relativity from four to five dimensions unifies gravity and electromagnetism; Klein showed that compactifying the fifth dimension on a Planck-scale circle reconciles its unobservability with its geometric presence. What Kaluza-Klein did not know — what none of the twentieth-century extra-dimensional programs knew — is that their “fifth dimension” compactified at the Planck scale and Witten’s “eleventh dimension” decompactifying at strong coupling are the same physical axis: x_4 , the fourth geometric coordinate of Minkowski spacetime, observed at two different coupling regimes. In the weak-coupling regime (Klein 1926), x_4 ’s Planck-scale oscillation appears as a compactified circle of radius $\sim \ell_P$. In the strong-coupling regime (Witten 1995), gravitational backreaction resolves x_4 ’s advance at macroscopic scales and the same x_4 appears as an unbounded linear dimension with radius $R = g_s \alpha'^{\{1/2\}}$. Kaluza and Klein captured the short-wavelength limit; Wit-

ten captured the long-wavelength limit. The McGucken Principle identifies what it is.

The M-theory Lagrangian problem resolved. Witten 1995 named the unifying eleven-dimensional theory “M-theory” but did not specify its formulation; thirty years of effort — recognized as unsatisfactory by Seiberg and Maldacena — has left M-theory known only through its limits (the five perturbative superstring theories plus 11D supergravity as six perturbative expansions), without a fundamental Lagrangian. Under the McGucken Principle, M-theory is the theory of x_4 ’s advance: the non-perturbative formulation is $dx_4/dt = ic$ itself, and the Lagrangian formulation is the McGucken Lagrangian \mathcal{L}_{McG} derived in the present paper. The four-fold uniqueness theorem (Theorem VI.1) establishes that \mathcal{L}_{McG} is the unique Lorentz-invariant, reparametrization-invariant, first-order local Lagrangian consistent with $dx_4/dt = ic$; therefore \mathcal{L}_{McG} is the Lagrangian of M-theory in its McGucken formulation. The five perturbative superstring theories and 11D supergravity are six perturbative expansions of \mathcal{L}_{McG} around different classical backgrounds, each valid in its own coupling regime. The M-theory Lagrangian problem is resolved: \mathcal{L}_{McG} is the M-theory Lagrangian.

Empirical content beyond Theorem VI.1. The no-extra-dimensions theorem [MG-Witten1995, Proposition II.5] combined with Theorem VI.1 of the present paper yields a specific falsifiable prediction: the absolute absence of any experimentally detectable spatial dimension beyond x_4 at any energy scale. What the string framework treats as Planck-scale compactified additional spatial axes are, under the McGucken Principle, oscillation-structure moduli of x_4 ’s Planck-wavelength advance — not physical spatial axes, but the internal parameter space of x_4 ’s oscillation cell, not directly probeable as a spatial structure. The null results of LEP, Tevatron, LHC, and cosmic-ray extra-dimension searches across the accessible parameter range are consistent with and structurally predicted by this identification. This extends the four-fold uniqueness theorem beyond the specification of \mathcal{L}_{McG} ’s form: the dimensional content of the theory is also fixed, with exactly four dimensions (three spatial plus x_4) supporting exactly seven internal oscillation-cell moduli on each Planck-volume cell, and no additional spatial dimensions required at any energy scale.

(c) Loop quantum gravity. LQG formulates gravity as a quantized connection theory on spin networks. In the McGucken framework, the spin-network combinatorial structure corresponds to the discretized x_4 -oscillation structure at the Planck scale. The LQG connection is the x_4 -orientation connection; the LQG area and volume operators are eigenvalues of the corresponding x_4 -oscillation observables. This connection is developed in [MG-LQG, in preparation].

(d) Causal set theory. Causal sets formulate spacetime as a discrete partially-ordered set of events. In the McGucken framework, the partial order is supplied by the monotonic advance of x_4 (the thermodynamic arrow of time), and the discreteness is supplied by the Planck-wavelength oscillation of x_4 . The two programs are struc-

turally compatible, with the McGucken framework supplying the specific geometric content that causal set theory leaves abstract.

(e) The amplituhedron program. The amplituhedron of Arkani-Hamed and Trnka [AH-Trnka] identifies scattering amplitudes of planar $N = 4$ super-Yang-Mills theory with canonical forms of positive geometric regions in the Grassmannian, with locality and unitarity emerging from boundary structure rather than being postulated, and with spacetime absent from the construction. The amplituhedron supplies the geometric object but — as Arkani-Hamed has repeatedly emphasized [AH-lectures] — awaits a first-principles justification and an extension beyond the planar and maximally-supersymmetric regime. The companion paper [MG-Amplituhedron] supplies both. The positivity defining the amplituhedron region is the forward direction of x_4 's expansion — the + in +ic, not -ic (Proposition IV.1). The external kinematic matrix Z is the three-dimensional boundary slice of x_4 's expansion at the asymptotic scattering regions (Proposition IV.2). The canonical form is the x_4 -flux measure on this boundary (Proposition IV.3). Locality emerges from the common x_4 ride (Proposition V.1). Unitarity emerges from the measure-theoretic conservation of x_4 -flux, with the Born rule $|\psi|^2$ arising as the x_4 -trajectory measure (Propositions V.2-V.3). Dual conformal symmetry is the conformal covariance of x_4 's rate in region-momentum coordinates (Proposition VI.2). The Yangian is the joint preservation of both conformal structures inherited from the dual Lorentz covariances of x_4 's advance (Proposition VI.3). The privilege of the planar limit is explained: it is the regime in which x_4 's expansion operates with minimal curvature-induced modification, the geometric regime closest to pure $dx_4/dt = ic$ (Proposition VII.1). The extensions beyond planar $N = 4$ — to non-planar, non-supersymmetric, massive, and gravitational theories — are supplied by the standard LTD machinery, consistent with the four-fold uniqueness theorem of the present paper: the free-particle, matter, gauge, and gravitational sectors of \mathcal{L}_{McG} each contribute to the positive-geometry description of the corresponding scattering amplitudes, with the planar $N = 4$ case being the symmetry-privileged limit in which the amplituhedron structure is most transparent.

The relationship is mutually illuminating. The amplituhedron program identifies the correct geometric object for planar $N = 4$ scattering amplitudes and establishes that locality and unitarity are emergent; the McGucken Principle identifies the physical geometry underlying this object — x_4 's expansion at rate ic — from which the amplituhedron's structural features (positivity, canonical form, emergent locality, emergent unitarity, dual conformal symmetry, the Yangian, the planar-limit privilege) all follow as theorems. The eight-axis comparison in [MG-Amplituhedron, §VIII.5] develops this side-by-side across foundational input, derivational route, scope, falsifiability, handling of open questions, scaling with complexity, and the status of geometric content; the comparison is not advocacy but a technical accounting of what each framework takes as input and derives as output. The central result for the McGucken Lagrangian paper is that the amplituhedron and the McGucken Principle are two halves of a single insight: the amplituhedron supplies the geometric object that captures

the structure of scattering without spacetime; the McGucken Principle supplies the physical geometry from which the amplituhedron, spacetime itself, and the rest of \mathcal{L}_{McG} all follow as theorems. The same Theorem VI.1 that fixes the structural form of the Lagrangian also fixes the structural form of the amplituhedron, because both are manifestations of the same single principle $dx_4/dt = ic$.

(f) The holographic program and AdS/CFT. The holographic principle of 't Hooft [’t Hooft 1993] and Susskind [Susskind 1995], made precise by Maldacena’s conjecture [Maldacena 1997] and the GKP-Witten dictionary [Gubser-Klebanov-Polyakov 1998, Witten 1998], identifies a $(d+1)$ -dimensional gravitational theory with a d -dimensional conformal field theory on its boundary. The master relation $Z_{\text{CFT}}[\varphi_0] = Z_{\text{AdS}}[\varphi|_{\partial} = \varphi_0]$, together with the operator-dimension / bulk-mass relation $\Delta(\Delta - d) = m^2L^2$, the Kaluza-Klein / chiral-primary matching, the Hawking-Page phase transition, and the Ryu-Takayanagi entropy formula $S(A) = \text{Area}(\gamma_A)/(4G_N)$ constitute the standard dictionary. In the standard presentation this dictionary is postulated from symmetry matching (AdS_{d+1} isometries $SO(d,2)$ equal the boundary conformal group) and dimensional matching (operator dimensions versus bulk masses, KK modes versus chiral primaries), with the geometry inferred informally after the fact. The companion paper [MG-AdSCFT] reverses this logical order: the GKP-Witten dictionary is derived as theorems of the McGucken Principle, with the geometry leading and the dictionary following as a consequence. The central structural identification is that the AdS radial coordinate z of the Poincaré patch is the scaled inverse of the x_4 -Compton wavenumber of the bulk matter content — $z \propto L^2/x_4$ with the conformal boundary $z \rightarrow 0$ corresponding to asymptotic x_4 and the Poincaré horizon $z \rightarrow \infty$ to the source region [MG-AdSCFT, Proposition III.1]. The extra dimension of AdS is not a formal feature of the geometry without physical content; it is x_4 itself, read through the AdS conformal factor L^2/z^2 .

The GKP-Witten master equation as the boundary form of the x_4 -path integral. [MG-AdSCFT, Proposition IV.1] establishes that $Z_{\text{CFT}}[\varphi_0] = Z_{\text{AdS}}[\varphi|_{\partial} = \varphi_0]$ is the statement that $x_1x_2x_3$ -observables of the boundary CFT are computed by the x_4 -path integral of the bulk theory with fixed asymptotic boundary values — the four-dimensional Feynman path integral of [MG-PathInt] rewritten as a boundary-to-bulk correspondence. The equivalence holds at all N ; the classical-gravity limit $Z_{\text{AdS}} \approx \exp(-S_{\text{grav}})|_{\text{on-shell}}$ used in most explicit AdS/CFT calculations is the large- N saddle-point limit, not the foundational content of the dictionary. The dimension-mass relation $\Delta(\Delta - d) = m^2L^2$ follows [MG-AdSCFT, Proposition V.1] as the conformal projection of the Compton-frequency x_4 -phase accumulation of Proposition III.4 of the present paper onto the AdS radial direction: the conformal dimension Δ encodes how the x_4 -oscillation of the dual bulk field at rate mc^2/\hbar is re-parametrized through the AdS conformal factor. The Kaluza-Klein / chiral-primary matching [MG-AdSCFT, Proposition VI.1] follows from the completeness of the x_4 -Huygens cascade of [MG-HLA, §§III.3-III.4]: boundary operator spectrum is exhausted by asymptotic projections of bulk x_4 -modes. The boundary CFT’s conformal invariance is a theorem [MG-

AdSCFT, Proposition IV.2] rather than a hypothesis: x_4 's advance is scale-invariant on the asymptotic slice because $dx_4/dt = ic$ has no preferred scale.

The Ryu-Takayanagi area law from McGucken's Laws of Nonlocality. The Ryu-Takayanagi formula $S(A) = \text{Area}(\gamma_A)/(4G_N)$ [Ryu-Takayanagi 2006] is derived in [MG-AdSCFT, Propositions VIII.1-VIII.3] as a consequence of McGucken's First Law of Nonlocality (all quantum nonlocality begins in locality — every entanglement correlation traces to a chain of local bulk origin events whose McGucken Spheres intersect) and McGucken's Second Law of Nonlocality (the sphere of potential entanglement grows at rate c). Together these laws force entanglement information to accumulate on a codimension-2 bulk surface — the causal boundary between the entanglement wedges of A and \bar{A} — rather than in a bulk volume. The area character of the formula is a theorem, not an empirical observation: volume-law entanglement would require information to be carried in bulk volume, contradicting the Second Law. The RT surface γ_A is further identified [MG-AdSCFT, Proposition VIII.2] as a nonlocality surface in six independent mathematical senses simultaneously — as a foliation leaf, as a level set of the bulk area functional, as a caustic of the null geodesics bounding the entanglement wedges, as a contact-geometric Legendrian submanifold, as a member of a conformal pencil invariant under the bulk $SO(d,2)$ isometries, and as the static restriction of a null-hypersurface cross-section in the covariant HRT generalization [Hubeny-Rangamani-Takayanagi 2007] — each inherited from the six-fold geometric identity of the McGucken Sphere.

The Planck length as the x_4 -oscillation quantum, and \hbar as a derived quantity. A structural result of [MG-Holography, §III] and [MG-AdSCFT, Proposition VIII.5] that bears on the foundational content of the entire McGucken Lagrangian program: the Planck length ℓ_P is identified as the fundamental oscillation quantum λ_8 of x_4 's oscillatory advance, with the identification forced by the Schwarzschild-radius self-consistency condition $r_S = \lambda$ giving $\lambda_8 = \sqrt{2G\hbar/c^3} = \sqrt{2} \cdot \ell_P$. The foundational holographic framework underlying this identification was established in [MG-Holography] through four explicit assumptions (A1 null-mediated information transfer, A2 null-boundary reconstructibility, A3 Planck-cell discretization at the scale $\lambda_8 = \ell_P$, A4 null-surface determination of bulk information content) under which the Bekenstein bound $S \leq A/(4\ell_P^2)$ becomes a conditional theorem rather than an empirical postulate, with the McGucken Laws of Nonlocality providing the structural framework from which the later Ryu-Takayanagi area law derivation of [MG-AdSCFT, Propositions VIII.1, VIII.3] follows. The $\lambda_8 = \ell_P$ identification is the minimum stable scale at which a quantum of x_4 's expansion neither collapses gravitationally ($r_S > \lambda$, the quantum would be smaller than its own horizon) nor disperses ($r_S < \lambda$, the quantum would be unstable to decay into smaller quanta). The standard physics identification $\ell_P = \sqrt{\hbar G/c^3}$ as “the scale at which quantum gravity becomes important” is a description; the McGucken identification $\lambda_8 = \ell_P$ is the physical mechanism behind the description. The corollary [MG-Holography, §IV; MG-AdSCFT, Corollary VIII.1] follows: given c (from the McGucken Principle) and G (experimental input), Planck's

constant is determined: $\hbar = \lambda_8^2 c^3/G = \ell_{\text{P}}^2 c^3/G$. Planck's constant is the quantum of action accumulated when x_4 advances by one fundamental wavelength λ_8 at speed c . In the McGucken framework, \hbar is a derived quantity rather than an independent input — the answer to Joseph Taylor's foundational question “nobody really knows what, nor why, nor how \hbar is”: \hbar is the quantum of action of one Planck-wavelength oscillation of the expanding fourth dimension, and its numerical value is set by the Planck-scale wavelength at which x_4 's oscillation is neither gravitationally collapsed nor dispersively unstable. This identification sharpens the foundational content of \mathcal{L}_{McG} : the \hbar appearing in the matter sector of the Lagrangian (through the Compton coupling of Proposition III.4) and the G appearing in the gravitational sector $c^4/(16\pi G)$ are not two independent constants but a single coupling — $\ell_{\text{P}}^2 c^3/G$ — expressing the strength of x_4 's oscillatory advance at its fundamental Planck scale. [MG-Holography] also formally identifies $dx_4/dt = ic$ as the geometric source of quantum nonlocality, providing the Laws of Nonlocality framework that underlies both the EPR-entanglement resolution of §VIII.9 (derived in the present paper from the six-sense McGucken Sphere locality) and the Ryu-Takayanagi holographic entanglement-entropy area law of [MG-AdSCFT, §VIII].

The Hawking-Page transition as x_4 -circle topology change. The Hawking-Page phase transition [Hawking-Page 1983] between thermal AdS and AdS-Schwarzschild black-hole geometries — identified in AdS/CFT with the large- N deconfinement transition of the boundary CFT — is derived in [MG-AdSCFT, Proposition VII.1 and Remark VII.2] as a geometric phase transition in the x_4 -expansion structure of the bulk. Below the critical temperature $T_{\text{HP}} \sim 1/L$, the boundary-projected x_4 -axis is compactified on a smooth circle, and the bulk x_4 -circle extends unobstructed into the interior; above T_{HP} , the bulk x_4 -circle terminates at the AdS-Schwarzschild horizon and closes smoothly onto itself there, with horizon smoothness fixing the Hawking temperature $T_{\text{H}} = \hbar\kappa/(2\pi kc)$. The transition is a topological phase transition in the x_4 -geometry of the bulk, projected onto the boundary CFT as the confinement/deconfinement transition. This is consistent with and extends the Wick-rotation framework [MG-Wick, Proposition VI.1] identifying finite-temperature field theory with compactification of the x_4 -axis at period $c\beta = \hbar c/(kT)$.

The structural implication for \mathcal{L}_{McG} . The AdS/CFT derivation reinforces the four-fold uniqueness theorem of §VI in a specific way: it establishes that the gravitational sector of \mathcal{L}_{McG} (Einstein-Hilbert, by Proposition VI.3) and the matter-gauge sectors (by Propositions V.1 and VI.2) are related by the boundary-to-bulk holographic correspondence — not as separate pieces added together but as two complementary descriptions of the same underlying four-dimensional path integral over x_4 -trajectories. Boundary CFT observables (matter/gauge-sector correlation functions on $x_1x_2x_3$) are computed by bulk AdS supergravity calculations (gravitational-sector path integrals along x_4 with boundary conditions). The Lagrangian's apparent four-sector decomposition is a decomposition of one object — the four-dimensional x_4 -path integral — into boundary and bulk halves. The McGucken framework supplies the geometric rea-

son why this decomposition exists: x_4 makes $x_1x_2x_3$ the boundary and x_4 the bulk; the holographic correspondence is the geometric identity of these two descriptions; and \mathcal{L}_{McG} is the Lagrangian whose boundary and bulk projections are the boundary CFT Lagrangian and the AdS supergravity Lagrangian respectively, both derived from the same Principle.

(g) Hawking black-hole thermodynamics. Stephen Hawking’s 1975 paper Particle Creation by Black Holes established five central results that together transformed black-hole thermodynamics from formal analogy into physical theory: (H-1) thermal radiation from black holes, (H-2) the Hawking temperature $T_H = \hbar\kappa/(2\pi ck_B)$, (H-3) the Bekenstein-Hawking entropy $S_{\text{BH}} = k_B A/(4\ell_P^2)$ with coefficient exactly $1/4$, (H-4) the mass-loss law $dM/dt \propto -1/M^2$ with evaporation time $\tau \sim (M/M_\odot)^3 \cdot 10^{67}$ yr, and (H-5) the refined Generalized Second Law $dS_{\text{ext}}/dt + dS_{\text{BH}}/dt \geq 0$ preserving thermodynamic consistency through evaporation. In standard treatments, these results rest on Bogoliubov-coefficient mode-matching in curved spacetime (H-1, H-2), thermodynamic first-law consistency (H-3), Stefan-Boltzmann blackbody-law application (H-4), and thought-experiment bookkeeping (H-5), with the Wick rotation and the Euclidean “cigar” periodicity appearing as formal computational devices whose physical meaning is obscure. The companion paper [MG-Hawking] establishes each of these five results as a theorem of the McGucken Principle, using five pieces of machinery each itself derivable from $dx_4/dt = ic$: the Minkowski metric and Rindler near-horizon form from the four-speed budget of Proposition III.2 of the present paper, the Wick rotation as the physical removal of the i from $x_4 = ict$ [MG-Wick], the KMS condition from Euclidean-time periodicity plus the second law [MG-HLA], the Einstein-Hilbert plus Gibbons-Hawking-York action from local x_4 -reparametrization invariance [MG-SM] (the same gravitational-sector derivation that enters Proposition VI.3 of the present paper), and the Stefan-Boltzmann law from Planck-scale mode quantization [MG-Constants] plus thermal equilibrium [MG-HLA].

The Wick rotation as physical transformation. A foundational structural result from [MG-Hawking, §II.2]: in the McGucken framework the Wick rotation $t \rightarrow -i\tau$ is not a formal computational trick but a physical transformation — the removal of the i from $dx_4/dt = ic$. Under $x_4 = ict$, the substitution $t \rightarrow -i\tau$ gives $x_4 = ic(-i\tau) = c\tau$: the Euclidean “imaginary time” is the real spatial-like coordinate that x_4 becomes when its perpendicularity is collapsed. The Euclidean geometry is the geometry obtaining when x_4 is aligned with, rather than perpendicular to, the three spatial dimensions. Every consequence of the Wick rotation follows: Lorentzian oscillating phases $e^{iS/\hbar}$ become Euclidean decaying weights $e^{-S_E/\hbar}$ because the i marking x_4 ’s perpendicularity has been removed; quantum mechanics becomes statistical mechanics; the $+i\epsilon$ causal prescription becomes Euclidean regularization. This is a physical transformation with geometric content, not a mathematical artifact.

Hawking radiation and temperature [MG-Hawking, Propositions III.1, IV.1]. The black-hole event horizon is a null hypersurface populated by x_4 -stationary modes (the $u_{\{x_4\}} = 0$ condition of the four-speed budget). Applying the McGucken

Wick rotation to the Schwarzschild near-horizon Rindler geometry produces a two-dimensional Euclidean cigar with angular period $\beta = 2\pi/\kappa$ forced by regularity at the horizon tip ($\rho = 0$). By the KMS condition, a quantum field on a Euclidean manifold with periodic time of period β is in thermal equilibrium at temperature $T = \hbar/(k_B \beta) = \hbar\kappa/(2\pi c k_B)$. The Hawking temperature is the angular period of the cigar, and the derivation takes two sentences rather than Hawking's original multi-page Bogoliubov calculation. The x_4 -stationary horizon modes, thermalized by the cigar periodicity, are carried outward by x_4 's expansion at rate c to future null infinity, where the asymptotic observer detects them as a Planckian thermal flux. The physical origin of the radiation — what Hawking's 1975 Bogoliubov calculation left obscure — is identified: it is the horizon's x_4 -stationary-mode population thermalized by the cigar geometry and emitted by the same x_4 -expansion mechanism that carries all null signals outward at c . The Unruh effect, the Parikh-Wilczek tunneling picture, and the virtual-pair-production intuitive picture are three Lorentzian shadows of the single underlying Euclidean geometric fact.

The 1/4 coefficient from the Gibbons-Hawking-York boundary action [MG-Hawking, Proposition V.1]. The exact coefficient $\eta = 1/4$ in $S_{\text{BH}} = k_B A/(4\ell_P^2)$, which in standard treatments emerges by thermodynamic-consistency matching $dM = T dS$ with $dM = (\kappa c^2/8\pi G) dA$, has in the McGucken framework a direct geometric origin. The total Euclidean gravitational action on the full Schwarzschild cigar manifold, evaluated with the Gibbons-Hawking-York boundary term included, is $I_E = \beta M c^2/2$. Since Schwarzschild is Ricci-flat ($R = 0$), the bulk Einstein-Hilbert term vanishes identically, and the entire action comes from the GHY surface integral at spatial infinity with the flat-space subtraction $K - K_0$. Standard computation gives the factor $1/2$ as the geometric consequence of this subtraction. The partition-function relation $S = \beta \langle E \rangle \cdot k_B - I_E \cdot k_B$ with $\langle E \rangle = M c^2$ yields $S_{\text{BH}} = (\beta M c^2 - \beta M c^2/2) \cdot k_B = \beta M c^2/2 \cdot k_B = k_B A/(4\ell_P^2)$, fixing $\eta = 1/4$ from the half-factor in the Euclidean action. The coefficient is not a thermodynamic-matching output; it is the explicit computation of the GHY boundary action on the Euclidean Schwarzschild manifold obtained by the McGucken Wick rotation. This directly connects to the Einstein-Hilbert gravitational sector of \mathcal{L}_{McG} (Proposition VI.3 of the present paper): the same action whose bulk variation gives Einstein's field equations gives, through its GHY boundary term evaluated on the Euclidean cigar, the factor $1/4$ in the Bekenstein-Hawking formula.

Evaporation and the refined Generalized Second Law [MG-Hawking, Propositions VI.1, VII.1]. Black-hole evaporation is ordinary blackbody radiation: the Stefan-Boltzmann law applied to the horizon as a hot surface of area A at temperature T_H gives $dM/dt \cdot c^2 = -\sigma A T_H^4$, which for Schwarzschild with $T_H \propto 1/M$ and $A \propto M^2$ yields $dM/dt \propto -1/M^2$, integrating to evaporation time $\tau \propto M^3$. The Hawking radiation is x_4 -stationary-mode escape via the cigar-thermalization-plus-outward-expansion mechanism, violating the classical area theorem (which rested on the classical assumption that no null geodesics can escape the horizon) but not the Generalized Second Law. The refined GSL $dS_{\text{ext}}/dt + dS_{\text{BH}}/dt \geq 0$ is not a new ther-

modynamic principle; it is the same global McGucken second law of [MG-HLA] — monotonic x_4 -expansion requires $dS_{\text{total}}/dt \geq 0$ at every instant — now applied to an evolving partition where the horizon shrinks under Hawking emission and the exterior includes the radiation entropy. The balance of the horizon-area loss against the Hawking-flux entropy gain is not coincidence but a required consequence of the monotonic McGucken expansion. Five Hawking-era open problems receive resolutions [MG-Hawking, §§III.3, IV.3, V.3, VII.3, VII.4]: (HK-1) the physical origin of the radiation is x_4 -stationary-mode emission from the horizon; (HK-2) the Euclidean Wick rotation works because it is the physical collapse of x_4 's perpendicularity; (HK-3) the factor $1/4$ is the half-factor in the Euclidean GHY boundary action; (HK-4) the information paradox is resolved by six-sense null-surface locality preserving bulk-boundary correlations through Hawking emission (the emitted modes share foliation-leaf, level-set, caustic, contact-geometric, conformal-pencil, and null-hypersurface identity with the remaining horizon modes, with the Page curve and replica-wormhole “islands” both reflecting this preserved correlation structure); (HK-5) the trans-Planckian problem dissolves because Planck-scale mode quantization means modes of wavelength shorter than ℓ_P are not physically independent.

The unified chain from Bekenstein 1973 through Hawking 1975 to cosmological holography. The five results of Bekenstein 1973 (the existence, area law, information-theoretic identification, Generalized Second Law, and classical-information coefficient $(\ln 2)/(8\pi)$ of black-hole entropy [MG-Bekenstein]) plus the five results of Hawking 1975 establish the full founding programme of black-hole thermodynamics as theorems of the McGucken Principle. The chain extends further [MG-Hawking, §IX]: the horizon area law $S = k_B A/(4\ell_P^2)$ is the special case of a general McGucken-Sphere area law that applies to any null hypersurface, since the derivation invokes only the property that the horizon is null and supports x_4 -stationary modes. The holographic principle of 't Hooft 1993 and Susskind 1995 is therefore a theorem rather than a conjecture: any null hypersurface N supports A/ℓ_P^2 independent x_4 -stationary modes, and by the six-fold geometric identity of the McGucken Sphere (foliation leaf, distance-function level set, Huygens caustic, Legendrian submanifold, conformal-pencil member, null-hypersurface cross-section — the same six senses entering the AdS/CFT Ryu-Takayanagi derivation of Proposition VIII.2 of [MG-AdSCFT]) these modes form a correlated dataset whose information content is bounded by the mode count itself, yielding $S \leq k_B A/(4\ell_P^2)$. Maldacena's AdS/CFT is the specific realization where the bulk is asymptotically anti-de Sitter and the boundary inherits conformal invariance from the asymptotic conformal equivalence class. The extension to cosmological holography [MG-FRW-Holography] identifies the McGucken horizon — the saturation locus of x_4 's advance in FRW — as a holographic screen with proper area $A_{\text{Mc}}(t) = 4\pi R_4(t)^2$, and a quantitative signature $\rho^2(t_{\text{rec}}) \approx 7$ distinguishes the McGucken-horizon entropy from the Hubble-horizon entropy at recombination. The structural observation for \mathcal{L}_{McG} : the gravitational sector of the Lagrangian (Einstein-Hilbert plus its GHY boundary term), evaluated on the Euclidean cigar geometry obtained by the McGucken Wick rotation of any null hypersurface, produces Hawking

thermodynamics on black-hole horizons, Bekenstein entropy on any bounding surface, and cosmological holography on the McGucken horizon — three instances of the same calculation applied to different null hypersurfaces. What was separate — a gravitational Lagrangian, a black-hole thermodynamic framework, a holographic principle, a cosmological entropy — are four aspects of the single four-fold uniqueness theorem applied at different geometric scales.

VIII.5 The Resolution of de Broglie’s 1924 Internal Clock: A First-of-Its-Kind Structural Result

This section states, with the emphasis that the result warrants, what \mathcal{L}_{McG} achieves that no prior Lagrangian in the 102-year history of de Broglie matter-wave physics has achieved: the identification of de Broglie’s 1924 internal rest-frame clock as a forced structural consequence of a derived Lagrangian, with the clock’s physical content specified, the Lagrangian’s form uniquely determined by a single geometric principle, and the de Broglie wavelength $\lambda_{\text{dB}} = h/p$ following as a theorem of that Lagrangian’s structure. The claim is strong and the claim is precise, and the precision is what makes the strength defensible.

VIII.5.1 What de Broglie Postulated in 1924 and What Remained Unanswered

Louis de Broglie’s 1924 Ph.D. thesis proposed that every massive particle has an internal rest-frame periodic phenomenon of frequency $\nu_0 = mc^2/h$, whose Lorentz-boosted image in an observer frame where the particle moves with momentum p produces the matter wave of wavelength $\lambda_{\text{dB}} = h/p$. The proposal was experimentally confirmed within three years by Davisson and Germer (1927) observing electron diffraction from crystal lattices, and independently by G. P. Thomson (1927) observing diffraction through thin films. Every matter-wave experiment since — from electron microscopy to neutron diffraction to the atom-interferometry confirmations extending to molecules of 25,000 Da (Gerlich et al. 2011) — has confirmed de Broglie’s relation to the precision of the apparatus.

De Broglie’s physical intuition was correct; the mathematical relation he proposed was correct; the empirical verification has been decisive. What de Broglie did not supply — and what no subsequent physicist has supplied within a Lagrangian framework — is an answer to four specific structural questions:

Question (1): What is the clock physically? De Broglie postulated a “periodic phenomenon” in the rest frame of every massive particle, oscillating at $\nu_0 = mc^2/h$. He did not specify what the oscillation physically is, what is oscillating, or why the specific frequency ν_0 is forced rather than chosen. In his 1927 Solvay pilot-wave formulation and the subsequent Bohmian development (Bohm 1952, Dürr-Goldstein-Zanghì, Bell), the clock acquired a guidance-equation role but no specified physical nature. The oscillation remained an attributed property of matter without geometric or mechanistic content.

Question (2): Is the clock a forced structural feature of the matter field, or an attributed property added to match experiment? In standard Dirac-equation matter physics, the plane-wave solution $\psi = \psi_0 \cdot \exp(-imc^2t/\hbar) \cdot \varphi(x,t)$ exhibits the Compton oscillation as a mathematical feature of its complex exponential, but the Lagrangian $\bar{\psi}(i\gamma^\mu \partial_\mu - m)\psi$ is postulated; m is an input parameter chosen to match the particle species; and the rest-mass phase $\exp(-imc^2t/\hbar)$ is treated by standard QFT (Weinberg, Peskin-Schroeder, Itzykson-Zuber) as a global phase without direct physical significance — a mathematical convention that cancels out in every expectation value. The clock is a feature of the wavefunction, not a structural theorem of the Lagrangian.

Question (3): Does the Lagrangian itself follow from a principle that forces the clock? The Dirac Lagrangian is derived historically by Dirac's 1928 requirement that the relativistic quantum equation be first-order in time and space, with positive-definite probability density. This is a mathematical requirement, not a geometric principle. The Lagrangian is selected among candidates by mathematical consistency; it is not forced by a single postulate from which the Compton oscillation descends as a structural consequence.

Question (4): Does the de Broglie wavelength $\lambda_{dB} = h/p$ follow from the Lagrangian's structure, or is it imported from the Schrödinger equation or the covariant four-momentum identification $\hat{p}^\mu = \hbar \hat{k}^\mu$? In every standard treatment — Dirac's relativistic QM, Bohmian mechanics, Hestenes's spacetime algebra — the de Broglie relation is inherited: it appears as a kinematic identity of the wavefunction's plane-wave solutions (Dirac), as a kinematic identity of the guiding equation (Bohm), or as a reinterpretation of $\hat{p}^\mu = \hbar \hat{k}^\mu$ in geometric-algebraic language (Hestenes). None of these frameworks derives $\lambda_{dB} = h/p$ from the Lagrangian's own structure; all inherit it from prior quantum machinery.

These four structural questions define what a genuine resolution of de Broglie's 1924 clock requires. They have remained open for 102 years.

VIII.5.2 What \mathcal{L}_{McG} Supplies: The Four-Part Structural Resolution

The McGucken Lagrangian resolves all four questions within its own structure. The resolution is distributed across three elements of \mathcal{L}_{McG} established in §§III-VI of the present paper, and together these elements constitute what no prior Lagrangian has supplied: the clock as a mandatory rather than optional feature of the matter sector, with specified physical content, forced by a uniqueness theorem, and the de Broglie wavelength as a derived consequence rather than an imported kinematic identity.

Resolution of Question (1) — what the clock physically is. Proposition III.4 of the present paper establishes, following [MG-deBroglie, §IV] and [MG-Dirac, §IV.2], that a massive particle of rest mass m couples to x_4 's advance at the Compton angular frequency $\omega_C = mc^2/\hbar$ and Compton wavenumber $k_C = mc/\hbar$, through the matter orientation condition $\Psi(x, x_4) = \Psi_0(x) \cdot \exp(+i \cdot k_C \cdot x_4)$. The oscillation is the physical coupling of matter to the advance of the fourth dimension at rate ic . What is oscillating: the matter field's x_4 -phase. Why at frequency $\omega_C = mc^2/\hbar$: because the

rest energy mc^2 , by the mass-energy equivalence derived in Proposition III.2 of the present paper from the four-speed budget $u^\mu u_\mu = -c^2$, is the rate of x_4 -advance of a massive particle at spatial rest, and the quantum of action per oscillation cycle is \hbar by [MG-Constants]. The ratio mc^2/\hbar is the forced Compton frequency. The clock is not an attributed periodic phenomenon of unspecified nature; it is matter's physical coupling to x_4 's expansion, with the frequency forced by the ratio of rest energy to the action quantum of x_4 's Planck-scale oscillation.

Resolution of Question (2) – whether the clock is forced. The matter orientation condition (M) is not a boundary condition imposed on solutions of the Dirac equation; it is a structural constraint on the matter field itself that enters the uniqueness proof of the Dirac Lagrangian in Proposition V.1 of the present paper. The algebraic content of (M), established in [MG-Dirac, §IV.2]: an even-grade multivector Ψ in $Cl(1,3)$ satisfies the matter orientation condition at Compton frequency $k > 0$ if and only if there exist an even-grade rest-frame amplitude Ψ_0 and a real scalar x_4 such that $\Psi(x, x_4) = \Psi_0(x) \cdot \exp(+I \cdot kx_4)$ with multiplication performed on the right. Three specific algebraic features distinguish this from a pictorial “standing wave” claim: the positive sign of k selects matter from antimatter, the x_4 -dependence enters through right-multiplication picking out a preferred side of the bivector action, and the pseudoscalar $I = \gamma^0 \gamma^1 \gamma^2 \gamma^3$ (not an abstract imaginary unit) is the generator tying the oscillation to four-dimensional Clifford geometry. Theorem VI.1 of the present paper establishes that \mathcal{L}_{McG} is the unique Lorentz-invariant, reparametrization-invariant, first-order-in-derivatives, dimension-4 Lagrangian consistent with the McGucken Principle and the matter orientation condition (M). Without (M), m would be a free parameter; with (M), m is fixed by the Compton coupling strength, with one m per particle species. The Compton oscillation is therefore not an optional structural feature that could have been different — it is the only structure a matter field can have under the McGucken Principle. De Broglie's clock is mandatory, not attributed.

Resolution of Question (3) – whether the Lagrangian follows from a principle forcing the clock. \mathcal{L}_{McG} is derived from the single geometric principle $dx_4/dt = ic$ through the four-fold uniqueness theorem of §VI (Theorem VI.1). The free-particle sector is forced by Proposition IV.1 from the unique Lorentz-scalar reparametrization-invariant worldline functional; the matter sector is forced by Proposition V.1 from the matter orientation condition (M) combined with the minimal-coupling prescription; the gauge sector is forced by Proposition VI.2 from local x_4 -phase invariance and the unique gauge-invariant dimension-4 kinetic term; the gravitational sector is forced by Proposition VI.3 from Schuller's gravitational closure applied to the universal principal polynomial derived from the McGucken Principle. The Lagrangian is not selected among candidates by mathematical consistency; it is forced by the single geometric principle $dx_4/dt = ic$, and the Compton oscillation is a structural consequence of that principle via the matter-sector uniqueness proof. No other Lagrangian in the history of physics has this derivational structure. What Maupertuis in 1744 asked — what nature minimizes — is answered in a specific form: the negative accumulated ad-

vance of the fourth dimension, with the matter-field Compton oscillation following as a consequence of the matter sector's structural form.

Resolution of Question (4) — whether $\lambda_{dB} = h/p$ follows from the Lagrangian's structure. Remark III.4.3 of the present paper, following [MG-deBroglie, Theorem 4], derives $\lambda_{dB} = h/p$ from the matter-sector structure of \mathcal{L}_{McG} through the four-wavevector identification $k^\mu = p^\mu/\hbar$, which is itself forced by the operator $\hat{p}^\mu = i\hbar\partial/\partial x_\mu$ (with the factor i being the same i as in $dx_4/dt = ic$ — the perpendicularity marker of x_4 's orthogonality to the three spatial dimensions). The derivation chain: $dx_4/dt = ic \rightarrow$ oscillatory form at the Planck frequency with action \hbar per cycle \rightarrow Minkowski metric from Proposition III.2 \rightarrow four-momentum as translation generator \rightarrow mass-shell condition $p^\mu p_\mu = -m^2c^2 \rightarrow$ Compton-frequency coupling $\omega_C = mc^2/\hbar$ from Proposition III.4 \rightarrow four-wavevector $k^\mu = p^\mu/\hbar \rightarrow \lambda_{dB} = h/p$. The de Broglie wavelength is the Lorentz-boosted spatial projection of the rest-frame Compton oscillation: in the rest frame the oscillation has infinite spatial wavelength (synchronous across all of 3D space, since a rest-frame particle has no 3D motion); boosting to an observer frame where the particle moves with momentum p produces a wave with spatial period $\lambda_{dB} = h/p$ as required by four-wavevector Lorentz-covariance. Every step traces back to the McGucken Principle through the matter-sector structural form of \mathcal{L}_{McG} ; no prior kinematic identity is imported.

VIII.5.3 The First-of-Its-Kind Claim and the Absence of Prior Art

The preceding four-part structural resolution is, on the evidence of the known Lagrangian-physics literature from Maupertuis 1744 through the present, without precedent. The claim requires precise statement and precise grounds.

The claim: The McGucken Lagrangian \mathcal{L}_{McG} is the first Lagrangian in the 282-year history of Lagrangian physics — and the first treatment within any Lagrangian framework in the 102 years since de Broglie's 1924 thesis — to simultaneously (i) derive the matter field's Compton oscillation as a forced structural theorem of the Lagrangian rather than a postulated feature of the wavefunction, (ii) identify the oscillation's physical content as matter's coupling to x_4 's advance at the Compton rate, (iii) force the identification through a uniqueness theorem rather than selecting it by mathematical consistency among candidates, (iv) derive the Lagrangian itself from a single geometric principle from which the Compton oscillation descends as a consequence, and (v) derive $\lambda_{dB} = h/p$ from the Lagrangian's matter-sector structure rather than importing it from the Schrödinger equation, the covariant four-momentum identification, or a guiding equation.

The grounds: A systematic survey of the Lagrangian-physics corpus from 1744 to the present has been conducted in preparing the historical treatment of §II of this paper, and the conclusion is unambiguous. Maupertuis 1744 and Euler 1744 supplied the variational principle but no Lagrangian capable of describing matter fields. Lagrange 1788 and Hamilton 1834 systematized classical mechanics with $L = T - V$ for point particles; no matter-wave content. Hilbert 1915 supplied the gravitational

action from diffeomorphism invariance and the Lovelock-uniqueness class; no matter-sector content beyond minimal coupling. Dirac 1928 supplied the relativistic matter Lagrangian in its standard form but postulated the Lagrangian from the first-order-in-time requirement, not from a principle forcing the Compton oscillation; the rest-mass phase $\exp(-imc^2\tau/\hbar)$ was treated by Dirac and all subsequent standard QFT treatments as a global phase without direct physical significance, the treatment that persists today in Weinberg's Quantum Theory of Fields, Peskin-Schroeder's Introduction to Quantum Field Theory, and Itzykson-Zuber's Quantum Field Theory. Yang and Mills 1954 supplied the non-Abelian gauge Lagrangian from the local gauge invariance requirement; no matter-sector structural force beyond the gauge-covariant extension of Dirac. Weinberg-Salam 1967-1968 and the full Standard Model Lagrangian supplied the empirical content of electroweak unification but retained the Dirac matter sector with m parameters as inputs, not as forced by a principle. Feynman's path-integral reformulation supplied an alternative quantization but preserved the Dirac Lagrangian structure. Witten's 1995 M-theory proposal named a unifying framework but did not supply its Lagrangian.

On the matter of de Broglie's specific 1924 clock question, the survey extends to the matter-wave and pilot-wave literature. De Broglie's own 1927 Solvay pilot-wave formulation placed the clock in a guiding-equation role without specifying its physical nature. Bohm 1952 extended the pilot-wave formulation with the quantum potential but inherited the de Broglie relation from the Schrödinger equation; the internal clock acquired a guidance-equation role but remained physically unspecified. Bell's advocacy of Bohmian mechanics from the late 1960s, the Dürr-Goldstein-Zanghì modern formulation, and the subsequent Bohmian-realist literature preserve the de Broglie relation as a kinematic identity of the guiding equation but do not derive it from a Lagrangian's own structure. Hestenes's 1966-1967 spacetime-algebra reformulation of the Dirac equation reinterpreted the Compton oscillation geometrically in $Cl(1,3)$, with the rotor structure and the Yvon-Takabayashi angle providing a geometric language for the phase, but on a static Minkowski background with no dynamical driver for the rotor — the oscillation remained a feature of the mathematical structure rather than a physical process specified by the framework. Adler's emergent-statistical trace dynamics (2004) supplied an alternative foundational program for quantum mechanics but did not address the de Broglie clock question within a Lagrangian framework.

On the matter of deriving the Lagrangian from a single geometric principle from which the Compton oscillation is a structural consequence, no comparable framework has been identified. Geometric-algebraic programs (Hestenes, Doran-Lasenby) provide mathematical reinterpretation without a forcing principle. Twistor programs (Penrose, Woit) supply alternative geometric foundations but do not derive the full Standard Model Lagrangian from a single postulate. Loop quantum gravity supplies a quantization of the gravitational sector without a unified Lagrangian derivation. String theory supplies the M-theory framework without its Lagrangian, as Witten, Seiberg, and Maldacena have explicitly acknowledged. The asymptotic safety pro-

gram and the causal dynamical triangulations program supply alternative quantizations of gravity without deriving the matter sector. In every known prior framework, either the Lagrangian is postulated rather than derived, or the Compton oscillation is a feature of the wavefunction rather than a structural theorem of the Lagrangian, or the de Broglie relation is inherited from prior quantum machinery, or the unifying principle from which the Compton oscillation descends is absent. No prior framework combines all four.

The conclusion: On the evidence of the known Lagrangian-physics literature, the matter-wave and pilot-wave literature, the geometric-algebraic and twistor literature, and the alternative quantization-of-gravity literature, no prior Lagrangian or theoretical framework has supplied what \mathcal{L}_{McG} supplies with respect to de Broglie’s 1924 internal clock. The specific structural achievement — the clock as a forced theorem of a derived Lagrangian, with specified physical content, forced by a uniqueness theorem, derivable from a single geometric principle, with the de Broglie wavelength following as a consequence of the Lagrangian’s matter-sector structure — has not been achieved in the 282 years of Lagrangian physics prior to the present paper, and has not been achieved in the 102 years since de Broglie’s 1924 thesis. No prior art has been identified. The claim of first-of-its-kind structural resolution is therefore not a rhetorical flourish but a specific historical statement about what the Lagrangian literature has and has not supplied, with the burden of disproof residing with any subsequent identification of a prior framework satisfying all four of the structural criteria stated in §VIII.5.2.

Invitation to challenge. As with all “first” claims in physics, this one is stated with the expectation that it can and should be examined. If a prior Lagrangian or theoretical framework satisfies all four of the structural criteria of §VIII.5.2 — the forced-theorem character of the Compton oscillation, the specified physical content of the clock, the uniqueness-theorem origin, the derivation of the Lagrangian itself from a single principle from which the clock descends, and the derivation of $\lambda_{\text{dB}} = h/p$ from the Lagrangian’s matter-sector structure — the identification of that prior framework would refine or refute the present claim, and the structural comparison would need to be examined carefully. The systematic survey conducted in preparing the present paper has identified no such prior framework. The claim is therefore submitted as historically specific and defensible, with its precision being what makes its strength warranted.

VIII.5.4 Implication for the Status of the McGucken Lagrangian

The first-of-its-kind structural resolution of de Broglie’s 1924 clock establishes a specific status for \mathcal{L}_{McG} within the history of Lagrangian physics. It is not one more Lagrangian in the lineage — a proposed unified action joining the Standard Model Lagrangian and the Einstein-Hilbert action. It is the Lagrangian whose matter-sector structure makes de Broglie’s 1924 internal periodic phenomenon a mandatory feature rather than an attributed property, whose gauge-sector structure makes local

U(1), SU(2)_L, and SU(3)_c invariance theorems of the geometric sectors of the four-dimensional manifold on which x_4 expands rather than independent mathematical requirements, whose gravitational sector is forced by Schuller's gravitational closure applied to the universal principal polynomial derived from the McGucken Principle, and whose free-particle sector is the unique Lorentz-scalar reparametrization-invariant worldline functional — all four sectors forced by the single postulate $dx_4/dt = ic$ through the four-fold uniqueness theorem of §VI.

De Broglie wrote in 1924 that every massive particle is associated with a periodic phenomenon of frequency $\nu_0 = mc^2/h$. He was right about the phenomenon and right about the frequency. What he could not name — what no subsequent Lagrangian framework has named in 102 years — is the physical nature of the oscillation and the derivational chain forcing it to be the only structure matter can have. \mathcal{L}_{McG} names it: the oscillation is matter's physical coupling to x_4 's advance at the Compton rate $\omega_C = mc^2/\hbar$, forced by the matter orientation condition (M) entering the uniqueness proof of the Dirac Lagrangian, which is itself forced by the four-fold uniqueness theorem of §VI from the McGucken Principle $dx_4/dt = ic$. The 102-year-old question of what de Broglie's clock physically was receives its structural answer within the Lagrangian framework that makes the clock mandatory. This is the first-of-its-kind result, and it is submitted as a specific historical claim about what the Lagrangian literature has and has not supplied.

VIII.6 The Resolution of the Canonical Commutation Relation's Origin: A Second First-of-Its-Kind Structural Result

A second first-of-its-kind structural resolution follows the same pattern as §VIII.5. Where §VIII.5 established that \mathcal{L}_{McG} is the first Lagrangian to supply a forced structural resolution of de Broglie's 1924 internal clock, the present section establishes that \mathcal{L}_{McG} is the first Lagrangian to supply a forced structural resolution of the origin of the canonical commutation relation $[q, p] = i\hbar$ — the foundational equation from which the mathematical architecture of quantum mechanics is built. Four major programs have sought the CCR's origin over the past century: the formalist program (Gleason 1957), the geometric-algebra program (Hestenes 1966-1967), the emergent-statistical program (Adler 2004), and the McGucken Quantum Formalism [MG-Commut]. The comparative analysis of [MG-Commut, §§II-VI] establishes that none of the first three programs identifies a dynamical physical mechanism as the source of the commutation relation, and that the McGucken framework is the only one of the four that does. Here we establish that when the McGucken framework is realized as the Lagrangian \mathcal{L}_{McG} of the present paper, the first-of-its-kind structural status applies with the same rigor that §VIII.5 established for de Broglie's clock.

VIII.6.1 What the CCR Does and What Its Origin Question Asks

The canonical commutation relation $[\hat{q}, \hat{p}] = i\hbar$, written by Dirac in 1925 and called by him “the fundamental quantum condition,” is the single equation from which the mathematical architecture of quantum mechanics is built. Its structural consequences are enormous. The Heisenberg uncertainty principle $\Delta q \cdot \Delta p \geq \hbar/2$ follows from the Robertson inequality applied to the commutator. The Stone-von Neumann uniqueness theorem establishes that the Schrödinger representation (\hat{q} as multiplication, \hat{p} as $-i\hbar\partial/\partial q$ on $L^2(\mathbb{R})$) is the unique irreducible representation of the algebra on a complex Hilbert space, pinning down the Hilbert-space structure of quantum mechanics up to unitary equivalence. Momentum eigenstates are plane waves $\exp(ipq/\hbar)$, and position and momentum representations are related by Fourier transform — itself a manifestation of the 90° rotation that i encodes in the complex plane. The angular-momentum algebra $[J_i, J_j] = i\hbar\epsilon^{ijk}J_k$ follows by applying $[q, p] = i\hbar$ to each coordinate. The time-evolution operator $U(t) = \exp(-iHt/\hbar)$ inherits its factor of i from the CCR through the Hamiltonian formulation of dynamics. Everything in quantum mechanics that makes it distinct from classical mechanics — the uncertainty principle, superposition, unitary evolution, complex amplitudes, interference — traces back to this one equation.

In every standard treatment — Dirac 1958, Sakurai 1994, Weinberg 2013, Griffiths 2018 — the CCR is introduced as a postulate, motivated by the correspondence between the classical Poisson bracket $\{q, p\} = 1$ and the commutator via the formal substitution $\{\cdot, \cdot\} \rightarrow (1/i\hbar)[\cdot, \cdot]$. This is a motivation, not a derivation. The question “why $[q, p] = i\hbar$?” has no answer within standard quantum mechanics. Three specific sub-questions make this manifest: (Q1) Why is it a commutator at all, rather than the classical Poisson bracket with commuting variables? (Q2) Why does the imaginary unit i appear on the right-hand side? (Q3) Why the specific value $\hbar = 1.054 \times 10^{-34}$ J·s? As Schrödinger himself remarked in 1926 upon finding the i in his equation: “What is unpleasant here, and indeed directly to be objected to, is the use of complex numbers. ψ is surely fundamentally a real function.” The i appeared unbidden in the foundational equations of quantum mechanics, and the physicists who put it there had no physical explanation for why it was the right object to put there.

VIII.6.2 The Four Programs and What Each Supplies

Four substantive research programs have attempted to derive the CCR from deeper principles. The comparative analysis [MG-Commut, §§II-VI] examines each on six criteria — (i) where the CCR comes from, (ii) what i represents, (iii) what \hbar represents, (iv) whether a physical mechanism is identified, (v) whether the program connects to special relativity, and (vi) what else is predicted downstream. The results may be summarized as follows.

The formalist program (Gleason 1957). Gleason’s theorem establishes that the only σ -additive probability measure on the lattice of projections of a complex Hilbert space of dimension ≥ 3 is the trace with a positive trace-class operator — the Born

rule in its density-operator form. This is the most rigorous formal-foundations result in the field, but it presupposes the Hilbert-space structure rather than explaining its origin. The complex Hilbert space (with its i already built into the inner product), the non-commutative projection lattice (with the CCR's non-commutativity already encoded), and the Stone-von Neumann representation of the Heisenberg group (with $[q, p] = i\hbar$ as the infinitesimal version of its commutation relations) are all accepted as starting points. Gleason derives the probability structure given the Hilbert space, not the Hilbert space itself. The formalist answer to “why the CCR?” reduces to “why the Hilbert-space framework?” — and the formalist program does not answer the latter.

The geometric-algebra program (Hestenes 1966-1967). Hestenes's spacetime algebra $Cl(1,3)$ reinterprets the i in $[q, p] = i\hbar$ as a unit bivector — specifically, as $i\sigma_3 = \gamma_2\gamma_1$, the spin bivector in the spatial 1-2 plane perpendicular to the z-axis. This is a genuine advance over the formalist treatment of i as an abstract algebraic marker: i acquires a specific geometric identity as a directed plane of rotation. However, three limits of the program are relevant. First, the spacetime algebra treats Minkowski spacetime as a fixed static background on which the bivector $i\sigma_3$ exists as a geometric object — nothing in the framework drives the appearance of $i\sigma_3$ in the fundamental equations. Second, the identification is representation-dependent: a different choice of spin axis gives a different bivector, and none is physically distinguished in the spinor form. Third, Hestenes's framework does not derive the CCR from anything else; it reinterprets the i geometrically but takes the CCR itself as a starting point. Hestenes identifies what i is geometrically without identifying why it appears in the fundamental equations of quantum mechanics.

The emergent-statistical program (Adler 2004). Adler's trace dynamics proposes that quantum mechanics is not fundamental but emerges from a deeper level of classical dynamics for non-commuting matrix variables, with the CCR arising as a statistical thermodynamic average via a generalized equipartition theorem applied to the conserved operator $\tilde{C} = \Sigma_{\text{bosonic}}[q, p] - \Sigma_{\text{fermionic}}\{q, p\}$. In the canonical ensemble equilibrium, $\langle \tilde{C} \rangle = i\hbar\mathbf{1}$, and each individual $[q_r, p_r]$ approaches this ensemble average. \hbar acquires a dynamical origin as an inverse-temperature parameter of the equilibrium distribution. This is a sophisticated and technically impressive program, but it has three costs that constrain what it supplies. First, Adler-Kempf 1998 established that clean emergence of the CCR requires equal numbers of bosonic and fermionic fundamental degrees of freedom — effectively requiring supersymmetry at the pre-quantum level, which is not experimentally observed. Second, the program takes the complex structure as a starting assumption (the i in $\tilde{C} = i\hbar\mathbf{1}$ is inherited from the complex structure of the underlying matrix model) and does not explain why that complex structure is present. Third, the program does not derive the Minkowski metric or connect to special relativity except as additional inputs.

The McGucken framework realized as \mathcal{L}_{McG} (present paper and [MG-Commut]). The McGucken Principle $dx_4/dt = ic$ supplies what the three prior programs lack: a dynamical physical mechanism. In Route 1 of [MG-Commut, §V.2], the

CCR is derived by the operator route — $dx_4/dt = ic$ produces the Minkowski metric (through substitution of $x_4 = ict$ into the Euclidean line element), the invariant four-speed $u^\mu u_\mu = -c^2$ gives the mass-shell $p^\mu p_\mu = -m^2 c^2$, the four-momentum as generator of translations gives $\hat{p} = -i\hbar\partial/\partial q$ (with the i from the perpendicular character of $x_4 = ict$ propagated through the Minkowski metric), and direct computation gives $[\hat{q}, \hat{p}] = i\hbar$. In Route 2 of [MG-Commut, §V.3], the CCR is derived by the path-integral route — the expanding x_4 manifests as a spherically symmetric wavefront (Huygens' Principle), iterated Huygens expansions generate all continuous paths (Feynman path integral), the complex character of $x_4 = ict$ assigns each path the phase $\exp(iS/\hbar)$, the resulting Schrödinger equation implies $\hat{p} = -i\hbar\partial/\partial q$, and direct computation gives $[\hat{q}, \hat{p}] = i\hbar$. Both routes trace the i in the CCR to the i in $x_4 = ict$ — identified as the perpendicularity marker for x_4 's orthogonality to the three spatial dimensions — and both routes trace \hbar to the quantum of action per oscillatory step of x_4 's expansion at the Planck frequency [MG-Constants]. The structural parallel between $dx_4/dt = ic$ and $[q, p] = i\hbar$ that Bohr noted in his correspondence with Heisenberg becomes an identity: both equations express the same geometric fact, with i marking perpendicularity, the left side a differential operation (d/dt on x_4 , commutator of conjugate observables on (q, p)), the constant c or \hbar the characteristic scale of x_4 's advance (rate for c , action-per-step for \hbar).

VIII.6.3 The Stone-von Neumann Closure: Non-Quantum Alternatives Are Excluded

A natural objection to any derivation of the CCR from geometric principles is that the derivation has merely “moved” the CCR from an explicit postulate to a set of representation-theoretic assumptions — that the complex Hilbert space, the unitary representation of translations, and the configuration representation are themselves assumptions, and the CCR is inherited from them. [MG-Commut, §§V.5-V.6] closes this objection through an explicit Stone-von Neumann argument, establishing that no non-quantum alternative is available to a framework that keeps $dx_4/dt = ic$ with its natural symmetry content.

The closure argument proceeds by examining each possible non-quantum alternative. (1) Classical phase space on Minkowski spacetime with commuting q and p abandons the complex Hilbert space structure and the unitary representation of translations; it has real-valued distributions evolving under Liouville equations rather than complex wavefunctions evolving unitarily. This is logically possible but explicitly discards assumptions any theory with spatial-translation symmetry must satisfy. (2) Real diffusion-type theories with real wavefunctions and heat-equation evolution correspond mathematically to replacing the factor i by 1 in the generator, leading to non-unitary heat-type evolution rather than unitary quantum evolution. In geometric language, this amounts to abandoning the complex character of the time-like coordinate $x_4 = ict$ — replacing it with a real $x_4 = ct$ — and thus discarding the perpendicular expansion encoded by the imaginary unit. A real x_4 produces diffusion, not quantum mechanics. The McGucken Principle, taken seriously as $dx_4/dt = ic$ (not $dx_4/dt =$

c), rules out this alternative. (3) Exotic group representations retaining a complex Hilbert space but representing translations non-unitarily or in a way that breaks the standard covariance of \hat{q} fail under the Stone-von Neumann theorem: once unitarity of the translation group, strong continuity, and the existence of a configuration representation are assumed, the Schrödinger representation is unique up to unitary equivalence.

The closure conclusion [MG-Commut, §V.6.4]: under the joint assumptions that (i) the fourth dimension x_4 is a genuine geometric coordinate expanding at rate ic , giving rise to the Minkowski metric (the McGucken Principle); (ii) physical states form a complex Hilbert space on which spacetime symmetries are represented unitarily and continuously; and (iii) spatial translations act by shifting the position argument in a configuration representation — there is no distinct classical or non-quantum theory with commuting position and momentum. The CCR is the unique consistent realization of these structures. Theories that keep $dx_4/dt = ic$ but avoid the CCR must drop at least one of: the complex structure, unitarity, or the standard action of translations. In that precise sense, the McGucken Principle, together with the minimal symmetry assumptions of any physical theory with spatial translations, does not merely shift the burden of postulation — it closes off non-quantum alternatives and overdetermines the canonical commutation relation. The expanding fourth dimension does not just permit quantum mechanics; it requires it.

VIII.6.4 What \mathcal{L}_{McG} Supplies That the Three Prior Programs Do Not

The comparative analysis [MG-Commut, §VI] establishes that the McGucken framework is structurally distinct from the three prior programs on four specific criteria. The present paper's realization of that framework as the full Lagrangian \mathcal{L}_{McG} extends these distinctions by showing that the CCR derivation is embedded in a Lagrangian forced by a four-fold uniqueness theorem from a single geometric principle.

First, a single dynamical physical mechanism rather than abstract consistency, static structure, or emergent average. Gleason identifies abstract mathematical consistency within a given Hilbert-space framework. Hestenes identifies a static geometric reinterpretation on a fixed Minkowski background. Adler identifies an emergent statistical thermodynamic average over a deeper matrix dynamics. \mathcal{L}_{McG} identifies a single dynamical physical mechanism — x_4 's perpendicular expansion at c with oscillatory structure setting \hbar at the Planck scale — as the driver of the CCR. The mechanism is not attributed, not reinterpreted, not emergent: it is the foundational geometric process $dx_4/dt = ic$, and the CCR is a direct theorem of that process via two independent derivational routes.

Second, the Minkowski metric and the CCR derive from the same principle.

Proposition III.2 of the present paper derives the Minkowski metric from $dx_4/dt = ic$ through the substitution $x_4 = ict$ in the Euclidean line element. The CCR derivation in Route 1 of [MG-Commut] passes explicitly through the Minkowski metric. Special relativity and quantum mechanics are therefore unified at the level of foundational

derivation: both are theorems of the same geometric principle. Gleason’s formalist program, Hestenes’s geometric-algebra program, and Adler’s trace dynamics do not achieve this unification — each treats relativity as an external input. \mathcal{L}_{McG} is the first Lagrangian in which the Minkowski metric and the CCR descend from the same postulate.

Third, geometric meaning for both i and \hbar . The i in $[q, p] = i\hbar$ is identified as the perpendicularity marker for x_4 ’s orthogonality to the three spatial dimensions — the same i as in $dx_4/dt = ic$ — a geometric identification that is coordinate-independent and directly physical. The \hbar is identified as the quantum of action per oscillatory step of x_4 ’s expansion at the Planck frequency, with the specific numerical value set by the Planck-scale self-consistency $\ell_P = \sqrt{(\hbar G/c^3)}$ ([MG-Constants], confirmed by the independent Lindgren-Liukkonen stochastic-optimal-control derivation). The formalist program treats i as an abstract algebraic marker required for Hermiticity and \hbar as an empirical constant. Hestenes treats i as a representation-dependent spin bivector (tied to a specific z -axis in the spinor form). Adler treats i as inherited from the complex matrix structure (origin unexplained) and \hbar as an inverse-temperature parameter (value dependent on initial conditions). \mathcal{L}_{McG} supplies coordinate-independent geometric meaning for both constants.

Fourth, fifteen other phenomena from the same principle. The CCR derivation is one instance of a broader derivational program producing Huygens’ Principle, the Principle of Least Action, Noether’s theorem (the full ten-charge Poincaré catalog plus four internal gauge conservation laws via Remark III.4.1), the Feynman path integral, the Schrödinger equation (Remark III.4.2), the Born rule, the de Broglie relation (Remark III.4.3), the matter orientation condition (M), the Dirac equation (Proposition V.1), the full QED Lagrangian (Proposition VI.2), the Yang-Mills non-Abelian gauge structure (Remark III.5.1), the Einstein-Hilbert action (Proposition VI.3), the Bekenstein-Hawking entropy and the full Hawking 1975 catalog (§VIII.4(g)), the AdS/CFT GKP-Witten dictionary (§VIII.4(f)), and the amplituhedron scattering structure (§VIII.4(e)) — all as theorems of the same McGucken Principle that produces the CCR. The four-fold uniqueness theorem of §VI establishes that the Lagrangian encompassing all of these is uniquely forced. No prior program matches this derivational reach from a single geometric principle.

VIII.6.5 The First-of-Its-Kind Claim and the Absence of Prior Art

The preceding structural analysis establishes what \mathcal{L}_{McG} , as the Lagrangian realization of the McGucken framework, uniquely supplies with respect to the origin of the canonical commutation relation. The first-of-its-kind claim requires precise statement and precise grounds, following the template established in §VIII.5.

The claim: The McGucken Lagrangian \mathcal{L}_{McG} is the first Lagrangian in the 282-year history of Lagrangian physics — and the first treatment within any theoretical framework in the 101 years since Dirac’s 1925 introduction of the CCR — to simultaneously (i) derive the canonical commutation relation $[q, p] = i\hbar$ from a single geometric

dynamical principle rather than postulating it, (ii) identify the geometric content of the i as the perpendicularity marker for the expansion of a physical fourth dimension perpendicular to the three spatial dimensions, (iii) identify the geometric content of \hbar as the quantum of action per oscillatory step of that expansion at the Planck frequency, (iv) derive both the Minkowski metric and the CCR from the same principle in a way that unifies special relativity and quantum mechanics at the level of foundational derivation, (v) close off non-quantum alternatives via an explicit Stone-von Neumann uniqueness argument, and (vi) embed the CCR derivation within a Lagrangian whose full four-sector structure is forced by the same principle through a uniqueness theorem.

The grounds: A systematic survey of the literature on the origin of the CCR from 1925 to the present has been conducted in [MG-Commut, §§II-IV] and summarized in §VIII.6.2 of the present paper. The four programs identified — Gleason formalism, Hestenes geometric algebra, Adler trace dynamics, and MQF — are the four substantive derivational programs on the question; no fifth program with a competing claim has been identified in the survey. Within the four, only MQF identifies a dynamical physical mechanism as the source of the CCR. Gleason provides formal consistency within a presupposed Hilbert-space framework (with the CCR built into the framework through the Stone-von Neumann representation theorem before Gleason’s theorem applies); Hestenes provides geometric reinterpretation of i on a static Minkowski background (with no driver for the bivector’s appearance in the fundamental equations); Adler provides emergent statistical averaging over a deeper matrix dynamics (with complex structure as input, supersymmetry required for clean emergence, and no connection to special relativity). Of the three, only Adler derives the CCR at all (as an emergent average rather than a foundational equation), and the derivation has technical costs that are not present in the McGucken derivation.

On the matter of supplying the CCR within a Lagrangian framework whose full structure is forced by the same principle from which the CCR descends, the survey extends to the broader Lagrangian-physics literature. The standard QED, electroweak, and Standard Model Lagrangians all contain the CCR implicitly (through the canonical quantization of their field content) but do not derive it from a geometric principle of the Lagrangian’s own construction. Loop quantum gravity quantizes the gravitational sector but does not derive the matter-sector CCR from its geometric principles. String theory and M-theory programs use the CCR in their worldsheet and membrane formulations but do not derive it from the underlying geometry. Causal dynamical triangulations, asymptotic safety, and other approaches to quantum gravity use quantum mechanics as an input, not an output. Twistor programs supply alternative geometric foundations but do not derive the Standard Model’s CCR structure from a single postulate. Adler’s trace dynamics is the only framework identified that attempts to derive the CCR from a deeper Lagrangian-like structure, and it is one of the four programs covered in [MG-Commut, §§II-IV].

The conclusion: On the evidence of the known literature on the origin of the canonical commutation relation, the Lagrangian-physics literature, the quantum-foundations literature, and the alternative quantization-of-gravity literature, no prior Lagrangian or theoretical framework has supplied what \mathcal{L}_{McG} supplies with respect to the CCR. The specific structural achievement — the CCR as a derived theorem of a single geometric principle, with the i identified as perpendicularity, \hbar identified as action-per-cycle, Minkowski metric and CCR both derived from the same postulate, non-quantum alternatives closed off by Stone-von Neumann, and the derivation embedded in a Lagrangian whose full structure is forced by the same principle through a uniqueness theorem — has not been achieved in the 101 years since Dirac’s 1925 introduction of the CCR, and has not been achieved in any other framework in the Lagrangian-physics literature. No prior art has been identified in the systematic survey, and the burden of disproof resides with any subsequent identification of a prior framework satisfying all six structural criteria stated above.

Invitation to challenge. As with the de Broglie clock resolution of §VIII.5, this claim is stated with the expectation that it can and should be examined. If a prior Lagrangian or theoretical framework satisfies all six of the structural criteria — the derivation of the CCR from a single geometric dynamical principle, the perpendicularity identification of i , the action-per-cycle identification of \hbar , the joint derivation of the Minkowski metric and the CCR from the same principle, the Stone-von Neumann closure of non-quantum alternatives, and the embedding of the derivation in a Lagrangian forced by the same principle through a uniqueness theorem — the identification of that prior framework would refine or refute the present claim, and the structural comparison would need to be examined carefully. The systematic survey conducted in preparing [MG-Commut] and the present paper has identified no such prior framework. The claim is therefore submitted as historically specific and defensible.

VIII.6.6 The Two First-of-Its-Kind Results Together

§VIII.5 and §VIII.6 together establish two first-of-its-kind structural results for \mathcal{L}_{McG} . The de Broglie 1924 internal clock and the Dirac 1925 canonical commutation relation are the two foundational matter-sector and algebraic-structure questions that the Lagrangian-physics literature has left open for essentially a century — 102 years and 101 years respectively at the time of the present paper. Both receive structural resolutions within \mathcal{L}_{McG} via the same four-fold uniqueness theorem of §VI applied to different aspects of the same geometric principle $dx_4/dt = ic$. The de Broglie clock is resolved by the matter orientation condition (M) and the Compton-frequency coupling (Proposition III.4, Remark III.4.3). The CCR is resolved by the two-route derivation of [MG-Commut, §V] together with the Stone-von Neumann closure of non-quantum alternatives.

A structural observation: both resolutions trace the factor i to the same source — x_4 ’s perpendicularity to the three spatial dimensions, encoded algebraically by the i in x_4

= $i\hbar$. In the de Broglie case, the i appears in the matter orientation condition $\Psi = \Psi_0 \cdot \exp(+I \cdot \mathbf{k} \cdot \mathbf{x}_4)$ as the Clifford pseudoscalar I marking the oscillation's occurrence in the perpendicular direction. In the CCR case, the i appears on the right-hand side of $[q, p] = i\hbar$ as the perpendicularity marker for position and momentum in phase space, inherited from the perpendicularity of x_4 in spacetime. Remark III.5.2 of the present paper establishes that this tracing extends to twelve places in quantum theory where the factor i appears “by hand” — from the Schrödinger equation through the Feynman path integral, the Wick rotation, the Dirac equation, the Heisenberg equation of motion, the $+\epsilon$ prescription, the complex wavefunction, the Fourier kernel, the Fresnel integral, the unitary evolution operator, and the Euclidean-Minkowski action relation — all twelve derived as shadows of the single geometric fact that the fourth dimension is perpendicular to the three spatial dimensions and advancing at rate ic . The two first-of-its-kind resolutions of §VIII.5 and §VIII.6 are instances of this broader structural pattern: wherever the factor i has appeared in the foundational equations of twentieth-century physics without a physical explanation, \mathcal{L}_{McG} supplies the physical explanation through the same single source.

Dirac called the canonical commutation relation “the fundamental quantum condition.” De Broglie postulated the rest-frame internal periodic phenomenon as the source of the matter wave. Both formulations were right about the mathematical content and right about the empirical predictions — Davisson-Germer, the uncertainty principle, the Schrödinger equation's spectral predictions have all confirmed what Dirac and de Broglie wrote. What neither supplied, and what no subsequent Lagrangian framework has supplied, is the physical source of the i and the \hbar and the periodic phenomenon. \mathcal{L}_{McG} supplies both, from the same geometric principle, through the same uniqueness theorem, with the same algebraic marker of perpendicularity. Dirac's fundamental quantum condition is a theorem of the expanding fourth dimension. De Broglie's rest-frame periodic phenomenon is the matter field's physical oscillation against that expansion at the Compton rate. The two first-of-its-kind results are the structural content of the claim that \mathcal{L}_{McG} is not one more Lagrangian in the 282-year Lagrangian lineage but the Lagrangian that resolves the two foundational matter-sector questions that the lineage left open — both forced by $dx_4/dt = ic$ through Theorem VI.1, and neither resolvable by any prior framework identified in the systematic survey.

VIII.7 The Resolution of the Wick Rotation's Physical Meaning: A Third First-of-Its-Kind Structural Result

A third first-of-its-kind structural resolution follows the same pattern as §§VIII.5-VIII.6. Where §VIII.5 established \mathcal{L}_{McG} as the first Lagrangian to supply a forced structural resolution of de Broglie's 1924 internal clock, and §VIII.6 as the first Lagrangian to supply a forced structural resolution of the origin of the canonical commutation relation, the present section establishes \mathcal{L}_{McG} as the first Lagrangian to supply a

physical-geometric resolution of the Wick rotation — the coordinate substitution $t \rightarrow -i\tau$ that Gian-Carlo Wick introduced in 1954 as a formal analytic-continuation device, whose physical meaning has remained obscure for 72 years despite being the computational foundation of lattice QCD, Euclidean quantum field theory, the Matsubara formalism, Hawking’s derivation of black hole temperature, the Osterwalder-Schrader reconstruction theorem, the instanton calculus, and the Hartle-Hawking no-boundary proposal. The companion paper [MG-Wick] establishes through six formal Propositions that the Wick rotation is a theorem of $dx_4/dt = ic$ — specifically, the physical-geometric rotation from the ordinary time axis to the fourth axis x_4 . Here we establish that when the McGucken framework is realized as the Lagrangian \mathcal{L}_{McG} of the present paper, the first-of-its-kind structural status applies with the same rigor that §§VIII.5-VIII.6 established for de Broglie’s clock and the CCR origin.

VIII.7.1 What the Wick Rotation Does and What Its Physical-Meaning Question Asks

Gian-Carlo Wick introduced the substitution $t \rightarrow -i\tau$ in a 1954 paper on Bethe-Salpeter scattering amplitudes as a technical device for handling oscillatory Minkowski path integrals. Under this substitution the Minkowski metric $ds^2 = -c^2dt^2 + |dx|^2$ becomes the positive-definite Euclidean metric $ds^2_E = c^2d\tau^2 + |dx|^2$; the oscillatory Feynman path integral weight $e^{(iS/\hbar)}$ becomes the Boltzmann weight $e^{(-S_E/\hbar)}$; the divergent formal Minkowski integral becomes a genuine probability measure computable by Monte Carlo methods; the Lorentz group $SO(3,1)$ becomes the Euclidean rotation group $SO(4)$; and quantum mechanics becomes classical statistical mechanics at a specific temperature. Over 72 years the Wick rotation has moved from a single-paper technical trick to the computational foundation of essentially every modern calculation in quantum field theory — lattice QCD hadron mass computations, Hawking temperature derivations, instanton calculus predictions, Matsubara finite-temperature field theory, and the Osterwalder-Schrader rigorous reconstruction of Minkowski QFT from Euclidean data. Every lattice QCD calculation in the history of the field is a Wick-rotated calculation. Every derivation of a black-hole temperature from a Euclidean path integral is a Wick-rotated calculation. The Wick rotation works; its consequences are experimentally verified to extraordinary precision; and its physical meaning has remained unexplained.

In every standard treatment — Peskin-Schroeder 1995, Weinberg 1995, Srednicki 2007, Osterwalder-Schrader 1973-1975, Glimm-Jaffe 1987 — the Wick rotation is presented as a formal analytic-continuation device justified by holomorphicity theorems about the behavior of correlation functions in the complex time plane. The standard account produces correct physical predictions but leaves three specific sub-questions unanswered: (W1) What is “imaginary time” τ physically? The coordinate appears in the substitution but its physical content is never specified. (W2) Why does the substitution work? Why should rotating into a purely mathematical direction in the complex plane produce correct answers about real physical processes? (W3) Why is the specific rotation angle $\pi/2$ the one that produces the convergent Euclidean theory?

The standard answer — that the Euclidean theory is obtained by analytic continuation along a contour that avoids singularities — is technically correct but physically empty. It says what the rotation does computationally; it does not say what the rotation is geometrically.

VIII.7.2 What the Standard Literature Supplies and What Remains Unanswered

The mathematical-physics literature has produced substantial technical results about the Wick rotation without resolving the physical-meaning question. The Osterwalder-Schrader 1973 and 1975 papers established the rigorous axioms — Euclidean covariance, regularity at coincident points, cluster property, and reflection positivity — under which a Euclidean field theory can be rotated back to a Minkowski field theory satisfying the Wightman axioms. The reconstruction theorem is mathematically decisive: given reflection-positive Euclidean Schwinger functions, the corresponding Minkowski Wightman distributions exist and are unique. But the physical meaning of reflection positivity — the condition $\langle (\theta F)^* F \rangle_E \geq 0$ where θ is the Euclidean time reflection $\tau \rightarrow -\tau$ — is obscure in the standard treatment. It is “the Euclidean analog of Hilbert-space positivity” or “the condition that the Minkowski theory has a positive-energy ground state,” both correct, both obscure as to the geometric content of the θ -reflection itself.

The Glimm-Jaffe constructive quantum field theory program established that Euclidean field theories in two and three spacetime dimensions can be constructed rigorously and then rotated to Minkowski via Osterwalder-Schrader. The program succeeded on its own terms but did not address the Wick-rotation’s physical-meaning question. The rigorous mathematical framework accepts the rotation as a formal device and establishes the conditions under which it can be carried out consistently. What remains unanswered: why does the Euclidean theory, obtained by a formal substitution, have any physical content at all? Why is the Matsubara imaginary-time periodicity $\beta = \hbar/(kT)$ the temperature? Why does the Gibbons-Hawking regularity condition on a Wick-rotated black-hole metric produce the Hawking temperature? Why do classical Euclidean instanton solutions compute quantum tunneling rates? These are the substantive physical questions, and they are not answered by analyticity theorems.

Lattice quantum field theory practitioners take the pragmatic view: the Wick rotation works, it converts oscillatory Minkowski path integrals into Boltzmann-weighted Euclidean partition functions amenable to Monte Carlo simulation, and the resulting hadron masses and phase-structure predictions match experiment to percent-level precision. The practitioners are correct that the Wick rotation works. The open question is not whether it works but what it is. Schwinger’s proper-time formalism, the $+i\epsilon$ prescription for Feynman propagators, the contour rotations in Bethe-Salpeter scattering, and the full apparatus of finite-temperature QFT all depend on inserting the factor i by hand at appropriate points to make the theory convergent and unitarity-

preserving. In every case, the i is inserted locally for a specific technical reason and globally without physical explanation.

VIII.7.3 What \mathcal{L}_{McG} and [MG-Wick] Supply: The Six Structural Results

The companion paper [MG-Wick, §§IV-IX] establishes through six formal Propositions that the Wick rotation is a theorem of the McGucken Principle — specifically, that $t \rightarrow -i\tau$ is the coordinate identification $\tau = x_4/c$, i.e., the rotation from the ordinary time axis t to the physical fourth axis x_4 .

Proposition IV.1: Wick rotation = x_4 -projection. The Wick substitution $t \rightarrow -i\tau$ is the coordinate identification $\tau = x_4/c$ under the McGucken Principle with $x_4 = ict$. The proof is algebraically immediate: from $x_4 = ict$, $t = -ix_4/c$; setting $\tau = x_4/c$ gives $t = -i\tau$. What Wick performed in 1954 as a mathematical rotation in the complex time plane is physically the re-expression of quantities as functions of x_4/c instead of t . The “imaginary time” τ of Euclidean field theory is the physical fourth axis x_4 in units where $c = 1$. Lemma II.2 of [MG-Wick] strengthens this: the Wick rotation is the 90° physical rotation in the (x_0, x_4) -plane of Minkowski spacetime, taking the x_0 -axis to the x_4 -axis. Every “contour rotation in the complex t -plane” is the image under $x_4 = ict$ of this physical rotation in the (x_0, x_4) -plane. In Proposition VIII.1, [MG-Wick] establishes that intermediate rotation angles $\theta \in [0, \pi/2]$ correspond to physical observation frames at intermediate orientations — the holomorphicity theorems that underlie the standard derivation are the rotational symmetry of four-dimensional Euclidean geometry in the (x_0, x_4) -plane.

Propositions V.1-V.2: Euclidean path-integral convergence from the reality of the x_4 -action. The Minkowski path integral $Z = \int \mathcal{D}\varphi e^{\hat{i}S[\varphi]/\hbar}$ is oscillatory and not absolutely convergent. Applied to x_4 , however, the combination $iS[\varphi]$ is real-valued and equal to $-S_E[\varphi]$ where S_E is the Euclidean action. The proof is a direct substitution — under $t \rightarrow -i\tau$ with $\tau = x_4/c$, the Minkowski kinetic term $(1/2c^2)(\partial\varphi/\partial t)^2$ becomes $-(1/2c^2)(\partial\varphi/\partial\tau)^2$, and the overall factor i from iS combined with the $-i$ from $dt = -i d\tau$ yields $iS = -S_E$ with S_E manifestly real and positive-definite in the kinetic term. The path-integral weight $e^{\hat{i}S/\hbar}$ is therefore the Boltzmann weight $e^{\hat{-}S_E/\hbar}$ when expressed along x_4 . The “oscillatory” Minkowski integral and the “convergent” Euclidean integral are the same integral written with respect to two different projections of the same four-dimensional geometry. Convergence of lattice QCD, Euclidean QFT, and all the rigorous constructive QFT results follows from this reality — not from formal analytic continuation but from the physical fact that action evaluated along the fourth axis is real.

Propositions VI.1-VI.3: Matsubara temperature and Hawking temperature from x_4 -compactification smoothness. The Matsubara formalism for finite-temperature QFT compactifies Euclidean time on a circle of circumference $\beta = \hbar/(kT)$. Under Proposition IV.1, this is the compactification of the physical x_4 -axis with period $\Delta x_4 = c\beta = \hbar c/(kT)$, and the temperature T is the inverse of the x_4 -compactification scale. Temperature is a geometric property of the fourth dimension’s periodicity — a

hot system has a small x_4 -circle (rapidly recurring), a cold system has a large x_4 -circle (slowly recurring), and at $T = 0$ the x_4 -axis is non-compact. The Hawking temperature $T_H = \hbar\kappa/(2\pi ck)$ of a black hole with surface gravity κ emerges from exactly the same smoothness condition applied to the Euclidean Schwarzschild metric's (ρ, τ) sector: the x_4 -axis must close smoothly at the horizon without a conical singularity, forcing the period $\beta_H = 2\pi c/\kappa$, which by Matsubara is T_H . This is precisely the derivation used in §VIII.4(g) of the present paper — the Hawking black-hole thermodynamics section — with the physical meaning now supplied: the cigar geometry of §VIII.4(g) is the physical geometry obtained when x_4 's perpendicularity is collapsed at the horizon, and the Hawking temperature is the angular period of the physical x_4 -circle. The Unruh temperature for accelerated observers and the de Sitter temperature for cosmological horizons follow from the same geometric construction applied to Rindler and de Sitter horizons respectively. One geometric principle — x_4 -smoothness at any horizon — produces all three fundamental horizon temperatures.

Proposition VII.1: reflection positivity as x_4 -reflection symmetry. The Osterwalder-Schrader reflection positivity axiom — the most restrictive of the OS axioms, the keystone of rigorous Euclidean QFT — requires $\langle (\theta F)^* F \rangle_E \geq 0$ where θ is the Euclidean time reflection $\tau \rightarrow -\tau$. Under Proposition IV.1, θ is the physical reflection $x_4 \rightarrow -x_4$ of the fourth axis. Reflection positivity is therefore the combination of two geometrically transparent statements: the Euclidean geometry admits $x_4 \rightarrow -x_4$ as a symmetry (geometric fact from the McGucken Principle, for any Lagrangian built from x_4 -scalars) and the Hilbert space reconstructed from that reflection has a positive-definite inner product (Hilbert-space positivity). What was technical in the OS formulation — “reflect the state through the origin in Euclidean time, pair it with the original, demand non-negative result” — becomes a geometric statement about x_4 -reflection symmetry. Corollary VII.2: the OS reconstruction theorem itself is the reverse Wick rotation — the 90° rotation from the x_4 -axis back to the x_0 -axis in the (x_0, x_4) -plane. All the technical machinery of rigorous Euclidean-to-Minkowski reconstruction is the machinery of this geometric rotation.

Proposition IX.1: instantons as x_4 -geodesics. An instanton, in standard QFT, is a solution of the classical Euclidean equations of motion with finite action — a “classical trajectory in imaginary time.” The physical meaning of classical trajectories in imaginary time has been a perennial source of confusion: classical particles travel along real-time trajectories, and imaginary-time trajectories have no obvious particle interpretation. Under Proposition IV.1, instantons are classical trajectories along the physical fourth axis x_4 — the field ϕ satisfies the Euler-Lagrange equations with x_4 as the evolution parameter, and an instanton is a solution that interpolates between two vacua as x_4 runs from $-\infty$ to $+\infty$. Corollary IX.2: quantum-mechanical tunneling in real time is classical motion along x_4 . What appears as a forbidden barrier-penetration process in t is an ordinary classical process along the fourth axis. Corollary IX.3: the Hartle-Hawking no-boundary proposal — that the wave function of the universe is computed as a Euclidean path integral over four-geometries with no past boundary

— is the statement that cosmic initial conditions correspond to closed x_4 -geometries, with the fourth axis capping off at a single point rather than extending infinitely backward. Instantons, tunneling, and the no-boundary proposal all reduce to classical mechanics along x_4 .

The twelve instances of i inserted by hand and the Lagrangian’s structural explanation. Section V.5 of [MG-Wick] catalogs twelve concrete instances in which physicists have inserted factors of i by hand to make quantum theory match experiment: (1) the canonical quantization rules $p \rightarrow -i\hbar\partial/\partial x$ and $E \rightarrow i\hbar\partial/\partial t$; (2) the Schrödinger equation $i\hbar\partial\psi/\partial t = H\psi$; (3) the canonical commutation relation $[q, p] = i\hbar$; (4) the Feynman path-integral weight $e^{iS/\hbar}$; (5) the $+i\epsilon$ prescription in propagators; (6) the Dirac equation $(i\gamma^\mu\partial_\mu - m)\psi = 0$; (7) the Heisenberg equation of motion $dA/dt = (i/\hbar)[H, A]$; (8) the Wick substitution $t \rightarrow -it$ itself; (9) the complex wavefunction $\psi = Ae^{i(kx - \omega t)}$; (10) the Fresnel/Gaussian integral factors $e^{i\pi/4}$; (11) the Fourier transform kernel $e^{-ipx/\hbar}$; (12) the Euclidean-Minkowski action relation $iS_M = -S_E$. Each insertion is justified locally for a specific technical reason (Hermiticity, convergence, unitarity, causal structure) without any global account of why i is the universal factor. The Lagrangian paper’s Remark III.5.2 already identifies this pattern; the Wick rotation paper makes it structural: every “ i by hand” in quantum theory is the fingerprint of a projection onto the physical fourth axis x_4 . \mathcal{L}_{McG} supplies the structural explanation by embedding the McGucken Principle $dx_4/dt = ic$ at the foundation of the Lagrangian: wherever the derivation of the Lagrangian’s equations of motion or their applied calculations produces a factor i , that factor is inherited from the i in $x_4 = ict$ — the perpendicularity marker for the fourth axis’s orthogonality to the three spatial dimensions. Twelve distinct insertions, one structural source.

VIII.7.4 The Unification with the Gravitational Sector of \mathcal{L}_{McG}

The Wick rotation’s integration into \mathcal{L}_{McG} is structurally deeper than the parallel sections on the de Broglie clock (§VIII.5) or the CCR origin (§VIII.6) — it connects directly to the gravitational sector of the Lagrangian in a way that the other resolutions do not. Proposition VI.3 of the present paper establishes the Einstein-Hilbert action $S_{\text{EH}} = (c^3/16\pi G)\int d^4x\sqrt{-g}R$ as the uniquely forced gravitational sector of \mathcal{L}_{McG} , via Schuller’s gravitational closure applied to the universal principal polynomial derived from the McGucken Principle. §VIII.4(g) of the present paper established, via [MG-Hawking, Proposition V.1], that the factor $1/4$ in the Bekenstein-Hawking entropy $S_{\text{BH}} = k_B A/(4\ell_P^2)$ comes from the Gibbons-Hawking-York boundary action evaluated on the Euclidean Schwarzschild “cigar” — the geometry obtained by Wick-rotating the Schwarzschild metric. The Wick rotation paper [MG-Wick, Proposition VI.3] completes this structural circle: the Euclidean cigar is the physical geometry obtained when x_4 ’s perpendicularity is collapsed at the horizon, the angular period $\beta = 2\pi/k$ is the physical x_4 -circumference, and the Hawking temperature is the smoothness condition on the physical x_4 -circle at the horizon.

The gravitational sector of \mathcal{L}_{McG} (Einstein-Hilbert plus GHY boundary term), evaluated on the Wick-rotated geometry (physically: evaluated along the fourth axis x_4), produces Hawking thermodynamics. The same Lagrangian evaluated on the ordinary Minkowski metric produces Einstein’s field equations. The same Lagrangian evaluated on a Wick-rotated cosmological spacetime produces the Hartle-Hawking no-boundary proposal. Three apparently distinct physical applications — classical general relativity, black-hole thermodynamics, cosmological initial conditions — are three projections of the same Lagrangian onto different configurations of the physical fourth axis. The Wick rotation is not an auxiliary computational device applied to \mathcal{L}_{McG} after the fact; it is the physical-geometric reorientation that makes different sectors of the Lagrangian’s applications accessible. No prior gravitational Lagrangian in the 111-year history from Hilbert 1915 to the present has this structural unification, because no prior Lagrangian identifies the Wick rotation as a physical transformation rather than a formal analytic continuation.

VIII.7.5 The First-of-Its-Kind Claim and the Absence of Prior Art

The preceding structural analysis establishes what \mathcal{L}_{McG} , as the Lagrangian realization of the McGucken framework, uniquely supplies with respect to the physical meaning of the Wick rotation. The first-of-its-kind claim requires precise statement and precise grounds, following the template established in §§VIII.5.5 and VIII.6.5.

The claim: The McGucken Lagrangian \mathcal{L}_{McG} is the first Lagrangian in the 282-year history of Lagrangian physics — and the first theoretical framework in the 72 years since Wick’s 1954 introduction of the substitution — to simultaneously (i) identify the Wick substitution $t \rightarrow -i\tau$ as a physical coordinate identification $\tau = x_4/c$ rather than a formal analytic continuation, (ii) identify the Euclidean “imaginary time” τ as the physical fourth axis x_4 in units where $c = 1$, (iii) identify contour rotation in the complex t -plane as physical rotation in the (x_0, x_4) -plane of Minkowski spacetime, (iv) derive all six major applications of the Wick rotation (path-integral convergence, Matsubara temperature, Hawking temperature, Osterwalder-Schrader reflection positivity, contour rotation, instantons) as theorems of the same geometric principle, (v) connect the Wick rotation structurally to the gravitational sector of the Lagrangian through the Hawking-cigar derivation of Proposition V.1 of [MG-Hawking] and the Einstein-Hilbert-plus-GHY action of Proposition VI.3 of the present paper, and (vi) identify the twelve “i by hand” insertions throughout quantum theory as manifestations of the same underlying geometric fact about the perpendicularity of the fourth axis.

The grounds: A systematic survey of the Wick-rotation literature from 1954 to the present has been conducted in preparing [MG-Wick, §§III, X.3] and summarized in §VIII.7.2 of the present paper. The survey extends through the standard QFT textbooks (Peskin-Schroeder, Weinberg, Srednicki), the rigorous mathematical-physics literature (Osterwalder-Schrader 1973, 1975; Glimm-Jaffe 1987; constructive QFT), the Matsubara finite-temperature formalism, the Gibbons-Hawking Euclidean path-integral approach to black-hole thermodynamics, the instanton calcu-

lus from 't Hooft 1976 through Coleman 1985 and beyond, the Hartle-Hawking no-boundary proposal 1983, the lattice QCD community from Creutz 1983 onward, and the finite-temperature QFT literature from Kapusta-Gale 2006 and analogous treatments. No prior work identifies the Wick rotation as a physical transformation with geometric content. Every standard treatment — without exception, across 72 years of textbook and research-paper development — presents the substitution as a formal analytic-continuation device justified by holomorphicity theorems. Osterwalder and Schrader's rigorous axiomatization supplies the mathematical conditions under which the rotation can be carried out consistently; it does not supply a physical mechanism. Glimm and Jaffe's constructive program establishes that the rotation can be performed in low-dimensional QFT; it does not address what the rotation is. Schwinger's 1951 proper-time formalism uses the same analytic-continuation machinery; it does not identify a physical meaning. The Bohmian response literature to Maudlin's preferred-foliation critique proposes various covariant or absolute foliations but does not identify the Wick rotation as rotation onto a physical fourth axis. The twistor program of Penrose and the Euclidean twistor unification program of Woit use Wick-rotated geometric structures extensively but do not identify the rotation as a physical transformation of a dynamical fourth dimension. Loop quantum gravity's Euclidean formulation and the causal dynamical triangulations program use Wick-rotated lattices as a technical tool without physical-meaning claims. In every identified prior work, the Wick rotation is treated as a formal device whose success is mysterious.

The conclusion: On the evidence of the known Wick-rotation literature across 72 years of development, no prior theoretical framework has supplied what \mathcal{L}_{McG} supplies with respect to the physical meaning of the Wick rotation. The specific structural achievement — the Wick substitution as physical coordinate identification onto a dynamical fourth axis, with the six major applications following as theorems of the same geometric principle, and the twelve “i by hand” insertions unified as manifestations of the same underlying perpendicularity — has not been achieved in any prior framework identified in the systematic survey. Wick's substitution has been performed millions of times in the history of theoretical and computational physics; its correctness has been verified to extraordinary precision; and until the present paper and [MG-Wick], its physical meaning has remained unexplained. No prior art has been identified, and the burden of disproof resides with any subsequent identification of a prior framework satisfying all six structural criteria stated above.

Invitation to challenge. As with the resolutions of §§VIII.5 and VIII.6, this claim is stated with the expectation that it can and should be examined. If a prior theoretical framework satisfies all six structural criteria — the physical identification of $t \rightarrow -i\tau$ as $\tau = x_4/c$, the identification of Euclidean time as the fourth axis, the identification of contour rotation as physical rotation, the theorem-derivation of all six major Wick-rotation applications, the structural connection to the gravitational sector, and the unification of the twelve “i by hand” insertions — the identification of that prior

framework would refine or refute the present claim. The systematic survey conducted in preparing [MG-Wick] and the present paper has identified no such prior framework. The claim is therefore submitted as historically specific and defensible.

VIII.8 The Resolution of the Born Rule's Geometric Origin: A Fourth First-of-Its-Kind Structural Result

A fourth first-of-its-kind structural resolution completes the sequence. The Born rule $P = |\psi|^2$ — Max Born's 1926 postulate that quantum-mechanical probability is the squared modulus of the amplitude — is, alongside de Broglie's 1924 internal clock, Dirac's 1925 canonical commutation relation, and Wick's 1954 rotation, one of the four foundational quantum-mechanical structures whose origin has remained unexplained in the standard Lagrangian-physics and quantum-foundations literature. The companion papers [MG-Born] and [MG-QvsB] establish that the Born rule is a full-structure theorem of $dx_4/dt = ic$: both the quadratic-exponent form $|\cdot|^2$ and the specific distribution shape follow from the same single geometric principle, with the i in $dx_4/dt = ic$ forcing ψ to be complex (uniquely determining the quadratic exponent $|\psi|^2$ as the only smooth, real, non-negative, phase-invariant scalar constructible from a complex amplitude) and the $SO(3)$ symmetry of x_4 's spherically symmetric expansion forcing the uniform Haar distribution (uniquely determining the geometric distribution shape on the McGucken Sphere cross-section of x_4 's expansion). Here we establish that when the McGucken framework is realized as the Lagrangian \mathcal{L}_{McG} of the present paper, the Born rule's geometric origin receives a first-of-its-kind structural resolution parallel to those of §§VIII.5-VIII.7, with the specific structural advantage that the Born rule derivation is embedded in the same Lagrangian whose matter sector (Proposition V.1) forces matter to be described by complex Dirac spinors through the matter orientation condition (M).

VIII.8.1 What the Born Rule Does and What Its Origin Question Asks

Max Born in 1926 proposed that the quantum-mechanical wavefunction $\psi(x)$ does not directly represent a physical wave but determines the probability $P(x) = |\psi(x)|^2$ of finding a particle at position x . The Born rule is the bridge between the mathematical formalism of quantum mechanics (complex amplitudes, Hilbert spaces, unitary evolution) and the physical world of experimental outcomes (detector clicks, interference patterns, measurement statistics). It has been confirmed by every quantum experiment ever performed and is one of the most precisely tested statements in all of physics. And yet it is also one of the least understood. Three specific sub-questions make the origin problem manifest: (B1) Why is probability the squared modulus of the amplitude rather than the amplitude itself, the cube, or some other function? (B2) Why is the amplitude ψ complex in the first place? The squared modulus $|\psi|^2 = \psi^*\psi$ only makes sense for complex ψ ; for real ψ , the rule would reduce to $P = \psi^2$, with no complex conjugation needed. (B3) What is the geometric content of the squaring oper-

ation — what is happening physically when the amplitude is multiplied by its complex conjugate?

Standard quantum mechanics treats the Born rule as an axiom. Four substantive programs have attempted to derive it from deeper principles: Gleason’s 1957 theorem derives the probability structure given a complex Hilbert space of dimension ≥ 3 , but presupposes the complex structure and the projection lattice; the decision-theoretic arguments of Deutsch 1999 and Wallace in many-worlds frameworks derive the squaring from rationality axioms applied to agents betting on quantum outcomes, but require the many-worlds interpretation and specific decision-theoretic assumptions; QBist approaches derive the Born rule from subjective probability axioms but do not identify a physical origin; and the Bohmian quantum-equilibrium hypothesis treats $|\psi|^2$ as the “typical” distribution emerging from a Valentini-Westerman relaxation argument or a Dürr-Goldstein-Zanghì typicality argument, but the squaring itself is built into the equivariance structure of the Bohmian guiding equation rather than derived from deeper physics. In each case, either the complex structure is taken as a starting point or the squaring emerges from framework-internal choices. None supplies the geometric content of the squaring operation itself.

VIII.8.2 What the Standard and Alternative Programs Supply

The formalist program (Gleason 1957). Gleason’s theorem establishes that the only σ -additive probability measure on the lattice of projections of a complex Hilbert space of dimension ≥ 3 is the trace with a positive trace-class operator — the Born rule in density-operator form. The theorem is mathematically decisive given its presuppositions, but the presuppositions include (i) the complex Hilbert-space structure with its i already in the inner product, (ii) the non-commutative projection lattice with the CCR’s non-commutativity already encoded, and (iii) the σ -additivity postulate. Gleason derives probability given the framework, not the framework itself. The complex structure that makes the squared modulus necessary (rather than the simple square) is inherited from the Hilbert-space setting.

The decision-theoretic program (Deutsch 1999, Wallace). Deutsch and Wallace derive the Born rule in the many-worlds interpretation from decision-theoretic axioms applied to rational agents betting on quantum-measurement outcomes. The derivation is technically sophisticated but depends on the Everett interpretation (which has its own foundational issues, including the preferred-basis problem and the meaning of probability in a framework where all branches exist with certainty) and on decision-theoretic assumptions that are contested in the philosophy-of-probability literature. It does not supply a geometric origin; it supplies a rationality argument conditional on specific interpretive choices.

The Bohmian quantum-equilibrium program (Dürr-Goldstein-Zanghì, Valentini-Westerman). Bohmian mechanics takes the guiding equation from the polar decomposition of the Schrödinger equation and the Born rule as a statistical postulate — the “quantum equilibrium hypothesis” — or as a dynamical attractor

emerging from a relaxation argument analogous to thermal equilibration. The approach is carefully developed, but the squaring is built into the equivariance structure of Bohmian mechanics (the $\rho = |\psi|^2$ distribution is preserved by the guiding equation by construction) rather than derived from prior physics. [MG-QvsB] establishes systematically that the Bohmian account operates at the layer of interpreting the Schrödinger equation after polar decomposition; it does not derive the Schrödinger equation itself or the complex structure of ψ from a deeper geometric principle.

The McGucken framework realized as \mathcal{L}_{McG} ([MG-Born], [MG-QvsB]). The McGucken Principle $dx_4/dt = ic$ supplies what the three prior programs lack: a direct-geometric origin for both the complex character of ψ and the quadratic form of the probability rule. The April 17 consolidation paper [MG-Born, §1.4] establishes the logical separation of the derivation into three explicit claims, each with its own epistemic status, and this separation is adopted here. Claim 1 is a rigorous group-theoretic result about the uniqueness of the invariant measure on the sphere generated by x_4 's spherically symmetric expansion. Claim 2 is a physical identification between probability and wavefront intensity, grounded in standard wave physics rather than in any quantum-mechanical postulate. Claim 3 is a mathematical uniqueness result about the squared-modulus functional given the physical assumptions of Claim 2. The separation is important because it marks precisely where the rigorous geometric results end and where the physical postulate enters, rather than packaging the whole derivation as a single uninterrupted chain.

Claim 1 (rigorous geometric theorem). Given an expanding lightlike wavefront in flat spacetime generated from a point event by the expansion of x_4 at rate ic , the only probability measure on directions compatible with the $SO(3)$ symmetry of the wavefront is the uniform area measure on S^2 . This is a standard group-theoretic result: $SO(3)$ acts transitively on S^2 , so by the uniqueness of the Haar measure on a compact group (to within normalization), the pushforward of the Haar measure to the sphere via the transitive action gives the unique $SO(3)$ -invariant probability measure on S^2 , which is the uniform area measure. Any non-uniform distribution would break $SO(3)$ by distinguishing one point from another, but the McGucken Principle's spherically symmetric expansion has no preferred direction, so no symmetry-breaking is available. This part of the derivation is rigorous mathematics and carries no quantum-mechanical assumptions: it applies to any spherically symmetric physical wavefront, including the photon surfing the expanding McGucken Sphere from a pointlike source. The conclusion at Claim 1 is uniform angular distribution on the sphere for a pointlike source, and this conclusion is forced by the geometry alone.

Claim 2 (physical identification — intensity equals probability). Detection probabilities are identified with wavefront intensity: (i) the uniform directional measure for a pointlike source, and (ii) the modulus squared of a complex amplitude $|\psi|^2$ when a nontrivial initial state modulates the uniform distribution. This identification is explicitly flagged in [MG-Born, §1.4] as a physical postulate — “grounded in standard wave physics (intensity = amplitude squared for any linear wave) rather than in quan-

tum formalism” — rather than a purely mathematical theorem. The intensity-equals-probability identification has its own history in classical wave physics, where energy density scales as the square of field amplitude for any linear wave; Einstein’s 1909 argument that photon density in a radiation field should be proportional to $|E|^2$ is the earliest explicit application of the identification to quanta. The identification is standard in wave physics but constitutes a distinct assumption beyond the pure geometry of Claim 1. This transparency matters: the present framework does not claim the Born rule falls out of pure geometry without any physical input; it claims the Born rule falls out of pure geometry combined with the intensity-equals-probability identification that is standard in classical wave physics. Lemma 3.5 of [MG-Born] supplies the compatibility condition: a detector coupling locally and isotropically to the wavefront, with no internal structure breaking $SO(3)$, must have detection rate per unit solid angle equal to the wavefront intensity per unit solid angle at its position. Claim 2 is therefore a physical identification with a specified compatibility condition on the detector, not a free postulate.

Claim 3 (mathematical uniqueness given the physical assumptions). The $|\psi|^2$ functional is the unique real, non-negative, quadratic, phase-invariant function of a complex amplitude. The complex character of the amplitude is traced to the i in $x_4 = ict$ — the algebraic marker of x_4 ’s perpendicularity to the three spatial dimensions, the same i that appears in the canonical commutation relation $[\hat{q}, \hat{p}] = i\hbar$, the Schrödinger equation $i\hbar\partial\psi/\partial t = H\psi$, the path-integral phase $e^{iS/\hbar}$, and the twistor incidence relation $\omega^A = i x^\alpha \{AA'\} \cdot \pi_{A'}$. The quadratic character is traced to the linearity of the wave equation, which is itself derived from the additive structure of the iterated x_4 -Huygens expansion [MG-PathInt]. The phase invariance is traced to the $U(1)$ symmetry of the expanding x_4 : a global phase shift $\psi \rightarrow e^{i\alpha}\psi$ corresponds to a shift in the origin of x_4 along its homogeneous expansion, which is unobservable because only phase differences between paths produce observable interference. Given these three requirements plus reality and non-negativity (P is an observed frequency; negative probabilities are unphysical), the unique probability functional is $|\psi|^2 = \psi^*\psi$. No other power works: $|\psi|^1$ is not quadratic (violating A4 in [MG-Born, §3.5]); $|\psi|^3$ is not quadratic; $\text{Re}(\psi)^2$ is quadratic but not phase-invariant (violating A2); ψ^2 without the modulus is complex for complex ψ (violating reality). The four assumptions A1-A4 of [MG-Born, §3.5] — linearity of the wave equation (A1), $U(1)$ phase invariance (A2), locality of the probability density at each point (A3), homogeneity of degree 2 in ψ (A4) — each trace to a specific geometric consequence of the McGucken Principle (A1 to path-integral superposition of Huygens wavelets, A2 to unobservability of the overall x_4 phase, A3 to local detector coupling per Lemma 3.5, A4 to the intensity-equals-squared-amplitude scaling of linear waves that is the content of Claim 2). Given A1-A4, $|\psi|^2$ is forced.

The geometric-overlap interpretation ([MG-Born, Theorem 4.1]). The physical content of the squaring operation is identified in [MG-Born, Theorem 4.1]: ψ encodes forward propagation through the expanding x_4 (carrying phase $\exp(+iS/\hbar)$ from x_4

= ict), ψ^* encodes the conjugate propagation (carrying phase $\exp(-iS/\hbar)$ from $x_4^* = -ict$), and the product $\psi\psi = |\psi|^2$ is the geometric overlap between the forward and conjugate x_4 -expansions at the point of measurement. Probability is the physical overlap of these two propagation directions — a geometric quantity with geometric content, not an abstract algebraic operation. The Wick rotation of §VIII.7 makes this transparent: removing the i from $x_4 = ict$ (setting $x_4 = ct$ real) converts the Born rule $P = |\psi|^2$ to $P = \psi^2$ (no complex conjugation needed, real wavefunction) and converts quantum interference to statistical diffusion, confirming that the specifically-squared-modulus form rather than the simple square is a direct consequence of x_4 's perpendicularity [MG-Born, §8]. The double-slit experiment is the direct experimental verification [MG-Born, §9]: the interference term $2 \cdot \text{Re}(\psi_1 \cdot \psi_2)$ in $P(x) = |\psi_1 + \psi_2|^2 = |\psi_1|^2 + |\psi_2|^2 + 2 \cdot \text{Re}(\psi_1^* \cdot \psi_2)$ arises specifically because the amplitudes are complex and their squared modulus includes a cross term; $|\psi|$ or $|\psi|^3$ would not produce the observed fringe pattern. The Born rule's squared-modulus form is therefore forced by the three-claim structure above, with Claim 1 providing the rigorous geometric theorem, Claim 2 the explicit physical identification (intensity equals probability), and Claim 3 the mathematical uniqueness result given the physical identification.

VIII.8.3 Unitarity and the Conservation of the x_4 Wavefront

[MG-Born, Theorem 6.1] establishes unitarity — the conservation of total probability $\int |\psi|^2 dx = 1$ under time evolution — as a geometric theorem about the conservation of the x_4 wavefront area under expansion at constant rate c . The expansion of x_4 distributes amplitude across the wavefront but does not create or destroy it; the total integrated amplitude is conserved because the expansion is volume-preserving in the relevant geometric sense. This is the geometric content of the unitarity of the Schrödinger equation's time-evolution operator $U(t) = \exp(-iHt/\hbar)$: the Hamiltonian H is Hermitian because the x_4 -expansion rate c is constant, and the time-evolution operator is unitary because a constant-rate expansion preserves total wavefront area. In standard quantum mechanics, unitarity is a consequence of the Hermiticity of the Hamiltonian, which is itself a consequence of the reality of expectation values, which is justified as a self-consistency requirement. In \mathcal{L}_{McG} realized through the McGucken Principle, unitarity is a geometric theorem — the conservation of x_4 wavefront area — from which the Hermiticity of the Hamiltonian follows rather than being postulated.

VIII.8.4 The Structural Connection to the Matter Sector of \mathcal{L}_{McG}

The Born rule's integration into \mathcal{L}_{McG} connects structurally to the matter sector of the Lagrangian in a way parallel to how the Wick rotation (§VIII.7) connected to the gravitational sector. Proposition V.1 of the present paper establishes the matter-sector Lagrangian as the unique Lorentz-invariant, gauge-covariant, dimension-4 Lagrangian consistent with the McGucken Principle and the matter orientation condition (M) $\Psi(x, x_4) = \Psi_0(x) \cdot \exp(+i \cdot k_C \cdot x_4)$. The matter orientation condition forces the matter field Ψ to be a complex Clifford-algebraic structure in $\text{Cl}(1,3)$, with the

Compton-frequency oscillation $\exp(+I \cdot k_C \cdot x_4)$ tying it directly to x_4 's advance. Critical for the Born rule: the complex structure of Ψ in the matter sector of \mathcal{L}_{McG} is not imposed externally; it is forced by the matter orientation condition (M), which is itself forced by the four-fold uniqueness theorem (Theorem VI.1), which is forced by the McGucken Principle. The same geometric principle that produces the complex Dirac spinor Ψ in the matter sector produces the complex wavefunction ψ in the non-relativistic Schrödinger limit, and produces the squared-modulus Born rule as the unique probability rule for a complex amplitude obtained by projecting a perpendicularly-expanding fourth-dimensional wave onto a three-dimensional slice.

No prior Lagrangian in the 282-year history of Lagrangian physics has this structural unification. The standard Dirac Lagrangian $\bar{\psi}(i\gamma^\mu \partial_\mu - m)\psi$ contains the i as a mathematical requirement for the Hamiltonian to be Hermitian (per the discussion of §I.2 and Theorem 1 of §V.2 in [MG-Commut]), and the Born rule is applied to solutions of the Dirac equation as an external postulate. In \mathcal{L}_{McG} , the i in the Dirac Lagrangian traces to the same geometric source as the i in the Born-rule derivation: both are manifestations of x_4 's perpendicularity to the three spatial dimensions, appearing wherever the Lagrangian's equations of motion or their applied probability interpretations project the physical four-dimensional dynamics onto a three-dimensional observational slice. The Dirac equation, the Schrödinger equation (as its non-relativistic limit), the Born rule, and the full apparatus of quantum-mechanical probability are four structural manifestations of the same McGucken Principle, all forced by the four-fold uniqueness theorem, all traceable to the same algebraic marker of perpendicularity.

VIII.8.5 Why the Bohmian Alternative Fails at the Same Question

The companion paper [MG-QvsB] conducts a systematic ten-element comparison of the McGucken framework and Bohmian mechanics. On the Born-rule question specifically (element 3 of [MG-QvsB, §IV]), the comparison establishes that the McGucken framework supplies the full Born rule from $dx_4/dt = ic$, with both the quadratic exponent $|\cdot|^2$ and the distribution shape derived from the single principle: the i in the principle forces ψ to be complex (uniquely determining $|\psi|^2$ as the only smooth real non-negative phase-invariant scalar), and the $SO(3)$ symmetry of x_4 's spherically symmetric expansion forces the uniform Haar distribution on the McGucken Sphere (uniquely determining the distribution shape). Bohmian mechanics, by contrast, treats $\rho = |\psi|^2$ either as a statistical postulate (the quantum-equilibrium hypothesis), as a dynamical attractor from the Valentini-Westerman relaxation argument, or as a typicality result from the Dürr-Goldstein-Zanghì argument. In each case, the squaring is built into the equivariance structure of the guiding equation by construction rather than derived from deeper physics. The Bohmian answer to “why the squaring?” reduces to “because the guiding equation was constructed to preserve $|\psi|^2$, and this is the measure that preserves the dynamics.” This is circular in the sense that the Born rule emerges from framework-internal choices rather than from prior physics.

Nine additional elements of [MG-QvsB, §IV] — wave-function ontology, collapse mechanism, nonlocality mechanism, relation to relativity, configuration-space realism, entanglement, status of Maudlin’s preferred-foliation critique, derivability from foundational principles, and empirical equivalence — further establish that the McGucken framework supplies what Bohmian mechanics does not. Particularly relevant for the present Lagrangian-paper context: Bohmian mechanics operates at the layer of interpreting the Schrödinger equation (polar-decomposing it into a continuity equation and a modified Hamilton-Jacobi equation), does not derive the Schrödinger equation itself, requires a preferred foliation of spacetime that has generated a substantial unresolved response literature to Maudlin’s 1996 critique, and treats c and \hbar as empirical inputs. \mathcal{L}_{McG} derives the Schrödinger equation (as the non-relativistic limit of the Dirac equation forced by Proposition V.1), does not require a preferred foliation (the canonical observer-time foliation is derived from the McGucken Principle itself), and sets the numerical values of c and \hbar from the oscillatory form of the principle at the Planck scale. The Bohmian comparison is not a minor background point for the Born-rule section; it establishes that the first-of-its-kind claim extends not only against standard quantum-mechanics textbook treatments but specifically against the most developed realist alternative to Copenhagen that has been proposed in 99 years.

VIII.8.6 The First-of-Its-Kind Claim and the Absence of Prior Art

The preceding structural analysis establishes what \mathcal{L}_{McG} , as the Lagrangian realization of the McGucken framework, uniquely supplies with respect to the geometric origin of the Born rule. The first-of-its-kind claim requires precise statement and precise grounds, following the template established in §§VIII.5.5, VIII.6.5, and VIII.7.5.

The claim: The McGucken Lagrangian \mathcal{L}_{McG} is the first Lagrangian in the 282-year history of Lagrangian physics — and the first theoretical framework in the 100 years since Born’s 1926 introduction of the probability postulate — to simultaneously (i) derive the rigorous geometric theorem that the unique $\text{SO}(3)$ -invariant probability measure on a spherical wavefront generated by x_4 ’s spherically symmetric expansion is the uniform Haar area measure on S^2 (Claim 1 of [MG-Born, §1.4]; the pointlike-source limit of the Born rule), (ii) combine this rigorous geometric result with the explicit physical identification that probability equals wavefront intensity — a classical-wave-physics identification going back to Einstein’s 1909 photon-density argument, flagged transparently in [MG-Born, §1.4] as a physical postulate rather than a pure geometric theorem (Claim 2 of [MG-Born, §1.4]; the intensity-to-probability bridge) — rather than absorbing this physical assumption silently into a one-step derivation as prior programs do, (iii) derive the squared-modulus form $|\psi|^2$ via a mathematical uniqueness theorem given the physical assumptions of Claim 2 (Claim 3 of [MG-Born, §1.4]; $|\psi|^2$ is the unique real, non-negative, phase-invariant, quadratic function of a complex amplitude given A1-A4), (iv) derive the complex character of ψ from a single geometric principle — the perpendicularity of the fourth axis encoded in $x_4 = ict$ — rather than postulating it as a Hilbert-space structural feature, (v) identify the geometric content of the squaring operation as the physical overlap between the forward x_4 -expansion

and the conjugate x_4^* -expansion at the measurement point ([MG-Born, Theorem 4.1]), (vi) derive unitarity (total-probability conservation) as a geometric theorem about the conservation of x_4 wavefront area under expansion at constant rate c , (vii) connect the Born-rule derivation structurally to the matter sector of the Lagrangian (Proposition V.1) through the matter orientation condition (M), which forces the complex Clifford-algebraic structure of Dirac matter from the same geometric principle that forces the complex scalar amplitude ψ in the non-relativistic limit, and (viii) close off non-squared-modulus alternatives via the uniqueness argument of [MG-Born, §5] ruling out $|\psi|$, $|\psi|^3$, ψ^2 , $\text{Re}(\psi)$, and $\text{Im}(\psi)$ as probability functions. The explicit three-claim separation — rigorous geometric theorem (Claim 1), explicit physical identification (Claim 2), mathematical uniqueness given the identification (Claim 3) — is itself a structural feature of the derivation, marking precisely where the pure geometry ends and where the intensity-equals-probability postulate enters. No prior Born-rule derivation makes this separation explicit; Gleason, Deutsch-Wallace, Zurek, Hardy, Chiribella, and the decision-theoretic and information-theoretic programs each absorb a version of the intensity-to-probability assumption into their framework without labeling it as a distinct physical identification.

The grounds: A systematic survey of the Born-rule literature from 1926 to the present has been conducted in preparing [MG-Born] and [MG-QvsB] and summarized in §VIII.8.2 of the present paper. The survey extends through the standard quantum-mechanics textbooks (Dirac 1958, Sakurai 1994, Weinberg 2013, Griffiths 2018), the formal-foundations literature (Gleason 1957 and subsequent work), the decision-theoretic derivations (Deutsch 1999, Wallace), the QBist program, the Bohmian quantum-equilibrium approach (Dürr-Goldstein-Zanghì, Valentini-Westerman), many-worlds self-locating-uncertainty arguments, and the geometric-algebra program (Hestenes 1966-1967 and subsequent work). No prior framework derives the complex character of ψ from a dynamical geometric principle about a physical fourth dimension. Gleason takes the complex Hilbert-space structure as a starting point. Hestenes reinterprets the i as a spin bivector on a static Minkowski background without identifying a dynamical driver. Bohmian mechanics inherits the complex structure from the Schrödinger equation. The decision-theoretic program derives the squaring from rationality axioms without geometric content. QBist approaches derive the Born rule from subjective-probability axioms without physical origin. Many-worlds approaches face the preferred-basis and probability-in-certainty problems. In each identified framework, either the complex structure is taken as given or the derivation operates at a framework-internal level that does not supply a geometric origin.

On the matter of supplying the Born-rule derivation within a Lagrangian framework whose full structure is forced by the same principle from which the Born rule descends, the survey extends to the broader Lagrangian-physics literature. The standard QED, electroweak, and Standard Model Lagrangians apply the Born rule to their solutions as an external postulate. Loop quantum gravity, string theory, causal dynamical tri-

angulations, asymptotic safety, twistor programs, and other alternative quantization-of-gravity approaches use quantum mechanics as an input. The geometric-algebra program of Hestenes provides a geometric language for the Dirac equation but does not derive the Born rule from a dynamical principle. Adler's trace dynamics produces the CCR as an equilibrium average but does not address the Born rule's specific form from a geometric origin. No prior theoretical framework combines (i) derivation of the complex structure from a dynamical geometric principle, (ii) uniqueness theorem for the squared modulus, (iii) geometric-overlap interpretation of the squaring operation, (iv) geometric-theorem derivation of unitarity, (v) structural connection to the matter sector of a derived Lagrangian, and (vi) closure against non-squared-modulus alternatives.

The conclusion: On the evidence of the known Born-rule literature across 100 years of development, no prior theoretical framework has supplied what \mathcal{L}_{McG} supplies with respect to the geometric origin of the Born rule. The specific structural achievement — the Born rule as a full theorem of a derived Lagrangian, with the complex structure of ψ , the squared-modulus form, the geometric-overlap interpretation, the conservation-of-wavefront unitarity, the structural connection to the matter sector, and the closure against non-squared-modulus alternatives all derived from a single geometric principle — has not been achieved in any prior framework identified in the systematic survey. Born's postulate has been applied to quantum-mechanical calculations for a century; its correctness has been verified to extraordinary precision; and until the present paper and its companions [MG-Born] and [MG-QvsB], its geometric origin has remained unexplained. No prior art has been identified, and the burden of disproof resides with any subsequent identification of a prior framework satisfying all six structural criteria stated above.

Invitation to challenge. As with the resolutions of §§VIII.5, VIII.6, and VIII.7, this claim is stated with the expectation that it can and should be examined. If a prior theoretical framework satisfies all six structural criteria — the derivation of the complex character of ψ from a dynamical geometric principle, the uniqueness theorem for the squared modulus, the geometric-overlap interpretation of the squaring operation, the geometric-theorem derivation of unitarity, the structural connection to the matter sector through the matter orientation condition, and the closure against non-squared-modulus alternatives — the identification of that prior framework would refine or refute the present claim. The systematic survey conducted in preparing [MG-Born], [MG-QvsB], and the present paper has identified no such prior framework. The claim is therefore submitted as historically specific and defensible.

VIII.8.7 The Four First-of-Its-Kind Results as a Unified Structural Pattern

§§VIII.5-VIII.8 establish four first-of-its-kind structural results for \mathcal{L}_{McG} , spanning the four foundational quantum-mechanical structures whose origin has remained unexplained in the standard literature for essentially a century or longer. De Broglie's 1924 internal clock (§VIII.5, 102 years). Dirac's 1925 canonical commutation relation

(§VIII.6, 101 years). Wick’s 1954 rotation (§VIII.7, 72 years). Born’s 1926 probability rule (§VIII.8, 100 years). Each receives its structural resolution within \mathcal{L}_{McG} via the same four-fold uniqueness theorem (Theorem VI.1) applied to different aspects of the same geometric principle $dx_4/dt = ic$. De Broglie’s clock is resolved by the matter orientation condition (M) and the Compton-frequency coupling (Proposition III.4 + Remark III.4.3). The CCR is resolved by the two-route derivation (operator + path-integral) of [MG-Commut] together with the Stone-von Neumann closure of non-quantum alternatives. The Wick rotation is resolved by Proposition IV.1 of [MG-Wick] identifying the substitution as physical rotation onto the fourth axis, together with the six-Proposition development of its major applications. The Born rule is resolved by the three-theorem derivation of [MG-Born] identifying the complex structure of ψ , the uniqueness of the squared modulus, and the geometric-overlap interpretation.

A unified structural observation spanning all four resolutions: the factor i appears in each case as the perpendicularity marker for x_4 ’s orthogonality to the three spatial dimensions. In de Broglie’s clock, the i appears in the matter orientation condition $\Psi = \Psi_0 \cdot \exp(+I \cdot k \cdot C \cdot x_4)$ as the Clifford pseudoscalar I marking the oscillation’s occurrence in the perpendicular direction. In the CCR, the i appears on the right-hand side of $[q, p] = i\hbar$ as the perpendicularity marker for position and momentum in phase space, inherited from the perpendicularity of x_4 in spacetime. In the Wick rotation, the i appears in the substitution $t \rightarrow -it$ as the algebraic-geometric signature of the physical 90° rotation from the t -axis to the x_4 -axis. In the Born rule, the i appears in the complex character of ψ , tracing through the path-integral phase $e^{iS/\hbar}$ to the same $x_4 = ict$ source. Remark III.5.2 of the present paper identifies twelve instances of the factor i appearing “by hand” in quantum theory, all of which — per [MG-Wick, §V.5] — trace to the same algebraic marker of the fourth axis’s perpendicularity. The four first-of-its-kind resolutions of §§VIII.5-VIII.8 are instances of this broader structural pattern: wherever the factor i has appeared in the foundational equations of twentieth-century physics without a physical explanation, \mathcal{L}_{McG} supplies the physical explanation through the same single geometric source.

De Broglie postulated the rest-frame periodic phenomenon. Dirac called the CCR “the fundamental quantum condition.” Wick introduced the rotation as a technical device. Born proposed the probability rule as a bridge between formalism and experiment. All four were right about the mathematical content and right about the empirical predictions — Davisson-Germer, the uncertainty principle, the spectral predictions of the Schrödinger equation, lattice QCD hadron masses, the Hawking temperature, interference patterns, and every quantum-mechanical experiment ever performed have confirmed what the four physicists wrote. What none of them supplied, and what no subsequent Lagrangian or theoretical framework has supplied in the century since, is the physical source of the i and the \hbar and the periodic phenomenon and the rotation and the squaring. \mathcal{L}_{McG} supplies all four, from the same geometric principle, through the same uniqueness theorem, with the same algebraic marker of perpendicularity. The four first-of-its-kind results are the structural content of the claim

that \mathcal{L}_{McG} is not one more Lagrangian in the 282-year Lagrangian lineage but the Lagrangian that resolves the four foundational quantum-mechanical questions that the lineage left open — all forced by $dx_4/dt = ic$ through Theorem VI.1, and none resolvable by any prior framework identified in the systematic survey. The Lagrangian of physics is the Lagrangian of a universe whose fourth dimension is expanding at the velocity of light perpendicular to the three spatial dimensions, and the four unexplained structures of twentieth-century quantum mechanics are four facets of that single geometric fact.

VIII.9 The Resolution of Quantum Nonlocality and the Copenhagen Open Questions: A Fifth First-of-Its-Kind Structural Result

A fifth first-of-its-kind structural resolution extends the pattern of §§VIII.5-VIII.8 to quantum nonlocality — the phenomenon Bell established in 1964 as a genuine feature of nature rather than an artifact of incomplete description, and the feature whose compatibility with Lorentz invariance has been the central open question of quantum foundations for sixty-two years. The companion paper [MG-NonlocCopen] establishes that the McGucken Principle supplies both a geometric mechanism for nonlocality (the shared null-geodesic identity of points on the McGucken Sphere, where the sphere is a geometric locality in six independent mathematical senses) and simultaneous answers to the six open questions that Copenhagen’s founders — Bohr, Heisenberg, Born, Pauli — explicitly acknowledged their formalism left unexplained. Here we establish that when the McGucken framework is realized as the Lagrangian \mathcal{L}_{McG} of the present paper, the nonlocality resolution and the Copenhagen completion receive a first-of-its-kind structural status parallel to those of §§VIII.5-VIII.8.

VIII.9.1 What Copenhagen’s Founders Acknowledged Their Formalism Left Open

The Copenhagen Interpretation established the mathematical formalism of quantum mechanics — wave function completeness, the Born rule, the projection postulate, complementarity, the classical-quantum boundary — to extraordinary empirical success, and the founders (Bohr 1928, Heisenberg 1930, Born 1926, Pauli, and the subsequent Copenhagen tradition) were explicit that the formalism was all that was available and that deeper mechanisms were either unnecessary or meaningless. This position has withstood a century of challenges and remains the standard operational interpretation of quantum mechanics. It is also, the Copenhagen founders themselves acknowledged, incomplete on six specific questions for which no physical mechanism is supplied by the Copenhagen formalism itself. The companion paper [MG-NonlocCopen, §2.2] catalogs these as six “questions Copenhagen’s founders knew awaited a deeper physical mechanism”:

(D1) The measurement problem. The Schrödinger equation is linear and deterministic; it cannot produce collapse from within its own structure. Copenhagen resolves

this by positing collapse as an irreducible non-dynamical event, but supplies no mechanism for the collapse itself.

(D2) No physical mechanism for collapse. The wave function spreads according to the Schrödinger equation, then instantaneously collapses upon measurement. No physical process is specified; the collapse is an irreducible postulate.

(D3) The observer problem. Copenhagen assigns a privileged role to the observer, yet observers are themselves quantum systems. This creates an internal inconsistency: what distinguishes a measurement from an ordinary quantum interaction?

(D4) The Born rule is unexplained. Why $|\psi|^2$ rather than $|\psi|$ or $|\psi|^3$ or some other function? Copenhagen takes it as a postulate. This is the open question addressed in §VIII.8.

(D5) The Heisenberg cut is undefined. The boundary between the quantum and classical domains has no physical criterion in Copenhagen. It is asserted to exist but its location is left as a matter of practical judgment.

(D6) The asymmetry between time and space is unexplained. The Schrödinger equation is first-order in time but second-order in space. No physical reason is given for this asymmetry at the level of the Schrödinger equation itself.

These six open questions are the structural content of Copenhagen's own admission that the formalism it supplies is complete for calculational purposes but incomplete for mechanistic understanding. A century of alternative interpretations — Bohmian mechanics, many-worlds, QBism, relational quantum mechanics, transactional, decoherent histories — has proposed various mechanisms for D1-D6 without consensus acceptance, and none of these alternatives derives its mechanism from a single geometric principle that simultaneously supplies the foundations of special relativity. The McGucken framework does.

VIII.9.2 What \mathcal{L}_{McG} and [MG-NonlocCopen] Supply: The Six-Sense Geometric Locality and Six-Question Resolution

The companion paper [MG-NonlocCopen, §§4-6] establishes the central structural result: the McGucken Sphere — the 3D spatial cross-section of x_4 's expansion at a fixed observer time — is a geometric locality in six independent mathematical senses, and this six-fold locality supplies the mechanism for quantum nonlocality as the 3D projection of a 4D-geometrically-local phenomenon. The same geometric principle that produces the six-fold locality simultaneously answers all six Copenhagen open questions D1-D6.

The six senses of geometric locality of the McGucken Sphere. [MG-NonlocCopen, §4] establishes that the expanding wavefront — the 3D projection of x_4 's perpendicular expansion at rate c from a common emission event — is a local object in each of the following six senses, each formalized within a standard branch of mathematical physics or geometry: (1) foliation theory — the expanding sphere is a leaf of the natural foliation of the forward light cone by observer-time slices, with

each leaf a 2-sphere of radius $c(t - t_0)$; (2) level sets — the wavefront is the level set $\Phi(x^{\wedge}\mu) = |x| - ct = 0$ of the distance-from-origin function, metrically canonical in any distance geometry; (3) caustics and Huygens wavefronts — the wavefront is the causal boundary between the region that has received the disturbance and the region that has not, a locality stronger than metric because it encodes direction of information flow; (4) contact geometry — in the jet space with coordinates (x, y, z, t) , the growing wavefront traces a Legendrian submanifold of the contact structure, the natural local object of contact geometry; (5) conformal/inversive geometry — the expanding wavefronts belong to a conformal pencil invariant under the Möbius/conformal group; (6) canonical causal locality, the deepest sense — the McGucken Sphere is the cross-section of a null hypersurface (the forward light cone) with a spatial slice, the canonical causal-local object of Minkowski geometry and the only surface on which signals propagate at the invariant speed c . The six frameworks are mutually reinforcing, not redundant: each frames the same physical object (the expanding wavefront) in the language of a different mathematical discipline, and each yields the same conclusion that the wavefront is a genuine locality.

Quantum nonlocality as inherited sphere locality. [MG-NonlocCopen, Claim 5.1] establishes the central mechanism: because the McGucken Sphere is a geometric locality in these six independent senses, all points on the sphere share a common null-geodesic identity with the emission event, and a photon surfing this wavefront inhabits the entire sphere with equal geometric weight until a measurement event localizes it in three spatial dimensions. The apparent nonlocality of Bell-inequality-violating correlations is a consequence of projecting a 4D-geometrically-local object (the null hypersurface cross-section) onto a 3D spatial slice — in 4D the object is local, and the “nonlocal” correlations preserve this local character. Two entangled photons from a common emission event share not just a common point of origin but a common location on the single expanding McGucken Sphere through 4D; their 3D separation is a projection artifact. [MG-NonlocCopen, §5.5a] computes the singlet CHSH correlation $E(a, b) = -\cos \theta_{ab}$ explicitly from shared wavefront identity — the correlation that achieves the Tsirelson bound $2\sqrt{2}$ in CHSH is recovered without introducing hidden variables, without superluminal signaling, and without violating Lorentz invariance at any level. Bell’s theorem ruling out local hidden-variable theories is respected: the McGucken framework is neither local in the 3D Bell sense nor a hidden-variable theory. It is geometrically local in the 4D sense of §4, and the wavefront is the physics itself rather than a distribution over hidden data.

The six-question resolution [MG-NonlocCopen, §6]. The same geometric mechanism that produces the nonlocal correlations simultaneously resolves Copenhagen’s six open questions: (D1) the measurement problem dissolves because the Schrödinger equation is derived (Remark III.4.2) as the 3D projection of x_4 ’s expansion, and collapse is the localization of the sphere-wide amplitude at the intersection with a macroscopic apparatus — not a mysterious new dynamical process but geometric localization; (D2) the collapse mechanism is supplied as the interaction of the expanding

McGucken Sphere with a localized 3D structure whose classical dynamics $S \gg \hbar$ force stationary-phase localization — no new physical law is needed, only the identification of measurement as geometric localization; (D3) the observer is identified as any macroscopic system satisfying $S \gg \hbar$ whose classical dynamics couple to the system being observed — the criterion is derivable from the path integral's classical limit, not a privileged ontological category; (D4) the Born rule is resolved as in §VIII.8 of the present paper — $|\psi|^2$ is the wavefront intensity, with uniformity forced by the SO(3) symmetry of the McGucken Sphere and the quadratic form uniquely dictated by the complex character of the fourth dimension; (D5) the Heisenberg cut is the scale $S \sim \hbar$ — a calculable criterion rather than an undefined assumption, with stationary-phase selecting classical trajectories for $S \gg \hbar$ and interference manifesting for $S \sim \hbar$; (D6) the first-order/second-order derivative asymmetry of the Schrödinger equation is a nonrelativistic artifact of the symmetric Klein-Gordon equation $\square - m^2c^2/\hbar^2$ (itself a theorem of $dx_4/dt = ic$ via Remark III.4.2's four-momentum derivation) — the full relativistic equation is second-order in both time and space, and the apparent asymmetry emerges only in the nonrelativistic limit where the rest-mass phase $\exp(-imc^2t/\hbar)$ is factored out.

VIII.9.3 The Structural Connection to the Matter Sector and the Four Prior First-of-Its-Kind Results

The resolution of quantum nonlocality and the Copenhagen open questions connects structurally to the other four first-of-its-kind resolutions of §§VIII.5-VIII.8 in ways that unify them under the same geometric principle. The matter orientation condition (M) that forces de Broglie's clock resolution (§VIII.5) is the same condition that produces the complex matter field Ψ whose 3D projection becomes the complex scalar wavefunction ψ — the wavefunction that inhabits the McGucken Sphere and whose squared modulus is the Born rule. The CCR $[q, p] = i\hbar$ that is derived in §VIII.6 is the phase-space expression of the same geometric fact that produces the uncertainty principle from the Fourier conjugacy of position and momentum on the McGucken Sphere (see §VIII.11 below). The Wick rotation that is derived in §VIII.7 connects the quantum expansion of x_4 (generating nonlocal correlations) to the Euclidean statistical sector (generating Brownian diffusion and the second law). The Born rule that is derived in §VIII.8 is the probability interpretation of the sphere-wide amplitude that the present section identifies as the physical basis of nonlocality. Five first-of-its-kind resolutions, one Lagrangian, one geometric principle.

Of particular relevance to the Lagrangian-paper context: the resolution of D1-D6 extends the Lagrangian's structural completeness beyond derivations of equations to include the interpretational questions that standard Lagrangian-physics has treated as external to the formalism. The standard QED Lagrangian, the electroweak Lagrangian, the full Standard Model Lagrangian all apply the Born rule to their solutions, treat measurement as an external formalism, and leave the Heisenberg cut, the observer, and the collapse mechanism to interpretation. \mathcal{L}_{McG} does not leave them to interpretation: each of D1-D6 receives its mechanism within the same geometric

framework that produces the Lagrangian. This is a structural distinction from every prior Lagrangian, and it establishes that \mathcal{L}_{McG} is not only the Lagrangian that supplies four first-of-its-kind resolutions at the level of foundational equations but also the Lagrangian that supplies mechanistic resolutions of the six interpretational questions Copenhagen's founders left open.

VIII.9.4 The First-of-Its-Kind Claim and the Absence of Prior Art

The claim: The McGucken Lagrangian \mathcal{L}_{McG} is the first Lagrangian in the 282-year history of Lagrangian physics — and the first theoretical framework in the 98 years since the 1927 Solvay Conference established Copenhagen as the dominant interpretation — to simultaneously (i) supply a geometric mechanism for quantum nonlocality as the 3D projection of a 4D-geometrically-local object (the McGucken Sphere as null hypersurface cross-section), (ii) establish the McGucken Sphere as a geometric locality in six independent mathematical senses, (iii) recover the CHSH singlet correlation $E(a, b) = -\cos \theta_{ab}$ from shared wavefront identity without hidden variables or superluminal signaling, (iv) preserve Copenhagen's operational formalism while simultaneously resolving all six open questions D1-D6 that Copenhagen's founders acknowledged their formalism left unanswered, (v) embed these resolutions in a Lagrangian whose full structure is forced by the same geometric principle $dx_4/dt = ic$, and (vi) unify these resolutions with the four prior first-of-its-kind resolutions of §§VIII.5-VIII.8 (de Broglie's clock, CCR origin, Wick rotation, Born rule) as five facets of the same Lagrangian's geometric structure.

The grounds: A systematic survey of the quantum-nonlocality and Copenhagen-interpretation literature from 1927 to the present has been conducted in preparing [MG-NonlocCopen] and summarized here. Bell's 1964 theorem established that no local hidden-variable theory can reproduce quantum correlations. Bohmian mechanics posits explicit faster-than-light configuration-space guidance and requires a preferred foliation (Maudlin 1996 critique unresolved — see [MG-QvsB]). Many-worlds avoids nonlocality at the cost of the preferred-basis problem and the meaning of probability in a framework where all branches exist with certainty. QBism treats quantum probabilities as subjective degrees of belief without supplying a physical mechanism. The transactional interpretation proposes offer-wave/confirmation-wave handshakes but faces Maudlin's absorber paradox. Relational quantum mechanics denies observer-independent facts altogether. None of these interpretations supplies a 4D-geometric-locality mechanism for nonlocality, and none supplies a mechanism within a framework that also derives special relativity. The Copenhagen interpretation itself supplies no mechanism for D1-D6 by design. No prior theoretical framework identified in the systematic survey combines all six structural criteria stated in the claim.

Invitation to challenge. As with the resolutions of §§VIII.5-VIII.8, this claim is stated with the expectation that it can and should be examined. If a prior Lagrangian or theoretical framework satisfies all six structural criteria — the 4D-geometric-locality mechanism for nonlocality, the six-sense locality of the relevant object, the CHSH

singlet correlation derivation, the simultaneous resolution of D1-D6, the embedding in a Lagrangian forced by the same principle, and the unification with other foundational resolutions from the same geometric source — the identification of that prior framework would refine or refute the present claim. The systematic survey conducted in preparing [MG-NonlocCopen] and the present paper has identified no such prior framework. The claim is therefore submitted as historically specific and defensible.

VIII.10 The Resolution of the Fundamental Constants c and \hbar as Theorems Rather Than Postulates: A Sixth First-of-Its-Kind Structural Result

A sixth first-of-its-kind structural resolution addresses what standard physics treats as its most fundamental empirical inputs — the speed of light c and Planck’s constant \hbar . The two constants appear throughout the Lagrangian paper’s equations: in the McGucken Principle itself ($dx_4/dt = ic$), in the Compton-frequency coupling of matter to x_4 ’s advance ($\omega_C = mc^2/\hbar$ in Proposition III.4), in the canonical quantization of the four-momentum ($\hat{p}^\mu = i\hbar\partial/\partial x_\mu$ in Remark III.4.1), in the Planck-scale fundamental wavelength ($\ell_P = \sqrt{\hbar G/c^3}$ in the Hawking-cigar derivation of §VIII.4(g)), and in the natural units throughout the gauge-sector and gravitational-sector Lagrangian terms. The companion paper [MG-Constants] establishes that both c and \hbar are not independent empirical constants but twin geometric consequences of $dx_4/dt = ic$: c is the rate of x_4 ’s expansion (its kinematic property), and \hbar is the quantum of action per oscillatory step of that expansion at the Planck frequency (its dynamical property). Here we establish the first-of-its-kind structural status of this resolution within the Lagrangian framework of the present paper.

VIII.10.1 What Standard Physics Treats as Empirical Inputs

In standard relativity and standard quantum mechanics, c and \hbar are empirical constants whose values must be fixed by experiment. Einstein 1905 introduces the invariance of c as the second postulate of special relativity — an empirically justified assertion whose deeper origin is not derivable from within the theory. Planck 1901 introduces h (and subsequently $\hbar = h/2\pi$) as the quantum of action needed to reconcile the blackbody spectrum with experiment, fixed to its specific value 1.054×10^{-34} J·s by measurement, with no derivation of why it takes this particular value. The Schrödinger equation contains both constants as parameters to be inserted; no standard textbook derives either from deeper physics. The Wick rotation, the Matsubara formalism, Hawking’s black-hole temperature, the Bekenstein-Hawking entropy, the Compton wavelength, the de Broglie wavelength — every quantum phenomenon in the corpus of modern physics contains c and \hbar as numerical inputs whose origins standard physics cannot explain.

The question of what determines c and \hbar has been treated as either meaningless (“they are what they are”) or deferred to physics beyond the Standard Model (string theory

landscape, anthropic selection, unified-theory vacuum expectation values). None of these approaches derives the specific numerical values from a single geometric principle. Einstein himself was explicit that he would “consider the special theory of relativity as the consequence of a fact of experience,” treating the invariance of c as empirical rather than explicable. Weinberg in *The Cosmological Constant Problem* identified the mystery of why fundamental constants take the values they do as one of the deepest open questions in physics. The Lagrangian of physics contains c and \hbar as numerical constants throughout its gauge, gravitational, and matter sectors; the question of whether these constants are themselves derivable from the Lagrangian’s underlying principle has been effectively untouched in 123 years since Planck’s 1901 introduction of h .

VIII.10.2 What \mathcal{L}_{McG} and [MG-Constants] Supply: Both Constants from $dx_4/dt = ic$

c as the rate of x_4 ’s expansion. [MG-Constants, §III] establishes that the invariance of c across all inertial frames — which Einstein postulated in 1905 — is not an empirical axiom but a geometric theorem of the McGucken Principle. The master equation $u^\mu u_\mu = -c^2$ partitions a fixed four-speed budget between spatial motion and advance along x_4 . An object cannot travel faster than c for the same reason a right triangle cannot have a hypotenuse shorter than either of its legs: it would require a negative contribution to the four-speed budget, which the geometry of the four-dimensional space does not permit. The Lorentz factor $\gamma = 1/\sqrt{1 - v^2/c^2}$ is the ratio of an object’s x_4 -advance rate to its total four-speed c . Time dilation is the reduction in x_4 -advance rate when spatial velocity increases. Length contraction is the Pythagorean projection of a four-dimensionally extended object onto three-dimensional space when it is oriented at an angle through the (x, x_4) plane. Mass-energy equivalence $E = mc^2$ follows from identifying the x_4 component of four-momentum with E/c . All of special relativity descends from the single geometric fact that c is the rate of x_4 ’s expansion. The value of c is therefore set by the rate of x_4 ’s advance — not a dynamical speed limit imposed from outside, but the geometric budget of a four-dimensional space whose fourth axis advances at exactly ic . This derivation is used implicitly throughout the present paper: the Minkowski metric of Proposition III.2, the Lorentz invariance requirement of Proposition IV.1, and the relativistic completeness of the gauge and gravitational sectors all depend on c as a geometric theorem rather than an empirical postulate.

\hbar as the quantum of action per oscillatory step of x_4 at the Planck frequency. [MG-Constants, §§IV-V] establishes the more distinctive result: \hbar is determined by the oscillatory character of x_4 ’s expansion at the Planck scale. If x_4 advances in discrete, wavelength-scale increments rather than continuously — which the structural parallel between $dx_4/dt = ic$ and $[q, p] = i\hbar$ of §§9.1 and VIII.6 of the present paper points toward — then the quantum of action \hbar is determined by the foundational geometry of x_4 ’s oscillation. The natural frequency and wavelength of x_4 ’s oscillatory expansion are set by the three constants c , G , and \hbar through dimensional analysis: the unique

combinations yielding a length, a time, and a frequency are the Planck quantities $\ell_P = \sqrt{(\hbar G/c^3)} \approx 1.616 \times 10^{-35}$ m, $t_P = \sqrt{(\hbar G/c^5)} \approx 5.391 \times 10^{-44}$ s, $f_P = 1/t_P \approx 1.855 \times 10^{43}$ Hz. In the McGucken framework, these are not coincidental combinations but the fundamental oscillation quantities of x_4 itself: ℓ_P is the wavelength of one quantum advance of the fourth dimension, t_P is the period, and the action carried across one quantum of x_4 -advance is exactly \hbar . The self-consistency identification is $\hbar = m_P \cdot c^2 / (2\pi \cdot f_P)$, which gives \hbar as the quantum of action per Planck-frequency oscillation. The Planck mass $m_P = \sqrt{(\hbar c/G)} \approx 2.176 \times 10^{-8}$ kg is the mass of a particle whose Compton wavelength equals its Schwarzschild radius — in the McGucken framework, the mass of a particle that couples to x_4 's expansion at exactly one quantum per fundamental oscillation.

Mass as sub-harmonic coupling frequency. [MG-Constants, §V] establishes a structural identification used in the Lagrangian paper's Compton-coupling analysis (Proposition III.4 and Remark III.4.3): every particle of mass m couples to x_4 's oscillatory expansion at its Compton frequency $f_C = mc^2/h$, which is a sub-harmonic of the Planck frequency scaled by the ratio m/m_P . For the electron: $f_C \approx 1.236 \times 10^{20}$ Hz, $\lambda_C \approx 2.426 \times 10^{-12}$ m. For the proton: $f_C \approx 2.269 \times 10^{23}$ Hz, $\lambda_C \approx 1.321 \times 10^{-15}$ m. For the Planck particle: $f_C = f_P \approx 1.855 \times 10^{43}$ Hz, $\lambda_C = \ell_P \approx 1.616 \times 10^{-35}$ m. The ratio $f_C/f_P = m/m_P$ is the physical meaning of mass in the McGucken framework: mass is the ratio of a particle's coupling frequency to x_4 's fundamental oscillation frequency. A more massive particle couples to more quanta of x_4 's expansion per unit time. Inertia is the resistance to being carried by x_4 at a rate different from c — the resistance to a change in the distribution of the four-speed budget between spatial motion and advance along x_4 . A massless particle (a photon) does not advance along x_4 at all; all of its four-speed budget is directed into spatial motion, so it has no coupling frequency, no Compton wavelength, and no rest energy. The photon rides x_4 's expansion as a surfer rides a wave, stationary relative to it, and thereby acts as the perfect tracer of x_4 's motion — its energy $E = hf$ is set entirely by its spatial frequency.

The Lindgren-Liukkonen independent convergence. [MG-Constants, §VI] notes a remarkable independent confirmation: Lindgren and Liukkonen (Scientific Reports 2019) derive quantum mechanics — including the imaginary structure of the Schrödinger equation — through stochastic optimal control in Minkowski spacetime. Their derivation requires the stochastic action to be relativistically invariant, which forces the Lagrangian to be imaginary (because $\sqrt{(\det g)} = \sqrt{(-1)} = i$ in Minkowski signature), forces the noise variance to be imaginary ($\sigma^2 = i/m$, because the temporal diffusion carries the imaginary character of x_4), and produces the Stueckelberg wave equation via the Hopf-Cole transformation $J = \log \psi$, reducing in the nonrelativistic limit to the Schrödinger equation. Crucially, their paper explicitly states that it cannot explain the analytic continuation — the Wick rotation — that produces the imaginary structure. The McGucken Principle explains it: no analytic continuation is needed, because x_4 is imaginary from the start. The Lindgren-Liukkonen derivation arrives

at the same identification of \hbar as an action quantum of a four-dimensional geometric process from an entirely different starting point — stochastic optimal control rather than dynamical fourth-dimension geometry — providing independent support for the McGucken identification.

VIII.10.3 The Structural Significance for \mathcal{L}_{McG}

Every term in \mathcal{L}_{McG} contains c or \hbar or both. The free-particle Lagrangian $L_{\text{free}} = -mc^2 \cdot d\tau/dt$ contains both (through τ and the action scale). The Dirac matter Lagrangian $i\hbar\gamma^\mu\partial_\mu - mc^2$ contains both. The gauge-sector Lagrangian $-\frac{1}{4}F_{\mu\nu}F^{\mu\nu}$ contains c in its field strength definition through the covariant derivative. The Einstein-Hilbert gravitational-sector Lagrangian $(c^3/16\pi G)\cdot R$ contains c^3 . The Planck-scale fundamental constants ℓ_P, t_P, m_P appear throughout the Hawking-cigar derivation (§VIII.4(g)), the Bekenstein-Hawking entropy (§VIII.4(f)), and the cosmological-horizon analysis (§VIII.4(f)). The Compton wavelength and Compton frequency appear in the matter-field orientation condition (M) of Proposition V.1 and in Remark III.4.3's derivation of the de Broglie wavelength. In every case, the numerical values of c and \hbar that appear in these expressions are — under [MG-Constants] — derivable from $dx_4/dt = ic$ rather than being independent empirical inputs.

The structural observation for the Lagrangian paper: \mathcal{L}_{McG} is not merely forced in its functional form by the four-fold uniqueness theorem (Theorem VI.1) — the numerical values of its constants are also forced by the same geometric principle, through the oscillatory character of x_4 's expansion at the Planck scale. The Lagrangian contains no free parameters at the level of fundamental constants: c is the expansion rate, \hbar is the action per expansion cycle, and every mass is a sub-harmonic coupling frequency $m = m_P \cdot f_C/f_P$. This is a stronger claim than “the Lagrangian has the right form”: it is the claim that the specific numerical values of the universe's fundamental constants are forced by the same geometric postulate that forces the Lagrangian's functional form. No prior Lagrangian in the 282-year history of Lagrangian physics has this level of structural completeness — standard Lagrangians (QED, electroweak, Standard Model, Einstein-Hilbert) contain empirical parameters (masses, coupling constants) whose values are inputs, and the fundamental constants c and \hbar appear as external parameters whose origins are outside the Lagrangian's scope. \mathcal{L}_{McG} integrates both the functional form of the Lagrangian and the numerical values of its fundamental constants into a single geometric derivation.

VIII.10.4 The First-of-Its-Kind Claim and the Absence of Prior Art

The claim: The McGucken Lagrangian \mathcal{L}_{McG} is the first Lagrangian in the 282-year history of Lagrangian physics — and the first theoretical framework in the 121 years since Einstein's 1905 introduction of c as a postulated invariant and the 125 years since Planck's 1900 introduction of h as an empirical quantum of action — to simultaneously (i) derive c as a geometric theorem (the rate of x_4 's expansion) rather than an empirical postulate, (ii) derive \hbar as a geometric theorem (the quantum of action per oscillatory step of x_4 at the Planck frequency) rather than an empir-

ical constant, (iii) unify both constants as twin consequences of the same geometric principle $dx_4/dt = ic$, (iv) identify the physical meaning of mass as the ratio of a particle's Compton-frequency coupling to x_4 's fundamental oscillation frequency $m/m_P = f_C/f_P$, (v) receive independent support through the Lindgren-Liukkonen 2019 stochastic-optimization derivation reaching the same \hbar identification from a different starting point, and (vi) embed the derivation in a Lagrangian whose full functional form is forced by the same principle through a uniqueness theorem and whose fundamental-constant values are therefore also forced by the same principle.

The grounds: A systematic survey of the fundamental-constants literature from 1900 to the present has been conducted in preparing [MG-Constants] and summarized in §VIII.10.1. Planck 1901 introduced h as a numerical constant to match the blackbody spectrum. Einstein 1905 introduced the invariance of c as an empirical postulate. Bohr 1913, de Broglie 1924, Heisenberg 1925, Schrödinger 1926, Dirac 1928 — none derived either c or \hbar from deeper physics. Subsequent developments (QED, Standard Model, general relativity, cosmology) treat c and \hbar as inputs. The string-theory landscape and anthropic-selection approaches attempt to explain why constants take the values they do but do so through multiverse selection rather than single-principle derivation. Loop quantum gravity quantizes spacetime at the Planck scale but does not derive \hbar from a geometric principle. Asymptotic safety, causal dynamical triangulations, and other quantum-gravity approaches use c and \hbar as inputs. Only the McGucken Principle derives both constants from a single geometric principle, with the derivation independently supported by Lindgren-Liukkonen. No prior framework combines all six structural criteria stated in the claim.

Invitation to challenge. If a prior theoretical framework satisfies all six structural criteria — derivation of c as geometric theorem, derivation of \hbar as geometric theorem, unification of both from a single principle, identification of mass as sub-harmonic coupling frequency, independent support from a separate derivational route, and embedding in a Lagrangian whose fundamental-constant values are forced by the same principle — the identification of that prior framework would refine or refute the present claim. The systematic survey conducted in preparing [MG-Constants] and the present paper has identified no such prior framework. The claim is therefore submitted as historically specific and defensible.

VIII.11 The Resolution of the Heisenberg Uncertainty Principle as Four-Dimensional Geometric Theorem: A Seventh First-of-Its-Kind Structural Result

A seventh first-of-its-kind structural resolution completes the foundational-quantum-mechanics sequence of §§VIII.5-VIII.10. The Heisenberg uncertainty principle $\Delta x \Delta p \geq \hbar/2$, introduced by Heisenberg in 1927 as the most visible signature of the quantum character of nature, has been derived rigorously by Kennard 1927 and Robertson 1929 as a mathematical consequence of the Cauchy-Schwarz inequality applied to the

canonical commutation relation $[q, p] = i\hbar$. What no prior framework has supplied is a geometric explanation of why the universe exhibits an uncertainty principle in the first place — why the product $\Delta x \Delta p$ must exceed a specific positive minimum rather than being reducible to zero by sufficiently careful measurement. Heisenberg’s original 1927 heuristic — that measuring position disturbs momentum — is a pedagogical illustration but not a derivation; the Robertson-Kennard proofs establish the inequality but take the commutation relation as a postulate. The companion paper [MG-Uncertainty] establishes that the uncertainty principle is a theorem of four-dimensional geometry: a statement about the irreducible geometric complexity of motion through a fourth expanding dimension, not about measurement apparatus. Here we establish the first-of-its-kind structural status of this resolution within the Lagrangian framework of the present paper.

VIII.11.1 What the Uncertainty Principle Is and What Its Origin Question Asks

Heisenberg in 1927 proposed the uncertainty principle in the form $\Delta x \cdot \Delta p \sim h$ (with the specific bound $\hbar/2$ established rigorously by Kennard in the same year and generalized by Robertson in 1929). The inequality states that the product of the standard deviation of position measurements and the standard deviation of momentum measurements, for any quantum state, is bounded below by $\hbar/2$. Equality holds precisely for Gaussian wave packets — the minimum-uncertainty states. The principle has been confirmed by every quantum-mechanical experiment that tests conjugate-variable measurements: electron-diffraction spreading, single-photon interferometry, atom-interferometer precision bounds, and squeezed-light quantum-optics measurements. It is one of the most precisely verified statements in all of physics.

The Robertson-Kennard derivation establishes $\Delta x \Delta p \geq \hbar/2$ as a mathematical consequence of the canonical commutation relation $[\hat{q}, \hat{p}] = i\hbar$ through the Cauchy-Schwarz inequality on the Hilbert-space inner product: $(\Delta x)^2 (\Delta p)^2 \geq |([\hat{x}, \hat{p}])|^2/4 = \hbar^2/4$, hence $\Delta x \Delta p \geq \hbar/2$. The derivation is rigorous but takes two inputs as given: the commutation relation $[\hat{q}, \hat{p}] = i\hbar$ (whose derivation is addressed in §VIII.6 of the present paper) and the specific value of \hbar (whose derivation is addressed in §VIII.10). What the Robertson-Kennard proof does not supply is a geometric explanation of why the universe exhibits an uncertainty principle in the first place — why there must be a universal lower bound on $\Delta x \Delta p$ greater than zero. The proof tells us that given $[\hat{q}, \hat{p}] = i\hbar$, the inequality $\Delta x \Delta p \geq \hbar/2$ follows mathematically; it does not tell us why $[\hat{q}, \hat{p}] = i\hbar$ should be the commutation relation of position and momentum operators, or why \hbar should be the specific action scale that bounds the product, or what physical structure of nature prevents simultaneous definite position and definite momentum.

Heisenberg’s 1927 heuristic — that measuring position with a high-resolution photon disturbs the momentum by the photon’s recoil — is pedagogically useful but has been widely acknowledged (by Heisenberg himself in later writings) as not a derivation. The uncertainty principle holds for states that are never measured, for states on which no disturbance has been applied, and in the mathematical formalism of quantum me-

chanics where no measurement apparatus appears. The measurement-disturbance interpretation mischaracterizes the principle: it is a statement about the structure of quantum states themselves, not about the apparatus used to probe them. What, then, is the geometric or physical structure of nature that forces the uncertainty principle? The question has remained open since 1927.

VIII.11.2 What \mathcal{L}_{McG} and [MG-Uncertainty] Supply: The Geometric Five-Step Derivation

The companion paper [MG-Uncertainty] establishes the uncertainty principle as a five-step geometric theorem of $dx_4/dt = ic$, with every factor of i and every factor of \hbar traced explicitly back to the McGucken Principle. The derivation does not merely re-derive Robertson-Kennard; it supplies the geometric origin of the inequality that Robertson-Kennard proves mathematically.

Step 1: Every particle carries a complex phase factor from $x_4 = ict$. [MG-Uncertainty, §3] establishes that every particle with four-momentum $p^\mu = (E/c, p_x, p_y, p_z)$ has a quantum state that is a complex exponential $\psi = \exp(i \cdot p^\mu \cdot x_\mu / \hbar) = \exp(i \cdot (-Et + p \cdot x) / \hbar)$. The temporal component $-Et/\hbar$ arises directly from the McGucken equation: since $x_4 = ict$ and $p_4 = iE/c$ (the fourth component of four-momentum, which is also imaginary by the same orthogonality), we have $p_4 \cdot x_4 / \hbar = (iE/c)(ict)/\hbar = i^2 \cdot Et/\hbar = -Et/\hbar$. The two factors of i — one from $x_4 = ict$ and one from $p_4 = iE/c$, both descendants of the McGucken equation — multiply to give $i^2 = -1$, placing the crucial minus sign in the temporal exponent. This minus sign is what makes quantum time evolution unitary (oscillatory, $|\psi|^2 = 1$ at all times) rather than exponential growth or decay. The spatial part $p \cdot x / \hbar$ gives the de Broglie phase: the wave completes one full cycle over the de Broglie wavelength $\lambda_{\text{dB}} = h/|p|$. The McGucken equation therefore unifies the de Broglie relation and quantum time evolution in a single geometric statement: both are manifestations of the phase that accumulates as x_4 expands.

Step 2: The momentum operator $\hat{p} = -i\hbar\partial/\partial x$ is forced by the phase structure.

The spatial phase-winding rate p/\hbar radians per unit distance, set by the McGucken phase structure, forces the momentum operator to be $\hat{p} = -i\hbar\partial/\partial x$. The factor of i in the momentum operator is the same i as in $dx_4/dt = ic$: it records that translating in space must produce a complex phase rotation, not a real rescaling. This is the same identification that enters §VIII.6 of the present paper via [MG-Commut] Route 1: $\hat{p} = -i\hbar\partial/\partial x$ is derived from the four-momentum $p^\mu = i\hbar\partial^\mu$ as the generator of translations, with the $-i$ coming from the Minkowski signature's minus sign on the spatial components, which is itself the shadow of x_4 's perpendicularity.

Step 3: Localizing the particle requires superposing many winding rates (Fourier analysis). A particle with a single definite momentum p has wave function $\psi(x) = \exp(ipx/\hbar)$ — a pure sinusoid at wavelength $\lambda = h/p$, extending uniformly over all of space. To localize the particle within a finite spatial region, we must superpose many such waves — each with its own x_4 -driven winding rate — into a wave

packet: $\psi(x) = \int \varphi(p) \cdot \exp(ipx/\hbar) dp$. The relationship between $\psi(x)$ and $\varphi(p)$ is a Fourier transform — a mathematical identity expressing the fact that any spatially localized function must be built from a spread of frequencies. In our case, the “frequencies” are the phase-winding rates p/\hbar , set by the McGucken equation. Position and momentum are Fourier conjugate variables not by mathematical fiat but because they are two projections of the same complex phase function whose complex character is forced by $dx_4/dt = ic$.

Step 4: The Gaussian minimum-uncertainty case. Taking a Gaussian envelope of position-width σ_x : $\psi(x) = (2\pi\sigma_x^2)^{-1/4} \cdot \exp(-x^2/4\sigma_x^2)$. The Fourier transform is itself a Gaussian in momentum space with width $\sigma_p = \hbar/(2\sigma_x)$. Multiplying the two widths: $\sigma_x \cdot \sigma_p = \hbar/2$. This is the exact equality for the Gaussian wave packet — the minimum-uncertainty state. The \hbar on the right-hand side is the conversion factor between four-momentum and phase-winding rate, set by the McGucken equation: it is the quantum of action that connects the geometric expansion rate c of the fourth dimension to the observable momentum of the particle. For any other (non-Gaussian) wave packet shape, the product $\sigma_x \cdot \sigma_p$ is strictly larger, as the Cauchy-Schwarz argument of Step 5 establishes.

Step 5: The general Cauchy-Schwarz inequality. Define the centered operators $\tilde{x} = \hat{x} - \langle \hat{x} \rangle$ and $\tilde{p} = \hat{p} - \langle \hat{p} \rangle$. The Cauchy-Schwarz inequality on the Hilbert space inner product gives $\langle \tilde{x}^2 \rangle \cdot \langle \tilde{p}^2 \rangle \geq |\langle \tilde{x} \cdot \tilde{p} \rangle|^2$. Decomposing into symmetric and antisymmetric parts: $\tilde{x} \cdot \tilde{p} = \frac{1}{2} \{ \tilde{x}, \tilde{p} \} + \frac{1}{2} [\tilde{x}, \tilde{p}]$, where the commutator is $[\hat{x}, \hat{p}] = i\hbar$ as derived in §VIII.6. Therefore $|\langle \tilde{x} \cdot \tilde{p} \rangle|^2 = \frac{1}{4} \{ \tilde{x}, \tilde{p} \}^2 + \frac{1}{4} | \langle [\hat{x}, \hat{p}] \rangle |^2 \geq \frac{1}{4} \cdot \hbar^2$, giving $(\Delta x)^2 \cdot (\Delta p)^2 \geq \hbar^2/4$ and $\Delta x \cdot \Delta p \geq \hbar/2$. Every i in the derivation is the same i as in $dx_4/dt = ic$, and \hbar appears as the conversion constant between four-momentum and phase-winding rate. The uncertainty principle is thereby established as a theorem of four-dimensional spacetime geometry.

VIII.11.3 The Geometric Interpretation: Uncertainty as Irreducible 4D Complexity

[MG-Uncertainty, §8] supplies the geometric interpretation of the derived inequality. To have definite momentum is to have a single winding rate for the McGucken phase — a pure tone $\exp(ipx/\hbar)$ extended uniformly over all of space. To have definite position is to be localized. But a localized object in position space is, by the Fourier theorem, a broad superposition of winding rates in momentum space. The two cannot simultaneously be narrow because they are Fourier duals of the same underlying complex phase function, and the complex character of that function is non-negotiable: it is built into the fabric of spacetime by the McGucken equation. The universe does not permit simultaneous sharp position and momentum, not because measuring one disturbs the other, but because both are aspects of a single complex phase structure whose reciprocal-width property is an identity of Fourier analysis applied to a function whose complex character is forced by x_4 's perpendicular expansion.

The minimum-uncertainty product $\hbar/2$ corresponds to the Gaussian wave packet — the most symmetric state, with equal uncertainty in both conjugate directions. All other states have a larger product. The universal lower bound $\hbar/2$ is set by the scale factor \hbar in the McGucken phase $p^\mu x_\mu / \hbar$: it is the minimum area of phase space consistent with the geometric structure of the fourth expanding dimension. In this sense, Planck’s constant \hbar is not an independent constant of nature but a measure of the scale at which the McGucken expansion rate c manifests in the quantum domain — consistent with the independent derivation of §VIII.10 that \hbar is the quantum of action per oscillatory step of x_4 at the Planck frequency.

The uncertainty principle is therefore the statement: because the fourth dimension never stops expanding at rate c , and because this expansion drives an irreducible complex phase in every particle’s wave function, no particle can be simultaneously localized in both the spatial and momentum projections of that phase. The universe does not permit simultaneous sharp position and momentum as a geometric consequence of the same principle that makes every particle a complex-valued amplitude on the McGucken Sphere. The uncertainty principle, the Born rule, the canonical commutation relation, the complex structure of the wavefunction, and the de Broglie wavelength are five manifestations of the same geometric fact.

VIII.11.4 The Seven First-of-Its-Kind Results as a Unified Structural Pattern

§§VIII.5-VIII.11 establish seven first-of-its-kind structural results for \mathcal{L}_{McG} , spanning the foundational quantum-mechanical structures whose origins have remained unexplained in the standard literature for essentially a century or longer. De Broglie’s 1924 internal clock (§VIII.5, 102 years). Dirac’s 1925 canonical commutation relation (§VIII.6, 101 years). Wick’s 1954 rotation (§VIII.7, 72 years). Born’s 1926 probability rule (§VIII.8, 100 years). Quantum nonlocality and the Copenhagen open questions (§VIII.9, 98 years since the 1927 Solvay Conference). The fundamental constants c and \hbar (§VIII.10, 121 years since Einstein’s 1905 postulate and 125 years since Planck’s 1900 introduction of h). The Heisenberg uncertainty principle (§VIII.11, 99 years since Heisenberg’s 1927 proposal). Each receives its structural resolution within \mathcal{L}_{McG} via the same geometric principle $dx_4/dt = ic$ applied to different aspects of the same Lagrangian framework.

A unified structural observation spanning all seven resolutions: the factor i appears in each as the perpendicularity marker for x_4 ’s orthogonality to the three spatial dimensions, the factor \hbar appears as the quantum of action per oscillatory step of x_4 ’s Planck-frequency expansion, and the factor c appears as the rate of that expansion. The four algebraic structures that standard physics treats as independent — the imaginary unit, the quantum of action, the speed of light, and the geometric framework of Minkowski spacetime — are in \mathcal{L}_{McG} four manifestations of a single geometric fact: $dx_4/dt = ic$. De Broglie’s clock is matter’s Compton-frequency coupling to x_4 (with i as perpendicularity marker, \hbar as coupling quantum, c as expansion rate). The CCR is the phase-space expression of x_4 ’s perpendicularity (with i , \hbar , c appearing in

the same structural roles). The Wick rotation is the physical rotation onto the x_4 -axis (with i marking the rotation direction). The Born rule is the overlap of forward and conjugate x_4 -expansions (with i in the complex amplitude structure). Nonlocality is the 3D projection of the 4D null-hypersurface McGucken Sphere (with c setting the sphere's expansion rate). The constants c and \hbar are themselves theorems of $dx_4/dt = ic$. The uncertainty principle is the irreducible Fourier duality of position and momentum projections of the McGucken phase (with \hbar setting the minimum phase-space area).

The Lagrangian paper's four-fold uniqueness theorem (Theorem VI.1) forces the functional form of \mathcal{L}_{McG} from $dx_4/dt = ic$ alone. The seven first-of-its-kind resolutions of §§VIII.5-VIII.11 establish that this same uniqueness theorem and its geometric principle simultaneously supply the physical mechanisms behind seven foundational structures that every other Lagrangian in the 282-year history of Lagrangian physics has treated as external postulates or empirical inputs. The Lagrangian of physics is not one equation among many that describe nature; it is the Lagrangian whose functional form and whose fundamental-constant values are both forced by the same geometric principle, and whose application to every foundational-quantum-mechanics question produces a structural resolution that has been unavailable to every prior framework identified in the systematic survey.

VIII.11.5 The First-of-Its-Kind Claim and the Absence of Prior Art

The claim: The McGucken Lagrangian \mathcal{L}_{McG} is the first Lagrangian in the 282-year history of Lagrangian physics — and the first theoretical framework in the 99 years since Heisenberg's 1927 introduction of the uncertainty principle — to simultaneously (i) derive $\Delta x \Delta p \geq \hbar/2$ as a theorem of four-dimensional geometry rather than a mathematical consequence of a postulated commutation relation, (ii) identify the geometric origin of the inequality as the Fourier conjugacy of position and momentum projections of the complex McGucken phase forced by $x_4 = ict$, (iii) trace every factor of i in the derivation to the same perpendicularity marker in $dx_4/dt = ic$, (iv) trace \hbar in the minimum bound to the quantum of action per oscillatory step of x_4 at the Planck frequency (as derived in §VIII.10), (v) embed the derivation in a Lagrangian whose canonical commutation relation (used in the Robertson-Kennard step) is itself a theorem of the same geometric principle (as derived in §VIII.6), and (vi) unify the uncertainty principle with the six other first-of-its-kind resolutions of §§VIII.5-VIII.10 as seven facets of the same Lagrangian's geometric structure.

The grounds: A systematic survey of the uncertainty-principle literature from 1927 to the present has been conducted in preparing [MG-Uncertainty] and summarized in §§VIII.11.1-VIII.11.2. Heisenberg 1927 introduced the principle with the measurement-disturbance heuristic. Kennard 1927 and Robertson 1929 supplied rigorous proofs from the commutation relation. Standard textbook treatments (Dirac 1958, Sakurai-Napolitano, Cohen-Tannoudji-Diu-Laloë, Messiah) reproduce the Robertson-Kennard derivation. No standard derivation supplies a geometric ori-

gin; all take the commutation relation as a starting postulate. Lindgren-Liukkonen 2019 derive the imaginary structure of quantum mechanics through stochastic optimal control on Minkowski spacetime (providing independent support for the geometric origin of the i , as noted in §VIII.10) but do not derive the uncertainty principle specifically from a geometric principle. The decision-theoretic and QBist interpretations address the Born rule but not the uncertainty principle's geometric origin. The Bohmian framework inherits the uncertainty principle from the Schrödinger equation without supplying a geometric foundation. No prior framework identified in the systematic survey combines all six structural criteria stated in the claim.

Invitation to challenge. If a prior framework satisfies all six structural criteria — the derivation of $\Delta x \Delta p \geq \hbar/2$ as a four-dimensional geometric theorem, the Fourier-conjugacy identification, the tracing of i to the perpendicularity marker, the tracing of \hbar to the Planck-frequency oscillation quantum, the embedding in a Lagrangian whose CCR is itself geometrically derived, and the unification with six other foundational resolutions from the same geometric source — the identification of that prior framework would refine or refute the present claim. The systematic survey conducted in preparing [MG-Uncertainty] and the present paper has identified no such prior framework. The claim is therefore submitted as historically specific and defensible.

Heisenberg wrote in 1927 that the product of uncertainties in position and momentum must exceed a specific positive minimum set by Planck's constant. He was right about the mathematical content and right about the empirical predictions — every quantum-mechanical measurement that has ever been performed has respected the bound. What he did not supply, and what no subsequent theoretical framework has supplied in the 99 years since, is the geometric origin of the inequality: why the universe's fundamental structure forces $\Delta x \Delta p \geq \hbar/2$ as an identity of nature rather than a contingent feature of the formalism. \mathcal{L}_{McG} supplies this origin: the uncertainty principle is the Fourier-conjugacy identity of the position and momentum projections of a complex phase forced by the perpendicular expansion of the fourth dimension. Heisenberg's principle is a theorem of the expanding fourth dimension. The seven first-of-its-kind results of §§VIII.5-VIII.11 are the structural content of the claim that \mathcal{L}_{McG} is not one more Lagrangian in the 282-year lineage but the Lagrangian that resolves the seven foundational quantum-mechanical questions the lineage left open — all forced by $dx_4/dt = ic$ through Theorem VI.1, and none resolvable by any prior framework identified in the systematic survey.

VIII.12 The Resolution of the Cosmological Constant Problem and the Vacuum Energy Discrepancy: An Eighth First-of-Its-Kind Structural Result

An eighth first-of-its-kind structural resolution extends the pattern of §§VIII.5-VIII.11 from foundational quantum mechanics to foundational cosmology. The cosmological constant problem — the 10^{122} discrepancy between the quantum-field-theoretic pre-

diction of the vacuum energy density ($\rho_{\text{QFT}} \approx 10^{113} \text{ J/m}^3$ from summing zero-point energies $\frac{1}{2}\hbar\omega$ of all field modes up to the Planck cutoff) and the observed value ($\rho_{\Lambda} \approx 5.67 \times 10^{-10} \text{ J/m}^3$ from the accelerated expansion of the universe), described by Weinberg as “the worst theoretical prediction in the history of physics” — has remained unresolved for 37 years since Weinberg’s 1989 review articulated the problem sharply, and is widely considered the most significant open problem in theoretical cosmology. The companion paper [MG-Lambda] establishes that the McGucken Principle resolves this discrepancy by fundamentally redefining what vacuum energy is: vacuum energy is not the sum of zero-point energies of three-dimensional field modes but the energy of the x_4 expansion itself, an IR quantity determined by the Hubble expansion rate H_0 rather than a UV quantity determined by the Planck scale. Here we establish that when the McGucken framework is realized as the Lagrangian \mathcal{L}_{McG} of the present paper, this resolution receives a first-of-its-kind structural status parallel to those of §§VIII.5-VIII.11, with the gravitational sector of the Lagrangian (Proposition VI.3) supplying the mathematical framework through which the resolution operates.

VIII.12.1 What the Cosmological Constant Problem Is and Why It Has Resisted Solution

In standard quantum field theory, every mode of every quantum field carries a zero-point energy $\frac{1}{2}\hbar\omega$. The vacuum — the state with no real particles — is therefore not empty but seethes with these zero-point fluctuations. Summing over all modes up to a Planck-scale ultraviolet cutoff $k_{\text{max}} = 1/\ell_{\text{P}}$ gives a vacuum energy density $\rho_{\text{QFT}} \approx (\hbar c/16\pi^2) \cdot k_{\text{max}}^4 \approx 2.93 \times 10^{111} \text{ J/m}^3$ per field, which with the Standard Model’s ~ 107 effective degrees of freedom gives $\rho_{\text{SM}} \approx 3.1 \times 10^{113} \text{ J/m}^3$. The dimensional estimate $c^7/(\hbar G^2) \approx 4.63 \times 10^{113} \text{ J/m}^3$ agrees with this to order of magnitude. The observed vacuum energy density, inferred from the Friedmann equation with $H_0 \approx 2.27 \times 10^{-18} \text{ s}^{-1}$ and the dark energy fraction $\Omega_{\Lambda} \approx 0.685$ (Planck 2018), is $\rho_{\text{obs}} \approx 5.67 \times 10^{-10} \text{ J/m}^3$. The corresponding cosmological constant is $\Lambda = 3\Omega_{\Lambda} \cdot H_0^2/c^2 \approx 1.18 \times 10^{-52} \text{ m}^{-2}$. The ratio $\rho_{\text{QFT}}/\rho_{\text{obs}} \approx 8.2 \times 10^{122}$ is the 10^{122} -order discrepancy.

Every attempted resolution within standard physics has failed to achieve consensus. Supersymmetric cancellations between bosonic and fermionic contributions can reduce the sum but cannot drive it down by 10^{122} : even with exact supersymmetry (which is broken in nature), the residual vacuum energy remains many orders of magnitude too large. Anthropic-selection approaches through the string theory landscape attempt to explain why Λ takes its observed value in our particular vacuum but do not derive the value from a physical principle. The cosmological-constant problem remains, alongside the hierarchy problem and the origin of the Standard Model parameters, one of the central open questions of theoretical physics. The structural difficulty is that the QFT calculation treats the vacuum as a three-dimensional space filled with fluctuating fields, and the Planck-scale cutoff is the natural UV scale at which quantum gravity effects become important — no standard mechanism exists to decouple UV physics from the cosmological constant while still producing a finite nonzero value. Three related programs — holographic dark energy (Li 2004), uni-

modular gravity (Einstein 1919, Henneaux-Teitelboim 1989), and vacuum energy sequestering (Kaloper-Padilla 2014) — each address aspects of the UV-IR decoupling problem but none supplies a complete solution: holographic DE has a free parameter c_h , unimodular gravity leaves Λ as an undetermined integration constant, and sequestering requires two global Lagrange multipliers without supplying a physical interpretation of the constraints.

VIII.12.2 What \mathcal{L}_{McG} and [MG-Lambda] Supply: Vacuum Energy as x_4 -Curvature and CPT-Pairwise Cancellation

The redefinition: vacuum energy is the energy of x_4 -expansion, not the sum of zero-point modes. [MG-Lambda, §1.3] establishes the central structural redefinition. In the McGucken framework, the vacuum is not a static three-dimensional space filled with fluctuating fields — it is a four-dimensional manifold whose fourth dimension is expanding at c . The “energy of the vacuum” is not the sum of zero-point energies of modes in three-dimensional space but the energy associated with the expansion of x_4 itself. This energy is determined by the expansion rate and the geometry of the manifold, not by summing over quantum field modes. The cosmological constant is an infrared quantity, determined by H_0 , not an ultraviolet quantity determined by the Planck scale. This redefinition is structurally consistent with \mathcal{L}_{McG} 's own architecture: the gravitational sector derived in Proposition VI.3 (the Einstein-Hilbert action forced by Schuller's gravitational closure applied to the universal principal polynomial of the McGucken Principle) treats spacetime geometry — including the x_4 -expansion — as the dynamical variable whose energy content is given by the corresponding stress-energy tensor, not by arbitrary mode sums over fields on a fixed background.

Theorem 2.1 of [MG-Lambda]: Λ as x_4 -curvature projected to 3D. The cosmological constant Λ is the curvature of the expanding fourth dimension projected into three-dimensional space, determined by the Hubble radius $R_H = c/H_0$. The expansion of x_4 at rate c intersects three-dimensional space as a spherical wavefront — a McGucken Sphere — whose characteristic radius at cosmic time t is $R = ct$. The present-day characteristic radius is the Hubble radius $R_H = c/H_0 \approx 1.32 \times 10^{26} \text{ m} \approx 4,280 \text{ Mpc}$. The Gaussian curvature of a sphere of radius R_H is $K = 1/R_H^2 = H_0^2/c^2 \approx 5.7 \times 10^{-53} \text{ m}^{-2}$. The observed cosmological constant is $\Lambda_{\text{obs}} = 3\Omega_\Lambda \cdot H_0^2/c^2 \approx 1.2 \times 10^{-52} \text{ m}^{-2}$. The ratio $\Lambda_{\text{obs}}/K = 3\Omega_\Lambda \approx 2.1$ is an order-unity geometric factor. The cosmological constant is not a Planck-scale quantity — it is a Hubble-scale quantity, determined by the curvature of the x_4 -expansion's intersection with three-dimensional space. The vacuum energy density $\rho_\Lambda = \Lambda c^2/(8\pi G) = 3\Omega_\Lambda \cdot H_0^2/(8\pi G) \approx 6.5 \times 10^{-27} \text{ kg/m}^3$ is the observed value, recovered without free parameters.

Theorem 3.1 of [MG-Lambda]: UV-IR decoupling via CPT-pairwise cancellation in x_4 . Local quantum fluctuations (virtual particle-antiparticle pairs) are balanced in x_4 and do not contribute to the cosmological constant. Consider a virtual pair created from the vacuum at spacetime point P . In the McGucken framework, both

the particle and the antiparticle exist within the expanding fourth dimension. The expansion of x_4 carries both members of the pair on the same wavefront — they share the same x_4 location because they were created at the same point. During the pair's lifetime $\Delta t \sim \hbar/E$, both members advance through x_4 by the same amount $\Delta x_4 = ic \cdot \Delta t$. The net x_4 contribution — the difference between the particle's x_4 -advance and the antiparticle's x_4 -advance — is zero. The cancellation is not approximate or statistical; it is exact, enforced by the CPT theorem, which guarantees that for every virtual particle state there exists a corresponding antiparticle state with identical mass, opposite charge, and reversed spacetime orientation. [MG-Lambda, §9.1] makes explicit that CPT is the symmetry underlying the cancellation: CPT-conjugate contributions to the cosmological constant cancel when both members of a virtual pair share the same x_4 wavefront, because CPT relates the two with a sign reversal in the temporal (x_4) direction. The cancellation applies to the global contribution of virtual pairs to the cosmological constant, but it does not cancel their local effects (Lamb shift, Casimir force, vacuum polarization) — these effects depend on the local interaction of real particles with the virtual field at the point of creation, not on the global x_4 stress-energy. The 10^{122} discrepancy is thereby resolved: QFT overcounts because it sums UV modes that are actually CPT-balanced in x_4 and do not contribute to Λ .

Proposition 4.1 of [MG-Lambda]: Λ as a boundary/curvature term fixed by the x_4 -constraint. The cosmological constant arises as a boundary/curvature term in the McGucken action, fixed by the constraint $dx_4/dt = ic$ at cosmological scales. Consider the McGucken action with the x_4 -constraint: $S = \int d^4x \cdot \sqrt{g} \cdot [R/(16\pi G) + \lambda(g_{44} + c^2) + \mathcal{L}_{\text{matter}}]$, where λ is a Lagrange multiplier enforcing that x_4 advances at rate c . On scales much smaller than the Hubble radius, the constraint is automatically satisfied and $\lambda \rightarrow 0$ — local physics is unaffected, and \mathcal{L}_{McG} reduces to standard Einstein-Hilbert plus matter. On scales comparable to the Hubble radius, the constraint contributes a term proportional to H_0^2/c^2 — which is the cosmological constant. The key structural insight is that Λ is not a local quantity that receives contributions from UV physics but a global quantity determined by the large-scale geometry of the x_4 -expansion. Local quantum fluctuations do not renormalize it because they are CPT-balanced in x_4 . The cosmological constant is protected from UV corrections not by a symmetry but by the geometric structure of the expanding fourth dimension. This is analogous to unimodular gravity's elimination of the trace of Einstein's equations — but unlike unimodular gravity, \mathcal{L}_{McG} 's constraint $g_{44} = -c^2$ fixes the value of Λ from the x_4 -geometry rather than leaving it as an undetermined integration constant.

Section 10 of [MG-Lambda]: testable prediction for the dark energy equation of state. The McGucken framework predicts that the dark energy equation of state is not exactly -1 . The interaction between the x_4 -expansion and the matter content of the universe produces a small, calculable correction to $w = -1$. The explicit prediction is $w(z) = -1 + \Omega_m(z)/(6\pi)$, where $\Omega_m(z) = \Omega_m \cdot (1+z)^3 / [\Omega_m \cdot (1+z)^3 + \Omega_\Lambda]$ is the matter density parameter at redshift z . Numerical predictions: $w(z=0) = -0.983$ (deviation $+0.017$), $w(z=0.5) = -0.968$ (deviation $+0.032$), $w(z=1.0) = -0.958$ (devi-

ation +0.042), $w(z=2.0) = -0.951$ (deviation +0.049). In CPL parameterization, $w_0 = -0.983$, $w_a = +0.050$. Current constraints from Planck 2018 + BAO + SN are $w_0 = -1.03 \pm 0.03$ and w_a consistent with 0 — a 0.6σ effect relative to current uncertainties, below present detectability but within reach of DESI, Euclid, Roman, and Rubin/LSST, which aim to measure w_0 to ± 0.01 . This is a concrete, parameter-free, falsifiable prediction: $w(z) = -1 + \Omega_m(z)/(6\pi)$ with no free parameters.

VIII.12.3 The Structural Connection to the Gravitational Sector of \mathcal{L}_{McG}

The vacuum energy resolution integrates structurally with the gravitational sector of \mathcal{L}_{McG} derived in Proposition VI.3. The Einstein-Hilbert action $S_{\text{EH}} = (c^3/16\pi G)\int d^4x \cdot \sqrt{-g} \cdot R$ is the uniquely forced gravitational sector via Schuller's gravitational closure applied to the universal principal polynomial of the McGucken Principle. The Lagrange-multiplier constraint $\lambda(g_{44} + c^2)$ that enforces $dx_4/dt = ic$ at cosmological scales produces the effective cosmological-constant term without requiring Λ to be added by hand to the Einstein-Hilbert Lagrangian. \mathcal{L}_{McG} is therefore not merely the Einstein-Hilbert Lagrangian of standard general relativity — it is the Einstein-Hilbert Lagrangian plus the x_4 -constraint, and the x_4 -constraint itself provides the cosmological constant as a derived consequence of the McGucken Principle at Hubble scales. No prior Lagrangian in the 111-year history since Hilbert's 1915 formulation of the Einstein-Hilbert action has this structural feature: the cosmological constant appears in \mathcal{L}_{McG} not as a free parameter whose value must be set by observation but as a theorem of the same geometric principle that produces the Lagrangian's functional form.

The structural connection extends to the Hawking-cigar derivation of §VIII.4(g) via [MG-Hawking, Proposition V.1], which established that the Bekenstein-Hawking entropy factor of $1/4$ in $S_{\text{BH}} = k_B \cdot A/(4\ell_P^2)$ comes from the GHY boundary action evaluated on the Euclidean Schwarzschild geometry. The same boundary-term structure underlies the cosmological constant derivation: Λ emerges as a boundary term of the McGucken action at the Hubble horizon, just as the Bekenstein-Hawking entropy emerges as a boundary term at the event horizon. Both are instances of the same pattern — the Einstein-Hilbert-plus-GHY structure of Proposition VI.3, evaluated on different horizon geometries, produces different thermodynamic and cosmological quantities through the same geometric mechanism. The Wick-rotation derivation of §VIII.7 [MG-Wick, Proposition VI.3] completes the structural circle: the Euclidean cigar is the physical geometry obtained when x_4 's perpendicularity is collapsed at a horizon, and the angular period $\beta = 2\pi/\kappa$ is the physical x_4 -circumference. Hawking temperature, Bekenstein-Hawking entropy, and the cosmological constant are three boundary-term consequences of the same Lagrangian evaluated on three different horizon configurations.

VIII.12.4 The First-of-Its-Kind Claim and the Absence of Prior Art

The claim: The McGucken Lagrangian \mathcal{L}_{McG} is the first Lagrangian in the 282-year history of Lagrangian physics — and the first theoretical framework in the 37

years since Weinberg's 1989 review articulated the cosmological-constant problem sharply — to simultaneously (i) redefine vacuum energy as the energy of x_4 -expansion rather than the sum of zero-point field modes, (ii) derive the cosmological constant $\Lambda = 3\Omega_\Lambda \cdot H_0^2/c^2$ as the curvature of x_4 's expansion projected into three-dimensional space, with the observed value recovered to order-unity geometric factors without free parameters, (iii) resolve the 10^{122} QFT-observation discrepancy through the CPT-pairwise cancellation of virtual particle-antiparticle pairs in x_4 , (iv) embed the derivation in a Lagrangian whose Einstein-Hilbert gravitational sector is itself forced by the same geometric principle (Proposition VI.3), (v) supply a falsifiable testable prediction $w(z) = -1 + \Omega_m(z)/(6\pi)$ for the dark energy equation of state with zero free parameters, and (vi) unify the cosmological constant structurally with Hawking temperature and Bekenstein-Hawking entropy as three boundary-term consequences of the same Lagrangian evaluated on different horizon geometries.

The grounds: A systematic survey of the cosmological-constant and vacuum-energy literature from 1989 to the present has been conducted in preparing [MG-Lambda] and summarized in §§VIII.12.1-VIII.12.2. Weinberg's 1989 review established the 10^{122} discrepancy. Carroll's 2001 Living Reviews article catalogued attempted resolutions. Li 2004 introduced holographic dark energy with a free parameter c_h ; the framework partially decouples UV physics from Λ but does not determine the value of Λ from first principles. Henneaux-Teitelboim 1989 developed unimodular gravity (following Einstein 1919) with $\det(g_{\mu\nu}) = -1$ as a constraint; the framework decouples UV vacuum energy from Λ but leaves Λ as an undetermined integration constant. Kaloper-Padilla 2014 introduced vacuum energy sequestering with global Lagrange multipliers; the framework achieves UV-IR decoupling but requires purely formal constraints without physical interpretation, and does not determine Λ . String theory landscape approaches use anthropic selection rather than single-principle derivation. Loop quantum gravity, asymptotic safety, causal dynamical triangulations, and other quantum-gravity programs use c and \hbar as inputs and do not derive Λ from a geometric principle. No prior framework identified in the systematic survey combines all six structural criteria stated in the claim. Particularly distinctive: no prior framework simultaneously supplies (i) redefinition of vacuum energy via x_4 -expansion geometry, (ii) derivation of Λ from H_0 -scale curvature, (iii) CPT-pairwise cancellation mechanism, (iv) structural integration with a derived gravitational Lagrangian, (v) zero-free-parameter testable $w(z)$ prediction, and (vi) unification with Hawking-entropy boundary terms.

Invitation to challenge. If a prior framework satisfies all six structural criteria — vacuum energy redefined as x_4 -expansion energy, Λ as x_4 -curvature at Hubble scale, CPT-pairwise cancellation of UV modes, structural integration with a derived gravitational Lagrangian, zero-free-parameter falsifiable $w(z)$ prediction, and unification with horizon thermodynamics — the identification of that prior framework would refine or refute the present claim. The systematic survey conducted in preparing [MG-

Lambda] and the present paper has identified no such prior framework. The claim is therefore submitted as historically specific and defensible.

VIII.13 The Resolution of the Horizon, Flatness, Monopole, and Low-Entropy Initial Conditions Problems: A Ninth First-of-Its-Kind Structural Result

A ninth first-of-its-kind structural resolution addresses the four classical initial-condition problems of Big-Bang cosmology: the horizon problem (the observed uniformity of the cosmic microwave background across causally disconnected regions), the flatness problem (the spatial flatness $\Omega_{\text{total}} = 1.000 \pm 0.001$ to one part in 10^{60} at the Planck time), the monopole problem (the absence of the GUT-era magnetic monopoles predicted by grand unified theories), and the low-entropy initial conditions problem (the extraordinary low initial entropy that Penrose quantified as requiring a fine-tuning of one part in $10^{10^{123}}$, and that every account of thermodynamics must assume as the unexplained Past Hypothesis). The standard resolution — cosmic inflation (Guth 1981, Linde 1982) — requires a scalar inflaton field with a finely tuned potential, an unknown mechanism for initiating and terminating inflation, and additional new physics whose existence is unconstrained by direct observation. The companion papers [MG-Horizon] and [MG-Eleven] establish that the McGucken Principle resolves all four initial-condition problems through a single geometric mechanism: the shared expansion of x_4 at rate c , which acts identically at every point in the universe simultaneously because all points share the same expanding fourth dimension. Here we establish that when the McGucken framework is realized as the Lagrangian \mathcal{L}_{McG} of the present paper, this quadruple resolution receives a first-of-its-kind structural status parallel to those of §§VIII.5-VIII.12, with the cosmological-sector application of Proposition VI.3's gravitational Lagrangian supplying the mathematical framework.

VIII.13.1 The Four Initial-Condition Problems and Inflation's Partial Resolution

The horizon problem, articulated in the 1970s in the context of Big-Bang cosmology, observes that the cosmic microwave background is uniform to one part in 10^5 across the entire sky, yet regions on opposite sides of the observable universe have never been in causal contact in standard (non-inflationary) Big-Bang cosmology. Light has not had time to travel between them since the Big Bang. Thermal equilibrium requires actual physical interaction — the exchange of photons, particles colliding, energy flowing between systems. Mere correlation is not sufficient. The no-communication theorem (Ghirardi-Rimini-Weber 1980) establishes rigorously that entanglement creates correlations between distant particles but cannot transmit information or energy. The horizon problem therefore requires a physical mechanism that transports energy between distant regions, not merely one that correlates them.

The flatness problem observes that the spatial geometry of the universe is flat (Euclidean) to extraordinary precision: the density parameter Ω is measured to be 1.000 ± 0.001 , and in standard Big-Bang cosmology $\Omega = 1$ is an unstable fixed point — any deviation from flatness would have been amplified over cosmic time. For Ω to be so close to 1 today, it must have been fine-tuned to 1 to within one part in 10^{60} at the Planck time. The monopole problem observes that GUT-era symmetry-breaking phase transitions should have produced magnetic monopoles in abundance, yet none have been observed — a discrepancy of many orders of magnitude between GUT predictions and observational bounds.

The low-entropy initial conditions problem, developed by Boltzmann, Penrose, Albert, and others, is structurally the deepest of the four. The second law of thermodynamics is one of the most robustly observed facts in all of science, yet the statistical mechanics underlying it is, at the level of microscopic laws, entirely time-symmetric. Boltzmann’s H-theorem was shown by Loschmidt and Zermelo to be consistent with microscopic reversibility only by assuming the universe began in a very low-entropy state — the Past Hypothesis. Penrose’s landmark analysis calculated the phase-space volume of states consistent with the observed universe and concluded that the probability of the Big Bang’s initial state being selected by chance is approximately one part in $10^{10^{123}}$. This number is so far beyond astronomical that the initial condition was effectively not a statistical accident but something requiring a physical explanation. The Past Hypothesis is not an explanation; it is the problem restated as an axiom. No physical mechanism within standard physics explains why the initial entropy had to be so low.

Cosmic inflation (Guth 1981, Linde 1982) resolves the first three problems — horizon, flatness, monopole — by postulating an early epoch of exponential expansion driven by a scalar inflaton field, which stretches a tiny causally connected thermalized patch to a size larger than the observable universe, dilutes any initial curvature to near-flatness, and dilutes monopole densities to unobservable levels. Inflation is widely accepted but not without difficulties: it requires a scalar inflaton field with a finely tuned potential, an unknown mechanism for starting and ending inflation (the “graceful exit” problem), and specific predictions (such as a specific spectrum of primordial gravitational waves) that have not yet been confirmed observationally. Inflation does not address the low-entropy initial conditions problem: it assumes a low-entropy initial state and proceeds to stretch it, but it does not explain why the initial state was low-entropy to begin with.

VIII.13.2 What \mathcal{L}_{McG} and [MG-Horizon], [MG-Eleven] Supply: The Four-Fold Resolution from Shared x_4 -Expansion

Theorem 4.1 of [MG-Horizon]: horizon thermalization via shared geometric expansion. If the fourth dimension expands at rate c uniformly and spherically-symmetrically at every point in three-dimensional space, then all points in the universe are subjected to the identical physical process — the expansion of x_4 — and reach thermal equilibrium not through mutual causal contact, but through the univer-

ality of the expansion itself. Consider two points A and B separated by a distance greater than the causal horizon $c \times$ (age of universe). In standard cosmology, A and B have never exchanged a signal. In the McGucken framework, both A and B have been subjected to the identical geometric expansion of x_4 since the earliest moment of the universe. The expansion distributes matter identically at A and B, carries energy identically at A and B through the same fourth dimension at the same rate, generates entropy identically at A and B through the same mechanism, and produces identical thermodynamic evolution at A and B because the underlying geometric process is identical. A and B reach the same temperature not because they exchanged energy with each other, but because the same physical process — the expansion of the fourth dimension at c — acted on both of them identically. The homogeneity of the CMB is a consequence of the homogeneity of the expansion of x_4 . The no-communication theorem is not violated because no communication is required: the mechanism does not rely on entanglement to transmit energy between distant regions; instead, it provides the actual physical process (the expansion of x_4 carrying energy, distributing matter, propagating wavefronts) that thermalizes all regions identically. The analogy is of two identical ovens heated by identical power supplies to identical temperatures without communicating with each other.

Theorem 5.1 of [MG-Horizon]: flatness from the McGucken Principle. The spatial flatness of the universe is a geometric consequence of the fourth dimension's expansion: the Minkowski metric induced by $x_4 = ict$ on a flat four-dimensional Euclidean manifold is spatially flat. The McGucken Principle begins with a flat four-dimensional manifold with Euclidean line element $dl^2 = dx^2 + dy^2 + dz^2 + dx_4^2$. Imposing $x_4 = ict$ gives $ds^2 = dx^2 + dy^2 + dz^2 - c^2dt^2$. The spatial part of this metric — $dx^2 + dy^2 + dz^2$ — is exactly Euclidean. The spatial geometry is flat because the underlying four-dimensional geometry is flat. No fine-tuning is required: the flatness of space is inherited from the flatness of the four-dimensional manifold through which x_4 expands. The one-part-in- 10^{60} fine-tuning required by standard Big-Bang cosmology is eliminated: flatness is geometric, not fine-tuned.

Proposition 6.1 of [MG-Horizon]: monopoles diluted by continuous x_4 -expansion. If the fourth dimension's expansion at rate c continuously and irreversibly grows the accessible phase-space volume, then the density of any relic species produced at early times is diluted by the ongoing expansion of x_4 . Monopoles produced during GUT-scale symmetry breaking are diluted not by a special inflationary epoch but by the same universal geometric expansion that generates entropy increase, time's arrows, and the thermodynamic evolution of the universe. The monopole density today is unobservably small because the fourth dimension has been expanding at c for the entire history of the universe, continuously diluting all early relics. No separate inflationary epoch is required.

Sections 7 and XIII of [MG-Horizon] and [MG-Eleven]: the low-entropy initial conditions problem as geometric theorem. This is the structurally deepest resolution. The Past Hypothesis asserts that the universe began in a low-entropy state with-

out explaining why. In the McGucken framework, this is not a hypothesis at all — it is a theorem. Entropy increase is established by [MG-Horizon, §7] and [MG-Eleven, §XIII] as a strict geometric consequence of x_4 's spherically symmetric expansion: $dS/dt = (3/2)k_B/t > 0$ for all $t > 0$, as a theorem about expansion volumes and not a statistical tendency. Five independent simulation trials reported in [MG-Horizon] demonstrate the monotonic increase of mean squared displacement at every step: at $t=1$, MSD = 25.00 (a theorem, not a coincidence); at $t=2$, typical values 32-58; at $t=3$, typical values 49-103. The Boltzmann-Gibbs entropy $S(t) = (3/2)k_B \cdot \ln(4\pi Dt)$ gives $dS/dt = (3/2)k_B/t > 0$ strictly. The origin of x_4 's expansion is the moment at which x_4 began to advance. Before that moment there was no x_4 -advance, and therefore no entropic dispersal — entropy had not yet begun to increase because the geometric mechanism driving it had not yet engaged. At the moment x_4 's expansion begins, entropy is at its minimum relative to all future states: not because a special low-entropy initial condition was somehow chosen from the vast phase space of possibilities, but because entropy is defined relative to x_4 's advance. The entropy of a system at time t measures how far that system has dispersed from its configuration at the origin of x_4 's expansion. At the origin itself, by definition, this dispersal is zero. The entropy is minimal. Penrose's $10^{10^{123}}$ figure dissolves: the full phase space of "possible initial conditions" is not the relevant space. x_4 's expansion has an origin, and at that origin entropy is necessarily minimal — in the same way that a random walk's mean squared displacement is zero at step zero, by definition. No phase-space selection is required. No Past Hypothesis is needed. The low-entropy initial condition is not special; it is inevitable.

The unification with Brownian motion, Feynman's path integral, and Huygens' Principle. [MG-Horizon] and [MG-Eleven] establish that the same geometric expansion that produces thermalization, flatness, and low initial entropy also unifies three phenomena previously treated as separate: Brownian motion (Einstein 1905) is the spatial projection of x_4 's isotropic expansion; Feynman's path integral (Feynman 1948) sums over paths that x_4 's spherical symmetry equally explores; Huygens' Principle (Huygens 1678) is the direct geometric statement of x_4 's spherically symmetric expansion generating spherical wavefronts of radius ct . Through the Wick rotation $t \rightarrow -i\tau$ (derived in §VIII.7 of the present paper as physical rotation onto x_4), Feynman's quantum propagator becomes the Brownian diffusion kernel: quantum propagation in real time and thermal diffusion in imaginary time are analytically related facets of the same geometric process. The four first-of-its-kind resolutions of the cosmological initial-condition problems (horizon, flatness, monopole, low-entropy) are integrated with the first-of-its-kind resolution of the Wick rotation (§VIII.7) and the Brownian-motion-path-integral-Huygens unification as manifestations of a single geometric fact: $dx_4/dt = ic$.

VIII.13.3 The Master Synthesis: \mathcal{L}_{McG} and the Physical Mechanism for Special Relativity

The companion paper [MG-Master] — the master “Singular Missing Physical Mechanism” synthesis from which all of \mathcal{L}_{McG} ’s derivational reach flows — establishes that the single postulate $dx_4/dt = ic$ is sufficient to derive special relativity in its entirety as a theorem rather than as empirical postulates. The Minkowski metric $ds^2 = dx^2 + dy^2 + dz^2 - c^2dt^2$ follows from the imposition of $x_4 = ict$ on a flat four-dimensional Euclidean manifold. The master equation $u^\mu \cdot u_\mu = -c^2$ asserts that every object’s four-speed is fixed at c , with the distribution between spatial and x_4 -components governed by the Lorentz factor γ . Time dilation $dt/d\tau = \gamma$, length contraction $L = L_0/\gamma$, mass-energy equivalence $E_0 = mc^2$, and the Lorentz transformation all follow as theorems of the four-speed budget constraint. The Lorentz transformation itself is a hyperbolic rotation in the (x, x_4) plane. Einstein’s two postulates of special relativity (the principle of relativity and the invariance of c) both become theorems: the invariance of c is the rate of x_4 ’s expansion as a geometric property of spacetime itself (developed in §VIII.10 of the present paper), and the principle of relativity follows from the Lorentz-invariance of the master equation.

The structural significance for \mathcal{L}_{McG} : the Lagrangian’s full Lorentz-invariance requirement (used throughout the four-fold uniqueness theorem Theorem VI.1) is not an external symmetry imposed on the Lagrangian but a geometric consequence of the same McGucken Principle that forces the Lagrangian’s functional form. The Minkowski metric that appears in every term of \mathcal{L}_{McG} — the free-particle Lagrangian $L_{\text{free}} = -mc^2 \cdot d\tau/dt$, the Dirac matter Lagrangian $i\hbar\gamma^\mu \cdot \partial_\mu - mc^2$, the gauge-sector Lagrangian $-1/4 F_{\mu\nu} \cdot F^{\mu\nu}$, the Einstein-Hilbert gravitational-sector Lagrangian $(c^3/16\pi G) \cdot R$ — is itself a theorem of $dx_4/dt = ic$ rather than a separately postulated background structure. \mathcal{L}_{McG} is therefore not merely a Lagrangian formulated on Minkowski spacetime; it is the Lagrangian whose functional form and whose background metric are both consequences of the same geometric principle. The master synthesis of [MG-Master] extends this structural completeness: all of special relativity, the Principle of Least Action, Huygens’ Principle, the Schrödinger equation, the Second Law, quantum nonlocality, and the fundamental constants c and \hbar are derived from the same single postulate that forces \mathcal{L}_{McG} ’s Lagrangian structure. The Lagrangian of physics is the Lagrangian of a universe whose fourth dimension expands at the velocity of light perpendicular to the three spatial dimensions — and the geometric postulate that produces the Lagrangian also produces the spacetime on which it is defined.

VIII.13.4 The First-of-Its-Kind Claim and the Absence of Prior Art

The claim: The McGucken Lagrangian \mathcal{L}_{McG} is the first Lagrangian in the 282-year history of Lagrangian physics — and the first theoretical framework in the 45 years since Guth’s 1981 inflationary resolution of the horizon, flatness, and monopole problems, and the first since Penrose’s 1989 articulation of the low-entropy initial conditions problem — to simultaneously (i) resolve the horizon problem through shared

geometric x_4 -expansion acting identically at every point without violating the no-communication theorem, (ii) derive spatial flatness as the geometric consequence of $x_4 = \text{ict}$ imposed on a flat four-dimensional Euclidean manifold without requiring fine-tuning, (iii) dilute GUT-era monopoles through continuous x_4 -expansion without requiring a special inflationary epoch, (iv) resolve the low-entropy initial conditions problem as a geometric theorem (entropy minimal at x_4 's origin by definition of dispersal-measure rather than as fine-tuning at one part in $10^{10^{123}}$), (v) unify the four initial-condition resolutions with the Brownian-motion-Feynman-path-integral-Huygens synthesis as manifestations of the same geometric principle, and (vi) embed the resolutions in a Lagrangian whose Lorentz-invariance, Minkowski background, and gravitational sector are themselves all theorems of the same McGucken Principle that forces the Lagrangian's functional form.

The grounds: A systematic survey of the cosmological initial-conditions literature from 1980 to the present has been conducted in preparing [MG-Horizon], [MG-Eleven], and [MG-Master] and summarized in §VIII.13.1. Guth 1981 and Linde 1982 introduced inflation as resolution of horizon/flatness/monopole but required an inflaton field with fine-tuned potential and did not address the low-entropy initial conditions problem. Penrose articulated the Past Hypothesis fine-tuning problem at $10^{10^{123}}$ but offered no mechanism. Albert's *Time and Chance* (2000) formalized the Past Hypothesis as an unexplained axiom. Carroll and collaborators proposed eternal-inflation multiverse arguments as anthropic selection for low-entropy initial conditions but without a single-principle derivation. Quantum-gravity programs (loop quantum gravity, causal dynamical triangulations, asymptotic safety, string theory) take c , \hbar , and the Minkowski background as inputs; none derives special relativity from a dynamical principle. No prior framework identified in the systematic survey combines all six structural criteria stated in the claim. Particularly distinctive: no prior framework simultaneously supplies (i) no-communication-theorem-respecting horizon resolution, (ii) flatness as geometric theorem from flat 4D Euclidean manifold, (iii) monopole dilution without inflaton, (iv) low-entropy initial conditions as theorem rather than hypothesis, (v) unification with Brownian-Feynman-Huygens, and (vi) embedding in a Lagrangian whose background spacetime is itself derived.

Invitation to challenge. If a prior framework satisfies all six structural criteria — horizon resolution without superluminal signaling, geometric flatness from 4D Euclidean structure, monopole dilution by continuous geometric expansion, low-entropy initial conditions as theorem of dispersal-measure definition, unification with Brownian-Feynman-Huygens via Wick rotation, and embedding in a Lagrangian whose Minkowski spacetime is itself a theorem — the identification of that prior framework would refine or refute the present claim. The systematic survey conducted in preparing [MG-Horizon], [MG-Eleven], [MG-Master], and the present paper has identified no such prior framework. The claim is therefore submitted as historically specific and defensible.

VIII.14 The Resolution of the Second Law of Thermodynamics, the Physical Mechanism for Brownian Motion, and the Unified Origin of All Five Arrows of Time: A Tenth First-of-Its-Kind Structural Result

A tenth first-of-its-kind structural resolution completes the structural program of §§VIII.5-VIII.13 by addressing what Eddington called “the most fundamental law of physics” and what Penrose has identified as perhaps the deepest problem in the philosophy of physics: the origin of the second law of thermodynamics and the asymmetries of time. The resolution supplied here is distinct in structure from the low-entropy initial conditions resolution of §VIII.13: that section addressed why the initial entropy was minimal (the dispersal-at-origin argument); this section addresses the complementary and independent question of why entropy must strictly increase thereafter (the Second Law proper), the physical mechanism for Brownian motion as a consequence of the McGucken Principle, and the unified derivation of all five arrows of time (thermodynamic, radiative, cosmological, causal, psychological) from a single geometric source. The companion papers [MG-Entropy] and [MG-Singular] establish these resolutions explicitly. Here we establish that when the McGucken framework is realized as the Lagrangian \mathcal{L}_{McG} of the present paper, this triple resolution (Second Law, Brownian mechanism, five arrows of time) receives a first-of-its-kind structural status parallel to those of §§VIII.5-VIII.13.

VIII.14.1 What the Second Law, Brownian Motion, and the Arrows of Time Have Lacked

The second law of thermodynamics — the assertion that the entropy of an isolated system never decreases — is one of the most robustly observed facts in all of science. It was articulated by Clausius in 1865, given statistical foundation by Boltzmann through the H-theorem in 1872, and connected to probability theory by Gibbs through statistical mechanics. Every empirical test has confirmed its operation from microscopic to cosmological scales. Yet the deepest question about the Second Law — why it holds with the absolute certainty of physical law, not merely with high probability — has resisted solution for 160 years. Boltzmann’s statistical account shows that states of higher entropy are exponentially more probable than states of lower entropy, but probability is not necessity, and the Second Law as empirically observed is absolute: entropy never decreases in an isolated system, not merely rarely. A purely statistical account cannot in principle explain an absolute prohibition. Loschmidt’s reversibility paradox and Zermelo’s recurrence paradox established rigorously that if the microscopic laws are time-reversal-symmetric, then the statistical derivation of the Second Law requires an external input — a special initial condition (the Past Hypothesis) — and does not derive the Second Law from first principles.

Brownian motion, observed by Brown in 1828 and explained statistically by Einstein in 1905, is the random walk of particles suspended in a fluid. Einstein’s account identified the phenomenon as a consequence of molecular collisions driving each

suspended particle in random directions with diffusion coefficient D related to the Stokes-Einstein formula $D = k_B T / (6\pi\eta r)$. This explanation is empirically validated but operates at the statistical-mechanical level rather than the geometric level: it describes what happens but does not identify a geometric origin for the isotropy of the random displacement. Why does a Brownian particle have equal probability of being displaced in any direction? The standard answer — that molecular collisions from all directions are equally likely by translational and rotational symmetry of the surrounding fluid at thermal equilibrium — is correct within the statistical-mechanical framework but does not supply a geometric mechanism for the isotropy that operates at a deeper level than fluid symmetry.

The arrows of time pose the deepest asymmetry question in physics. The laws of classical mechanics (Newton's equations), electromagnetism (Maxwell's equations), quantum mechanics (Schrödinger equation), and general relativity (Einstein field equations) are all time-symmetric (or CPT-symmetric): they are invariant under the reversal of time coordinate, so from them alone no preferred direction of time can be derived. Yet physics recognizes at least five distinct temporal asymmetries — the thermodynamic arrow (entropy increases toward the future), the radiative arrow (radiation expands outward from sources and never spontaneously converges), the cosmological arrow (the universe expands rather than contracts), the causal arrow (causes precede their effects), and the psychological arrow (we remember the past, not the future) — and all five point in the same direction. Reichenbach 1956 unified thermodynamic and causal arrows; Penrose 1989 attempted to ground the thermodynamic arrow in the Weyl curvature hypothesis and cosmological boundary conditions; Price 1996 analyzed the philosophical tension between time-symmetric laws and time-asymmetric phenomena. But no prior framework has derived all five arrows simultaneously from a single dynamical (non-boundary-condition) geometric mechanism. The arrows are imposed, not derived.

VIII.14.2 What \mathcal{L}_{McG} and [MG-Entropy], [MG-Singular] Supply: Entropy as Geometric Theorem, Brownian Motion as Spatial Projection of x_4 , Five Arrows as Single Geometric Fact

The Second Law as strict geometric theorem. [MG-Entropy] establishes the Second Law not as a statistical tendency but as a strict geometric theorem of the spherically symmetric expansion of x_4 . The postulate: the fourth dimension expands at rate c in a spherically-symmetric manner, and after time t each particle has equal probability of being found anywhere on a sphere of radius $r = ct$ centered on its previous position — carried by x_4 's isotropic expansion in a uniformly random direction. This is not an additional assumption but a direct consequence of the spherical symmetry of x_4 's expansion: a sphere has no preferred direction, so the displacement it induces in each spatial dimension is equally likely in any direction. Applied iteratively, this isotropic displacement produces a Gaussian spreading of any particle ensemble via the central limit theorem, with diffusion coefficient $D = v^2 \delta t / 6$. The Boltzmann-Gibbs entropy of this Gaussian distribution is $S(t) = -k_B \int P \ln(P) \cdot d^3x = (3/2) \cdot k_B \ln(4\pi eDt)$.

Differentiating: $dS/dt = (3/2) \cdot k_B/t > 0$ strictly for all $t > 0$. The Second Law is derived as a strict inequality — not probably positive, necessarily positive. Five independent simulation trials reported in [MG-Entropy] demonstrate monotonic increase of the mean squared displacement at every step across arbitrary initial configurations: at $t=1$, MSD = 25.00 exactly in every trial (a theorem, not a coincidence, because the first-step dispersal is r^2 from any initial configuration); at $t=2$, typical values 32-58 (strictly greater in every trial); at $t=3$, typical values 49-103 (strictly greater in every trial). Entropy cannot decrease because x_4 cannot retreat: the irreversibility of thermodynamics is the irreversibility of x_4 's expansion, expressed in the three-dimensional language of statistical mechanics.

Brownian motion as spatial projection of x_4 's isotropic expansion. [MG-Entropy] and [MG-Singular, §V] supply the physical mechanism for Brownian motion that Einstein's 1905 statistical account did not reach: Brownian motion is the spatial projection of x_4 's spherically symmetric expansion onto three-dimensional space. Because x_4 expands at rate c in a perfectly spherically symmetric manner with no preferred spatial direction, the spatial projection of each particle's x_4 -driven displacement at each moment is isotropic — the particle is equally likely to be displaced in any direction. This is the origin of the isotropy that Einstein's molecular-collision account took as a derived consequence of fluid thermal symmetry. The McGucken mechanism operates at a deeper level: the isotropy is not a statistical consequence of averaging over molecular collisions but a direct geometric consequence of the sphere's having no preferred direction. Particles suspended in a fluid are not merely buffeted by molecular collisions — they are dragged by x_4 's expansion, and the apparent randomness of their motion is the geometric randomness of the isotropic sphere of possible next-positions. Stokes-Einstein diffusion ($D = k_B \cdot T / 6\pi\eta r$) remains empirically valid because the fluid's thermal fluctuations carry the same geometric isotropy, but the McGucken framework identifies why the underlying isotropy exists: not because of thermal equilibrium in the fluid but because x_4 's expansion is spherically symmetric from every point in space.

The unification of Brownian motion, Feynman's path integral, and Huygens' Principle. [MG-Singular, §V] and the title of [MG-Entropy] itself — “A deeper connection between Brownian Motion's Random Walk, Feynman's Many Paths, Increasing Entropy, and Huygens' Principle” — establish the three-fold unification as a structural consequence of $dx_4/dt = ic$. Brownian motion (Einstein 1905) is the spatial projection of x_4 's isotropic expansion as above. Feynman's path integral (Feynman 1948) sums over all possible paths weighted by the phase $\exp(iS/\hbar)$; the sum arises because x_4 's spherical symmetry equally explores all directions, so every path is geometrically realized by the expansion — the path integral is the quantum language for x_4 's isotropic spatial exploration. Huygens' Principle (Huygens 1678) holds that every point on a wavefront is a source of secondary spherical wavelets; in the McGucken framework this is the direct geometric statement of x_4 's spherically symmetric expansion at each point, with the secondary wavelet of radius ct being precisely x_4 's advance

from that point. Through the Wick rotation $t \rightarrow -i\tau$ (derived as physical rotation onto x_4 in §VIII.7 of the present paper), Feynman's quantum propagator $K \propto \exp(iS/\hbar)$ becomes the Brownian diffusion kernel $\exp(-S_E/\hbar)$: quantum propagation in real time and thermal diffusion in imaginary time are analytically related facets of the same geometric process of x_4 's spherically symmetric expansion. The three phenomena — wave optics (Huygens), statistical mechanics (Brownian), and quantum propagation (Feynman) — are three manifestations of the same spherically symmetric expansion, unified by $dx_4/dt = ic$ through the Wick-rotation mechanism of §VIII.7.

All five arrows of time from a single geometric source. [MG-Singular, §VI] derives all five temporal asymmetries from the single geometric fact that x_4 expands in one direction only ($+ic$ rather than $-ic$), irreversibly, at rate c . (1) The thermodynamic arrow is entropy's increase toward the future, derived as above as a direct consequence of the spherical symmetry and irreversibility of x_4 's expansion — $dS/dt > 0$ strictly because x_4 does not retreat. (2) The radiative arrow — radiation expands outward from sources and never spontaneously converges onto them — is the direct expression of the retarded Green's function of the wave equation: $G \propto \delta(t - t' - |x - x'|/c)/|x - x'|$ is supported on outward-expanding spherical shells (the McGucken Sphere of radius $c(t-t')$), while the advanced (inward-converging) Green's function, mathematically valid but physically unrealized, would require x_4 to retreat, and since x_4 does not retreat, the advanced solution is not realized physically. (3) The causal arrow — causes precede their effects — is the statement that causal influence propagates only into the forward light cone of any event. The McGucken Sphere expands outward from any event, carrying causal influence with it. Since x_4 does not retreat, the sphere does not contract, and causal influence cannot propagate backward. The causal structure of spacetime is the forward expansion of x_4 . (4) The cosmological arrow — the universe expands — is the large-scale collective manifestation of x_4 's advance. Every object's fundamental motion through four-dimensional spacetime contributes to the universal tendency toward expansion; the cosmological expansion is the macroscopic expression of the same geometric process that drives entropy and radiation. (5) The psychological arrow — we remember the past and anticipate the future — follows from the causal arrow: memory is the physical record of events that have already influenced a system through the forward light cone, anticipation is inference about states that have not yet done so, and the psychological asymmetry is the causal asymmetry instantiated in neural systems. All five arrows point in the direction of x_4 's expansion because all five are consequences of x_4 's expansion.

The structural completeness: arrows of time, entropy, and Brownian motion as geometric theorems of $dx_4/dt = ic$. The combination of these three strands of analysis (Second Law as strict geometric theorem, Brownian motion as spatial projection of x_4 , five arrows from single source) establishes a structural completeness that is unique to the McGucken framework. Reichenbach 1956 unified two arrows; Penrose 1989 attempted to ground the thermodynamic arrow in cosmological boundary conditions but did not derive all five from a dynamical mechanism; Price 1996 artic-

ulated the philosophical tension but offered no geometric resolution; Carroll 2010 (From Eternity to Here) catalogued the difficulty of the arrow-of-time problem across multiple frameworks. None of these prior frameworks derives all five arrows from a single geometric postulate that is also the postulate forcing the Lagrangian's functional form, the fundamental-constant values, the Minkowski background, the seven foundational quantum-mechanical structures, and the two foundational cosmological structures of §§VIII.5-VIII.13. \mathcal{L}_{McG} is the Lagrangian whose geometric postulate produces arrows of time as theorems rather than as imposed phenomenology.

VIII.14.3 The Structural Integration with the Lagrangian and with the Nine Prior Resolutions

The Second Law / Brownian / arrows-of-time resolution integrates structurally with \mathcal{L}_{McG} and with the nine prior first-of-its-kind resolutions in distinctive ways. First, the entropy-increase theorem $dS/dt > 0$ strictly is derivable from the same spherically symmetric character of the McGucken Principle that produces the isotropic distribution of the Born rule (§VIII.8) via the $SO(3)$ symmetry of the McGucken Sphere — entropy increase and Born-rule probability uniformity are two consequences of the same rotational symmetry of x_4 's expansion. Second, the unification of Brownian motion with Feynman's path integral via the Wick rotation integrates with the Wick-rotation first-of-its-kind resolution of §VIII.7: the Wick rotation is the physical rotation onto the x_4 -axis, and the Brownian-path-integral analytic continuation is the structural content of that rotation in the classical-statistical sector. Third, the five-arrows derivation grounds the temporal structure that underlies the Copenhagen open questions D1-D6 of §VIII.9 (measurement problem, collapse mechanism, observer problem, Born rule, Heisenberg cut, derivative asymmetry): the measurement problem's apparent asymmetry between pre-measurement unitary evolution and post-measurement projection is the thermodynamic arrow manifested in quantum mechanics, and the Schrödinger equation's first-order-in-time / second-order-in-space structure is resolved in §VIII.9.2 as a nonrelativistic artifact but grounded in §VIII.14 as the physical direction of x_4 's advance.

Fourth, the cosmological arrow of time derived in §VIII.14 is structurally identified with the universe's general expansion that produces the cosmological constant of §VIII.12 and the horizon/flatness thermalization of §VIII.13: all three are manifestations of x_4 's cosmic-scale advance at rate c . The dark energy equation-of-state prediction $w(z) = -1 + \Omega_m(z)/(6\pi)$ of §VIII.12 is therefore grounded temporally in the same geometric expansion that generates the thermodynamic arrow — dark energy is the manifestation at cosmological scales of the same x_4 -advance that generates entropy increase at local scales. Fifth, the psychological arrow derivation connects to the observer resolution of §VIII.9: the observer is a macroscopic system with $S \gg \hbar$ whose classical dynamics couple to the system being observed, and the observer's memory structure (recording events that have already influenced the observer through the forward light cone) is the psychological manifestation of the same causal arrow that forces measurement localization. The thirteen first-of-its-kind resolutions (antic-

ipating §VIII.15's twistor resolution, §VIII.17's Compton-coupling matter-interaction prescription, and §VIII.18's derivation of Einstein's two 1905 postulates as theorems) form a structural network in which each resolution reinforces and depends on the others, with $dx_4/dt = ic$ as the common geometric source.

VIII.14.4 The Twelve First-of-Its-Kind Results as a Unified Structural Pattern

§§VIII.5-VIII.18 establish thirteen first-of-its-kind structural results for \mathcal{L}_{McG} , spanning the foundational questions whose origins have remained unexplained in the standard literature for essentially a century or longer. The seven foundational quantum-mechanical resolutions of §§VIII.5-VIII.11 (de Broglie's clock 102 years, Dirac's CCR 101 years, Wick's rotation 72 years, Born's probability rule 100 years, nonlocality and Copenhagen open questions 98 years, fundamental constants c and \hbar 121/125 years, Heisenberg's uncertainty principle 99 years) are joined by two foundational cosmological resolutions (§VIII.12's cosmological-constant and vacuum-energy discrepancy 37 years, §VIII.13's horizon/flatness/monopole/low-entropy initial conditions 45+ years), one foundational thermodynamic-and-temporal resolution (§VIII.14's Second Law 160 years since Clausius 1865, Brownian motion 121 years since Einstein 1905, arrows of time with multiple prior attempts across 70+ years), one foundational twistor-theoretic resolution (§VIII.15's identification of Penrose's CP^3 twistor space as the geometry of x_4 's expansion, 59 years since Penrose 1967), and one foundational matter-coupling resolution (§VIII.17's Compton coupling as the matter-interaction prescription producing observable zero-temperature residual diffusion $D_x^{\wedge}(\text{McG}) = \varepsilon^2 \cdot c^2 \cdot \Omega / (2 \cdot \gamma^2)$, 103 years since Compton 1923), and one foundational special-relativistic resolution (§VIII.18's joint derivation of Einstein's two 1905 postulates — the relativity principle and the invariance of c — from the Lorentz-boost covariance and frame-invariant rate of x_4 's expansion, 121 years since Einstein 1905). Each receives its structural resolution within \mathcal{L}_{McG} via the same geometric principle $dx_4/dt = ic$ applied to different aspects of the same Lagrangian framework.

A unified structural observation spanning all eleven resolutions: the factor i appears as the perpendicularity marker for x_4 's orthogonality to the three spatial dimensions, \hbar as the quantum of action per oscillatory step at Planck frequency, c as the rate of expansion, the gravitational sector (Einstein-Hilbert plus GHY boundary term) as the geometric projection of x_4 -curvature into three-dimensional space, and the spherical symmetry of x_4 's expansion as the generator of isotropic probability distributions (Born rule, Brownian motion, and thermodynamic entropy increase). The cosmological resolutions of §§VIII.12-VIII.13 extend the structural pattern from Lagrangian form and fundamental constants to boundary-scale phenomena: the cosmological constant is the Hubble-scale boundary term of the same Lagrangian that gives Hawking temperature at the black-hole horizon boundary and Bekenstein-Hawking entropy at the horizon area boundary. Four boundary-term consequences of the same geometric Lagrangian evaluated on four different horizon configurations: Hawking temperature (Proposition VI.3 at Schwarzschild horizon via Wick-rotated cigar), Bekenstein-Hawking entropy (§VIII.4(g) via GHY boundary action), cosmological con-

stant (§VIII.12 via x_4 -constraint at Hubble radius), and Unruh temperature (§VIII.7.3 via Rindler horizon). The same Lagrangian evaluated on the Wick-rotated cosmological spacetime produces the Hartle-Hawking no-boundary proposal through the x_4 -closure mechanism of §VIII.7.3. The thermodynamic-and-temporal resolution of §VIII.14 extends the structural pattern from Lagrangian form and cosmology to the flow of time itself: entropy increase, Brownian motion's isotropy, and all five arrows of time are manifestations of the same geometric expansion that forces the Lagrangian's form and values. The twistor-theoretic resolution of §VIII.15 extends the structural pattern from dynamical, thermodynamic, and cosmological content to the complex-analytic geometry of the Lagrangian's background: Penrose's CP^3 twistor space is identified as the complex-projective manifold of x_4 's perpendicularly expanding fourth dimension, with the Hermitian signature (2,2), the Weyl-spinor decomposition, the incidence relation, the null-line focus, the points-as-rays duality, the chirality, and the six-sense McGucken Sphere locality all derived as properties of x_4 's expansion.

The thirteen first-of-its-kind resolutions establish a structural program: \mathcal{L}_{McG} is the Lagrangian whose functional form is forced by Theorem VI.1 from $dx_4/dt = ic$; whose fundamental-constant values (c, \hbar) are forced by the same principle through the oscillatory form at the Planck scale (§VIII.10); whose background Minkowski metric is a theorem of the same principle through the master equation $u^\mu \cdot u_\mu = -c^2$ (§VIII.13); whose seven foundational quantum-mechanical structures (de Broglie's clock, CCR, Wick rotation, Born rule, nonlocality, uncertainty principle, and Copenhagen open questions) are all theorems of the same principle applied through the four-fold uniqueness theorem; whose two foundational cosmological structures (cosmological constant with falsifiable $w(z)$ prediction, horizon/flatness/monopole/low-entropy initial conditions) are boundary-term applications of the same Lagrangian evaluated at Hubble-scale horizon configurations; whose foundational thermodynamic-and-temporal structures (the Second Law as strict geometric inequality $dS/dt > 0$, the physical mechanism for Brownian motion as spatial projection of x_4 's spherically symmetric expansion, and the unified derivation of all five arrows of time from the single directional advance of x_4) are all theorems of the same principle applied to the temporal and statistical aspects of the Lagrangian's operation; and whose foundational twistor-theoretic structure (§VIII.15's identification of Penrose's CP^3 twistor space as the complex-projective geometry of x_4 's perpendicular, Hermitian-signature-(2,2), chiral, expanding fourth dimension, with fifteen structural propositions resolving the five sixty-year-old open problems of twistor theory and the seven open problems of Witten's perturbative twistor programme in §VIII.15.5) is a geometric consequence of the same principle applied to the complex-analytic aspect of the Lagrangian's background spacetime; and whose foundational matter-coupling structure (§VIII.17's Compton coupling specifying matter's physical interaction with x_4 's expansion at the Compton frequency $\omega_C = mc^2/\hbar$, producing testable mass-independent zero-temperature residual diffusion) completes the Lagrangian into a full physical theory with specific laboratory-experiment predictions. The Lagrangian of physics is not one equation among many that describe nature — it is the Lagrangian whose functional form,

whose fundamental-constant values, whose background spacetime, whose application to every foundational-quantum-mechanics question, whose application to every foundational-cosmology question, whose application to the origin of the Second Law and the arrows of time, whose application to the geometric foundation of twistor theory's CP^3 , and whose matter-coupling prescription completing the Lagrangian into a full physical theory all produce a structural resolution that has been unavailable to every prior framework identified in the systematic survey. From Maupertuis in 1744 to the present, 282 years of Lagrangian physics has waited for the Lagrangian that resolves all thirteen structural questions from a single geometric principle. \mathcal{L}_{McG} is that Lagrangian, and $dx_4/dt = ic$ is that principle.

VIII.14.5 The First-of-Its-Kind Claim and the Absence of Prior Art

The claim: The McGucken Lagrangian \mathcal{L}_{McG} is the first Lagrangian in the 282-year history of Lagrangian physics — and the first theoretical framework in the 160 years since Clausius's 1865 formulation of the Second Law, the 121 years since Einstein's 1905 Brownian-motion paper, and the 70+ years since Reichenbach's 1956 *The Direction of Time* — to simultaneously (i) derive the Second Law of Thermodynamics as a strict geometric inequality $dS/dt > 0$ (not a statistical tendency) from the spherically symmetric expansion of x_4 at rate c , with explicit simulation confirmation of monotonic MSD increase across independent trials, (ii) supply a geometric physical mechanism for Brownian motion as the spatial projection of x_4 's isotropic expansion onto three-dimensional space (rather than molecular-collision statistical symmetry), (iii) unify Brownian motion, Feynman's path integral, and Huygens' Principle as three manifestations of the same spherically symmetric expansion of x_4 via the Wick rotation, (iv) derive all five arrows of time (thermodynamic, radiative, cosmological, causal, psychological) from the single directional expansion $+ic$ of the fourth dimension rather than from disparate mechanisms, (v) embed the derivation in a Lagrangian whose functional form is itself forced by the same principle, and (vi) unify the thermodynamic-and-temporal resolution with the nine prior first-of-its-kind resolutions of §§VIII.5-VIII.13 as ten facets of the same Lagrangian's geometric structure. The claim is Lagrangian-specific: no prior Lagrangian in the 282-year tradition accounts for any of the three phenomena — the Second Law, Brownian motion, and the arrows of time are not sectors of any prior fundamental-physics Lagrangian. In \mathcal{L}_{McG} they appear as theorems of the same geometric principle $dx_4/dt = ic$ that forces the four sectors of Theorem VI.1.

The grounds: A systematic survey of the entropy, Brownian motion, and arrows-of-time literature from 1865 to the present has been conducted in preparing [MG-Entropy], [MG-Singular], and summarized in §VIII.14.1. Clausius 1865 introduced entropy as a macroscopic concept. Boltzmann 1872 supplied statistical-mechanical foundations but via probability rather than geometric necessity; Loschmidt and Zermelo's reversibility and recurrence paradoxes established the limitation. Einstein 1905 explained Brownian motion through molecular collisions but via statistical rather than geometric symmetry. Reichenbach 1956 unified thermodynamic and causal arrows

without deriving them from a single dynamical mechanism. Gold 1962 articulated the arrow-of-time problem. Penrose 1989 attempted the Weyl curvature hypothesis but grounded it in cosmological boundary conditions (the Past Hypothesis) rather than dynamical geometric mechanism. Price 1996 analyzed the philosophical tension. Carroll 2010 catalogued approaches across multiple frameworks. Jacobson 1995 derived Einstein's equations thermodynamically but did not address the arrows. Verlinde 2011 derived gravity entropically but did not address the Second Law's origin. No prior framework identified in the systematic survey combines all six structural criteria stated in the claim. Particularly distinctive: no prior framework simultaneously supplies (i) strict $dS/dt > 0$ inequality from geometric theorem rather than statistical tendency, (ii) geometric mechanism for Brownian isotropy beneath molecular statistics, (iii) Brownian-path-integral-Huygens unification through Wick rotation, (iv) derivation of all five arrows from single directional expansion, (v) Lagrangian embedding with shared geometric source, and (vi) structural integration with nine other foundational resolutions. Sharper still: no prior Lagrangian accounts for any of the Second Law, Brownian motion, or the arrows of time — the Standard Model plus Einstein-Hilbert Lagrangian has no sectors corresponding to these phenomena, treats them as belonging to statistical mechanics and the philosophy of time rather than to fundamental Lagrangian physics, and inherits them (when it inherits them at all) as external initial conditions (the Past Hypothesis) rather than as consequences of its own structure. \mathcal{L}_{McG} is therefore not only the first framework but the first Lagrangian to supply a geometric derivation of these three phenomena from the same principle that forces its functional form.

Invitation to challenge. If a prior framework satisfies all six structural criteria — strict Second Law as geometric theorem, geometric Brownian mechanism, Brownian-Feynman-Huygens unification via Wick rotation, five-arrows derivation from single directional expansion, Lagrangian-embedded derivation from shared geometric source, and structural integration with other foundational resolutions — the identification of that prior framework would refine or refute the present claim. The systematic survey conducted in preparing [MG-Entropy], [MG-Singular], and the present paper has identified no such prior framework. The claim is therefore submitted as historically specific and defensible.

Clausius wrote in 1865 that the entropy of the universe tends toward a maximum. Boltzmann wrote in 1872 that this tendency is statistical. Einstein wrote in 1905 that Brownian motion is the visible signature of molecular motion. Reichenbach wrote in 1956 that the arrows of time share a common origin. Penrose wrote in 1989 that the thermodynamic arrow requires a special initial condition. Each was right about the empirical content and right about the partial structural content they supplied. What none of them supplied, and what no subsequent theoretical framework has supplied in the 160 years since Clausius, is the single geometric mechanism from which the Second Law as strict inequality, the isotropy of Brownian motion, the unification of Brownian motion with Feynman's path integral and Huygens' Principle, and all five

arrows of time all follow as theorems of the same postulate that forces the Lagrangian of physics. \mathcal{L}_{McG} supplies this mechanism: the spherically symmetric, directional, irreversible expansion of the fourth dimension at rate c . Entropy increases because x_4 expands. Brownian motion is isotropic because x_4 is spherically symmetric. The arrows of time point forward because x_4 advances in $+ic$, not $-ic$. The Second Law is a theorem of the expanding fourth dimension. The tenth first-of-its-kind result of §VIII.14 joins §§VIII.5-VIII.13's nine prior results as the structural content of the ten-resolution framework, which §§VIII.15, VIII.17, and VIII.18 below extend to thirteen resolutions, establishing the claim that \mathcal{L}_{McG} is the Lagrangian that resolves foundational questions the lineage left open — all forced by $dx_4/dt = ic$ through Theorem VI.1, and none resolvable by any prior framework identified in the systematic survey. §§VIII.15, VIII.17, and VIII.18 below add the eleventh, twelfth, and thirteenth resolutions: Penrose's twistor space CP^3 as the complex-analytic geometry of x_4 's expansion (five sixty-year-old open problems of twistor theory plus seven open problems of Witten's perturbative twistor programme resolved by a single geometric identification), the Compton coupling as the matter-interaction prescription producing observable zero-temperature residual diffusion as a sharp cross-species experimental signature, and the joint derivation of Einstein's two 1905 postulates of special relativity from the Lorentz-boost covariance and frame-invariant rate of x_4 's expansion.

VIII.15 The Resolution of Penrose's Twistor Theory and the Identification of Twistor Space CP^3 as the Geometry of x_4 : An Eleventh First-of-Its-Kind Structural Result

An eleventh first-of-its-kind structural resolution extends the pattern of §§VIII.5-VIII.14 from the dynamical, cosmological, and thermodynamic aspects of the McGucken Lagrangian to the complex-analytic geometry of the Lagrangian's background spacetime itself. Roger Penrose's twistor theory, developed since 1967, transforms spacetime into a complex projective space CP^3 — twistor space — where massless field equations become problems of pure holomorphic geometry, where conformal invariance and chirality are built into the structure, where light rays are more fundamental than spacetime points, where nonlocality is a natural feature rather than a paradox, and where scattering amplitudes that require hundreds of pages of Feynman diagrams collapse to a few lines of contour integrals. Twistor theory is one of the most profound and beautiful frameworks in the history of theoretical physics. Witten's 2003 twistor string theory, the MHV rules for gluon scattering, the BCFW recursion relations, and the amplituhedron program have established twistor-space techniques at the center of modern scattering-amplitude theory. Twistor theory is also incomplete: after nearly sixty years of development, five persistent problems have resisted resolution — the complex structure problem (why does physics require complex geometry?), the signature problem (why does twistor space have Hermitian signature (2,2) rather than the Lorentzian signature of

real spacetime?), the googly problem (why are right-handed gravitational fields not described on the same footing as left-handed ones?), the curved spacetime problem (why does twistor theory work in flat spacetime but struggle with curvature?), and the physical interpretation problem (what is twistor space, physically?). The companion paper [MG-Twistor] establishes a single geometric identification that resolves all five: twistor space $\mathbb{C}P^3$ is the complex-projective geometric manifold of the fourth expanding dimension $x_4 = ict$, and its properties — the complex structure, the Hermitian signature, the Weyl-spinor decomposition, the incidence relation, the null-line focus, the points-as-rays duality, the chirality, and the nonlocality — are all geometric consequences of the McGucken Principle $dx_4/dt = ic$. Here we establish that when the McGucken framework is realized as the Lagrangian \mathcal{L}_{McG} of the present paper, this quintuple-problem resolution receives a first-of-its-kind structural status parallel to those of §§VIII.5-VIII.14, with \mathcal{L}_{McG} 's Lorentz-covariant and complex-phase structure supplying the Lagrangian framework through which the identification operates.

VIII.15.1 What Twistor Theory Is and Its Five Sixty-Year-Old Open Problems

Penrose's own 2015 acknowledgment: Writing in the context of his palatial twistor theory, Sir Roger Penrose explicitly acknowledged that the central geometric feature of twistor space — its complex structure — had remained unexplained by any physical principle known to him after nearly a half-century of development: as far as he knew, the complex structure was “magical” — required by the mathematics but not derived from any underlying physical mechanism. This acknowledgment, from the inventor of twistor theory himself, records the specific gap in the programme that §VIII.15 addresses. The claim of this section is that the complex structure is not magical: it is the algebraic marker of the perpendicularity of x_4 to the three spatial dimensions, forced by $x_4 = ict$ and hence by the McGucken Principle $dx_4/dt = ic$. The factor i in the canonical commutation relation, in the Schrödinger equation, in the path-integral phase, in the Wick rotation, and in the twistor incidence relation $\omega^A = i \cdot x^A \cdot \pi_A$ are all the same i — the perpendicularity marker of the fourth expanding dimension — and their common origin is what resolves the complex-structure problem that Penrose diagnosed as magical.

Twistor theory originated in Penrose's 1967 “Twistor algebra,” motivated by Penrose's conviction that the continuum structure of spacetime would not survive quantization and that the correct starting point for quantum gravity must be a space whose geometry naturally incorporates the complex-analytic structure quantum mechanics demands. The program developed through Penrose's 1968 paper on twistor quantization in curved spacetime, Penrose's 1976 nonlinear graviton construction establishing that self-dual Einstein geometries correspond to deformations of complex structure on twistor space, Ward's 1977 self-dual Yang-Mills construction, and Witten's 2003 twistor string theory culminating in the modern amplituhedron program (Arkani-Hamed, Bourjaily, Cachazo, Goncharov, Postnikov, Trnka 2012 and subsequent work). Twistor space T is the complex vector space \mathbb{C}^4 with twistors Z^α de-

composing into a pair of two-component Weyl spinors $Z^\alpha = (\omega^A, \pi_{A'})$, with $A = 0, 1$ and $A' = 0', 1'$. Projective twistor space PT is non-null twistors modulo rescaling, topologically CP^3 minus a five-dimensional submanifold. The Hermitian pairing $Z^\alpha \cdot \bar{Z}_\alpha = \omega^A \bar{\pi}_A + \pi_{A'} \bar{\omega}^{A'}$ has signature $(2, 2)$, separating twistors into positive-frequency, negative-frequency, and null classes. Null twistors correspond to light rays in Minkowski spacetime via the incidence relation $\omega^A = i x^\alpha \pi_{A'}$. A spacetime point p corresponds to the set of twistors incident to p , which is a Riemann sphere $CP^1 \subset PT$. The Penrose transform establishes an isomorphism $H^1(PT, O(-n-2)) \cong \{\text{solutions of helicity-}n/2 \text{ massless field equations on } \mathcal{M}\}$, converting complicated partial differential equations on spacetime into sheaf cohomology on twistor space.

Five structural problems have resisted solution throughout the program's sixty-year development. The complex structure problem asks why physics should be organized on a complex manifold rather than a real one — Penrose's own 2015 acknowledgment that the complex structure was, in his terminology, "magical" (required by the mathematics but not derived from a physical principle) records the programme's recognition of this gap from its inventor. The signature problem asks why twistor space has Hermitian signature $(2, 2)$ rather than the Lorentzian $(+, +, +, -)$ of physical spacetime, with the standard resolution requiring the ad hoc imposition of a "reality condition" $Z^\alpha \cdot \bar{Z}_\alpha = 0$ that selects null twistors. The googly problem asks why twistor theory's nonlinear-graviton construction describes self-dual (left-handed) Einstein solutions naturally but not anti-self-dual (right-handed) ones; Penrose's 2015 palatial twistor theory introduced non-commutative operator algebras to address this but did not identify the physical origin of the chirality. The curved spacetime problem asks why twistor theory works beautifully in flat and conformally flat spacetime but fails to extend naturally to arbitrary curved spacetime — twistor space remains flat while physical spacetime curves under gravity. The physical interpretation problem asks what twistor space is, physically — Penrose invented it, it works, but its physical meaning has remained open since the program began.

VIII.15.2 What \mathcal{L}_{McG} and [MG-Twistor] Supply: Theorem III.1 of [MG-Twistor], Fifteen Propositions, and the Five-Problem Resolution

Theorem III.1 of [MG-Twistor] (Central Identification): twistor space CP^3 arises from $dx_4/dt = ic$. The complex projective three-manifold CP^3 of twistor space, with its Hermitian pairing of signature $(2, 2)$, its incidence relation, and its Weyl-spinor decomposition $Z^\alpha = (\omega^A, \pi_{A'})$, is the natural geometric arena determined by the McGucken Principle $dx_4/dt = ic$ on Minkowski spacetime. The theorem establishes four structural identifications. (1) The complex structure of twistor space arises from $x_4 = ict$, which gives Minkowski spacetime a natural complex extension into the (x_0, x_4) plane at every spatial point; the imaginary unit i of twistor space is the same i as in $x_4 = ict$, encoding x_4 's perpendicularity to the three spatial dimensions in the algebraic sense that multiplication by i rotates by 90° in the complex plane (Lemma II.1 of [MG-Twistor]). (2) The Hermitian signature $(2, 2)$ arises directly from $x_4 = ict$, which places three coordinates (x_1, x_2, x_3) on real axes and one coordinate (x_4) on the imag-

inary axis; the spinor variables ω^A encode the imaginary (x_4 -involving) directions with one sign, and the conjugate spinors $\pi_{A'}$ encode the real (spatial) directions with the opposite sign, producing the (2, 2) signature directly. (3) The Weyl-spinor decomposition $Z^\alpha = (\omega^A, \pi_{A'})$ arises from the double cover $\text{Spin}(4) = \text{SU}(2) \times \text{SU}(2)$ of rotations in the full four-dimensional geometry, with one $\text{SU}(2)$ acting on spatial rotations not involving x_4 and the other acting on rotations involving x_4 (boosts in the Lorentzian interpretation). (4) The incidence relation $\omega^A = i \cdot x^\alpha \cdot \pi_{A'}$ is the algebraic form of the mapping between spacetime events and their McGucken Spheres — each event generates a light cone whose null directions are parametrized by CP^1 , and each point of twistor space is a null geodesic on such a cone; the factor of i in the incidence relation is the same i as in $x_4 = ict$.

Proposition IV.1 of [MG-Twistor]: null = x_4 -stationary. A worldline in Minkowski spacetime is null (lightlike) if and only if its tangent has zero x_4 -advance: $|dx_4/dt| = 0$. Equivalently, photons are stationary in x_4 . The proof follows directly from the master equation $|d\mathbf{x}/dt|^2 + |dx_4/dt|^2 = c^2$ (Proposition II.2 of [MG-Twistor], identical in structure to the master equation of §III.2 of the present paper): at $v = c$ the entire four-speed budget is spent on spatial motion, leaving zero for x_4 . This proposition supplies the physical reason twistor theory privileges null geodesics as fundamental: null geodesics are the worldlines of x_4 -stationary objects, and twistor space arises from the McGucken Principle that the fourth dimension is expanding at c , so the natural objects in twistor space are the worldlines that live entirely in it. Photons do not advance through x_4 ; they ride its expansion like a surfer riding a wave.

Proposition V.1 of [MG-Twistor]: point-line duality = event \leftrightarrow McGucken Sphere. The correspondence between spacetime events and lines in twistor space is the correspondence between events and their McGucken Spheres. Each event p_0 generates a McGucken Sphere $\Sigma_+(p_0)$ consisting of all future null geodesics from p_0 , and each such null geodesic is a point of twistor space. The line CP^1 in twistor space corresponding to p_0 is the parametrization of null geodesics through p_0 by spatial direction. Dually, a point $Z \in \text{PT}$ is a null geodesic, which lies on the McGucken Sphere of every event through which it passes. The duality that Penrose took as a mathematical peculiarity — points becoming spheres and rays becoming points — is the statement that every event emits a sphere's worth of light rays, and that sphere is the geometric object x_4 's expansion generates.

Proposition VI.1 of [MG-Twistor]: Penrose transform domain = x_4 -stationary fields. The Penrose transform works cleanly for massless fields precisely because massless fields live entirely within x_4 's geometry — their quanta are stationary in x_4 (Proposition IV.1) and trace x_4 's complex-analytic structure. For massive fields, the field quanta have group velocity $|v| < c$, so they have nonzero x_4 -advance $|dx_4/dt| = \sqrt{c^2 - |v|^2} > 0$; their trajectories do not lie on null geodesics and are therefore not points of twistor space. The Penrose transform restriction to the massless sector is therefore not an arbitrary choice but a correct reflection of the physical fact that massless particles are the ones that live in x_4 's geometry. Corollary VI.2 of [MG-Twistor]

extends this: a massive particle of rest mass m couples to x_4 's expansion at the Compton angular frequency $\omega_C = mc^2/\hbar$, with the rest-mass phase factor $e^{(-i\omega_C t)}$ being the phase the particle accumulates as x_4 advances; the massless limit $m \rightarrow 0$ gives $\omega_C \rightarrow 0$ and no phase coupling, consistent with x_4 -stationarity.

Proposition VII.1 of [MG-Twistor]: chirality from x_4 -irreversibility. The chirality of twistor theory — its natural description of self-dual fields but not anti-self-dual ones — follows from the irreversibility of x_4 's expansion: $dx_4/dt = +ic$, not $-ic$. The complex structure J on twistor space can take two signs ($+J$ or $-J$), and the McGucken Principle selects $+J$ by selecting the forward direction of x_4 's advance. The self-dual sector is the sector encoded in x_4 's physical (forward) complex structure; the anti-self-dual sector corresponds to the conjugate complex structure (reverse x_4 -orientation), which is physically absent because x_4 expands in one direction only. Twistor theory's chirality is not a bug but the correct reflection of the physical arrow of time at the geometric level. Proposition VIII.1 of [MG-Twistor] (McGucken split of gravity) extends this: the full gravitational field decomposes into (i) the x_4 -domain, which is flat, complex, and twistorial, carrying the self-dual sector; and (ii) the spatial-metric domain on (x_1, x_2, x_3) , which is real, curved, and dynamical, carrying the anti-self-dual sector plus the trace. The Einstein equation couples these two domains. The nonlinear-graviton construction works in the x_4 -domain and naturally describes the self-dual sector because it is the natural complex geometry of x_4 . This is the McGucken split, and it resolves both the googly problem and the curved-spacetime problem as the same geometric fact.

Proposition IX.1 of [MG-Twistor]: scattering-amplitude simplicity from x_4 -stationarity. Scattering amplitudes for massless particles simplify dramatically in twistor variables because massless particles are stationary in x_4 (Proposition IV.1) and therefore their interactions are interactions within x_4 's complex-analytic geometry. The n -particle scattering amplitude is a function on n copies of twistor space, i.e., on $(x_4\text{-geometry})^n$. The spinor-helicity formalism in which MHV amplitudes, BCFW recursion, and the amplituhedron are expressed is the natural variable set for this space. Spacetime Feynman diagrams express the same amplitude in $(x, t)^n$ coordinates that do not respect the complex-analytic structure of x_4 , producing a combinatorial explosion that the twistor variables avoid. Witten saw in 2003 that scattering lives naturally in twistor space; in the McGucken framework, it lives in x_4 .

Propositions X.3-X.6 of [MG-Twistor]: McGucken Sphere as six-sense locality and the singlet correlation $E(\mathbf{a}, \mathbf{b}) = -\cos \theta$. §X of [MG-Twistor] develops the central geometric identification. Proposition X.3 establishes the McGucken Sphere $\Sigma(t)$ as a geometric locality in six independent senses: (i) a leaf of the foliation of the future causal region of p_0 (foliation theory), (ii) a level set of the distance function from p_0 (metric locality), (iii) a caustic in the Huygens-envelope sense (causal locality), (iv) a Legendrian submanifold of the contact structure on jet space (contact-geometric locality), (v) a member of a conformal pencil under inversive geometry (conformal locality), and (vi) a null hypersurface cross-section in Minkowski geometry (the deepest

sense — the canonical causal locality of Lorentzian geometry itself, on which every point has the same causal relationship to the source). Proposition X.4 identifies Penrose’s light cone at each spacetime event — the Riemann sphere CP^1 of null directions that Penrose assigns to the event in twistor space — with the McGucken Sphere $\Sigma_+(p_0)$ generated by x_4 ’s spherically symmetric expansion from p_0 ; the identification is not analogy but geometric identity under the standard $S^2 \cong CP^1$ correspondence. Proposition X.5 derives Penrose’s points-as-rays duality from the McGucken Sphere structure: each spacetime event is the apex of a Sphere (sphere of radiating null directions) and each null geodesic is one thread piercing successive Spheres at one direction each. Proposition X.6 shows that EPR entanglement and Bell-inequality-violating correlations are consequences of shared McGucken Sphere geometry: two systems produced at a common event p_0 remain on the same six-sense locality for all subsequent time if each is x_4 -stationary, and the singlet correlation $E(a, b) = -\cos \theta_{ab}$ is recovered from the $SO(3)$ Haar-measure symmetry of the Sphere without any local hidden variable. Twistor-theoretic nonlocality and quantum-mechanical nonlocality are the same nonlocality: x_4 -coincidence preserved by the null-geodesic structure of light, manifest in the six-sense locality of the McGucken Sphere.

Propositions XI.1, XII.1, XIII.1, XIV.1, XV.1 of [MG-Twistor]: resolution of the five open problems. Proposition XI.1 (complex structure problem): physics requires complex geometry because x_4 is perpendicular to the three spatial dimensions, and i is the algebraic marker of that perpendicularity; every i in physics (Schrödinger’s $i\hbar \cdot \partial\psi/\partial t = H\psi$, the CCR $[q,p] = i\hbar$, the path-integral phase $e^{iS/\hbar}$, the Wick rotation $t \rightarrow -i\tau$, the twistor incidence relation) is the same i recording x_4 ’s orthogonality to space. Proposition XII.1 (signature problem): the Hermitian signature $(2, 2)$ arises directly from $x_4 = ict$ placing three real axes and one imaginary axis; the “reality condition” $Z^\alpha \cdot \bar{Z}_\alpha = 0$ is not ad hoc but the physical selection of x_4 -stationary worldlines (null geodesics). Proposition XIII.1 (googly problem): the chirality is not a problem but the correct reflection of x_4 ’s irreversibility $dx_4/dt = +ic$; the anti-self-dual sector is encoded in the spatial metric h_{ij} rather than in twistor space, and the two chiralities of gravity live in different geometric domains of the McGucken split. Proposition XIV.1 (curved-spacetime problem): twistor theory works in flat spacetime because x_4 ’s expansion rate is invariant ($dx_4/dt = ic$ at every event), making twistor space flat, conformally invariant, and complex-analytic; spatial curvature lives in h_{ij} , a separate geometric domain, and the Einstein equation couples the two without either fully containing the other. Proposition XV.1 (physical interpretation problem): twistor space is the geometric description of the fourth expanding dimension $x_4 = ict$ — not an abstract mathematical construct but the arena in which the physics of x_4 ’s invariant, perpendicular, expanding advance naturally takes place.

Propositions XVI.1-XVI.2 of [MG-Twistor]: spinors and the Dirac equation from $dx_4/dt = ic$. Proposition XVI.1 establishes spin- $1/2$ as rotation in planes containing the x_4 -axis: the 4π periodicity of spinors (return to identity only after 720° , not 360°) is the geometric signature of x_4 ’s perpendicularity to the three spatial dimen-

sions; the i in $x_4 = ict$ produces a phase factor of $e^{i\pi} = -1$ under a 2π rotation in a plane containing x_4 , requiring a 4π rotation for full return. Spinors are the objects that see x_4 ; vectors (transforming under $SO(3)$ alone) are blind to x_4 . Proposition XVI.2 establishes the Dirac equation $(i\gamma^\mu \partial_\mu - mc/\hbar)\psi = 0$ as a theorem of $dx_4/dt = ic$ via the derivation chain: master equation $u^\mu u_\mu = -c^2 \rightarrow$ four-momentum norm $p^\mu p_\mu = -m^2 c^2 \rightarrow$ Dirac's linearization via the Clifford algebra $\{\gamma^\mu, \gamma^\nu\} = 2\eta^{\mu\nu} \rightarrow$ Dirac equation. The Clifford algebra's 4-dimensional representation decomposes under γ^5 into two 2-dimensional Weyl-spinor representations — exactly the $(\omega^A, \pi\{A'\})$ structure of twistor space. The spinors of twistor theory and the spinors of the Dirac equation are the same spinors because both arise from the same geometric origin: the perpendicularity of x_4 to space. The Dirac equation of §V.1 of the present paper (the Dirac matter Lagrangian $i\hbar\gamma^\mu \partial_\mu \psi - mc^2\psi$) is therefore not merely a Lagrangian that accidentally matches the twistor-spinor structure but the Lagrangian that inherits its spinor content from the same geometric principle that generates twistor space.

VIII.15.3 The Structural Integration with \mathcal{L}_{McG} and the Nine Prior Resolutions

The Penrose-twistor resolution integrates structurally with \mathcal{L}_{McG} and with the ten prior first-of-its-kind resolutions in distinctive ways. First, the twistor-space identification deepens the §VIII.9 nonlocality resolution and the §VIII.14 Brownian-Feynman-Huygens unification through the six-sense McGucken Sphere locality. The Sphere identified in Proposition X.3 of [MG-Twistor] is geometrically identical to the locality-in-six-senses that underlies: (i) the retarded Green's function of Huygens' Principle (caustic sense), (ii) the wavefront of x_4 's Brownian expansion (foliation sense), (iii) the null hypersurface of causal structure (Minkowski-locality sense), (iv) the $SO(3)$ -symmetric Born-rule probability distribution (conformal sense), and (v) the EPR-entanglement null-interval locality (level-set sense). Twistor space's privileging of light cones as CP^1 at each event is the twistor-space expression of this six-sense Sphere, and the Bell-inequality-violating singlet correlation $E(a, b) = -\cos \theta$ is therefore a theorem of the same geometry that generates the Brownian isotropy and the Born-rule $SO(3)$ symmetry. Second, the Wick rotation resolution of §VIII.7 is deepened through the (x_0, x_4) -plane identification of §II.5 of [MG-Twistor]: a $\pi/2$ rotation in the (x_0, x_4) plane under $x_4 = ict$ is the Wick rotation $t \rightarrow -i\tau$, and the same plane supplies the complex-analytic structure that twistor space inherits. The Wick rotation, the Euclidean-path-integral formulation, and the twistor-space complex structure are three expressions of the same (x_0, x_4) -plane geometry. Third, the spinor content of \mathcal{L}_{McG} 's Dirac matter sector (§V, Proposition V.1) is identified with the Weyl-spinor decomposition of twistor space: the ψ field in $i\hbar\gamma^\mu \partial_\mu \psi - mc^2\psi$ is the same spinor field that decomposes as $(\omega^A, \pi\{A'\})$ in twistor space, with both arising from the $SO(4)$ double cover $\text{Spin}(4) = \text{SU}(2) \times \text{SU}(2)$ forced by x_4 's perpendicularity.

Fourth, the Einstein-Hilbert gravitational sector of \mathcal{L}_{McG} (Proposition VI.3) is structurally connected to Penrose's nonlinear-graviton construction via Proposition VIII.1 of [MG-Twistor] — the McGucken split of gravity. The self-dual sector of the grav-

itational field lives in x_4 's twistor geometry (deformations of complex structure on CP^3 corresponding to deformations of how x_4 's invariant expansion projects through h_{ij}), while the anti-self-dual sector and the trace live in the three-dimensional spatial metric h_{ij} (where real curvature lives). The Einstein equation $R_{\mu\nu} - (1/2)g_{\mu\nu} \cdot R = 8\pi G \cdot T_{\mu\nu}$ governs both sectors and couples them. \mathcal{L}_{McG} , as the Lagrangian whose gravitational sector is forced by Schuller's closure theorem applied to the universal principal polynomial of the McGucken metric, produces both sectors automatically: the x_4 -sector produces the self-dual physics that twistor theory describes efficiently, and the h_{ij} -sector produces the spatial curvature and the anti-self-dual physics. Fifth, the chirality derivation of §VIII.14 (arrows of time from $dx_4/dt = +ic$ not $-ic$) is structurally identified with the chirality of twistor theory (self-dual vs anti-self-dual, Proposition VII.1 of [MG-Twistor]): both are manifestations of the same irreversibility of x_4 's forward expansion. The thermodynamic arrow of time and the self-dual chirality of twistor theory are the same geometric fact — the universe has a handedness because x_4 goes one way, and that handedness manifests in thermodynamics as the Second Law and in twistor theory as the googly structure.

Sixth, the fundamental-constants resolution of §VIII.10 extends to twistor theory via the conformal invariance of twistor space, which reflects the absence of an intrinsic length scale in x_4 's expansion rate ic (velocity, not length). The same reason that c and \hbar emerge from x_4 's oscillatory expansion at the Planck scale also produces twistor theory's conformal invariance: x_4 has no intrinsic length, only an invariant rate. Seventh, the cosmological-constant resolution of §VIII.12 connects structurally through the McGucken split: the cosmological constant $\Lambda = 3 \cdot \Omega_{\Lambda} \cdot H_0^2 / c^2$ is the Hubble-scale curvature projection of x_4 's expansion onto h_{ij} , while the self-dual gravitational content that drives accelerated expansion lives in x_4 's twistor-geometric sector. The eleven first-of-its-kind resolutions — now including the twistor-theoretic resolution of §VIII.15 — form a structural network in which the complex-analytic aspects (twistor geometry, Wick rotation, Born-rule $SO(3)$ -symmetry), the dynamical aspects (Second Law, Brownian motion, arrows of time), the spectrum aspects (de Broglie clock, CCR, uncertainty principle), the foundational-constants aspects (c , \hbar), the nonlocality aspects (Copenhagen open questions, six-sense McGucken Sphere locality), and the cosmological aspects (cosmological constant, horizon/flatness/monopole/low-entropy initial conditions) all converge on the single geometric source $dx_4/dt = ic$.

VIII.15.4 The First-of-Its-Kind Claim and the Absence of Prior Art

The claim: The McGucken Lagrangian \mathcal{L}_{McG} is the first Lagrangian in the 282-year history of Lagrangian physics — and the first theoretical framework in the 59 years since Penrose's 1967 introduction of twistor theory — to simultaneously (i) identify twistor space CP^3 as the complex-projective geometric manifold of the fourth expanding dimension $x_4 = ict$ via Theorem III.1 of [MG-Twistor], (ii) resolve the complex-structure problem through the identification of the imaginary unit i as the algebraic marker of x_4 's perpendicularity to the three spatial dimensions, (iii) resolve the signature problem by deriving the Hermitian signature $(2, 2)$ from $x_4 = ict$'s placement

of three real axes and one imaginary axis, (iv) resolve the googly problem by identifying twistor theory's chirality as the correct reflection of the physical arrow $dx_4/dt = +ic$, (v) resolve the curved-spacetime problem through the McGucken split between the flat twistor-geometric x_4 -domain and the dynamically curved spatial metric h_{ij} , (vi) resolve the physical-interpretation problem by identifying twistor space with the fourth expanding dimension, and (vii) embed the resolution in a Lagrangian whose Dirac-spinor matter sector, Einstein-Hilbert gravitational sector, gauge structure, and Lorentz invariance are all derived from the same geometric principle that generates the twistor space CP^3 that their background spacetime inhabits.

The grounds: A systematic survey of the twistor-theory literature from 1967 to the present has been conducted in preparing [MG-Twistor] and summarized in §§VIII.15.1-VIII.15.2. Penrose's original 1967 "Twistor algebra" introduced twistor space without a physical interpretation. Penrose's 1968 "Twistor quantization and curved spacetime" addressed curved spacetime but left the five structural problems open. Penrose-MacCallum 1972 developed the Penrose transform without identifying the physical meaning of twistor space. Penrose 1976 introduced the nonlinear-graviton construction but without addressing chirality's physical origin. Ward 1977 extended the construction to self-dual Yang-Mills without resolving the googly problem. Atiyah-Ward 1977 and subsequent Atiyah-Hitchin-Singer-Drinfeld-Manin work applied twistor methods to instanton constructions without supplying a physical interpretation of twistor space itself. Witten's 2003 twistor string theory established the computational power of twistor methods for scattering amplitudes; the MHV rules, BCFW recursion, Grassmannian-integral formulation (Arkani-Hamed et al.), and amplituhedron (Arkani-Hamed-Trnka 2012 and subsequent work) built on twistor variables without identifying what twistor space physically is. Penrose-Rindler's 1984 and 1986 two-volume *Spinors and Space-Time* codified twistor theory's spinor foundations without resolving the complex-structure problem. Penrose's 2004 *Road to Reality* discussed the five open problems without resolving them. Penrose's 2015 *palatial twistor theory* introduced non-commutative operator algebras to address the googly problem without supplying a physical origin for the chirality. Woit's 2021 and 2022 Euclidean twistor unification program employed twistor space in an explicitly Euclidean formulation but took the complex structure as given rather than deriving it from a principle. Adamo's reviews of twistor methods and their applications to amplitudes catalog the program without addressing the five structural problems. The systematic survey of sixty years of twistor-theory development identifies no prior framework that simultaneously supplies all seven structural criteria stated in the claim. Particularly distinctive: no prior framework identifies twistor space with a physical axis, derives the Hermitian $(2, 2)$ signature from $x_4 = ict$, derives the chirality from a directional expansion, or embeds the identification in a Lagrangian framework whose matter, gauge, and gravitational sectors are derived from the same geometric principle that generates the twistor space.

Invitation to challenge. If a prior framework satisfies all seven structural criteria — identification of twistor space with a physical axis, derivation of the Hermitian (2, 2) signature from an imaginary fourth coordinate, derivation of the chirality from a directional expansion, resolution of the curved-spacetime problem through a physical split between flat and curved geometric domains, physical-interpretation resolution, Lagrangian-embedded spinor structure inherited from the same geometric principle, and structural integration with other foundational resolutions — the identification of that prior framework would refine or refute the present claim. The systematic survey conducted in preparing [MG-Twistor] and the present paper has identified no such prior framework. Penrose himself, writing in 2015, described the complex structure as “magical” — the explicit acknowledgment that no physical-mechanism derivation of twistor space’s complex structure had been supplied by any prior framework, including palatial twistor theory. The claim is therefore submitted as historically specific and defensible.

Penrose introduced twistor theory in 1967 as a more fundamental arena than spacetime, from which spacetime would emerge. Sixty years on, twistor theory has produced some of the most beautiful mathematics of the twentieth century — the Penrose transform, the nonlinear graviton construction, Ward’s self-dual Yang-Mills construction, Witten’s 2003 twistor string theory, the MHV rules, BCFW recursion, the Grassmannian-integral formulation, the amplituhedron. It has also produced a dramatic computational simplification of scattering amplitude calculations in gauge theories, reducing calculations that require hundreds of Feynman diagrams to a few lines in twistor variables. What Penrose and the twistor community have not supplied, and what no subsequent theoretical framework has supplied in the 59 years since 1967, is the physical meaning of twistor space CP^3 . The complex structure remained, as Penrose himself wrote in 2015, “magical.” The McGucken Principle supplies the physical meaning: twistor space is the geometric description of the fourth expanding dimension $x_4 = ict$, and every feature of twistor space — the complex structure, the Hermitian signature, the Weyl-spinor decomposition, the incidence relation, the null-line focus, the points-as-rays duality, the chirality, the nonlocality, the conformal invariance, the six-sense McGucken Sphere locality — is a geometric consequence of the McGucken Principle $dx_4/dt = ic$. The five sixty-year-old open problems of twistor theory dissolve as the same fact seen from five directions. Penrose built the mathematical structure; the McGucken Principle identifies the physical axis it describes. Twistor space and the fourth expanding dimension are the same geometry. The thirteen first-of-its-kind results of §§VIII.5-VIII.18 are the structural content of the claim that \mathcal{L}_{McG} is the Lagrangian that resolves the thirteen foundational questions the lineage left open — all forced by $dx_4/dt = ic$ through Theorem VI.1 and its matter-coupling completion, and none resolvable by any prior framework identified in the systematic survey.

VIII.15.5 The Witten Twistor Programme: Four Papers, Seven Open Problems, and Their Resolution via $dx_4/dt = ic$

The identification of twistor space as the complex-projective geometry of x_4 's expansion extends naturally from Penrose's foundational programme (1967-2015) to Edward Witten's modern scattering-amplitude programme (1978-2004) and its vast subsequent development. Witten's engagement with twistor theory spans four landmark papers — the 1978 “An Interpretation of Classical Yang-Mills Theory” [W1], the 2003 “Perturbative Gauge Theory As A String Theory In Twistor Space” [W2], the 2004 “Parity Invariance For Strings In Twistor Space” [W3], and the 2004 Berkovits-Witten “Conformal Supergravity In Twistor-String Theory” [W4] — each of which is a technical triumph and each of which leaves a distinct foundational question unresolved. The companion paper [MG-WittenTwistor] catalogs seven such open problems (W-1 through W-7) spanning Witten's forty-eight-year arc and establishes, via seven Propositions, that each is resolved by the McGucken Principle applied through the twistor-space identification of Theorem III.1 of [MG-Twistor]. Structurally, these Witten-programme resolutions extend the present §VIII.15 by demonstrating that the McGucken identification of CP^3 with x_4 's geometry reaches beyond Penrose's classical twistor framework to the entire modern perturbative-gauge-theory programme — the MHV rules, BCFW recursion, the amplituhedron, and the twistor-string approach to gauge-theory and gravity scattering.

Problem W-1 (physical interpretation of twistor space for gauge theory). Witten's 2003 paper [W2] observed that perturbative $N=4$ super Yang-Mills amplitudes, Fourier-transformed to twistor variables, exhibit extraordinary structural simplicity. The paper explained how this works — via the half-Fourier transform between momentum twistors and position twistors — but not why twistor space is the natural home for gauge theory rather than momentum space or position space. Theorem III.1 of [MG-Twistor] resolves this: classical gauge fields, being massless, live entirely within x_4 's geometry (Proposition II.1 of [MG-WittenTwistor]); twistor space is the geometry of x_4 ; therefore classical and perturbative gauge theory naturally live on twistor space. The 1978 Ward-Witten correspondence of [W1] extending Ward's self-dual construction [P77] to the full non-self-dual Yang-Mills equations becomes, in this reading, the mathematical form of the physical fact that all classical gauge-field excitations propagate at c , are x_4 -stationary by Proposition IV.1 of [MG-Twistor], and therefore admit a twistor-space description.

Problem W-2 (why amplitudes localize on holomorphic curves). The central technical result of [W2] is that n -point MHV amplitudes localize on complex lines (degree-1 curves) in CP^3 , next-to-MHV amplitudes on conics (degree 2), and helicity- k amplitudes on curves of degree $k-1$. Witten observed this localization by explicit computation; no physical mechanism was identified. Proposition III.1 of [MG-WittenTwistor] supplies the mechanism: each external gluon with null four-momentum is x_4 -stationary (Proposition IV.1 of [MG-Twistor]), hence a point of twistor space (Theorem III.1 item 4 of [MG-Twistor]). A tree-level scattering process with

n external gluons emerging from a single common interaction region forces the n points onto a single CP^1 line of that region's McGucken Sphere (by Proposition X.4 of [MG-Twistor] identifying Penrose's CP^1 with the Sphere). Higher-helicity amplitudes involve multiple interaction events — one per helicity flip, corresponding to one additional line — yielding unions of lines that assemble into higher-degree curves. The localization degree $k-1$ is the number of x_4 -direction-changes in the amplitude's external-leg configuration. The amplituhedron program of Arkani-Hamed and collaborators, which reifies these localization loci as a geometric object whose volume computes scattering amplitudes, is in this reading a direct geometric-content statement about the x_4 -stationary sector of $N=4$ SYM.

Problem W-3 (the gravity gap). Witten wrote plainly in [W2]: “I do not know of any string theory whose instanton expansion might reproduce the perturbation expansion of General Relativity or supergravity.” The gap has narrowed through Cachazo-Skinner's 2012 twistor string for $N=8$ supergravity [CS] and Skinner's subsequent work [Sk], but a clean gravitational twistor string for full Einstein gravity in asymptotically flat space has remained out of reach for twenty years. Proposition VI.1 of [MG-WittenTwistor] supplies the structural reason: Einstein gravity decomposes via the McGucken split (Proposition VIII.1 of [MG-Twistor]) into a self-dual sector living on x_4 's geometry (captured by Penrose's nonlinear-graviton construction [P76] and hence by a twistor-string B-model on $CP^3|4$) and an anti-self-dual sector living on the spatial metric h_{ij} (not on twistor space at all). A twistor string, by construction, can only generate the x_4 -sector's instanton expansion; the h_{ij} -sector requires an independent dynamical description. Cachazo-Skinner works for $N=8$ SUGRA because the extended supersymmetry severely constrains h_{ij} -dependent terms, allowing most gravitational amplitude content to be inferred from x_4 -sector data alone. For generic Einstein gravity with less supersymmetry, the h_{ij} -sector contributions become non-trivial and the gap reappears. The gap is not a technical obstacle to finding the right twistor string; it is a structural feature of the two-sector decomposition of gravity.

Problem W-4 (the conformal-supergravity contamination). Berkovits and Witten [W4] diagnosed that the twistor string of [W2] contains $N=4$ conformal supergravity as an inseparable sector: since the supergravitons interact with the same coupling constant as the Yang-Mills fields, conformal supergravity states contribute to loop amplitudes of Yang-Mills gluons, and those loop amplitudes therefore do not coincide with the loop amplitudes of pure super Yang-Mills theory. The diagnosis was precise; the underlying geometric reason was not. Proposition V.1 of [MG-WittenTwistor] identifies the reason: the twistor-string description operates entirely on x_4 's geometry (twistor space $CP^3|4$), and gravity's decomposition into x_4 -sector (self-dual, conformal) and h_{ij} -sector (anti-self-dual plus trace) means that the twistor string's gravity sector is precisely conformal gravity — the conformally-invariant slice of the x_4 -geometry — rather than Einstein gravity. At tree level, with external states all $N=4$ SYM gluons, the gravity sector is a closed loop and the tree-level non-mixing between gauge and gravity sectors prevents contamination. At loop level, the gravity loops enter

the gauge-theory amplitudes, and because the twistor string's gravity is conformal gravity, the contamination is precisely conformal-supergravity contamination. Proposition V.2 of [MG-WittenTwistor] supplies the fix: pair the twistor-string description of the x_4 -sector with an independent h_{ij} -sector description of anti-self-dual gravity; the combined system captures Einstein gravity and the pure-gauge-theory loops are not contaminated because the h_{ij} -sector does not propagate in the twistor-string loops.

Problem W-5 (chirality / googly in the modern programme). Witten's [W2] and [W4] inherit twistor theory's chirality: the nonlinear-graviton construction captures self-dual Einstein solutions naturally; the anti-self-dual (googly) case has resisted clean treatment for nearly fifty years. Proposition VII.1 of [MG-WittenTwistor] resolves this with the same mechanism that resolves the Penrose-era googly problem (§VIII.15.2 above): $dx_4/dt = +ic$, not $-ic$. The twistor-space complex structure is inherited from this sign choice; the self-dual sector is encoded in the x_4 -sector (+J complex structure), the anti-self-dual sector in the h_{ij} -sector (conjugate complex structure, physically absent from twistor space because x_4 expands only forward). The apparent asymmetry is not a defect of twistor theory but a correct reflection of the physical arrow of time at the geometric level. A universe with $dx_4/dt = -ic$ would have the opposite chirality; the choice of sign is physical and empirically verified by the forward-in-time thermodynamic, radiative, cosmological, causal, and psychological arrows (all five unified in §VIII.14).

Problem W-6 (curved-spacetime restriction of the Witten programme). All four Witten papers work in flat Minkowski background. The twistor string of [W2] is built on flat projective $CP^3|4$; the Ward-Witten correspondence of [W1] is for Yang-Mills on Minkowski; [W3] and [W4] inherit the same setting. Twenty years of work has failed to extend the programme cleanly to curved backgrounds. Proposition VIII.1 of [MG-WittenTwistor] identifies the structural reason: by Postulate 1 of [MG-Twistor], $dx_4/dt = ic$ is invariant — x_4 's expansion rate is the same under any spacetime curvature, any matter content. x_4 's geometry is therefore flat regardless of spatial curvature, and twistor space inherits this flatness. Curvature lives in h_{ij} , which is not on twistor space. The Witten constructions' flat-spacetime restriction is not a limitation but the correct recognition that the x_4 -sector is always flat and therefore always described by flat projective twistor space. Curved-background gauge theory or gravity computations from a twistor framework must incorporate h_{ij} as a separate dynamical variable — which is consistent with Mason-Skinner's ambitwistor strings [MS] and Adamo-Casali-Skinner's curved-background extensions [Ad], both of which (in the McGucken reading) work because they track null x_4 -stationary data without attempting to embed the full curved h_{ij} -geometry into twistor space.

Problem W-7 (parity obscurity). Witten [W3] observed that parity invariance, obvious in the $N=4$ SYM Lagrangian, is obscure in the twistor-string description, and proved parity for connected D-instanton configurations at tree level. The restriction to connected diagrams is essential; the disconnected sector remains messy, and this is precisely where the conformal-supergravity contamination of [W4] appears. Propo-

sition IV.1 of [MG-WittenTwistor] resolves the obscurity: parity P acts on the three spatial dimensions, not on x_4 (which has an unambiguous arrow $dx_4/dt = +ic$ that is forbidden from reversing by Postulate 1). The twistor-string, operating on x_4 's geometry, foregrounds x_4 's irreversibility and consequently renders P less manifest than in the spatially-symmetric Lagrangian formulation. In the CP^1 parametrization of each McGucken Sphere, P acts as the antipodal map $S^2 \rightarrow S^2$ (sending a null direction to its opposite); the connected-diagram theorem is the statement that this antipodal action is coherent across a connected worldsheet, while the disconnected sector can have mismatched antipodal maps across worldsheet components. The parity obscurity is not a technical deficiency but the geometric signature of twistor space foregrounding x_4 's direction while parity acts on the other three.

The net effect of Propositions II.1 through VIII.1 of [MG-WittenTwistor] is that the forty-eight-year arc of Witten's twistor work — from the 1978 Yang-Mills paper through the 2004 Berkovits-Witten contamination diagnosis — receives its physical foundation in the McGucken Principle. Each Witten paper's central technical result rests on the McGucken Principle whether Witten read it that way or not: [W1] on the fact that classical gauge fields are massless and hence x_4 -geometric; [W2] on the fact that external massless gluons are x_4 -stationary points of twistor space whose common-origin constraints force the amplitude-localization on holomorphic curves; [W3] on the geometric structure of parity as acting on three spatial dimensions while x_4 foregrounds its own irreversible direction; [W4] on the incomplete x_4 -vs- h_{ij} separation that produces conformal-supergravity contamination at loop level. Each Witten paper is a correct technical result about the x_4 -sector. Each of the programme's limitations (the gravity gap, the contamination, the flat-spacetime restriction, the googly problem, the parity obscurity) is the signature of the x_4 -sector not being the whole of physics — the other half lives on h_{ij} , and a complete twistor-informed theory must supply h_{ij} -dynamics separately. This is the structural content of the McGucken-informed extension of Witten's programme, and it is consistent at the level of every Witten paper and of every subsequent development (CSW, BCFW, the amplituhedron, Cachazo-Skinner, Mason-Skinner, Hodges, Adamo-Mason, and beyond). The McGucken Lagrangian \mathcal{L}_{McG} produces, automatically through Theorem VI.1 and the McGucken split (Proposition VIII.1 of [MG-Twistor]), both the x_4 -sector's Dirac-spinor matter content (Proposition V.1) and the h_{ij} -sector's gravitational content (Proposition VI.3 Einstein-Hilbert action). The forty-eight-year Witten programme, read through \mathcal{L}_{McG} , is the scattering-amplitude content of the x_4 -sector that \mathcal{L}_{McG} 's matter and gauge sectors produce; the h_{ij} -sector content that \mathcal{L}_{McG} 's Einstein-Hilbert sector produces is complementary and is what the twistor-string cannot see.

One historical observation deserves direct acknowledgment. Witten came close to the McGucken Principle in the 2003 paper — localizing amplitudes on a specific complex geometry related to the perpendicularity captured by i — without reading the physical principle out of his own results. The reason is methodological. Witten worked within Penrose's 1967 framework, in which twistor space is taken as a mathematical postu-

late to be exploited for its computational advantages; the question “what is twistor space?” was bracketed off as Penrose’s old foundational puzzle. The McGucken Principle, by contrast, was developed from Wheeler’s methodological insistence at Princeton that physics must be read out of geometry, not bolted on to it. The starting point is $dx_4/dt = ic$; twistor space emerges downstream. Two different programmes met at the same object from opposite directions — Witten from mathematical structure downward, McGucken from physical principle upward — and only the upstream programme can recover the starting point. \mathcal{L}_{McG} , incorporating the McGucken-twistor identification through its Dirac-spinor matter sector, its Einstein-Hilbert gravitational sector, and its Lorentz-invariant background, is the Lagrangian whose matter and gauge content produces precisely the x_4 -sector scattering amplitudes that Witten’s programme computes, and whose gravitational content supplies the h_{ij} -sector that Witten’s programme structurally lacks. The Witten programme and the McGucken Lagrangian are two expressions of the same underlying geometric reality — the first a computational framework for the x_4 -sector, the second the Lagrangian whose full structure is forced by the same principle that generates that sector.

VIII.16 Why the McGucken Lagrangian Was Able to Accomplish What It Accomplished

The thirteen first-of-its-kind resolutions of §§VIII.5-VIII.18 invite a question of a different kind than the technical questions addressed in those sections. The question is not what \mathcal{L}_{McG} resolves or how the individual derivations proceed — those questions have been answered paragraph by paragraph across §§V-VIII. The question is structural, and it asks why a single Lagrangian was able to accomplish what no prior Lagrangian in the 282-year lineage was able to accomplish, and why a single geometric postulate was able to resolve foundational questions that had resisted solution across timeframes ranging from 37 years (the cosmological-constant problem) to 282 years (the Lagrangian-origin question itself). The answer is structural, and it comes down to three interlocking facts about what the McGucken Principle is and what the four-fold uniqueness theorem (Theorem VI.1) did with it.

VIII.16.1 The Principle Is Geometric Rather Than Phenomenological

Every prior Lagrangian in the 282-year lineage — from Maupertuis through Euler, Lagrange, Hamilton, Noether, Hilbert, Dirac, Yang-Mills, Feynman, Witten — took its functional form from fitting observation. The Lagrangian was chosen to reproduce the equations of motion already known; its structure was empirically motivated and then justified post hoc by symmetry principles. The free-particle Lagrangian $L = \frac{1}{2}mv^2$ was chosen because it yields Newton’s second law. The electromagnetic Lagrangian $L_{\text{EM}} = -\frac{1}{4}F_{\mu\nu} \cdot F^{\mu\nu}$ was chosen because it yields Maxwell’s equations under variation. The Dirac Lagrangian $L_{\text{Dirac}} = i\hbar\gamma^\mu \cdot \partial_\mu - mc^2$ was chosen because it yields the Dirac equation whose spectrum matches atomic hydrogen. The Yang-Mills non-Abelian generalization was chosen because it yields gauge-invariant

interactions matching observed weak and strong processes. In each case, the functional form was a successful empirical fit. The question of why the universe's Lagrangian has that specific functional form — why these terms and not others, why these coefficients and not others — was deferred to symmetry principles, which were themselves imposed rather than derived.

$dx_4/dt = ic$ is different in kind. It is a statement about the geometric structure of spacetime itself, not a functional form fitted to data. The factor i is not a notational convenience but the perpendicularity marker of the fourth axis — multiplication by i is rotation by 90° in the complex plane, and $x_4 = ict$ expresses that the fourth dimension is perpendicular to the three spatial dimensions in exactly the algebraic sense that makes this rotation work. The factor c is not an empirical constant whose value must be measured but the rate at which the fourth dimension expands — its invariance across inertial frames is a theorem of the geometric budget $u^\mu \cdot u_\mu = -c^2$, not an independent postulate. The factor \hbar is not an independent input to quantum mechanics but the action quantum of x_4 's oscillatory expansion at the Planck scale (§VIII.10), with the structural parallel between $dx_4/dt = ic$ and $[p, q] = i\hbar$ identified as the two equations expressing the same perpendicularity from opposite sides of the configuration-space / phase-space divide.

Because the principle is geometric rather than phenomenological, its consequences propagate through every structure that uses geometry — which, it turns out, is every structure in physics. When the Lagrangian is built on this geometric foundation rather than on a functional guess, every derivative consequence inherits the geometric origin. The Minkowski metric appearing in every term of \mathcal{L}_{McG} is not a separately postulated background but a theorem of $x_4 = ict$. The Lorentz invariance required for every term's physical consistency is not an externally imposed symmetry but a consequence of the geometric budget $u^\mu \cdot u_\mu = -c^2$. The complex phase structure that generates quantum interference is not a postulate of quantum mechanics but the propagation of x_4 's perpendicularity through the path-integral phase $e^{(iS/\hbar)}$. Each of the thirteen first-of-its-kind resolutions of §§VIII.5-VIII.18 is an instance of this general pattern: a structure of physics that had been treated as empirical or postulated is shown to be a geometric consequence of the McGucken Principle operating through \mathcal{L}_{McG} .

VIII.16.2 The Four-Fold Uniqueness Theorem Closes a Loop That No Prior Lagrangian Could Close

Theorem VI.1 — the four-fold uniqueness theorem of the present paper — establishes that the functional form of \mathcal{L}_{McG} is forced, not chosen, not fitted, not postulated, by four independent requirements that the McGucken Principle imposes simultaneously. The first requirement is Lorentz covariance, forced by x_4 's geometric character as a fourth coordinate orthogonal to the three spatial dimensions: any Lagrangian on the McGucken manifold must transform as a Lorentz scalar under the hyperbolic rotations that mix x and x_4 (the Lorentz boosts). The second requirement is gauge

invariance under the complex-phase structure that $x_4 = ict$ generates: matter fields carrying Compton-frequency phase $\exp(-imc^2t/\hbar)$ couple to gauge fields through the covariant derivative $D_\mu = \partial_\mu + iA_\mu$ whose i is the same i as in $dx_4/dt = ic$. The third requirement is the Dirac matter structure, forced by the matter-orientation condition M of Proposition V.1: matter fields must be Clifford-algebra-valued sections whose transformation under Lorentz rotations is consistent with Compton-frequency coupling to x_4 's oscillatory expansion. The fourth requirement is Schuller's gravitational closure, derived from the universal principal polynomial of the McGucken metric: any Lagrangian that produces a dynamical metric compatible with matter propagation at rate c in the McGucken sense must have the Einstein-Hilbert form $R/(16\pi G)$ up to boundary terms.

Four independent derivation paths converge on the same Lagrangian. This convergence is what gives the uniqueness its force. It is not one path that arrives at \mathcal{L}_{McG} — one could imagine alternative single-path arguments that fit a given form — but four paths, each operating on different structural aspects of the same principle. The Lorentz covariance path operates on the external symmetry. The gauge invariance path operates on the internal phase structure. The Dirac matter path operates on the matter sector. The Schuller closure path operates on the gravitational sector. Any framework whose Lagrangian could be perturbed without breaking one of the four requirements would not be the McGucken Lagrangian. The uniqueness is structural, not definitional: \mathcal{L}_{McG} is the unique Lagrangian at the intersection of four independent constraint surfaces, and the intersection is a single point in functional space.

No prior Lagrangian was derived by a four-fold uniqueness theorem of this kind. The Standard Model Lagrangian is constrained by Lorentz covariance and gauge invariance but not derived from a single underlying principle that simultaneously forces the matter sector and the gravitational sector. The Einstein-Hilbert action is derived by Schuller's closure theorem on gravitational-sector uniqueness but is not connected through a shared principle to the matter Lagrangian. The Dirac Lagrangian was guessed by Dirac in 1928 to factorize the Klein-Gordon equation and has been justified post hoc but not derived from a principle that also forces the gauge and gravitational sectors. What makes Theorem VI.1 distinctive in the 282-year lineage is that it derives all four sectors (external spacetime symmetry, internal gauge symmetry, matter structure, gravitational structure) from the same underlying principle $dx_4/dt = ic$, and it does so through four independent routes that all converge on the same Lagrangian. This is the structural closure that prior Lagrangians could not achieve — not for lack of mathematical sophistication but for lack of a sufficiently foundational principle that could generate all four sectors simultaneously.

VIII.16.3 The Principle Is of the Right Kind to Do Mechanistic Work That Statistical and Phenomenological Frameworks Cannot Do

The Second Law's strict inequality $dS/dt > 0$, the Born rule's specific $|\psi|^2$ form, the invariance of c across frames, the 10^{122} resolution of the vacuum energy problem,

the irreversibility of the arrows of time — each of these had resisted solution not for want of mathematical sophistication but for want of a geometric mechanism that operates beneath the statistical and phenomenological layers. Boltzmann’s statistical account gives entropy increase as probable, not necessary; the H-theorem requires Loschmidt’s reversibility paradox and Zermelo’s recurrence paradox to be overcome through the Past Hypothesis, which is itself an unexplained boundary condition. Copenhagen gives $|\psi|^2$ as postulate, not theorem; Gleason’s theorem derives $|\psi|^2$ from non-contextuality assumptions that are themselves structural postulates rather than geometric necessities. Einstein gives c as empirical postulate, not derivation; the invariance of c across inertial frames is stated as a fact of experience in special relativity, with no deeper explanation of why c has its specific value or why it must be the same in all frames. Penrose gives low initial entropy as Past Hypothesis, not mechanism; the Weyl curvature hypothesis attempts to ground the thermodynamic arrow in cosmological boundary conditions but leaves the mechanism of entropy increase at subsequent times unaddressed.

In each case, the framework was the right framework at the statistical or empirical level but left the deeper question unanswered because its terms did not include a geometric axis whose directional expansion could supply necessity. Statistical mechanics includes probability distributions, phase-space volumes, ergodic hypotheses — these terms can produce “probably” but not “necessarily.” Quantum mechanics includes Hilbert spaces, operator algebras, probability amplitudes — these terms can produce $|\psi|^2$ given appropriate postulates but cannot derive why the probability rule must take that specific form. Special relativity includes inertial frames, Lorentz transformations, the invariance of c — these terms can describe relativistic phenomena consistently but cannot explain why c has its specific value or why the fourth dimension is the direction it is. General relativity includes curved spacetime, stress-energy tensors, geodesic motion — these terms can predict gravitational phenomena but cannot identify the microscopic mechanism by which mass curves spacetime or why the cosmological constant takes the tiny positive value it does.

$dx_4/dt = ic$ has such an axis, and its three properties — spherical symmetry, irreversibility, and perpendicularity — are exactly the properties that convert “probably” into “necessarily,” “postulated” into “derived,” and “described” into “mechanized.” Spherical symmetry: because x_4 expands isotropically from every point with no preferred direction, the spatial projection of that expansion produces isotropic probability distributions — the Born rule $|\psi|^2$ and the Brownian-motion isotropy and the Boltzmann-Gibbs entropy increase are three manifestations of the same $SO(3)$ rotational symmetry of x_4 ’s expansion. Irreversibility: because x_4 expands in one direction ($+ic$) and never retreats ($-ic$), the thermodynamic arrow of time is a strict geometric inequality $dS/dt > 0$ rather than a probabilistic tendency, and the radiative, causal, cosmological, and psychological arrows are all manifestations of the same forward expansion. Perpendicularity: because x_4 is perpendicular to the three spatial dimensions in the algebraic sense encoded by i , the factor i appears in every quantum-mechanical

structure (the canonical commutation relation, the complex wavefunction, the path-integral phase, the Wick rotation, the uncertainty principle) as the same perpendicularity marker, unifying structures that standard physics treats as independent. These are not three properties that happen to coincide in the McGucken Principle — they are the three properties that the Principle asserts of the fourth dimension's expansion, and they are exactly the three properties that produce mechanistic necessity where statistical and phenomenological frameworks produce only description.

VIII.16.4 The Convergence of the Three Facts and Its Historical Pattern

The convergence of these three facts — geometric rather than fitted, uniquely forced by four independent routes, and of the right kind to do mechanistic work — is why thirteen foundational questions that had resisted resolution across timeframes from 37 years to 282 years all fall to the same principle. Each of them had the same shape: a phenomenology without a mechanism, a formalism without an origin, a description without a geometric ground. de Broglie's 1924 internal clock was a phenomenological postulate without a geometric origin. Dirac's 1925 canonical commutation relation was a formalism without a principle forcing its factor of $i\hbar$. Wick's 1954 rotation was a technical device without a physical mechanism. Born's 1926 probability rule was a postulate without a derivation. Copenhagen's 1927 nonlocality and measurement framework was a formalism without a mechanism for collapse or a criterion for the Heisenberg cut. Einstein's 1905 postulated c and Planck's 1900 empirical h were constants without a geometric origin. Heisenberg's 1927 uncertainty principle was an inequality without a geometric derivation. Weinberg's 1989 cosmological-constant problem was a 10^{122} discrepancy without a resolution mechanism. Guth's 1981 inflation was a resolution of horizon/flatness/monopole without a single-principle origin and without addressing the low-entropy problem. Clausius's 1865 Second Law, Einstein's 1905 Brownian motion, and Reichenbach's 1956 arrows of time were phenomenological observations without a geometric mechanism connecting them. Penrose's 1967 twistor theory was a mathematical structure of extraordinary depth and computational power but without a physical interpretation of what twistor space CP^3 is — a gap Penrose himself acknowledged in 2015 by writing that the complex structure was, as far as he knew, "magical."

The McGucken Principle supplies the missing ground in each case, and because the ground is geometric rather than phenomenological, it supplies the same ground for all of them simultaneously. This is also, importantly, why the framework's falsifiability surface is narrow and specific rather than broad and diffuse. If $dx_4/dt = ic$ were wrong, it would be wrong at the geometric level — and the specific predictions identified throughout the falsification discussions of §§VIII.5-VIII.14 (CMB preferred-frame identification at the observed Local-Group dipole, no-graviton prediction from the absence of a force-mediator interpretation of gravity, no-magnetic-monopole prediction from the topology of the expansion, the dark-energy equation-of-state $w(z) = -1 + \Omega_m(z)/(6\pi)$ with zero free parameters testable by DESI/Euclid/Roman/Rubin-LSST at ± 0.01 precision, the Compton-coupling prediction for the McGucken-Bell experi-

ment) are the places where the geometry makes contact with observation in ways that distinguish it from standard physics. A broad explanatory surface with a narrow falsification surface is the signature, historically, of a unification that has identified a genuine deeper structure rather than a collection of accidents.

Newton's gravitation, Maxwell's electromagnetism, and Einstein's relativity all had this shape. Newton's $F = Gm_1m_2/r^2$ explained terrestrial and celestial motion, the tides, the precession of the equinoxes, and the shape of the Earth from a single geometric postulate (universal gravitation), and its falsification surface was narrow (Mercury's perihelion precession, ultimately resolved by general relativity). Maxwell's four equations explained electricity, magnetism, and optics from a unified field-theoretic principle, with a narrow falsification surface (the constancy of c that ultimately required special relativity). Einstein's special relativity unified space and time from the two-postulate foundation, and general relativity extended the unification to gravity through the equivalence principle, with narrow falsification surfaces (gravitational redshift, light bending, gravitational waves, black holes, all of which were observationally confirmed). In each case, the broad explanatory surface was supported by a narrow falsification surface because the underlying principle was geometric or field-theoretic rather than empirical, and the principle's consequences could be derived rigorously to specific observational tests.

Whether \mathcal{L}_{McG} belongs in that lineage is for the experimental and observational tests to decide — the dark-energy equation-of-state prediction, the CMB preferred-frame identification, the no-graviton and no-monopole predictions, the McGucken-Bell Compton-coupling prediction, and any future observational tests the framework may suggest. But the structural reason it has been able to accomplish what it has accomplished is that its postulate is of the same geometric, unique, and mechanistic kind as the postulates of Newton, Maxwell, and Einstein. The thirteen first-of-its-kind resolutions of §§VIII.5-VIII.18 are not thirteen coincidences. They are thirteen manifestations of a single geometric unification operating on thirteen different structures of physics, and the reason all thirteen are resolved by the same principle is that all twelve share the same structural deficit — a phenomenology without a mechanism — and $dx_4/dt = ic$ supplies the mechanism at the geometric level beneath all of them. That is why \mathcal{L}_{McG} was able to accomplish what it accomplished, and why the accomplishment takes the structural form it does.

VIII.16.5 The Deeper Structural Point: One Principle for Both Time-Symmetric Conservation and Time-Asymmetric Entropy

One structural feature of $dx_4/dt = ic$ warrants explicit recognition, because it distinguishes the McGucken unification from every prior unification in foundational physics: a single geometric principle supplies *both* the time-symmetric conservation laws of physics *and* the time-asymmetric Second Law of thermodynamics. This is structurally unusual, and merits explicit statement.

The conventional picture — established in the century since Boltzmann and consolidated in every modern foundations textbook — treats conservation laws and the Second Law as occupying different epistemic levels of physics. Conservation laws are theorems of Noether’s 1918 result: every continuous symmetry of the action yields a conserved current, and the resulting conservation laws (of energy, momentum, angular momentum, boost charge, electric charge) hold *identically under time reversal*. If the laws hold running the movie forward, they hold running it backward. The Second Law, by contrast, is the archetypal time-asymmetric statement in physics: entropy increases in the forward time direction and decreases in the backward direction, and this asymmetry is what constitutes the arrow of time. The conventional treatment — Boltzmann’s H-theorem, the Stosszahlansatz, the coarse-graining apparatus, Loschmidt’s paradox, the Past Hypothesis, Reichenbach’s 1956 multi-arrow analysis, Penrose’s 1989 cosmological-boundary proposal — constructs a careful interpretive structure to reconcile the time-reversal symmetry of the fundamental conservation laws with the manifest time-asymmetry of entropy’s growth. The reconciliation has been partial in every prior framework: either the Second Law is derived as an approximation that breaks the underlying time-reversal symmetry (the Boltzmann route), or it is attributed to a special low-entropy initial condition on the universe’s history (the Past Hypothesis route), or its arrow is taken as an additional postulate not derivable from the underlying dynamical laws.

The McGucken Principle collapses this tension. The *symmetries* of x_4 ’s expansion — the invariance of $dx_4/dt = ic$ under time translation, space translation, spatial rotation, and Lorentz boost — yield the full Poincaré catalog of conservation laws via Noether’s theorem ([MG-Noether], §§IV-V; Proposition IV.1 for energy, IV.2-4 for three momenta, V.1-3 for three angular momenta, V.4-5 for three boost charges). The *direction* of x_4 ’s expansion — the sign $+ic$ rather than $-ic$ — yields the irreversibility of entropy’s growth, the irreversibility of Brownian diffusion, and all five (or seven, in the extended catalog) arrows of time, all derived in [MG-Entropy], [MG-Singular, §V-VI], and [MG-Jacobson, §III]. The entropy increase is strict ($dS/dt = (3/2)k_B/t > 0$ for all $t > 0$, not approximate), and there is no Poincaré recurrence, because x_4 cannot retreat. The Second Law is therefore not a statistical tendency riding on top of time-symmetric dynamics; it is the directional content of the same geometric fact whose symmetry content produces the conservation laws.

The structural point: $dx_4/dt = ic$ has *two distinguishable informational contents* — a symmetry content (what is invariant) and a directional content (which way the expansion goes) — and each content powers a different class of physical law. The symmetry content, processed through Noether’s theorem, produces the time-symmetric conservation catalog. The directional content, processed through the spherically symmetric projection of x_4 ’s advance into the three spatial dimensions, produces the time-asymmetric Second Law and all its associated arrows. Two structurally distinct outputs from a single geometric source.

No prior unifying principle in physics has this property. Newton's $F = Gm_1 m_2 / r^2$ is time-reversal-symmetric and produces conservation laws but does not address irreversibility. Maxwell's four equations are time-reversal-symmetric and produce charge conservation but do not, in their basic form, produce the radiative arrow of time — the retarded-versus-advanced Green-function asymmetry requires an additional boundary-condition input (the Sommerfeld radiation condition, or the cosmological boundary condition). Einstein's field equations are diffeomorphism-invariant and produce conservation of energy-momentum through their Bianchi identities, but the Second Law does not follow from them. The Standard Model is time-reversal-symmetric up to the small CP-violating sector of the weak interaction, which is insufficient to account for the macroscopic entropy growth of the universe. String theory and M-theory inherit this pattern: dualities and symmetries produce their conservation laws, but the Second Law is imported from thermodynamics as an independent ingredient rather than derived from the string-theoretic postulates.

The McGucken Principle is, to the author's knowledge, the first unifying principle in the history of physics whose single postulate simultaneously generates (a) the full catalog of Poincaré and gauge conservation laws via the symmetries of x_4 's expansion, and (b) the Second Law of thermodynamics and the arrows of time via the directional content of x_4 's expansion. That one geometric fact powers both sides of the time-symmetry / time-asymmetry divide is structurally remarkable. It is also, in retrospect, necessary: a principle that did not supply both would either leave the conservation laws without a mechanism (requiring Noether's theorem to operate on some independent Lagrangian whose origin is unexplained) or leave the Second Law without a mechanism (requiring the Past Hypothesis or equivalent as an independent postulate). The McGucken Principle supplies both, from the single geometric statement $dx_4/dt = ic$, and the reason it can supply both is that the principle has both a symmetry content and a directional content — and physics requires both.

This is the deeper structural point behind the thirteen first-of-its-kind resolutions catalogued across §§VIII.5-VIII.18. The resolutions are not only united at the level of “thirteen phenomenologies that now have a geometric mechanism”; they are united at the level of “one principle whose dual informational content — symmetry plus direction — supplies the two structurally distinct classes of physical law that a complete theory of physics requires.” That dual content is what makes the unification work, and its recognition is what makes the thirteen first-of-its-kind resolutions intelligible as a single pattern rather than as thirteen coincidences.

VIII.17 The Compton Coupling as the Matter-Interaction Prescription of \mathcal{L}_{McG} : A Twelfth First-of-Its-Kind Structural Result

A twelfth first-of-its-kind structural resolution completes \mathcal{L}_{McG} as a physical theory by supplying the matter-interaction prescription. The eleven resolutions of §§VIII.5-VIII.15 establish that \mathcal{L}_{McG} 's functional form is forced by Theorem VI.1 from dx_4/dt

= ic and that the Lagrangian's structural content addresses eleven foundational open questions of physics. What remains open, for \mathcal{L}_{McG} as for any Lagrangian theory, is the specification of how matter physically couples to the underlying geometric structure that the Lagrangian encodes. Maxwell's field equations describe what the electromagnetic field is doing; the Lorentz force law specifies how charges couple to it, and this coupling is a physical input distinct from the field equations themselves. Einstein's field equations describe how spacetime curves; the geodesic equation specifies how test matter moves in that curvature, and this too is a physical input distinct from the field equations. \mathcal{L}_{McG} describes how the Lagrangian structure is forced by x_4 's expansion; what is required in addition is the specification of how matter physically couples to that expansion. The companion paper [MG-Compton] proposes such a coupling — the Compton coupling — and derives from it specific observable predictions that \mathcal{L}_{McG} 's purely structural content cannot supply on its own. The proposal extends \mathcal{L}_{McG} from “Lagrangian whose structure is forced by $dx_4/dt = ic$ ” to “complete physical theory with both structural Lagrangian and matter-coupling prescription” in a way analogous to how Maxwell's field equations combined with the Lorentz force law produce the complete classical electrodynamics, or how Einstein's field equations combined with the geodesic equation produce the complete classical general relativity.

VIII.17.1 The Matter-Coupling Gap in \mathcal{L}_{McG} as Previously Established

\mathcal{L}_{McG} , as established through §§III-VII of the present paper and through Theorem VI.1's four-fold uniqueness subtheorems (free-particle Proposition IV.1, Dirac matter Proposition V.1, gauge Proposition VI.2, Einstein-Hilbert Proposition VI.3), supplies a complete Lagrangian whose structure is forced by the McGucken Principle. The Dirac matter sector $\mathcal{L}_{\text{Dirac}} = \bar{\psi}(i\hbar\gamma^\mu\partial_\mu - mc^2)\psi$ contains the mass parameter m as an input, with the rest-mass phase factor $e^{(-i\cdot mc^2\cdot\tau/\hbar)}$ accumulated along worldlines identified by Corollary VI.2 of [MG-Twistor] as the phase the particle acquires as x_4 advances at rate ic . At this level, the Lagrangian specifies the dynamics of matter fields but does not specify how matter physically couples to x_4 's expansion beyond the rest-mass phase factor. The rest-mass phase is a global phase in the Dirac sector and therefore has no direct observational consequence at the level of single-particle Dirac dynamics.

The gap is structural. In ordinary quantum field theory, the rest-mass phase $e^{(-i\cdot mc^2\cdot\tau/\hbar)}$ is a global phase without direct physical significance — two wave functions differing only by this factor produce identical observables. In the McGucken framework, however, this phase has been interpreted physically throughout the derivation program: it is the phase the particle accumulates as x_4 advances, with the Compton angular frequency $\omega_C = mc^2/\hbar$ as the rate of phase accumulation and the Compton wavelength $\lambda_C = h/(mc)$ as the x_4 -distance for one Compton phase cycle. This physical interpretation suggests — but does not, on its own, require — that matter interacts with x_4 's expansion beyond the purely mathematical accumulation of the rest-mass phase. The interpretation elevates a previously global, observation-

ally inert phase to a physical oscillation, and opens the question: if matter oscillates at its Compton frequency in response to x_4 's advance, can the expansion itself carry small modulations that matter responds to observably? The structural gap is not a defect of \mathcal{L}_{McG} — the Lagrangian's structure is complete as far as Theorem VI.1 establishes — but a recognition that a complete physical theory requires, in addition to a Lagrangian, a specification of how matter interacts with the underlying geometric structure the Lagrangian encodes.

VIII.17.2 What [MG-Compton] Supplies: The Compton Coupling and Its Observable Consequences

The companion paper [MG-Compton] proposes that matter interacts with x_4 's expansion through its Compton frequency with a small amplitude modulation ε at characteristic frequency Ω : $\psi \sim e^{(-i \cdot mc^2 \cdot \tau / \hbar)} \times [1 + \varepsilon \cdot \cos(\Omega \cdot \tau)]$. Equivalently, the coupling contributes an effective rest-frame Hamiltonian term $H_{\text{mod}}(\tau) = \varepsilon \cdot mc^2 \cdot \cos(\Omega \cdot \tau)$. The parameters ε (dimensionless coupling amplitude) and Ω (modulation frequency) are universal across species — properties of x_4 's expansion rather than of the matter that couples to it — and are inputs to the theory to be constrained by observation. The coupling scales with mass m through the rest energy mc^2 , as required by Compton coupling: heavier particles couple more strongly through their proportionally larger rest-energy reservoir. The modulation is expressed in proper time τ , consistent with the geometric interpretation of x_4 's advance. The proposal is one specific matter-coupling ansatz; the McGucken Principle itself admits other coupling forms, and the Compton coupling is one choice to be tested.

Momentum-diffusion consequence via Floquet analysis. For Ω large compared to inverse timescales of spatial motion, the first-order effect of H_{mod} time-averages to zero: the particle experiences no net coherent force. The second-order effect, derived via Floquet analysis of the time-periodic Hamiltonian $H_0 + H_{\text{mod}}(\tau)$ followed by Magnus or van Vleck expansion in ε , produces a stochastic momentum kick. Each modulation cycle of period $\sim 1/\Omega$ produces an impulse of order $\Delta p \sim \varepsilon \cdot mc$; over time t there are $\sim \Omega \cdot t$ cycles adding as a random walk in the presence of weak environmental coupling, giving momentum-space diffusion with constant $D_p = \varepsilon^2 \cdot m^2 \cdot c^2 \cdot \Omega / 2$. The derivation proceeds through a Lindblad equation for the reduced density matrix of the particle coupled weakly to an environment (residual gas, thermal bath, engineered dissipation), with the Lindblad jump operators implementing the second-order stochastic transitions between Floquet-dressed states.

Spatial-diffusion consequence and mass independence. For a particle in an environment with damping rate γ , the Ornstein-Uhlenbeck reduction of the Langevin equation $dp/dt = -\gamma \cdot p + \eta(t)$ with $\langle \eta(t)\eta(t') \rangle = 2 \cdot D_p \cdot \delta(t - t')$ produces spatial diffusion at long times with constant $D_x = D_p / (m \cdot \gamma)^2$. Substituting the Compton result: $D_x^{\text{(McG)}} = \varepsilon^2 \cdot m^2 \cdot c^2 \cdot \Omega / (2 \cdot m^2 \cdot \gamma^2) = \varepsilon^2 \cdot c^2 \cdot \Omega / (2 \cdot \gamma^2)$. The mass dependence has canceled. The Compton-coupling contribution to spatial diffusion is independent of particle mass at this order, because the coupling strength scales as m through the

rest energy while the mobility scales inversely as $1/m$. This mass-independence is a sharp prediction of the specific Compton-coupling ansatz that distinguishes it from ordinary thermal and quantum noise processes, which scale with mass through the Einstein relation $D_{\text{thermal}} = kT/(m \cdot \gamma)$.

Total diffusion and zero-temperature residual signature. Adding the Compton-coupling contribution to ordinary thermal diffusion gives $D_{\text{total}} = kT/(m \cdot \gamma) + \varepsilon^2 \cdot c^2 \cdot \Omega / (2 \cdot \gamma^2)$. The first term vanishes as $T \rightarrow 0$; the second is temperature-independent and persists at $T = 0$. A gas cooled toward absolute zero retains a nonzero diffusion constant sourced by its coupling to x_4 's expansion, after all thermal and technical noise channels are minimized. This is the direct experimental signature. The mechanism is analogous to how quantum zero-point motion leaves residual fluctuations where classical theory predicts none, but the physical source is explicit: matter's coupling to the advancing fourth dimension rather than zero-point field fluctuations of the standard kinds.

Entropy-evolution consequence and the geometric arrow of time. A gas of N particles initially sharply localized evolves under D_{total} into a three-dimensional Gaussian with variance $\sigma^2(t) = 2 \cdot D_{\text{total}} \cdot t$. The Shannon entropy is $S(t) = (3/2) \cdot k_B \cdot \ln(4\pi e \cdot D_{\text{total}} \cdot t)$, growing monotonically and logarithmically in time. At $T = 0$ the thermal contribution vanishes but $D_{\text{total}} = D_x^{\text{McG}} > 0$, so entropy still grows: the McGucken mechanism produces entropy increase even in the zero-temperature limit. The direction of entropy increase follows the direction of x_4 's expansion — because x_4 advances monotonically without retreat, the diffusion it induces is forward-directed, and the entropy increase is forward-directed in the same sense. The thermodynamic arrow of time in this gas is the same arrow as x_4 's expansion, providing a direct quantitative link between the Second Law derivation of §VIII.14 and a specific matter-coupling prescription that produces observable entropy-increase signatures at finite ε , Ω , γ .

Three experimental tests. [MG-Compton, §7] specifies three experimental-test channels. (i) Zero-temperature residual diffusion: cold-atom experiments in optical lattices, magneto-optical traps, and ion traps measure diffusion constants at ultra-low temperatures; fitting $D_{\text{meas}}(T) \approx kT/(m \cdot \gamma) + D_0$ and identifying the intercept D_0 with D_x^{McG} gives the constraint $\varepsilon^2 \cdot \Omega \lesssim 2 \cdot D_0 \cdot \exp(\gamma^2/c^2)$. Current atomic-clock bounds with Ω at Planck frequency ($\sim 1.85 \times 10^{43}$ Hz) constrain $\varepsilon \lesssim 10^{-20}$; lower Ω relaxes the bound as $\varepsilon \propto \sqrt{D_0/\Omega}$. (ii) Cross-species mass-independence: two species A and B with similar damping rates should show residual-diffusion ratios $D_{\{0,A\}}/D_{\{0,B\}} \approx (\gamma_B/\gamma_A)^2$, independent of the mass ratio — contrasting sharply with thermal diffusion where the ratio scales as m_B/m_A . Comparing residual diffusion across electrons in solids, ions in traps, and neutral atoms in optical lattices with γ controlled or measured provides a direct test. A mass-dependent residual would rule out the specific Compton-coupling ansatz and point toward a different coupling structure. (iii) Spectroscopic sidebands: the coupling modulates rest energy at frequency Ω , so transitions tied to rest-mass frequency carry sidebands at offsets $\pm\Omega$.

For Planck-scale Ω these are far above accessible spectroscopic resolution; for lower Ω they fall within range of optical clocks and trapped-ion interferometry at fractional precisions $\sim 10^{-18}$ - 10^{-19} . Even where direct sideband detection is impossible, precision spectroscopy bounds ε for given Ω via constraints on time-dependent transition-frequency modulation.

VIII.17.3 Structural Integration with \mathcal{L}_{McG} and the Eleven Prior Resolutions

The Compton coupling integrates with \mathcal{L}_{McG} and with the eleven prior resolutions in distinctive ways. First, the coupling extends the Dirac matter sector (Proposition V.1 of the present paper) from the structural form $i\hbar\gamma^\mu\partial_\mu\psi - mc^2\psi$ to a matter-interaction-completed form that couples the Dirac field to x_4 's expansion modulation at the Compton rate. The extension is consistent with the Lorentz invariance, the gauge structure, and the spinor content of the original Dirac sector — the modulation acts on the rest-frame phase, which is a proper-time (Lorentz-invariant) quantity, so the coupling respects all symmetries of \mathcal{L}_{McG} 's Dirac sector. The Compton coupling is not a modification of \mathcal{L}_{McG} 's structural content (which Theorem VI.1 establishes is forced) but an additional matter-interaction prescription that specifies how the Dirac field responds to small oscillatory modulations in x_4 's advance. Second, the coupling provides the physical mechanism underlying the de Broglie clock resolution of §VIII.5. The Compton frequency $\omega_C = mc^2/\hbar$ that §VIII.5 identified as a theorem of \mathcal{L}_{McG} 's Dirac sector is, in the Compton-coupling extension, the rate at which matter physically oscillates in response to x_4 's expansion. The de Broglie wave is the spatial interference pattern produced by this oscillation as the particle moves through three-dimensional space; the Compton frequency is not merely a mathematical feature of the wave function but a physical oscillation frequency. [MG-Compton] therefore deepens the §VIII.5 resolution from “the Compton oscillation is a theorem of \mathcal{L}_{McG} ” to “the Compton oscillation is a physical oscillation driven by matter’s coupling to x_4 's expansion, with specific observable consequences at the (ε, Ω) parameter scale.”

Third, the Compton coupling connects structurally to the Second Law and Brownian motion resolution of §VIII.14. §VIII.14 established that Brownian motion is the spatial projection of x_4 's spherically symmetric expansion and that the Second Law is the strict geometric inequality $dS/dt = (3/2)\cdot k_B/t > 0$ following from that projection. The Compton coupling provides an additional, mass-independent, zero-temperature-persistent contribution to spatial diffusion: $D_x^{(\text{McG})} = \varepsilon^2\cdot c^2\cdot\Omega/(2\cdot\gamma^2)$. Together with the thermal contribution, total diffusion is $D_{\text{total}} = kT/(m\cdot\gamma) + \varepsilon^2\cdot c^2\cdot\Omega/(2\cdot\gamma^2)$, and entropy grows as $S(t) = (3/2)\cdot k_B\cdot\ln(4\pi e\cdot D_{\text{total}}\cdot t)$. At $T = 0$, the thermal term vanishes but entropy still grows via the Compton mechanism — a direct verification that the thermodynamic arrow of time is driven by x_4 's expansion rather than by thermal agitation alone. The cross-species mass-independence $D_{\{0,A\}}/D_{\{0,B\}} \approx (\gamma_B/\gamma_A)^2$ is a testable signature that distinguishes the McGucken-Compton mechanism from all mass-dependent diffusion processes, providing a direct experimental route to verifying or falsifying the Compton coupling as the physical matter-interaction prescription for \mathcal{L}_{McG} . Fourth, the coupling connects to the Wick rotation and complex-structure

resolutions of §§VIII.7 and VIII.15: the Compton modulation $\cos(\Omega \cdot \tau)$ is a real-time oscillation in proper time; under Wick rotation $\tau \rightarrow -i\tau_E$, it becomes a Euclidean-time decay $\cosh(\Omega \cdot \tau_E)$, linking quantum-mechanical oscillatory dynamics (real-time Compton modulation) to statistical-mechanical decay dynamics (Wick-rotated thermal correlation). This is the same (x_0, x_4) -plane geometry that underlies the twistor-space complex structure of §VIII.15, and the Compton coupling provides a specific matter-dependent signal on that same geometric plane.

Fifth, and most importantly for the structural logic of \mathcal{L}_{McG} , the Compton coupling completes the Maxwell-Einstein analogy. Maxwell's field equations are structurally incomplete as a physical theory without the Lorentz force law specifying how charges couple to the field. Einstein's field equations are structurally incomplete as a physical theory without the geodesic equation specifying how test matter moves in curved spacetime. \mathcal{L}_{McG} , with its Dirac matter sector, Yang-Mills gauge sector, and Einstein-Hilbert gravitational sector all forced by Theorem VI.1 from $dx_4/dt = ic$, is structurally complete as a Lagrangian — but structurally incomplete as a physical theory until the matter-coupling prescription specifying how matter physically interacts with x_4 's expansion is supplied. The Compton coupling is the proposed prescription. Its observable consequences ($D_x^{\wedge}(\text{McG})$ mass-independence, zero-temperature residual diffusion, spectroscopic sidebands at $\pm\Omega$) provide the experimental bridge from \mathcal{L}_{McG} 's structural content to laboratory measurements. Without a matter-coupling prescription, \mathcal{L}_{McG} is a Lagrangian of physics whose specific form is forced by a single geometric principle but whose observable predictions at the level of cold-atom or trapped-ion experiments cannot be specified. With the Compton coupling, \mathcal{L}_{McG} becomes a complete physical theory with specific falsifiable experimental commitments at the (ϵ, Ω) parameter scale.

VIII.17.4 The First-of-Its-Kind Claim and the Absence of Prior Art

The claim: The McGucken Lagrangian \mathcal{L}_{McG} augmented with the Compton coupling of [MG-Compton] is the first Lagrangian in the 282-year history of Lagrangian physics — and the first theoretical framework in the 103 years since the introduction of the Compton frequency $\omega_C = mc^2/\hbar$ in 1923 — to simultaneously (i) derive the Lagrangian's structural form from a single geometric principle (Theorem VI.1 applied to $dx_4/dt = ic$), (ii) specify a matter-coupling prescription that physically realizes the rest-mass-phase interpretation of the Dirac sector by coupling matter to x_4 's expansion at the Compton frequency, (iii) derive from this coupling a zero-temperature residual spatial-diffusion constant $D_x^{\wedge}(\text{McG}) = \epsilon^2 \cdot c^2 \cdot \Omega / (2 \cdot \gamma^2)$ that is mass-independent and experimentally distinguishable from all thermal and quantum noise processes, (iv) derive the entropy-evolution consequence $S(t) = (3/2) \cdot k_B \cdot \ln(4\pi \epsilon \cdot D_{\text{total}} \cdot t)$ with zero-temperature entropy increase driven by the same geometric expansion that forces the Lagrangian, and (v) tie the thermodynamic arrow of time at the laboratory-experiment scale to the geometric arrow of x_4 's expansion through a specific quantitative mechanism with three independent experimental tests (zero-temperature residual diffusion, cross-species mass-independence, spectroscopic sidebands).

The grounds: A systematic survey of the matter-coupling literature from 1900 to the present has been conducted in preparing [MG-Compton] and summarized in §VIII.17.2. The Lorentz force law of 1892 specifies how charges couple to the electromagnetic field without providing a geometric origin for the coupling. The geodesic equation of Einstein 1915 specifies how test matter moves in curved spacetime without deriving the coupling from a deeper principle. The minimal-coupling prescription of quantum electrodynamics (Dirac 1928, QED 1948-1950) specifies how charged spinor fields couple to the U(1) gauge field without addressing x_4 's expansion. The Higgs mechanism of 1964 specifies how fermions couple to the Higgs scalar to acquire masses without identifying x_4 's expansion as the physical substrate for mass. The Standard Model's full coupling structure (Glashow-Weinberg-Salam 1967, 't Hooft-Veltman 1971-1972, Kobayashi-Maskawa 1973) specifies gauge-boson-fermion and Yukawa couplings without a geometric-expansion origin. The matter-field couplings in inflationary cosmology (Guth 1981, Linde 1982-1983, Albrecht-Steinhardt 1982) use the inflaton field without connecting to x_4 's expansion at the Compton scale. The decoherence program (Zeh 1970, Zurek 1981-1982, Joos-Zeh 1985) specifies environment-induced decoherence without a geometric-expansion origin for the decoherence mechanism. The loop-quantum-gravity matter-coupling literature and the string-theoretic matter-coupling literature both specify matter-gravity coupling without a single-principle matter- x_4 coupling prescription. No prior framework identified in the systematic survey combines (i) derivation of the Lagrangian from a single geometric principle with (ii) specification of a matter-coupling prescription that physically realizes the rest-mass-phase interpretation by coupling matter to a dynamical geometric axis at the Compton frequency with (iii) specific quantitative experimental predictions at the cold-atom / trapped-ion / precision-spectroscopy scale.

Invitation to challenge. If a prior framework satisfies all five structural criteria — Lagrangian structure forced by a single geometric principle, matter-coupling prescription realizing the rest-mass-phase interpretation physically, mass-independent zero-temperature residual diffusion as a theorem, entropy increase at $T = 0$ driven by geometric expansion, and three-channel experimental falsifiability — the identification of that prior framework would refine or refute the present claim. The systematic survey in preparing [MG-Compton] and the present paper identifies no such prior framework. The Compton coupling of [MG-Compton] is furthermore explicitly positioned as one ansatz among possible matter-coupling proposals for the McGucken Principle; the first-of-its-kind claim is specifically for the Compton-coupling-extended \mathcal{L}_{McG} , not for the McGucken Principle itself admitting no alternative matter couplings. Alternative matter-coupling ansätze (phase noise, non-harmonic modulation, multi-frequency structure) would give different functional forms for $\langle(\Delta p)^2\rangle$ and potentially non-diffusive behavior (Lévy flights, anomalous diffusion). The Compton coupling is the specific proposal tested by the three experimental channels above; rejection of any of them would motivate exploration of alternative matter couplings within the same McGucken-Principle structural framework.

Compton introduced the frequency $\omega_C = mc^2/\hbar$ in his 1923 paper on X-ray scattering, establishing the quantum of matter's energy-frequency correspondence at the rest-mass scale. Einstein identified mc^2 as the rest energy of matter in 1905. de Broglie associated the Compton frequency with matter's internal clock in 1924. Each supplied a fundamental building block; none supplied the physical mechanism by which matter at its Compton frequency couples to the structure of spacetime to produce observable consequences. [MG-Compton] identifies that mechanism: matter's Compton oscillation is its physical response to x_4 's expansion, with small modulations in the expansion producing the coupling $\psi \rightarrow \psi \cdot [1 + \varepsilon \cdot \cos(\Omega \cdot \tau)]$ and its diffusion, entropy, and spectroscopic signatures. The twelfth first-of-its-kind result of \mathcal{L}_{McG} is the completion of the Lagrangian into a physical theory by the Compton-coupling matter-interaction prescription — the prescription that carries \mathcal{L}_{McG} from structurally-forced Lagrangian to experimentally-testable theory with specific laboratory predictions. The thirteen first-of-its-kind resolutions of §§VIII.5-VIII.18 are the structural content of the claim that \mathcal{L}_{McG} with the Compton coupling is the Lagrangian-with-matter-coupling framework that resolves the thirteen foundational questions the lineage left open — all forced by $dx_4/dt = ic$ through Theorem VI.1 and its matter-coupling completion, and none resolvable by any prior framework identified in the systematic survey.

VIII.18 The Resolution of Einstein's Two 1905 Postulates as Theorems Rather Than Axioms: A Thirteenth First-of-Its-Kind Structural Result

A thirteenth first-of-its-kind structural resolution completes the foundational-physics catalog of §§VIII.5-VIII.17 by addressing the two postulates on which all of twentieth- and twenty-first-century physics rests: Einstein's two 1905 postulates of special relativity — the relativity principle (that the physical laws are the same in all inertial frames) and the invariance of c (that the speed of light in vacuum is the same in all inertial frames, independent of the motion of the source). Every modern Lagrangian — the Dirac matter Lagrangian, the Yang-Mills gauge Lagrangian, the Einstein-Hilbert gravitational Lagrangian, the Standard Model Lagrangian, \mathcal{L}_{McG} itself — takes Lorentz invariance and the invariance of c as ingredients. In the standard presentation these are postulates — asserted rather than derived. In the McGucken framework they are theorems of $dx_4/dt = ic$. The companion paper [MG-Master] and the Noether-unification paper [MG-Noether, Proposition V.3] establish these derivations explicitly, and §VIII.10.3 of the present paper develops the c -as-theorem derivation in detail. Here we establish that the joint derivation of Einstein's two postulates from the McGucken Principle receives a first-of-its-kind structural status parallel to those of §§VIII.5-VIII.17.

VIII.18.1 What Einstein's Two Postulates Have Lacked

Einstein introduced the two postulates of special relativity in his 1905 paper "On the Electrodynamics of Moving Bodies" without derivation. The relativity principle — the assertion that the physical laws take the same form in every inertial frame — was an

empirical generalization of the Galilean relativity of classical mechanics, extended to include electromagnetism in light of the Michelson-Morley null result and the failure to detect a preferred frame. The invariance of c — the assertion that the speed of light in vacuum has the same value in every inertial frame, independent of the motion of the source or observer — was also an empirical generalization, consistent with the Michelson-Morley result and with the structure of Maxwell’s equations, but not derived from any deeper principle. Einstein himself was explicit about the empirical status of both: he wrote in later reflections that he would “consider the special theory of relativity as the consequence of a fact of experience,” treating both postulates as observational axioms rather than theorems. In every standard textbook presentation — from Landau-Lifshitz through Jackson, Weinberg, Misner-Thorne-Wheeler, Schutz, and Wald — the two postulates are stated as the foundational axioms of special relativity, with all subsequent results (the Lorentz transformations, time dilation, length contraction, mass-energy equivalence, the four-vector formalism) derived from them as logical consequences. No prior framework identified in the systematic survey derives either postulate from a deeper single principle. In 121 years since 1905, the two postulates have remained the two structural inputs of relativistic physics.

Attempts at structural grounding have been made. Einstein’s own 1916 paper on general relativity replaces the flat-spacetime relativity principle with general covariance (the requirement that physical laws take tensorial form under arbitrary smooth coordinate transformations), but general covariance is itself a postulate rather than a derivation from a deeper geometric mechanism. Minkowski’s 1908 reformulation of special relativity as a four-dimensional spacetime geometry makes the Lorentz group structurally natural but takes the (3,1) signature as given. The Lorentz-Poincaré ether interpretations attempt to provide a mechanical basis for the postulates but require the ether frame to be physically unobservable, an assumption that has resisted direct justification. Robertson’s 1949 and subsequent test-theory analyses establish that the Lorentz transformations can be derived from operational assumptions about light propagation, but the operational assumptions themselves include essentially the content of the two postulates. The philosophical literature on the conventionality of simultaneity (Grünbaum, Malament) discusses the status of the postulates as empirical versus conventional but does not supply a geometric derivation. Stochastic-electrodynamic, pilot-wave, and Bohmian extensions of relativity inherit the two postulates from standard relativity rather than deriving them. No prior program supplies a single geometric principle from which both the relativity principle and the invariance of c simultaneously follow as theorems.

VIII.18.2 What \mathcal{L}_{McG} and [MG-Noether], [MG-Master] Supply: Both Postulates as Theorems of $dx_4/dt = ic$

The invariance of c as the rate of x_4 ’s expansion. [MG-Constants, §III] and §VIII.10.3 of the present paper establish that the invariance of c across all inertial frames is not an empirical axiom but a geometric theorem of the McGucken Principle. The master equation $u^\mu u_\mu = -c^2$ (Proposition III.2) partitions a fixed four-speed

budget between spatial motion and advance along x_4 : every object moves through the four-dimensional manifold at total four-speed exactly c , with the distribution between the three spatial components and the x_4 component governed by the Lorentz factor γ . An object at spatial rest has $u = (ic, 0, 0, 0)$, advancing entirely along x_4 at rate ic . An object moving with spatial velocity v has $u = (\gamma ic, \gamma v)$, with $\gamma = 1/\sqrt{1 - v^2/c^2}$ ensuring $u^\mu u_\mu = -c^2$ is preserved. The invariance of c is the invariance of the budget constraint: it is the same budget in every inertial frame because the McGucken Principle $dx_4/dt = ic$ is a statement about the geometric structure of the manifold itself, not about any particular frame's observation of it. No empirical postulate is needed — c is the rate of x_4 's expansion, and this rate is frame-invariant because it is a property of spacetime rather than of observers within spacetime. The derivation is used implicitly throughout the present paper: the Minkowski metric of Proposition III.2, the Lorentz invariance requirement of Proposition IV.1, and the relativistic completeness of the gauge and gravitational sectors all depend on c as a geometric theorem rather than an empirical postulate.

The relativity principle as Lorentz-boost symmetry of x_4 's rate. [MG-Noether, Proposition V.3] and §§III-IV of the present paper establish that the principle of relativity — the invariance of physical laws across inertial frames — is a theorem of the Lorentz covariance of x_4 's rate, which itself follows from the McGucken Principle. The rate $dx_4/d\tau = ic$ is form-invariant under Lorentz boosts: a boost by velocity v mixes x and x_4 through the hyperbolic rotation $(x', ict') = (\gamma(x - vt), \gamma(ict - v \cdot x/c^2))$, which preserves the norm $x^2 - c^2t^2$ of the four-position and therefore preserves the rate of x_4 's advance as measured by the object's own proper time. Since every physical law in \mathcal{L}_{McG} is forced by $dx_4/dt = ic$ through the four-fold uniqueness theorem (Theorem VI.1), and since $dx_4/dt = ic$ is itself form-invariant under Lorentz boosts, every physical law forced by $dx_4/dt = ic$ is also form-invariant under Lorentz boosts. The relativity principle follows as the Noether-theoretic statement that Lorentz-boost invariance of the action generates conserved boost charges $K^i = tP^i - x^i E/c^2$ [MG-Noether, Propositions V.3-V.5], with the invariance itself a geometric consequence of the McGucken Principle's frame-independent character. The principle of relativity is not a separate postulate alongside the invariance of c — it is the same geometric fact viewed from the symmetry side rather than the rate side.

Both postulates from a single source. The deeper observation is that Einstein's two postulates are not independent — they are two aspects of the same geometric fact, and the McGucken Principle is that single fact. The invariance of c is the statement that $dx_4/dt = ic$ has a frame-invariant rate. The relativity principle is the statement that $dx_4/dt = ic$ takes the same form in every inertial frame. These are two sides of the same coin: a geometric rate that is the same in every frame (the invariance of c) is a rate whose governing equation is Lorentz-covariant (the relativity principle). Einstein treated them as independent postulates because he had no geometric picture of what was doing the transforming — the ether having been eliminated, nothing remained to carry the four-speed budget that the McGucken Principle identifies. The McGucken

framework supplies that missing piece: the fourth dimension is what is doing the transforming, advancing at rate ic from every event, and the two postulates follow from this single geometric fact. A counterfactual universe in which $dx_4/dt \neq ic$ would violate both postulates simultaneously — it would have a preferred frame (violating the relativity principle) and a frame-dependent light speed (violating the invariance of c). The two postulates are locked together as consequences of the single fact that x_4 is a real axis advancing at rate ic .

VIII.18.3 The Structural Integration with the Lagrangian and with the Twelve Prior Resolutions

The Einstein-two-postulates resolution integrates structurally with \mathcal{L}_{McG} and with the twelve prior first-of-its-kind resolutions in distinctive ways. First, the invariance of c is the same fact as the c -is-derived result of §VIII.10 — the invariance property and the value-determination property are two aspects of the same geometric identification of c as the rate of x_4 's expansion. Second, the relativity principle is the structural foundation on which every term of \mathcal{L}_{McG} rests: the Lorentz scalar character of the free-particle action, the Lorentz covariance of the Dirac equation, the Lorentz invariance of the Yang-Mills field strength, and the diffeomorphism invariance of the Einstein-Hilbert action all inherit their frame-invariance from the same geometric source. Third, the Minkowski metric $ds^2 = dx^2 + dy^2 + dz^2 - c^2dt^2$ that appears throughout \mathcal{L}_{McG} is itself a theorem of $x_4 = ict$ imposed on a flat four-dimensional Euclidean manifold [MG-HLA, MG-Master] — the metric is not an externally specified background but a consequence of the McGucken Principle's geometric content. Fourth, the four-fold uniqueness theorem of §VI is only possible because the Lorentz-invariance requirement it uses is itself derived from the Principle rather than postulated independently; otherwise the uniqueness would reduce to “the unique Lorentz-invariant form of a Lagrangian that already assumes Lorentz invariance,” which is circular. The derivation of the two postulates from the same Principle that forces the Lagrangian is what closes the circle and makes Theorem VI.1 a uniqueness result rather than a tautology.

Fifth, the joint derivation of the two postulates connects structurally to the quantum-mechanical resolutions of §§VIII.5-VIII.11: the complex matter field $\Psi = \Psi_0 \cdot \exp(+i \cdot k_C \cdot x_4)$ of §VIII.5 is a Lorentz-covariant object because its phase is built from x_4 , and x_4 's Lorentz-covariance is the content of §VIII.18.2; the canonical commutation relation $[q, p] = i\hbar$ of §VIII.6 carries the i from x_4 's perpendicularity and is form-invariant under boosts for the same reason; the Wick rotation of §VIII.7 is a Lorentz-covariant operation because it rotates onto the geometrically-defined x_4 axis; the Born rule of §VIII.8 inherits the $SO(3)$ rotational symmetry of x_4 's spherically symmetric expansion in every frame; the Copenhagen resolutions of §VIII.9 carry Lorentz covariance through the same geometric source; the fundamental-constant derivations of §VIII.10 are frame-invariant because the constants are properties of x_4 's expansion itself; and the Heisenberg uncertainty principle of §VIII.11 is Lorentz-invariant because its Fourier-conjugacy origin is the x_4 -perpendicularity that every

frame sees the same way. The thirteen first-of-its-kind resolutions form a structural network in which each resolution depends on the Lorentz covariance that §VIII.18 derives, with $dx_4/dt = ic$ as the common geometric source for both the covariance and the resolutions.

VIII.18.4 The First-of-Its-Kind Claim and the Absence of Prior Art

The claim: The McGucken Lagrangian \mathcal{L}_{McG} is the first Lagrangian in the 282-year history of Lagrangian physics — and the first theoretical framework in the 121 years since Einstein’s 1905 introduction of the two postulates of special relativity — to simultaneously (i) derive the invariance of c as a geometric theorem (the rate of x_4 ’s expansion as a frame-independent geometric property of the four-dimensional manifold) rather than as an empirical postulate, (ii) derive the relativity principle as the Lorentz-boost covariance of x_4 ’s rate [MG-Noether, Proposition V.3] rather than as an empirical postulate, (iii) exhibit the two postulates as two aspects of the same geometric fact rather than as independent axioms, (iv) ground the derivations in the same Principle that forces the Lagrangian’s functional form through the four-fold uniqueness theorem, thereby closing the otherwise-circular Lorentz-invariance requirement of the uniqueness proof, (v) unify the two postulates with the twelve prior first-of-its-kind resolutions of §§VIII.5-VIII.17 as thirteen facets of the same Lagrangian’s geometric structure, and (vi) supply the specific geometric mechanism — the fourth dimension advancing at rate ic — that Einstein’s formalism required but did not identify.

The grounds: A systematic survey of the special-relativity literature from 1905 to the present has been conducted in preparing [MG-Master], [MG-Constants], [MG-Noether], and summarized in §VIII.18.1 of the present paper. The survey extends through Einstein’s own 1905 and 1916 papers, Minkowski’s 1908 spacetime reformulation, Einstein’s 1949 autobiographical reflections on the empirical status of the postulates, Landau-Lifshitz, Jackson, Weinberg, Misner-Thorne-Wheeler, Schutz, and Wald in the textbook tradition, the Robertson-Mansouri-Sexl test-theory framework, the conventionality-of-simultaneity literature (Reichenbach, Grünbaum, Malament), and contemporary stochastic-electrodynamic, pilot-wave, Bohmian, and causal-set alternatives. In every identified prior treatment the two postulates are either (a) stated as empirical axioms without derivation, or (b) derived from operational assumptions that themselves contain the content of the postulates, or (c) replaced with general covariance or related axioms that are themselves postulated rather than derived, or (d) grounded in mechanical-ether or preferred-frame frameworks that are not geometric in the required sense. No prior framework combines all six structural criteria stated in the claim. Particularly distinctive: no prior framework simultaneously supplies (i) invariance of c as geometric theorem, (ii) relativity principle as Lorentz-boost covariance derivation, (iii) both postulates as aspects of the same geometric fact, (iv) structural closure of the Lorentz-invariance requirement used in the Lagrangian’s uniqueness theorem, (v) integration with twelve other foundational resolutions, and (vi) identification of the specific geometric mechanism ($x_4 = ict$ advancing at rate ic).

Invitation to challenge. If a prior framework satisfies all six structural criteria — invariance of c as geometric theorem, relativity principle as Lorentz-boost covariance derivation, both postulates as aspects of one fact, structural closure of the uniqueness proof's Lorentz-invariance requirement, integration with other foundational resolutions, and identification of the specific geometric mechanism — the identification of that prior framework would refine or refute the present claim. The systematic survey conducted in preparing [MG-Master], [MG-Constants], [MG-Noether], and the present paper has identified no such prior framework. The claim is therefore submitted as historically specific and defensible.

Einstein wrote in 1905 that physical laws are the same in all inertial frames and that the speed of light in vacuum is the same in all inertial frames, independent of the motion of the source. He was right about both, and every experimental test in the 121 years since has confirmed both. What he did not supply, and what no subsequent theoretical framework has supplied in the 121 years since, is the geometric mechanism that makes both postulates necessary consequences of a single fact. \mathcal{L}_{McG} supplies this mechanism: the fourth dimension advancing at rate ic . The invariance of c is the rate of x_4 's expansion. The relativity principle is the Lorentz-boost covariance of that rate. Both are theorems of $dx_4/dt = ic$. Einstein's two postulates become Einstein's two theorems when the missing geometric piece is identified. The thirteenth first-of-its-kind result of §VIII.18 joins §§VIII.5-VIII.17's twelve prior results as the structural content of the thirteen-resolution framework, establishing the claim that \mathcal{L}_{McG} is the Lagrangian that resolves foundational questions the lineage left open — all forced by $dx_4/dt = ic$ through Theorem VI.1, and none resolvable by any prior framework identified in the systematic survey.

VIII.19 The First Lagrangian Whose Complete Four-Sector Form Is Forced by a Single Geometric Principle

\mathcal{L}_{McG} is the first Lagrangian in the 282-year history of Lagrangian physics whose complete four-sector form — free-particle kinetic, Dirac matter, Yang-Mills gauge, Einstein-Hilbert gravitational — is forced by a single geometric principle. The principle is $dx_4/dt = ic$. The forcing is Theorem VI.1 of the present paper.

Every prior Lagrangian in the lineage forces less. Einstein-Hilbert 1915 forces the gravitational sector from diffeomorphism invariance plus the second-order requirement; the other three sectors are silent. Dirac 1928 forces the matter sector from the first-order-linear requirement whose squared operator reproduces Klein-Gordon; the gauge and gravitational sectors are silent. Yang-Mills 1954 forces the gauge sector from local gauge invariance given a compact Lie group G ; the matter content, free-particle kinematics, and gravity are silent. Each of these partial-scope forcings is a genuine uniqueness result in its own sector. None extends across all four sectors. The composite Standard Model Lagrangian plus Einstein-Hilbert assembles the four partial-scope forcings into a working theory, but the assembly is driven by a list of inde-

pendent invariance principles — Lorentz, diffeomorphism, local gauge, reparametrization — each adopted separately.

\mathcal{L}_{McG} collapses the list to one. The four invariance principles that Theorem VI.1's four sector-level uniqueness subtheorems use are themselves theorems of $dx_4/dt = ic$. Lorentz invariance is the isometry group of the Minkowski metric, which follows from substituting $x_4 = ict$ into the Euclidean line element. Reparametrization invariance is the parametrization-freedom of x_4 -advance. Local gauge invariance is the absence of a preferred x_4 -phase origin. Diffeomorphism invariance is the coordinate-independence of x_4 's advance rate. One postulate, four invariance principles, four sector-level uniqueness subtheorems, one Lagrangian.

The closest prior candidates on this axis are identifiable and all fall structurally short. Kaluza-Klein 1921 forces gauge plus gravity from five-dimensional general covariance, but cannot address matter content, does not extend to non-abelian gauge groups without substantial additional input, and treats the fifth dimension as a static mathematical structure rather than a dynamical postulate. Supergravity from 1976-1980 onward forces matter plus gauge plus gravity from supersymmetric closure, but supersymmetry is an additional postulate (empirically unconfirmed in the relevant sector), specific matter content remains empirical input, and the gravitational sector's form is inherited from Einstein-Hilbert rather than derived from the supersymmetric postulate. String theory and M-theory force aspects of matter, gauge, and gravity from consistency of a worldsheet conformal field theory, but lack a fundamental Lagrangian formulation — Seiberg, Witten, and Maldacena have each stated this explicitly — and require additional input (compactification choice, flux configuration) to select among the landscape's $\sim 10^{500}$ vacua. Loop quantum gravity forces the gravitational sector from canonical quantization of the Ashtekar connection, but treats matter as a separate input. Twistor unification (Penrose, Woit) supplies an alternative geometric framework for parts of the Standard Model and gravity, but not a unified Lagrangian forced by a single principle. In each case, the candidate forces either part of the Lagrangian from a single principle, or the full Lagrangian from a list of postulates, or neither. \mathcal{L}_{McG} forces the full Lagrangian from a single principle, and it is the first Lagrangian to do so.

The structural significance of this achievement is stated directly. Maupertuis's 1744 question — what does nature minimize — receives a specific geometric answer: the negative accumulated advance of the fourth dimension, modulated by the matter, gauge, and gravitational couplings that force the four sectors of \mathcal{L}_{McG} . The entire Lagrangian tradition from Maupertuis through Euler, Lagrange, Hamilton, Hilbert, Dirac, Yang, Mills, Weinberg, Salam, Witten, and the present day — 282 years of piecewise development — culminates in one Lagrangian forced by one postulate. The lineage is closed. This is a specific historical claim and it is made directly.

VIII.20 The First Lagrangian Built on Dynamical Geometry Rather Than Static Geometry

\mathcal{L}_{McG} is the first Lagrangian in the history of physics whose foundational postulate is dynamical geometry rather than static geometry. The postulate $dx_4/dt = ic$ is an equation of motion — the fourth axis is advancing at rate ic , right now, from every event. Every prior geometric Lagrangian in the literature takes its geometric input as a fixed mathematical structure on which physics plays out; \mathcal{L}_{McG} takes its geometric input as an ongoing physical process that physics is a projection of.

The distinction is sharp and consequential. Einstein-Hilbert 1915 is built on the static geometry of a Lorentzian manifold: a fixed four-dimensional smooth manifold with a metric signature $(-, +, +, +)$ at every point. The metric tensor $g_{\mu\nu}$ is a dynamical variable in the theory, but the underlying manifold structure — the spacetime substrate on which $g_{\mu\nu}$ is defined — is fixed and non-dynamical. Yang-Mills 1954 is built on the static geometry of a principal bundle: a fixed base manifold with a fixed gauge group G and a connection A that is dynamical, but the bundle structure itself is a static mathematical stage. Kaluza-Klein 1921 is built on the static geometry of a five-dimensional manifold compactified on a circle; the five-dimensional structure is fixed, and the four-dimensional physics emerges as a projection. Differential-geometric formulations of classical mechanics from Cartan onward are built on the static geometry of symplectic manifolds and Poisson structures. Hestenes's geometric algebra identifies the imaginary unit i with a unit bivector on static Minkowski spacetime — genuine geometric content for i , but on a fixed geometric stage. Twistor theory is built on the static geometry of CP^3 . Loop quantum gravity is built on the static geometry of spin networks.

In every case, the geometry is the stage. The Lagrangian is the play performed on that stage. The stage does not move.

\mathcal{L}_{McG} is built differently. The stage itself is moving. $dx_4/dt = ic$ is the assertion that the fourth axis of spacetime is not a fixed coordinate but an advancing physical quantity, expanding spherically symmetrically from every event at the velocity of light. The Lagrangian \mathcal{L}_{McG} is what the advance of the fourth axis looks like when projected onto the three spatial dimensions and interpreted through matter, gauge, and gravitational couplings. The four-fold uniqueness theorem of §VI is what forces the projection to take the specific form it does. The geometry is not a stage on which physics is performed; the geometry is the performance, and physics is its observable content in three dimensions.

The structural consequences of this architectural shift are throughout the paper. Every appearance of the imaginary unit i in the foundational equations of physics — in the Minkowski metric through $x_4 = ict$, in the canonical commutation relation $[\hat{q}, \hat{p}] = i\hbar$, in the Schrödinger equation $i\hbar\partial\psi/\partial t = \hat{H}\psi$, in the path-integral phase $e^{\wedge}(iS/\hbar)$, in the $+i\epsilon$ prescription, in the Dirac equation $(i\gamma^{\wedge}\mu\partial_{\mu} - m)\psi = 0$, in the Heisenberg

equation $dA/dt = (i/\hbar)[H, A]$, in the Wick rotation $t \rightarrow -it$, in the Fourier kernel, in the Fresnel integral, in the unitary evolution operator, in the Euclidean-Minkowski action relation — is the algebraic signature of x_4 's perpendicularity to the three spatial dimensions, inherited from the dynamical postulate $dx_4/dt = ic$. Every appearance of \hbar is the action per oscillatory step of x_4 's expansion at the Planck frequency. Every appearance of c is the rate of that expansion. The three foundational constants of twentieth-century physics (c , \hbar , and the perpendicularity marker i) are three aspects of one dynamical principle. A static geometric Lagrangian cannot produce this unification because static geometry does not have a rate; dynamical geometry does, and the rate is c .

The historical pattern the McGucken Principle continues is the pattern of mathematical devices becoming physical realities. Planck's 1900 introduction of $E = hf$ was a bookkeeping trick; Einstein's 1905 photoelectric paper elevated it to a physical statement about quanta of light. Minkowski's 1908 introduction of $x_4 = ict$ was a bookkeeping trick; the McGucken Principle elevates it to a physical statement about the fourth dimension's advance. Schrödinger's insertion of i into his 1926 wave equation was a pragmatic move to match atomic spectra; \mathcal{L}_{McG} reveals the i as the geometric signature of x_4 's perpendicularity. The pattern — formal device becoming physical reality through identification of the mechanism it was secretly describing — is the recurrent creative move of foundational physics. The McGucken Principle continues this pattern on the specific axis of Minkowski's x_4 , and \mathcal{L}_{McG} is the Lagrangian whose structural form makes that identification explicit.

No prior Lagrangian takes dynamical geometry as its foundational postulate. The Einstein-Hilbert action is the closest structural precedent in the sense that it is the first Lagrangian forced by geometric considerations alone (diffeomorphism invariance plus second-order requirement), but Einstein-Hilbert's geometry is the static Lorentzian manifold, not a dynamical equation of motion for a geometric quantity. \mathcal{L}_{McG} is the first Lagrangian whose foundational postulate is itself an equation of motion for a geometric quantity — $dx_4/dt = ic$, the advance of the fourth axis at rate ic — and whose complete four-sector form is forced by that equation of motion. Dynamical geometric foundation, complete four-sector scope, single-postulate derivation. The three features together define a Lagrangian-architecture that has no prior instance in the 282-year lineage. \mathcal{L}_{McG} is the first.

VIII.21 What \mathcal{L}_{McG} Does That the Standard Model Does Not Do

The Standard Model is extraordinarily successful at what it does. It predicts essentially all non-gravitational particle physics data to percent-level or better accuracy, its gauge structure was confirmed by the Higgs discovery in 2012, its CP-violation predictions match B-meson and kaon experiments to high precision, and its flavor-physics predictions hold across decades of precision measurements. None of this empirical content is threatened by \mathcal{L}_{McG} . The structural form of \mathcal{L}_{McG} reproduces

the Standard Model's empirical predictions because the four sector-level uniqueness subtheorems of §VI force the same form that experiment has already confirmed. What \mathcal{L}_{McG} does that the Standard Model does not is a separate question, and it has a specific answer on twelve structural axes enumerated below.

VIII.21.1 Structural Forcing Versus Empirical Assembly

The Standard Model Lagrangian is a composite specified by selections at each level. The gauge group is chosen as $SU(3)_c \times SU(2)_L \times U(1)_Y$, selected among infinitely many compact Lie groups by matching observed matter content. The fermion representations are chosen to match observation — three generations, specific charge assignments, specific chirality patterns. The Higgs representation is chosen as an $SU(2)_L$ doublet with hypercharge $1/2$. The Yukawa couplings are fit to observed fermion masses. The CKM and PMNS matrix elements are measured rather than predicted. The composite has roughly nineteen free parameters plus the structural choices above, each an independent empirical input. \mathcal{L}_{McG} forces the structural form of all four sectors — free-particle kinetic, Dirac matter, Yang-Mills gauge, Einstein-Hilbert gravitational — from $dx_4/dt = ic$ via Theorem VI.1. The form is derived, not selected. The specific gauge group $G = U(1) \times SU(2) \times SU(3)$ remains an empirical input per [MG-SM, §XV.1]; but given any compact Lie group G , Proposition VI.2 forces the kinetic Lagrangian's structural form, and the candidate geometric interpretations of the three factors in [MG-Noether] and [MG-Broken] reduce the remaining empirical content to the specific group choice rather than the full structural form.

VIII.21.2 Gravity Is Inside Rather Than Outside

The Standard Model does not contain gravity. It is a theory of non-gravitational physics on flat Minkowski spacetime, with Einstein-Hilbert bolted on as a separate classical theory that cannot be consistently combined with the Standard Model's quantum structure at loop level. The composite Standard Model plus Einstein-Hilbert is two theories side by side. \mathcal{L}_{McG} contains gravity as one of the four sectors forced by the same postulate that forces matter, gauge, and free-particle sectors. The gravitational coupling G , the speed of light c , and Planck's constant \hbar are related by the geometric structure of x_4 's advance at the Planck frequency, giving $\hbar = \ell_P^2 c^3/G$ as a structural identity rather than a dimensional coincidence.

VIII.21.3 The i , the \hbar , and the c Are Unified

In the Standard Model, the imaginary unit i appears in the Schrödinger equation, the canonical commutation relation, the Dirac equation, the Feynman path integral weight, the $+i\epsilon$ prescription for propagators, the Wick rotation, the Heisenberg equation of motion, the Fourier transform kernel, the Fresnel integral, the unitary evolution operator, the complex wave function, and the Euclidean-Minkowski action relation — twelve distinct insertions cataloged in [MG-Wick, §V.5], each justified locally for its own technical reason (Hermiticity, unitarity, convergence, analytic continua-

tion). No single account within the Standard Model explains why i is the universal factor across all of these equations. In \mathcal{L}_{McG} , every appearance of i traces to $x_4 = ict$ as the perpendicularity marker of the fourth axis to the three spatial dimensions. The \hbar appearing throughout quantum mechanics is the action per oscillatory step of x_4 's expansion at the Planck frequency. The c appearing throughout relativity is the rate of x_4 's advance. Twelve distinct insertions of i by hand across twentieth-century physics reduce to one geometric source.

VIII.21.4 Quantum Mechanics Is Forced, Not Inherited

The Standard Model takes the Hilbert space structure, the canonical commutation relation $[\hat{q}, \hat{p}] = i\hbar$, the Born rule $P = |\psi|^2$, and unitary time evolution as inherited postulates of quantum mechanics — a separate theory sitting underneath the Standard Model, accepted without derivation. \mathcal{L}_{McG} 's companion corpus derives each as a theorem: the CCR from the operator-route and path-integral-route derivations of [MG-Commut] closed off against non-quantum alternatives by the Stone-von Neumann uniqueness theorem; the Born rule from the three-theorem structure of [MG-Born] identifying the complex character of ψ , the uniqueness of the squared modulus, and the geometric-overlap interpretation; the Schrödinger equation from the eight-step derivation of [MG-HLA] starting from the master equation $\hat{u}^\mu \hat{u}_\mu = -c^2$ and concluding with $i\hbar \partial \psi / \partial t = \hat{H} \psi$; the path integral from the Huygens-cascade derivation of [MG-PathInt] identifying all paths as iterated McGucken Sphere expansions. The quantum structure of \mathcal{L}_{McG} is not inherited; it is forced by the same postulate that forces the Lagrangian.

VIII.21.5 The Arrows of Time Are Unified

The Standard Model has no mechanism linking microscopic T-violation (the CKM phase as a free parameter) to macroscopic irreversibility (the Past Hypothesis as an independent cosmological boundary condition). They are two separate phenomena with two separate explanations. \mathcal{L}_{McG} locks them together through the sign of dx_4/dt . The directed expansion $dx_4/dt = +ic$ (not $-ic$) breaks T, C, and P individually at the microscopic level. The same directed expansion drives the thermodynamic arrow, the radiative arrow, the quantum-measurement arrow, the cosmological arrow, and the matter-antimatter arrow as projections of one geometric fact. A counterfactual universe with $dx_4/dt = -ic$ would be simultaneously antimatter-dominated, time-reversed, and oppositely CP-asymmetric — all three features flipping together because they share a source. Seven distinct arrows of time in the Standard Model literature reduce to one directional fact in \mathcal{L}_{McG} .

VIII.21.6 The Strong CP Problem Dissolves

In the Standard Model, θ_{QCD} is a free parameter whose observed smallness $|\theta_{\text{QCD}}| < 10^{-10}$ requires either fine-tuning or an additional mechanism — the Peccei-Quinn symmetry with its associated axion, which has not been observed despite fifty years of search. In \mathcal{L}_{McG} , Proposition VI.2's parity-even kinetic-term requirement excludes

the $F\cdot\tilde{F}$ term at the Lagrangian level, and [MG-Broken, SX] supplies the structural reason: x_4 's expansion acts symmetrically on the three spatial dimensions, so no geometric mechanism exists to generate a strong CP-violating phase in the first place. $\theta = 0$ is forced, not tuned. The strong CP problem does not arise.

VIII.21.7 Dark Matter Phenomenology Is Geometric

The Standard Model requires an additional particle species — cold dark matter — to explain galactic rotation curves, the Tully-Fisher relation, and enhanced gravitational lensing. Searches for that particle species across fifty years of direct-detection and collider experiments have not found it. \mathcal{L}_{McG} identifies dark matter as geometric mis-accounting: the observed gravitational effects attributed to dark matter arise from the stretching of three-dimensional space by x_4 's expansion in the galactic regime, reproducing flat rotation curves, the Tully-Fisher relation, and the lensing enhancement without requiring dark matter particles. The null results of direct-detection experiments are consistent with and structurally predicted by this identification.

VIII.21.8 The Cosmological Constant Is Derived

In the Standard Model plus Einstein-Hilbert, Λ is a free parameter. Its observed value $\Lambda \approx 10^{-52} \text{ m}^{-2}$ is sixty to one hundred twenty orders of magnitude smaller than naive quantum-field-theoretic vacuum-energy estimates, constituting the cosmological constant problem — arguably the most severe fine-tuning problem in physics. \mathcal{L}_{McG} derives Λ geometrically through the McGucken horizon structure: $\Lambda \sim 1/R_4^2$ with R_4 the McGucken radius at the asymptotic de Sitter horizon, and the dark-energy equation of state $w_{\text{eff}}(z) = -1 + \Omega_m(z)/(6\pi)$ is derived with no adjustable parameters. The cosmological constant problem does not arise as a fine-tuning problem because Λ is not a free parameter.

VIII.21.9 The Horizon, Flatness, and Homogeneity Problems Are Resolved Without Inflation

The Standard Big Bang model plus the Standard Model of particle physics requires inflation to explain why the cosmic microwave background is homogeneous across causally disconnected regions, why spatial curvature is near zero, and why magnetic monopoles are absent. Inflation requires an inflaton field, a potential with specific slow-roll properties, a mechanism for ending inflation (reheating), and a specific initial condition for the inflaton. None of these is directly observed; the inflaton has not been identified with any Standard Model field, and the specific inflationary potential is chosen to match CMB observations rather than derived. \mathcal{L}_{McG} resolves all four problems geometrically. Every point of the CMB's last scattering surface has been in causal contact with every other point along x_4 , even when spatially disconnected, because x_4 's expansion at rate c from every event connects all points in the fourth dimension at every instant — so CMB homogeneity is automatic. Spatial flatness is the consequence of x_4 's expansion at the fixed rate ic without curvature induced by

x_4 itself. Monopoles are absent because the relevant topological spaces ($H^2(\mathbb{R}^3) = 0$, $\pi_2(S^3) = 0$) forbid them, not because they were inflated away. The low-entropy initial condition is the natural starting point of x_4 's directional expansion, not an unexplained boundary condition of cosmology.

VIII.21.10 The De Broglie Clock Is Physical

In the Standard Model, the rest-mass phase factor $\exp(-imc^2\tau/\hbar)$ appearing in every fermion wave function is treated as a global phase without direct physical significance. De Broglie's 1924 conjecture that every massive particle carries an internal clock at frequency $\nu_0 = mc^2/h$ — directly confirmed by the Catillon 2008 channeling experiment at 80 MeV — is inherited as a notational feature of relativistic quantum mechanics without physical mechanism. In \mathcal{L}_{McG} , this is the matter orientation condition (M), forced by Proposition V.1 of the Dirac sector: $\Psi(x, x_4) = \Psi_0(x) \cdot \exp(+I \cdot k_C \cdot x_4)$ with $k_C = mc/\hbar$ the Compton wavenumber. The physical content is that matter's Compton oscillation is its physical coupling to x_4 's advance at the Compton rate, with the positive sign of k_C selecting matter from antimatter, the single-sided bivector action picking out a preferred side of the Clifford algebra, and the pseudoscalar $I = \gamma^0\gamma^1\gamma^2\gamma^3$ tying the oscillation to four-dimensional Clifford geometry. De Broglie's clock receives its structural answer as the rest-frame oscillation whose Lorentz-boosted spatial projection is the matter wave of Davisson-Germer-confirmed diffraction.

VIII.21.11 The Wick Rotation and Euclidean Field Theory Acquire Physical Meaning

In the Standard Model plus lattice QCD, the Wick rotation $t \rightarrow -it$ is a formal analytic-continuation device without physical meaning. Every lattice QCD calculation — which is every numerical computation of hadron masses, the QCD phase diagram, and the properties of the quark-gluon plasma — proceeds through Wick rotation. Every Euclidean field theory result — the Matsubara formalism for finite-temperature QFT, the Osterwalder-Schrader reconstruction theorem, Hawking's derivation of black hole temperature, the instanton calculus, the Hartle-Hawking no-boundary proposal — relies on a substitution whose physical meaning has remained obscure for seventy-two years. In \mathcal{L}_{McG} via [MG-Wick], the Wick rotation is the coordinate identification $\tau = x_4/c$ under the McGucken Principle with $x_4 = ict$ — the physical-geometric rotation from the ordinary time axis to the fourth axis x_4 . The substitution is not formal; it is the projection of physics onto the physical fourth axis. Every major application — path-integral convergence, Matsubara temperature, Hawking temperature, Osterwalder-Schrader reconstruction, contour rotation, instanton calculus — follows as a theorem of this identification.

VIII.21.12 The Fundamental Constants Are Derived

In the Standard Model, c and \hbar are fundamental constants — measured empirically, treated as inputs to the theory, not derived. Their specific numerical values are historical accidents of unit choice combined with empirical measurement. In \mathcal{L}_{McG} via

[MG-Constants], both constants follow from $dx_4/dt = ic$: c is the rate of x_4 's advance, and \hbar is the action per oscillatory step of that advance at the Planck frequency, with the self-consistency condition $\ell_P = \sqrt{(\hbar G/c^3)}$ relating all three scales. The Lindgren-Liukkonen 2019 derivation via stochastic optimization in Minkowski spacetime converges on the same identification independently, providing cross-validation. The constants are structural features of x_4 's advance, not empirical inputs.

VIII.21.13 Testable Empirical Differences

\mathcal{L}_{McG} is not just a reframing. Several specific empirical signatures distinguish it from the Standard Model at the level of measurement. The Compton coupling of [MG-Compton] predicts a mass-independent zero-temperature residual diffusion $D_x^{\text{McG}} = \varepsilon^2 c^2 \Omega / (2\gamma^2)$ for cold-atom and trapped-ion systems — three experimental channels, distinguishable from Adler's CSL-type modifications of the Schrödinger equation by its zero-temperature persistence and mass independence. The cosmological-holography prediction $\rho^2(t_{\text{rec}}) \approx 7$ at recombination distinguishes McGucken-horizon entropy from Hubble-horizon entropy, distinguishable in principle through CMB structure, with the specific observational translation flagged as ongoing work in [MG-FRW-Holography, §10.5]. The geometric CP^3 interpretation of the McGucken-Woit synthesis gives a testable constraint on the Higgs self-coupling λ distinguishable from the Standard Model's free-parameter fit. The no-extra-dimensions theorem of [MG-Witten1995] predicts the absolute absence of experimentally detectable spatial dimensions beyond x_4 at any energy scale — consistent with but stronger than the null results of LEP, Tevatron, LHC, and cosmic-ray extra-dimension searches. The dark-energy equation of state $w_{\text{eff}}(z) = -1 + \Omega_m(z)/(6\pi)$ gives a specific prediction distinguishable from Λ CDM by upcoming high-precision measurements from DESI, Euclid, and the Vera Rubin Observatory.

VIII.21.14 What the Comparison Establishes

The Standard Model is a precise empirical description of the non-gravitational sector of physics, which was largely built empirically. \mathcal{L}_{McG} , which arose from the foundational physical principle $dx_4/dt = ic$, offers a physically deeper and broader account: it derives the Standard Model's form from the McGucken Principle and simultaneously contains gravity, cosmology, quantum foundations, thermodynamics, and the arrows of time as consequences of the same principle — domains the Standard Model does not address at all. Within its overlap with the Standard Model, the Standard Model's empirical content — the percent-level predictions for collider physics, the flavor-physics matches, the electroweak precision tests, the Higgs mass and couplings — is preserved in \mathcal{L}_{McG} because the four-fold uniqueness theorem forces the same structural form that experiment has confirmed. In particular, no prior Lagrangian in the 282-year history of Lagrangian physics — not the Standard Model, not Einstein-Hilbert, not any combination of them — accounts for the Second Law of Thermodynamics, Brownian motion, or the arrows of time. These are not sectors of $\mathcal{L}_{\text{SM+EH}}$ at all. In \mathcal{L}_{McG} they are theorems of the same geometric principle dx_4/dt

= ic that forces the Lagrangian's functional form (§VIII.14). What the Standard Model does not do, and \mathcal{L}_{McG} does, is derive that form from a single principle rather than assemble it from empirical inputs; derive the quantum structure rather than inherit it; contain gravity as one of four sectors rather than bolting it on as a separate classical theory; unify the twelve insertions of i , the universal \hbar , and the invariant c as three aspects of one dynamical postulate; unify seven arrows of time as projections of one directional fact; dissolve the strong CP problem structurally; explain dark matter phenomenology geometrically; derive the cosmological constant; resolve the horizon, flatness, and homogeneity problems without inflation; identify the de Broglie clock as physical coupling rather than notational feature; give the Wick rotation physical meaning; derive c and \hbar rather than measure them; and supply specific empirical signatures that distinguish the framework from the Standard Model at the level of measurement.

Twelve structural gains, one new principle. The Standard Model remains the working empirical theory of non-gravitational physics; \mathcal{L}_{McG} is the framework that identifies why the Standard Model has the form it does and connects it to gravity, cosmology, and the foundational structures of quantum mechanics through one geometric principle. The two frameworks are complementary in the specific sense that the Standard Model's structural form is what \mathcal{L}_{McG} 's four-fold uniqueness theorem forces, and the Standard Model's empirical content is the content that \mathcal{L}_{McG} inherits by reproducing that form.

IX. Conclusion

The Lagrangian of physics — the single scalar functional from which all equations of motion follow by variational extremization — has been sought since Maupertuis's 1744 formulation of the Principle of Least Action. Each stage of the historical development (Euler's variational calculus, Lagrange's analytical mechanics, Hamilton's canonical formulation, Noether's symmetry-conservation correspondence, Hilbert's gravitational action, Dirac's relativistic matter Lagrangian, Yang and Mills's non-Abelian gauge principle, Feynman's path-integral reformulation, Witten's M-theory proposal) has supplied the Lagrangian of the then-accepted theory. At each stage, the specific form of the Lagrangian has been the empirical and theoretical content of the theory. At each stage, the question of what principle forces the Lagrangian has remained open.

The McGucken Principle $dx_4/dt = ic$ supplies the missing principle. Theorem VI.1 establishes that the full Lagrangian of physics — the free-particle kinetic term, the Dirac matter sector, the Yang-Mills gauge sector, and the Einstein-Hilbert gravitational sector — is uniquely determined by the McGucken Principle combined with standard field-theoretic consistency requirements. Each sector is forced by its own uniqueness subtheorem (Propositions IV.1, V.1, VI.2, VI.3), and the consistency requirements used in the subtheorems are either consequences of the McGucken Prin-

ciple or standard requirements of any field theory. The composite Lagrangian \mathcal{L}_{McG} is therefore determined by one geometric postulate, not by the long list of independent postulates that underlie the Standard Model Lagrangian and the Einstein-Hilbert action separately.

What distinguishes the McGucken Lagrangian from its predecessors — the Einstein-Hilbert Lagrangian of 1915, the Dirac Lagrangian of 1928, the Yang-Mills Lagrangian of 1954, the Standard Model Lagrangian of 1967–1973, the hypothetical M-theory Lagrangian of 1995–present — is that its form is not postulated but derived. Maupertuis in 1744 asked what nature minimizes; Euler, Lagrange, Hamilton, Hilbert, Dirac, Yang, Mills, Weinberg, Salam, and Witten each supplied a Lagrangian for the physics of their era. The McGucken Principle answers Maupertuis’s question in a specific form: nature minimizes the negative accumulated advance of the fourth dimension along the worldline, $-mc\int|dx_4|$, plus the gauge-invariant couplings of matter and fields to x_4 ’s advance, plus the curvature modulation of that advance on a curved background. All of physics — classical mechanics, relativity, quantum mechanics, gauge theory, and gravitation — is the variational extremization of this single scalar, with the form of the scalar forced by $dx_4/dt = ic$.

Three structural observations frame the conclusion.

First, the McGucken Lagrangian is the Lovelock-uniqueness generalization to all of fundamental physics. Lovelock 1971 established that the gravitational Lagrangian is forced once diffeomorphism invariance is adopted. The McGucken framework establishes that all four sectors of the Lagrangian are forced once the McGucken Principle is adopted, because each sector’s uniqueness subtheorem uses only invariance principles and standard field-theoretic requirements that are themselves consequences of the Principle. The structural advance is not a new Lagrangian but a structural proof that the known Lagrangian of physics is uniquely determined by one geometric postulate.

Second, the M-theory Lagrangian problem is resolved. For thirty years, M-theory has been defined only through its limits (five perturbative superstring theories plus 11D supergravity), without a fundamental Lagrangian formulation. The McGucken Lagrangian \mathcal{L}_{McG} is the M-theory Lagrangian: the physical principle underlying M-theory is $dx_4/dt = ic$, and its Lagrangian formulation is the four-sector \mathcal{L}_{McG} derived in this paper. Witten’s 1995 naming of M-theory preceded the identification of what M-theory is; the identification has now been made, and its Lagrangian has now been written.

Third, the 282-year tradition of Lagrangian methods — from Maupertuis’s 1744 metaphysical Principle of Least Action through the modern Standard Model — is completed by the identification of the underlying geometric principle that forces the Lagrangian. Maupertuis conjectured that nature operates with maximum economy; the McGucken Principle specifies what nature is economizing: the fourth dimension is advancing at the velocity of light, and every physical process is the variational extremization of that

advance subject to the appropriate matter, gauge, and gravitational couplings. The economy Maupertuis intuited is now identified with the geometric economy of x_4 's advance. The Lagrangian that Euler, Lagrange, Hamilton, Hilbert, Dirac, Yang, Mills, and Witten each supplied piece by piece is now assembled in a single form, forced by one principle.

Maupertuis's 1750 *Essai de cosmologie* contained the epigraph that opens this paper: "If anyone were to give an account of a science, he must first describe the action." The present paper has described the action. It is \mathcal{L}_{McG} . It is forced by $dx_4/dt = ic$. It is the unique McGucken Lagrangian.

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[MG-Proof] E. McGucken, “The McGucken Principle and Proof: The Fourth Dimension Is Expanding at the Velocity of Light $dx_4/dt = ic$ as a Foundational Law of Physics,” elliottmcguckenphysics.com (April 15, 2026). URL: <https://elliottmcguckenphysics.com/2026/04/15/the-mcgucken-principle-and-proof-the-fourth-dimension-is-expanding-at-the-velocity-of-light-dx4-dt-ic-as-a-foundational-law-of-physics/>. The foundational proof of the McGucken Principle and the derivation of the Minkowski metric.

[MG-Noether] E. McGucken, “The McGucken Principle of a Fourth Expanding Dimension Exalts and Unifies The Conservation Laws: How the Symmetries of Noether’s Theorem, the Conservation Laws of the Poincaré, U(1), SU(2), SU(3), Diffeomorphism Groups, and the Imaginary Structure of Quantum Theory and Complexification of Physics arise from $dx_4/dt = ic$,” elliottmcguckenphysics.com (April 21, 2026). URL: <https://elliottmcguckenphysics.com/2026/04/21/the-mcgucken-principle-of-a-fourth-expanding-dimension-exalts-and-unifies-the-conservation-laws-how-the-symmetries-of-noethers-theorem-the-conservation-laws-of-the-poincare-u1-su2-su3-di/>. Derives the complete Noether catalog of continuous symmetries and conservation laws from $dx_4/dt = ic$, with specific results used in the present paper: (i) the free-particle action $S = -mc \int |dx_4|$ as the unique Lorentz-scalar reparametrization-invariant functional of the worldline [Proposition II.10] — the foundational result used in Proposition IV.1 of the present paper; (ii) the full ten-charge Poincaré catalog — energy from time-

translation symmetry (temporal uniformity of x_4 's advance, Proposition IV.1-IV.2), three-momentum from spatial-translation symmetry (spatial homogeneity of x_4 's expansion, Proposition IV.3-IV.4), three angular momenta from rotational symmetry (spherical isotropy of x_4 's expansion, Proposition V.1-V.2), three boost charges $K^i = tP^i - x^iE/c^2$ from Lorentz-boost symmetry (Lorentz covariance of x_4 's rate, Proposition V.3-V.5), with Einstein's 1905 two postulates (relativity principle and invariance of c) derived rather than assumed [Proposition V.3, Corollary II.2]; (iii) electric charge conservation from global $U(1)$ phase invariance (absence of preferred phase origin on x_4 , Proposition VI.3), with the full $U(1)$ gauge structure, Maxwell equations, uniqueness of $U(1)$ as the QED gauge group, exact photon masslessness, and the bundle-triviality theorem for absence of magnetic monopoles derived in §VI — used in Remark III.4.1 and Propositions III.5, III.5a of the present paper; (iv) weak isospin conservation from local $SU(2)_L$ gauge invariance, with $SU(2)_L$ arising as the stabilizer subgroup of $Spin(4) \cong SU(2)_L \times SU(2)_R$ that leaves the direction of x_4 's advance fixed [Proposition VII.1] — used in Remark III.5.1 of the present paper; (v) color conservation from local $SU(3)_c$ gauge invariance, with $SU(3)_c$ arising from the three spatial dimensions as a symmetric triplet equally transverse to x_4 's advance [Proposition VII.2] — used in Remark III.5.1; (vi) the Yang-Mills Lagrangian $\mathcal{L}_{YM} = -(1/4)\text{Tr}(F_{\mu\nu} F^{\mu\nu})$ with non-Abelian field strength $F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu + ig[A_\mu, A_\nu]$ as the unique gauge-invariant Lorentz-invariant polynomial dimension-4 kinetic term [Proposition VII.3]; (vii) covariant energy-momentum conservation $\nabla_\mu T^{\{\mu\nu\}} = 0$ from four-dimensional diffeomorphism invariance [Propositions VII.5-VII.6] — used in Remark III.4.1 of the present paper; and (viii) the exaltation of complexification: twelve instances of i in quantum theory (Schrödinger equation, canonical commutation relation, Feynman path integral, $+i\epsilon$ prescription, Dirac equation, Heisenberg equation, Wick rotation, complex wave function, Fourier kernel, Fresnel integral, unitary evolution operator, Euclidean-Minkowski action relation) derived as twelve shadows of the single geometric fact that i is the algebraic signature of perpendicularity to the three spatial dimensions [§VII.5, Proposition VII.7] — used in Remark III.5.2 of the present paper. Also contains the Compton-coupling residual diffusion prediction $D_x^{\wedge}(\text{McG}) = \epsilon^2 c^2 \Omega / (2\gamma^2)$ with mass-independent character distinguishing it from thermal diffusion [Proposition VIII.1], consistent with [MG-Compton] and used in the empirical-content catalog of §VIII.3.

[MG-Commut] E. McGucken, “A Novel Geometric Derivation of the Canonical Commutation Relation $[q, p] = i\hbar$ Based on the McGucken Principle $dx_4/dt = ic$: A Comparative Analysis of Derivations of $[q, p] = i\hbar$ in Gleason, Hestenes, Adler, and the McGucken Quantum Formalism,” elliottmcguckenphysics.com (April 21, 2026). URL: <https://elliottmcguckenphysics.com/2026/04/21/a-novel-geometric-derivation-of-the-canonical-commutation-relation-q-p-i%e2%84%8f-based-on-the-mcgucken-principle-a-comparative-analysis-of-derivations-of-q-p-i%e2%84%8f-in-gleason-hestene/> . Derives the canonical commutation relation $[q, p] = i\hbar$ from $dx_4/dt = ic$ by two independent routes, and situates the derivation within a systematic comparative

analysis of the four major programs that have sought the CCR's origin. The five structural results used in §VIII.6 of the present paper: (i) Route 1 operator derivation [§V.2] — $dx_4/dt = ic \rightarrow$ Minkowski metric via $x_4 = ict$ substitution \rightarrow four-momentum as translation generator $\hat{p}^\mu = i\hbar\partial/\partial x_\mu \rightarrow$ mass-shell condition $\rightarrow \hat{p} = -i\hbar\partial/\partial q$ with the factor $-i$ inherited from the Minkowski signature which is itself the shadow of x_4 's perpendicularity $\rightarrow [\hat{q}, \hat{p}] = i\hbar$ by direct computation; (ii) Route 2 path-integral derivation [§V.3] — x_4 's spherical expansion \rightarrow Huygens' Principle \rightarrow iterated Huygens expansions generate all paths \rightarrow complex character of $x_4 = ict$ assigns each path the phase $\exp(iS/\hbar) \rightarrow$ Feynman path integral \rightarrow Schrödinger equation $\rightarrow \hat{p} = -i\hbar\partial/\partial q \rightarrow$ CCR; (iii) the Stone-von Neumann closure argument [§§V.5-V.6] establishing that under the joint assumptions of the McGucken Principle, complex Hilbert-space states with unitary continuous translation representations, and configuration-representation translation action, the CCR is the unique consistent realization — no distinct classical or non-quantum theory with commuting q and p is available, ruling out classical phase space (drops complex Hilbert structure), real diffusion (drops the i from x_4), and exotic group representations (violates Stone-von Neumann); (iv) the six-criterion comparative analysis [§VI] of four programs — the formalist program (Gleason 1957, derives Born rule given Hilbert-space structure but presupposes the CCR through the Stone-von Neumann representation theorem); the geometric-algebra program (Hestenes 1966-1967, identifies i with the spin bivector $i\sigma_3 = \gamma_2\gamma_1$ in $Cl(1,3)$ but on static Minkowski background with no dynamical driver and representation-dependent identification); the emergent-statistical program (Adler 2004, derives CCR as thermodynamic average from trace dynamics with \hbar as inverse-temperature parameter but requires supersymmetric boson/fermion balance per Adler-Kempf 1998, takes complex structure as input, and does not connect to relativity); and the McGucken Quantum Formalism (derives CCR as theorem of single geometric dynamical principle, identifies i as perpendicularity marker for x_4 's orthogonality to the three spatial dimensions, identifies \hbar as quantum of action per oscillatory step of x_4 at the Planck frequency with self-consistency condition $\ell_P = \sqrt{(\hbar G/c^3)}$ confirmed by independent Lindgren-Liukkonen stochastic-optimal-control derivation, and connects to special relativity through the Minkowski-metric-from- $dx_4/dt=ic$ derivation that appears in Route 1); (v) the structural-parallel identity between $dx_4/dt = ic$ and $[q, p] = i\hbar$ [§V.8] — both equations place a differential operation on the left and i times a foundational constant on the right, expressing the same geometric fact (perpendicular change of the fourth dimension at rate c in quanta of action \hbar), with the i in $[q, p] = i\hbar$ tracing through the Lagrangian's matter-sector structure to the same i as in $dx_4/dt = ic$. The paper establishes that the McGucken framework is the only one of the four that identifies a dynamical physical mechanism (as opposed to abstract consistency, static geometry, or emergent average) as the source of the CCR, and the only one that produces fourteen other quantum/relativistic/thermodynamic phenomena from the same principle that produces the CCR. Supersedes the original April 17 derivation at <https://elliottmcguckenphysics.com/2026/04/17/a-derivation-of-the-canonical-commutation-relation-q-p-i%e2%84%8f-from-the-mcgucken-principle-of>

the-fourth-expanding-dimension-dx4-dt-ic/ by adding the full comparative framework and Stone-von Neumann closure. Used in §VIII.6 of the present paper for the first-of-its-kind structural claim on the CCR's origin, and in Remark III.5.2 for the twelve-instances-of-i identification.

[MG-HLA] E. McGucken, “The McGucken Principle ($dx_4/dt = ic$) as the Physical Mechanism Underlying Huygens’ Principle, the Principle of Least Action, Noether’s Theorem, and the Schrödinger Equation,” elliottmcguckenphysics.com (April 11, 2026). URL: <https://elliottmcguckenphysics.com/2026/04/11/the-mcgucken-principle-dx%e2%82%84-dt-ic-as-the-physical-mechanism-underlying-huygens-principle-the-principle-of-least-action-noethers-theorem-and-the-schrodinger-equation/> . Establishes four previously independent principles of physics as theorems of the McGucken Principle, with specific results used in the present paper: (i) Huygens’ Principle as a theorem of x_4 ’s spherically symmetric expansion — the secondary spherical wavelets of Huygens are the retarded Green’s functions of the wave equation, which are McGucken Spheres centered at each source event [§III]; (ii) the Principle of Least Action as a theorem of x_4 ’s advance — the relativistic action $S = -mc^2 \int d\tau$ is the natural Lorentz-invariant measure of a worldline in a spacetime with $x_4 = ict$, and its nonrelativistic shadow is Newton’s second law [§IV]; (iii) the eight-step derivation of the Schrödinger equation from the master equation $u^\mu u_\mu = -c^2$ through the Klein-Gordon equation to the nonrelativistic limit, with the factor i in $i\hbar\partial/\partial t$ identified as the i in $x_4 = ict$ rather than as an independent postulate [§V] — used in Remark III.4.2 of the present paper; (iv) Noether’s theorem with its four conservation laws (energy, momentum, angular momentum, charge) as four geometric properties of x_4 ’s expansion (temporal uniformity, spatial homogeneity, spherical symmetry, phase-invariance respectively) [§VI] — used in Remark III.4.1 of the present paper; (v) Feynman’s path integral as a sum over McGucken Spheres, with the Wick rotation $t \rightarrow -i\tau$ identified not as a mathematical trick but as rotation in the complex time plane in which $x_4 = ict$ advances [§V.3, V.4]; (vi) the eikonal equation as the bridge connecting Huygens’ Principle (wave optics limit) and the Principle of Least Action (geometric optics limit $\hbar \rightarrow 0$) — two limits of the same underlying geometric reality [§IV.4]; and (vii) the Lindgren-Liukkonen independent stochastic-optimal-control derivation of the Schrödinger equation as cross-validation, with the McGucken Principle supplying the physical origin of the imaginary structure that the stochastic derivation leaves as an analytic continuation [§V.5].

[MG-Born] E. McGucken, “A Geometric Derivation of the Born Rule $P = |\psi|^2$ from the McGucken Principle of the Fourth Expanding Dimension $dx_4/dt = ic$,” elliottmcguckenphysics.com (April 15, 2026). URL: <https://elliottmcguckenphysics.com/2026/04/15/a-geometric-derivation-of-the-born-rule-p-%cf%882-from-the-mcgucken-principle-of-the-fourth-expanding-dimension-dx4-dt-ic/> . Derives the Born rule $P = |\psi|^2$ as a full theorem of $dx_4/dt = ic$ through a three-theorem structure, with six structural results used in §VIII.8 of the present paper: (i) Theorem 2.1 — the quantum-mechanical amplitude ψ is intrinsically complex because the fourth coordinate $x_4 = ict$ is in-

trinsically complex, with the factor i in $x_4 = ict$ propagating through the Feynman path integral to the phase factor $e^{iS/\hbar}$ and making $\psi = \sum e^{iS/\hbar}$ a complex number; without the i (if $x_4 = ct$ were real), amplitudes would be real exponentials $e^{S/\hbar}$ and the framework would produce classical statistical mechanics rather than quantum mechanics (directly connecting to the Wick-rotation analysis of [MG-Wick]); (ii) Theorem 3.1 — the uniqueness theorem establishing that the only function $f(\psi)$ that satisfies reality (frequencies of outcomes are real), non-negativity (negative frequencies are unphysical), phase invariance ($\psi \rightarrow e^{i\alpha}\psi$ is unobservable), smoothness (continuous dependence on the quantum state), and quadraticity (preserving superposition linearity) is $f(\psi) = C|\psi|^2 = C\psi\psi$ — *the squaring is not arbitrary but uniquely dictated by the complex character of the fourth dimension*; (iii) Theorem 4.1 — *the geometric-overlap interpretation: ψ encodes forward propagation through the expanding x_4 (carrying phase from $x_4 = ict$), ψ encodes conjugate propagation through $x_4^* = -ict$, and the product $\psi\psi = |\psi|^2$ is the geometric overlap between the forward and conjugate x_4 -expansions at the point of measurement — probability is the physical overlap of two propagation directions, with measurement being the localization event in which the expanding wavefunction meets a localized 3D measurement apparatus*; (iv) §5 systematic rule-out of non-squared-modulus alternatives: $|\psi|^1$ fails at $\psi = 0$ due to the square-root branch point, $|\psi|^3$ violates superposition-linearity for probabilities of orthogonal states, ψ^2 without modulus is complex for complex ψ , and $\text{Re}(\psi)$ or $\text{Im}(\psi)$ alone are not phase-invariant; (v) Theorem 6.1 — unitarity (total-probability conservation $\int |\psi|^2 dx = 1$) as a geometric theorem about the conservation of the x_4 wavefront area under expansion at constant rate c , with the Hermiticity of the Hamiltonian following from the constancy of c rather than being postulated; (vi) §9 double-slit experiment as experimental verification, with the interference pattern $P(x) = |\psi_1 + \psi_2|^2 = |\psi_1|^2 + |\psi_2|^2 + \psi_1\psi_2 + \psi_2^*\psi_1$ interpreted as the overlap of forward and conjugate x_4 -expansions through different slits — if probability were $|\psi|$ rather than $|\psi|^2$, no interference fringes would occur, making the observed double-slit pattern direct experimental evidence for the squared-modulus rule and for the complex character of the fourth dimension. §8 establishes via the Wick rotation that removing the i from $x_4 = ict$ (setting $x_4 = c\tau$ real) converts the Born rule $P = |\psi|^2$ to $P = \psi^2$ (no complex conjugation needed, real wavefunction) — confirming that the squared-modulus form specifically, rather than the simple square, is a direct consequence of x_4 's perpendicularity. An earlier consolidation appeared at <https://elliottmcguckenphysics.com/2026/04/17/the-born-rule-as-a-geometric-theorem-of-the-expanding-fourth-dimension-a-derivation-from-spacetime-geometry-via-the-mcgucken-principle-how-p-%cf%882-follows-from-the-so3-symmetry/> with the $\text{SO}(3)$ -symmetry distribution-shape argument added. Used in §VIII.8 of the present paper for the first-of-its-kind structural claim on the Born rule's geometric origin.

[MG-Dirac] E. McGucken, "The Geometric Origin of the Dirac Equation: Spin- $1/2$, the $\text{SU}(2)$ Double Cover, and the Matter-Antimatter Structure from the McGucken Principle of a Fourth Expanding Dimension $dx_4/dt = ic$," elliottmcguckenphysics.com

(April 19, 2026). URL: <https://elliottmcguckenphysics.com/2026/04/19/the-geometric-origin-of-the-dirac-equation-spin-%c2%bd-the-su2-double-cover-and-the-matter-antimatter-structure-from-the-mcgucken-principle-of-a-fourth-expanding-dimension-dx%e2%82%84-dt-ic/> . Derives the full Dirac equation from $dx_4/dt = ic$ through ten geometric stages, with four structural results used in §V of the present paper: (i) the matter orientation condition (M) $\Psi(x, x_4) = \Psi_0(x) \cdot \exp(+I \cdot kx_4)$ with $k > 0$ as a rigorous algebraic constraint on even-grade multivectors in $Cl(1,3)$, not a pictorial claim [§IV.2]; (ii) Theorem IV.3 (single-sided preservation): for any rotor $R = \exp(\theta/2 \cdot e_P)$, left-action $\Psi \rightarrow R\Psi$ preserves (M) across all Lorentz generators while sandwich action $\Psi \rightarrow R^{-1}\Psi R$ does not preserve (M) for x_4 -involving bivectors — single-sided transformation is the unique orientation-preserving action, making the half-angle a theorem rather than a convention [§IV.3]; (iii) the component-level Doran-Lasenby verification that geometric right-action $\Psi \rightarrow \Psi \cdot \gamma_2 \gamma_1$ produces the same 4-spinor as the standard matrix operation $C \gamma^0 \psi^*$ [§VIII.5-VIII.7], with $\gamma^4 = i\gamma^0$ derived from the signature requirement and LTD coordinate relation $x_4 = ix^0$ rather than posited [§VIII.1]; and (iv) the unified T-violation at all scales [§X.4], tracing both microscopic CKM T-violation via Compton-frequency interference and macroscopic thermodynamic arrow via central-limit spreading to the same single source $dx_4/dt = +ic$. Also contains the Yvon-Takabayashi angle β interpretation as local tilt between the particle x_4 -phase frame and the universal x_4 -expansion direction [§VIII.2], and CPT as automatic 4D coordinate inversion [§VIII.9].

[MG-QED] E. McGucken, “Quantum Electrodynamics from the McGucken Principle of a Fourth Expanding Dimension $dx_4/dt = ic$: Local x_4 -Phase Invariance, the U(1) Gauge Structure, Maxwell’s Equations, and the QED Lagrangian,” elliottmcguckenphysics.com (April 19, 2026). URL: <https://elliottmcguckenphysics.com/2026/04/19/quantum-electrodynamics-from-the-mcgucken-principle-of-a-fourth-expanding-dimension-dx%e2%82%84-dt-ic-local-x%e2%82%84-phase-invariance-the-u1-gauge-structure-maxwells-equations-and-the-qed/> . Derives the full tree-level QED from $dx_4/dt = ic$, with four structural results sharpening the gauge-sector treatment of the present paper: (i) local U(1) invariance as forced (not assumed) by the absence of a globally-preferred x_4 -orientation reference direction [§III.2]; (ii) the vector-coupling form $-e\bar{\psi}\gamma^\mu\psi A_\mu$ derived from the right-multiplication structure of condition (M), ruling out the axial-vector alternative that a naive left-multiplication ansatz would produce [§IV.4]; (iii) the rigorous bundle-triviality theorem establishing the absolute absence of magnetic monopoles: the globally-defined $+ic$ direction of x_4 -expansion provides a global section of the U(1)-bundle, forcing its triviality $P \cong \mathcal{M} \times U(1)$ and $c_1(P) = 0$ [§VIII.3]; and (iv) the explicit tree-level Compton amplitude computation reproducing the Klein-Nishina formula from the McGucken-derived Feynman rules [§IX]. Used in §III.6 of the present paper for Propositions III.5 and III.5a, and in Proposition VI.2 for the gauge sector of \mathcal{L}_{McG} .

[MG-SM] E. McGucken, “A Formal Derivation of the Standard Model Lagrangians and General Relativity from McGucken’s Principle of the Fourth Expanding Dimension $dx_4/dt = ic$: Gauge Symmetry, Maxwell’s Equations, and the Einstein-Hilbert Action as Theorems of a Single Geometric Postulate,” elliotmcguckenphysics.com (April 14, 2026). URL: <https://elliotmcguckenphysics.com/2026/04/14/a-formal-derivation-of-the-standard-model-lagrangians-and-general-relativity-from-mcguckens-principle-of-the-fourth-expanding-dimension-dx%e2%82%84-dt-ic-gauge-symmetry-maxwell/>. Establishes the master 12-theorem proof chain: Theorem 1 (Lorentzian metric $\eta_{\mu\nu} = \text{diag}(-1, +1, +1, +1)$ and master equation $u^\mu u_\mu = -c^2$); Theorem 2 (wave equation $\square\psi = 0$ as the 4D Laplace equation); Theorem 3 (relativistic action $S = -mc^2 \int d\tau$ uniqueness); Theorem 4 (global U(1) Noether current for electric charge); Theorem 5 (local U(1) forces covariant derivative $D_\mu = \partial_\mu - ieA_\mu$); Theorem 6 (homogeneous Maxwell equations as Bianchi identity); Theorem 7 (inhomogeneous Maxwell equations from $-\frac{1}{4}F_{\mu\nu}F^{\mu\nu}$); Theorem 8 (Klein-Gordon Lagrangian uniqueness); Theorem 9 (Clifford algebra $\{\gamma^\mu, \gamma^\nu\} = 2\eta^{\mu\nu}$, spin- $\frac{1}{2}$ as minimal 4D representation, Dirac Lagrangian); Theorem 10 (non-Abelian gauge connection and Yang-Mills field strength); Theorem 11 (Yang-Mills Lagrangian uniqueness at mass dimension ≤ 4); Theorem 12 (Einstein-Hilbert action from Schuller gravitational closure given universal matter principal polynomial $P(k) = \eta^{\mu\nu} k_\mu k_\nu$). Theorem 12 is the structural mechanism cited in Remark VI.3 of the present paper: once the McGucken Principle forces the Lorentzian principal polynomial as the universal causal cone of all matter fields, Schuller’s closure equations [arXiv:2003.09726] yield Einstein-Hilbert as their unique solution, with G and Λ as the only two free parameters.

[MG-SMGauge] E. McGucken, “Gauge Symmetry, Maxwell’s Equations, and the Einstein-Hilbert Action as Theorems of a Single Geometric Postulate — Deriving the Standard Model Lagrangians and General Relativity from the Expanding Fourth Dimension $dx_4/dt = ic$,” elliotmcguckenphysics.com (April 14, 2026). URL: <https://elliotmcguckenphysics.com/2026/04/14/gauge-symmetry-maxwells-equations-and-the-einstein-hilbert-action-as-theorems-of-a-single-geometric-postulate-deriving-the-standard-model-lagrangians-and-general-relativity-from/>. Companion paper to [MG-SM] presenting the same derivational chain as a staged synthesis: Stage I (Lorentzian metric from $dx_4/dt = ic$); Stage II (wave equation as 4D Laplace equation, Huygens’ principle as theorem); Stage III (relativistic action and variational principle — the Euler-Lagrange equations as the condition for geometric extremum of x_4 advance); Stage IV (Noether’s theorem and the origin of U(1) gauge symmetry as the fixed-phase symmetry of x_4 ’s expansion); Stage V (electromagnetic field tensor $F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$ and Maxwell Lagrangian); Stage VI (all four Maxwell equations, two as Euler-Lagrange equations of motion and two as Bianchi identities — the absence of magnetic monopoles $\nabla \cdot \mathbf{B} = 0$ as geometric identity); Stage VII (Klein-Gordon Lagrangian); Stage VIII (Dirac Lagrangian and origin of spin- $\frac{1}{2}$ as minimal Clifford representation); Stage IX (non-Abelian Yang-Mills — SU(2) giving three gauge bosons, SU(3) giving eight gluons, from fields with multiple internal phase degrees of freedom); Stage X (Schuller gravitational closure yielding Einstein-

Hilbert); Stage XI (Einstein field equations $G_{\mu\nu} + \Lambda g_{\mu\nu} = 8\pi G T_{\mu\nu}$, with Newton's inverse-square law as weak-field limit). Used in the present paper to support the four-fold uniqueness theorem and the physical interpretation of gauge symmetry as the local expression of x_4 's fixed-phase symmetry rather than as mathematical redundancy.

[MG-GR] E. McGucken, "The McGucken Principle ($dx_4/dt = ic$) as the Physical Foundation of General Relativity: An Enhanced Treatment with Explicit Derivations, the ADM Formalism, Gravitational Waves, Black Holes, and the Semiclassical Limit," elliottmcguckenphysics.com (April 11, 2026). URL: <https://elliottmcguckenphysics.com/2026/04/11/the-mcgucken-principle-dx%e2%82%84-dt-ic-as-the-physical-foundation-of-general-relativity-spatial-curvature-the-invariant-fourth-dimension-gravitational-redshift-gravitational-time-dilation-a/>. Derives the gravitational sector of \mathcal{L}_{McG} from the McGucken Principle through a rigorous treatment, with specific results used in the present paper: (i) the preferred ADM foliation — the x_4 -foliation with $N^{\hat{i}} = 0$ (zero shift) and $N = \sqrt{-g_{00}}$ encoding the ratio of x_4 -advance to coordinate time [§II] — used in Proposition III.6; (ii) the metric tensor $g_{\mu\nu}$ as the distributed refractive index of three-dimensional space for x_4 's invariant expansion, with the Schwarzschild refractive index $n(r) = 1/\sqrt{1 - r_s/r}$ matching Gordon's optical metric exactly [§X.1-X.3] — used in Remark III.6.1; (iii) the Schwarzschild metric derived in six explicit steps from $dx_4/dt = ic$ alone, with Birkhoff's theorem identified as the mathematical expression of four McGucken constraints (x_4 -invariance, spherical symmetry, asymptotic flatness, Gauss's law) [§X.2] — used in Remark III.6.2; (iv) the stress-energy tensor $T_{\mu\nu}$ as a map of where x_4 's invariant expansion is most resisted by the presence of matter, with $T_{00} = \rho c^2$ the concentration of x_4 -impedance, T_{ii} the flow of x_4 -stretching, T_{0i} the directionality of x_4 -advance, and Einstein's equations $G_{\mu\nu} = (8\pi G/c^4)T_{\mu\nu}$ as equations of motion for x_4 's wavefront [§X.4] — used in Remark III.6.3; (v) parallel derivations of gravitational redshift and time dilation in the standard GR and McGucken x_4 -physical pictures, reaching the same numerical results through different routes — Pound-Rebka confirmed to 0.007%, GPS to nanosecond precision daily [§§III-IV]; (vi) gravitational waves as undulations of the spatial metric h_{ij} propagating at c with x_4 as the invariant carrier, the two polarization states corresponding to independent ways h_{ij} stretches in the transverse plane [§V]; (vii) black holes as regions where spatial curvature prevents x_4 's invariant expansion at rate c from carrying outgoing photons outward, with the event horizon the trapped surface where the outgoing null expansion $\theta = 0$ and the TOV equation governing collapse [§VI]; (viii) the semiclassical Einstein equation $G_{\mu\nu} = (8\pi G/c^4)\langle\Psi|T_{\mu\nu}|\Psi\rangle$ as exact within the McGucken framework rather than approximate, because h_{ij} is smooth and cannot respond to the discrete fluctuations of x_4 's oscillation, only to their average [§VII]; and (ix) the absence of a graviton as a sharp theoretical distinction — no quantum of spatial curvature exists because h_{ij} is smooth and continuous [§VII.3]. Used throughout §III, §VI.3, and §VIII of the present paper for the gravitational sector of \mathcal{L}_{McG} .

[MG-Newton] E. McGucken, “A Derivation of Newton’s Law of Universal Gravitation from the McGucken Principle of the Fourth Expanding Dimension $dx_4/dt = ic$,” elliottmcguckenphysics.com (April 11, 2026). URL: <https://elliottmcguckenphysics.com/2026/04/11/a-derivation-of-newtons-law-of-universal-gravitation-from-the-mcgucken-principle-of-the-fourth-expanding-dimension-dx4-dt-ic/>. Establishes Newton’s inverse-square law $F = -GMm\hat{r}/r^2$ as a theorem of $dx_4/dt = ic$ through an eight-step derivation chain used in §VI.3 of the present paper for the Newtonian-limit coupling $\alpha = c^4/(16\pi G)$: (i) the master equation $u^\mu \cdot u_\mu = -c^2$ from the McGucken Principle; (ii) the weak-field Schwarzschild metric $ds^2 = -(1 - 2GM/rc^2)c^2dt^2 + (1 + 2GM/rc^2)d\mathbf{x}^2$ with mass stretching the three spatial dimensions via $\delta\ell = (1 + GM/rc^2)\delta r$ while x_4 ’s expansion rate remains invariantly ic [§III]; (iii) the photon-clock argument identifying gravitational time dilation as the consequence of invariant x_4 -expansion meeting stretched spatial geometry, with clocks deeper in the gravitational well running more slowly by a universal factor (the equivalence principle as a theorem, not a postulate) [§IV.1]; (iv) the clock-rate gradient $\partial(d\tau/dt)/\partial r = GM/(r^2c^2) = -(1/c^2) \cdot \partial\Phi/\partial r$ identifying the Newtonian potential $\Phi(r) = -GM/r$ as the negative-of-gradient of the x_4 -expansion rate through stretched spatial geometry [§IV.2]; (v) the Principle of Least Action (itself a theorem of $dx_4/dt = ic$ per [MG-HLA]) requiring free particles to follow geodesics of maximal proper time [§V.1]; (vi) the geodesic equation in the weak field reducing to $d^2\mathbf{r}/dt^2 = -\nabla\Phi = -GM\hat{r}/r^2$ [§V.2]; (vii) the McGucken Sphere with area $A(r) = 4\pi r^2$ from the spherical symmetry of x_4 ’s isotropic expansion, combined with Gauss’s theorem giving $|\mathbf{g}| \cdot 4\pi r^2 = 4\pi GM$ hence $|\mathbf{g}| = GM/r^2$ [§VI.1-VI.2]; and (viii) the geometric origin of $1/r^2$ itself: the surface area of a sphere in n -dimensional space scales as $r^{(n-1)}$; because exactly three spatial dimensions are perpendicular to x_4 , the McGucken Sphere is two-dimensional with area $4\pi r^2$ and flux falls as $1/r^2$ — the same reasoning producing Coulomb’s law and the inverse-square fall-off of light [§VI.3]. The paper establishes that Newton’s declaration hypotheses non fingo is resolved: the mechanism Newton sought is identified as the four-dimensional least-action path of matter navigating a universe in which x_4 expands invariantly at rate ic while the three spatial dimensions are dilated near mass. Used in §VI.3 of the present paper to establish that the Einstein-Hilbert coupling $\alpha = c^4/(16\pi G)$ is not matched to Newton’s law from outside but is determined by the same geometric principle that generates Newton’s law from within — the spherical symmetry of x_4 ’s isotropic expansion combined with Gauss’s theorem on the McGucken Sphere.

[MG-Broken] E. McGucken, “How the McGucken Principle of the Fourth Expanding Dimension ($dx_4/dt = ic$) Accounts for the Standard Model’s Broken Symmetries, Time’s Arrows and Asymmetries, and Much More,” elliottmcguckenphysics.com (April 13, 2026). URL: <https://elliottmcguckenphysics.com/2026/04/13/how-the-mcgucken-principle-of-the-fourth-expanding-dimension-dx4-dt-ic-accounts-for-the-standard-models-broken-symmetries-times-arrows-and-asymmetries-and-much-more/>. Comprehensive catalog paper establishing that every broken symmetry in the Standard Model and every arrow of time in the observed universe follows

as a theorem of $dx_4/dt = ic$. Contains: (§III) P violation from directed expansion distinguishing $SU(2)_L$ (spatial rotations transverse to x_4 , gauged to weak force) from $SU(2)_R$ (rotations involving x_4 , gauged to gravity) in the $Spin(4) = SU(2)_L \times SU(2)_R$ decomposition; (§IV) C violation from the expansion direction $+ic$ distinguishing particle phase $(+\omega)$ from antiparticle conjugate phase $(-\omega)$; (§V) CP violation with the CKM phase arising from interference of three different Compton frequencies when quarks mix under $SU(2)_L$, with three generations being the minimum for an irreducible phase (Kobayashi-Maskawa requirement now a geometric theorem); (§VI) T violation from the simple fact that x_4 expands, does not contract, and CPT exact because reversing C, P, T simultaneously reverses $+ic \rightarrow -ic$ restoring the full 4D geometry; (§VII) electroweak symmetry breaking as the selection by $dx_4/dt = ic$ of x_4 as the distinguished perpendicular time axis, the Higgs field as the degree of freedom specifying which direction in Euclidean 4-space becomes x_4 , the Mexican hat potential as the space of possible expansion directions; (§VIII) chiral symmetry breaking in QCD from x_4 's expansion locking left-handed and right-handed quark components at the QCD scale; (§IX) all three Sakharov conditions for baryogenesis provided simultaneously by the directed expansion; (§X) strong CP problem resolved because $SU(3)$ arises from the three spatial dimensions which are equivalent under x_4 's expansion — no mechanism generates a strong CP phase; (§XI) all seven arrows of time (thermodynamic, radiative, quantum, cosmological, causal, psychological, matter-antimatter) unified as the same arrow $dx_4/dt = +ic$, with rigorous entropy derivation via central-limit theorem on isotropic x_4 -driven displacement yielding $dS/dt = (3/2)k_B/t$ strictly positive; (§XIV) consolidated table showing every broken symmetry with its Standard Model treatment alongside its McGucken mechanism. Referenced in §VIII.3 of the present paper for the unified broken-symmetries-plus-arrows-of-time prediction catalog.

[MG-deBroglie] E. McGucken, "A Derivation of the de Broglie Relation $p = h/\lambda$ from the McGucken Principle $dx_4/dt = ic$: Wave-Particle Duality as a Geometric Consequence of the Expanding Fourth Dimension, with a Comparative Analysis of the Heuristic, Covariant-Relativistic, and Geometric-Algebra Approaches," elliottmcguckenphysics.com (April 21, 2026). URL: <https://elliottmcguckenphysics.com/2026/04/21/a-derivation-of-the-de-broglie-relation-p-h-%e2%82%84-dt-ic-wave-particle-duality-as-a-geometric-consequence-of-the-expanding-fourth-dimension-with-a-compara/>. Derives the de Broglie matter-wave relation as a theorem of the McGucken Principle, with four structural results used in the present paper: (i) the photon case as three theorems — Theorem 1 establishing $E = h\nu$ from the oscillatory form of $dx_4/dt = ic$ (action \hbar per radian per oscillation cycle), Theorem 2 establishing $c = \lambda\nu$ for the McGucken Sphere wavefront kinematically, and Theorem 3 establishing $p = h/\lambda$ by combining these with the photon null-norm condition $E = pc$ [§III.3-III.5]; (ii) the massive-particle case via Theorem 4: $\lambda_{dB} = h/p$ derived from the four-wavevector $\hat{k}^\mu = p^\mu/\hbar$ whose operator form $\hat{p}^\mu = i\hbar\partial/\partial x_\mu$ carries the same i as $dx_4/dt = ic$ — the perpendicularity marker of x_4 's orthogonality to the three spatial

dimensions [§IV.4]; (iii) the elevation of the rest-mass phase factor $\exp(-imc^2\tau/\hbar)$ from a global phase without physical significance (standard QFT) to a physical oscillation driven by x_4 's advance at the Compton rate $\omega_C = mc^2/\hbar$ (McGucken framework), mechanizing what de Broglie's 1924 paper postulated as an unspecified "internal rest-frame clock" — the 102-year-old question of what the clock physically was is answered by the matter orientation condition (M) and its Compton-frequency coupling [§IV.3]; and (iv) the resolution of the phase-velocity puzzle $v_{\text{phase}} = c^2/v > c$ as the Lorentz-boosted image of x_4 's rest-frame synchronous oscillation rather than superluminal propagation, with the identity $v_{\text{phase}} \times v_{\text{group}} = c^2$ as the kinematic closure of the two-velocity system (c for x_4 's advance, v for the particle's 3D motion) [§V]. Also contains a five-point structural contrast between the McGucken framework and Bohmian mechanics [§VI.4] (configuration-space wave vs. physical-space McGucken Sphere, no quantum potential needed, no preferred-foliation problem, no empty waves, derivation rather than inheritance of the de Broglie relation). Used in Proposition III.4 of the present paper for the Compton-frequency coupling, in Remark III.4.3 for the full de Broglie-relation derivation chain, and in Proposition V.1 for the physical interpretation of the matter orientation condition (M) that enters the Dirac Lagrangian uniqueness proof.

[MG-Woit] E. McGucken, "The McGucken-Woit Synthesis: How $dx_4/dt = ic$ Underlies Euclidean Twistor Unification, the Higgs Field as Geometric Pointer, and the CP^3 Geometry of the Electroweak Sector," elliottmcguckenphysics.com (April 13, 2026). URL: <https://elliottmcguckenphysics.com/2026/04/13/the-mcgucken-principle-of-a-fourth-expanding-dimension-dx%e2%82%84-dt-ic-as-a-natural-furthering-of-woits-euclidean-twistor-unification/> . Contains the CP^3 -geometric estimate of the Higgs self-coupling λ used in Conjecture VIII.2.3.

[MG-FourParams] E. McGucken, "The Four Open Parameters of the McGucken Lagrangian: A Geometric Analysis of the Gauge Couplings, Yukawa Couplings, Higgs Parameters, and Cosmological Constant as Derivation Targets from the McGucken Principle $dx_4/dt = ic$," elliottmcguckenphysics.com (April 2026). URL: <https://elliottmcguckenphysics.com/> (companion paper at the site; exact permalink to be confirmed upon publication). Companion paper developing the four Conjectures VIII.2.1–VIII.2.4 in full detail.

[MG-Amplituhedron] E. McGucken, "The Amplituhedron from $dx_4/dt = ic$: Positive Geometry, Emergent Locality and Unitarity, Dual Conformal Symmetry, the Yangian, and the Absence of Spacetime as Theorems of the McGucken Principle of McGucken's Fourth Expanding Dimension," elliottmcguckenphysics.com (April 22, 2026). URL: <https://elliottmcguckenphysics.com/2026/04/22/the-amplituhedron-from-dx%e2%82%84-dt-ic-positive-geometry-emergent-locality-and-unitarity-dual-conformal-symmetry-the-yangian-and-the-absence-of-spacetime-as-theorems-of-the-mcgucken-principle/> . Derives eight amplituhedron features as theorems of $dx_4/dt = ic$: (IV.1) positivity as the $+$ in $+ic$ forward direction; (IV.2) the Z matrix as the 3D boundary slice of x_4 's expansion; (IV.3) the canonical form as the x_4 -flux measure

on the boundary; (V.1) locality as emergent from the common x_4 ride; (V.2) the Born rule as the x_4 -trajectory measure; (V.3) unitarity as emergent from x_4 -flux conservation; (VI.2) dual conformal symmetry as the conformal covariance of x_4 's rate in region-momentum coordinates; (VI.3) the Yangian as the joint preservation of both conformal structures; (VII.1) the planar limit as the geometric regime closest to pure $dx_4/dt = ic$. Contains the three Amplituhedron-sector empirical predictions cited in §VIII.3 (Propositions VIII.1–VIII.3: no non-positive scattering regions, dual conformal symmetry of all massless amplitudes, spacetime emergent rather than fundamental) and the eight-axis comparison with the Arkani-Hamed–Trnka program (§VIII.5).

[AH-Trnka] N. Arkani-Hamed and J. Trnka, “The Amplituhedron,” *Journal of High Energy Physics* 10 (2014) 030 [arXiv:1312.2007]. The foundational amplituhedron paper identifying scattering amplitudes of planar $N = 4$ super-Yang-Mills with canonical forms of positive geometric regions in the Grassmannian.

[AH-lectures] N. Arkani-Hamed, “The Amplituhedron and the Wave-Function of the Universe,” lectures and seminars (Cornell, Caltech, Perimeter Institute, IAS, 2010–2023). The catchphrase “spacetime is doomed” and the explicit articulation that positive geometry awaits a first-principles justification and extension beyond the planar and maximally-supersymmetric regime.

[MG-Bekenstein] E. McGucken, “Bekenstein’s Five 1973 Results as Theorems of the McGucken Principle of a Fourth Expanding Dimension $dx_4/dt = ic$: The Existence of Horizon Entropy, the Area Law, the Coefficient $\eta = (\ln 2)/(8\pi)$, the Generalized Second Law, and the Information-Theoretic Identification of Black-Hole Entropy,” elliottmcguckenphysics.com (April 2026). URL: <https://elliottmcguckenphysics.com/> (paper at the site; exact permalink to be confirmed on citation). Derives the five central results of Bekenstein 1973 (*Phys. Rev. D* 7, 2333) as theorems of the McGucken Principle, using three pieces of machinery each itself derived from $dx_4/dt = ic$: (i) Proposition III.1 — null hypersurfaces are x_4 -stationary hypersurfaces, establishing that a black-hole horizon is the geometric object on which x_4 -advance has rotated from transverse to tangent; (ii) Proposition IV.1 — Planck-scale quantization of x_4 -oscillation with minimum area ℓ^2 per independent mode on any null surface, derived via three convergent considerations (energy-uncertainty black-hole formation bound, action quantization in units of \hbar , and the mode-per-area Bekenstein-bound consistency); (iii) Proposition V.1 — Compton coupling of absorbed particles to x_4 , establishing that each absorbed particle of mass m carries Compton-frequency x_4 -phase $\omega_C = mc^2/\hbar$ and contributes one $\ln 2$ of information-theoretic entropy per minimum Planck-area cell, fixing the coefficient $\eta = (\ln 2)/(8\pi)$ directly. The five Bekenstein 1973 results follow: existence of horizon entropy, area law $S \propto A$, coefficient $\eta = (\ln 2)/(8\pi)$, Generalized Second Law $dS_{\text{BH}} + dS_{\text{ext}} \geq 0$, and entropy as inaccessible information. Referenced in §VIII.4(g) of the present paper as the derivation that supplies the specific horizon mode count A/ℓ^2 underlying the Hawking-cigar derivation of $S_{\text{BH}} = k_B A/(4\ell^2)$. Caveat: [MG-Bekenstein]’s Proposition IV.1 Planck-scale

mode-counting argument — that two modes separated by less than ℓ_P on the horizon are not independent — is asserted at the level of an uncertainty-relation argument rather than derived from first principles; its structural role in the present paper is to identify what is being counted (x_4 -stationary mode configurations on null surfaces), not to supply a from-scratch derivation of the A/ℓ_P^2 scaling itself.

[MG-JacobsonVerlindeMarolf] E. McGucken, “The McGucken Principle of a Fourth Expanding Dimension ($dx_4/dt = ic$) as a Candidate Physical Mechanism for Jacobson’s Thermodynamic Spacetime, Verlinde’s Entropic Gravity, and Marolf’s Nonlocality,” elliottmcguckenphysics.com (April 12, 2026). URL: <https://elliottmcguckenphysics.com/2026/04/12/the-mcgucken-principle-of-a-fourth-expanding-dimension-dx%e2%82%84-dt-ic-as-a-candidate-physical-mechanism-for-jacobsons-thermodynamic-spacetime-verlindes-entropic-gravity-and-marolfs-nonl/>. Establishes $dx_4/dt = ic$ as the candidate physical mechanism underlying three landmark frameworks treated together: Jacobson’s 1995 thermodynamic derivation of the Einstein field equations from the Clausius relation $\delta Q = TdS$ applied to local Rindler causal horizons; Verlinde’s 2011 derivation of Newton’s gravitational force law and the Einstein field equations from horizon entropy and holographic considerations; and Marolf’s analysis of nonlocal degrees of freedom on gravitational horizons. The unified treatment establishes that the microscopic degrees of freedom Jacobson explicitly flagged as “beyond my conceptual horizon” are the x_4 -stationary horizon modes forced by the McGucken Principle, with each Planck-scale mode contributing $k_B/4$ of entropy per ℓ_P^2 of horizon area; Verlinde’s entropic force derivation bottoms out at the same McGucken-Principle horizon mode count; and Marolf’s nonlocality follows from the six-sense McGucken Sphere null-hypersurface identity. Used in the frameworks list of the present paper’s abstract for the Jacobson and Verlinde citations.

[MG-VerlindeEntropic] E. McGucken, “The McGucken Principle $dx_4/dt = ic$ as the Physical Mechanism Underlying Verlinde’s Entropic Gravity: A Unified Derivation of Gravity, Entropy, and the Holographic Principle from a Single Geometric Principle,” elliottmcguckenphysics.com (April 11, 2026). URL: <https://elliottmcguckenphysics.com/2026/04/11/the-mcgucken-principle-dx%e2%82%84-dt-ic-as-the-physical-mechanism-underlying-verlindes-entropic-gravity-a-unified-derivation-of-gravity-entropy-and-the-holographic-principle-from-a-single-ge/>. Companion paper focused specifically on Verlinde’s 2011 entropic-gravity derivation, establishing that the McGucken Principle supplies the underlying physical mechanism for Verlinde’s chain $\Delta S = 2\pi k_B mc\Delta x/\hbar \rightarrow T = \hbar GM/(2\pi k_B Rc^2) \rightarrow F = GMm/R^2$. Where Verlinde 2011 posits both the entropy change and the Unruh-like temperature as starting ingredients, the McGucken framework derives both from $dx_4/dt = ic$: the entropy change from the Compton coupling of the probe mass to x_4 ’s advance, and the temperature from the Rindler-horizon Unruh effect in the x_4 -stationary horizon-mode picture of [MG-Susskind]. The unification of gravity, entropy, and the holographic principle that Verlinde sought is established here

as the convergence of three theorems of a single geometric principle. Used in the frameworks list of the present paper’s abstract for the Verlinde citation, and cross-referenced to [MG-Susskind, §III] which contains the compressed three-line version of the same derivation.

[MG-Susskind] E. McGucken, “Theorems of $dx_4/dt = ic$: How the McGucken Principle of a Fourth Expanding Dimension Derives Leonard Susskind’s Six Black Hole Programmes: Holographic Principle, Complementarity, Stretched Horizon, String Microstates, ER = EPR, and Complexity,” elliottmcguckenphysics.com (April 21, 2026). URL: <https://elliottmcguckenphysics.com/2026/04/21/six-theorems-of-dx%e2%82%84-dt-ic-how-the-mcgucken-principle-of-a-fourth-expanding-dimension-derives-leonard-susskinds-black-hole-programmes-holographic-principle-complementarity-stretc/>. Establishes Susskind’s six major contributions to black-hole thermodynamics and quantum information as theorems of the McGucken Principle: holographic principle (Proposition III.1), complementarity (Proposition IV.1), stretched horizon (Proposition V.1), string microstates (Proposition VI.1), ER = EPR (Proposition VII.1), complexity = volume / action (Proposition VIII.1). Three structural results are used in the present paper: (i) §III post-Proposition III.1 — the derivation chain from $dx_4/dt = ic$ through x_4 -stationary null hypersurfaces, six-sense null-surface identity, Planck-area mode count A/ℓ_P^2 , and area-law entropy $S = A/4\ell_P^2$ to the Clausius relation $\delta Q = TdS$ on every local Rindler horizon, culminating in Einstein’s field equations as equation of state via Jacobson’s 1995 theorem [Jac95]; this supplies the microscopic degrees of freedom (x_4 -stationary horizon modes) that Jacobson explicitly flagged as “beyond my conceptual horizon,” closing the loop from horizon entropy to bulk classical gravity and providing a second convergent derivation of the Einstein-Hilbert gravitational sector to complement the Schuller constructive-gravity closure of Remark VI.3; (ii) §III post-Proposition III.1 — the Verlinde three-line Newton derivation $F = GMm/R^2$ from $\Delta S = 2\pi k_B mc\Delta x/\hbar$ and $T = \hbar GM/(2\pi k_B Rc^2)$, both derived from Axiom 1 rather than postulated as in Verlinde 2011 [Ver11], closing the gap between horizon entropy $S = A/4$ and bulk classical gravity $F = GMm/R^2$ from the same geometric principle; (iii) §II.4.A — the mainstream-mathematics grounding of the six-sense null-surface identity, tying each sense (foliation, level sets, caustics, contact geometry, conformal geometry, and degenerate-metric null-hypersurface cross-section) to an established result from the Lorentzian-geometry literature (Penrose 1964, Sachs 1962, Friedrich 1981, Arnol’d, Guillemin-Sternberg, Penrose-Rindler). Caveat: [MG-Susskind, §VI.3] advances a CPT-balance UV-catastrophe-avoidance argument that extends [MG-Lambda]’s Theorem 3.1 from cosmological-vacuum virtual pairs to horizon x_4 -stationary modes; this is the Susskind paper’s own structural extension and is not itself a theorem of [MG-Lambda], whose CPT argument is explicitly scoped to virtual particle-antiparticle pairs in the cosmological vacuum. The present paper’s body does not rely on this extension, using only the three structural results (i)-(iii) listed above.

[MG-Holography] E. McGucken, “The McGucken Principle as the Physical Foundation of Holography and AdS/CFT — How $dx_4/dt = ic$ Naturally Leads to Boundary Encoding of Bulk Information, the Derivation of \hbar from c , G , and the Physical Identification $\lambda_s = l_p$, and the Formal Identification of $dx_4/dt = ic$ as the Geometric Source of Quantum Nonlocality,” [elliottmcguckenphysics.com](https://elliottmcguckenphysics.com/2026/04/18/the-mcgucken-principle-as-the-physical-foundation-of-the-holographic-principle-and-ads-cft-how-dx%e2%82%84-dt-ic-naturally-leads-to-boundary-encoding-of-bulk-information-including-derivat/) (April 18, 2026). URL: <https://elliottmcguckenphysics.com/2026/04/18/the-mcgucken-principle-as-the-physical-foundation-of-the-holographic-principle-and-ads-cft-how-dx%e2%82%84-dt-ic-naturally-leads-to-boundary-encoding-of-bulk-information-including-derivat/>. Establishes the foundational holographic framework under the McGucken Principle: the four explicit assumptions (A1 null-mediated information, A2 null-boundary reconstructibility, A3 Planck-cell discretization, A4 null-surface bulk determination) under which the Bekenstein bound $S \leq A/(4\ell_P^2)$ becomes a conditional theorem; the physical identification $\lambda_s = \ell_P$ forced by the Schwarzschild-radius self-consistency condition $r_S = \lambda$; the derivation of $\hbar = \ell_P^2 c^3/G$ as a consequence (with c from the McGucken Principle and G as experimental input); and the Laws of Nonlocality framework that underlies the Ryu-Takayanagi derivation. Referenced in §VIII.4(f) of the present paper.

[MG-AdSCFT] E. McGucken, “AdS/CFT from $dx_4/dt = ic$: The GKP-Witten Dictionary as Theorems of the McGucken Principle — Holography, the Master Equation $Z_{\text{CFT}}[\varphi_0] = Z_{\text{AdS}}[\varphi|_{\partial} = \varphi_0]$, the Dimension-Mass Relation, the Hawking-Page Transition, and the Ryu-Takayanagi Formula as Consequences of McGucken’s Fourth Expanding Dimension,” [elliottmcguckenphysics.com](https://elliottmcguckenphysics.com/2026/04/22/ads-cft-from-dx%e2%82%84-dt-ic-the-gkp-witten-dictionary-as-theorems-of-the-mcgucken-principle-holography-the-master-equation-z_cft%cf%86%e2%82%80-z_ads%cf%86_%e2%88%82/) (April 22, 2026). URL: https://elliottmcguckenphysics.com/2026/04/22/ads-cft-from-dx%e2%82%84-dt-ic-the-gkp-witten-dictionary-as-theorems-of-the-mcgucken-principle-holography-the-master-equation-z_cft%cf%86%e2%82%80-z_ads%cf%86_%e2%88%82/. Derives the full GKP-Witten holographic dictionary as theorems of the McGucken Principle, with nine structural results used in §VIII.4(f) of the present paper: (i) the AdS radial coordinate z of the Poincaré patch identified as the scaled inverse x_4 -Compton wavenumber $z \propto L^2/x_4$ with conformal boundary $z \rightarrow 0$ corresponding to asymptotic x_4 and Poincaré horizon $z \rightarrow \infty$ to the source region [Proposition III.1]; (ii) the GKP-Witten master equation $Z_{\text{CFT}}[\varphi_0] = Z_{\text{AdS}}[\varphi|_{\partial} = \varphi_0]$ as the statement that $x_1x_2x_3$ -observables of the boundary CFT are computed by the x_4 -path integral of the bulk with fixed asymptotic boundary values — the four-dimensional Feynman path integral of [MG-PathInt] rewritten as a boundary-to-bulk correspondence, valid at all N with the large- N saddle-point limit $Z_{\text{AdS}} \approx \exp(-S_{\text{grav}})$ appearing as a consequence not a definition [Proposition IV.1]; (iii) the conformal invariance of the boundary CFT as a theorem, not an hypothesis, from the scale-invariance of x_4 ’s asymptotic advance [Proposition IV.2]; (iv) the operator-dimension / bulk-mass relation $\Delta(\Delta - d) = m^2L^2$ as the conformal projection of the Compton-frequency x_4 -phase accumulation onto the AdS radial direction [Proposition V.1]; (v) the Kaluza-Klein / chiral-primary matching of Type IIB supergravity on $\text{AdS}_5 \times S^5$ with $N = 4$ SYM as x_4 -Huygens-cascade boundary mode decomposition [Proposition VI.1]; (vi) the Hawking-Page phase transition as an x_4 -circle topology change in the bulk

(unobstructed-line topology of thermal AdS versus horizon-closed-circle topology of AdS-Schwarzschild), with the critical temperature $T_{HP} \sim 1/L$ projected onto the boundary as the large- N deconfinement transition [Proposition VII.1 and Remark VII.2]; (vii) the Ryu-Takayanagi area law $S(A) = \text{Area}(\gamma_A)/(4G_N)$ derived from McGucken’s First and Second Laws of Nonlocality as the accumulated-information surface at the bulk causal boundary between the entanglement wedges of A and \bar{A} , with the area character forced by the nonlocality laws rather than observed empirically [Propositions VIII.1, VIII.3]; (viii) the Ryu-Takayanagi surface γ_A identified as a nonlocality surface in six independent mathematical senses (foliation leaf, level set, caustic, Legendrian submanifold, conformal-pencil member, null-hypersurface cross-section) inherited from the six-fold geometric identity of the McGucken Sphere [Proposition VIII.2]; and (ix) the Planck length as the fundamental oscillation quantum of x_4 ($\lambda_8 = \ell_P$ from the Schwarzschild-radius self-consistency $r_S = \lambda$) with $\hbar = \ell_P^2 c^3/G$ derived as the quantum of action of one x_4 oscillation [Propositions VIII.4, VIII.5 and Corollary VIII.1]. Used in §VIII.4(f) of the present paper for the structural identification that the gravitational sector of \mathcal{L}_{McG} and the matter/gauge sectors are related by boundary-to-bulk holographic correspondence — two complementary descriptions of the same four-dimensional x_4 -path integral.

[MG-Hawking] E. McGucken, “How the McGucken Principle of a Fourth Expanding Dimension Derives the Results of Hawking’s Particle Creation by Black Holes (1975): $dx_4/dt = ic$ as the Physical Mechanism Underlying Hawking Radiation, the Hawking Temperature, the Bekenstein-Hawking Formula $S = A/4$, the Refined Generalized Second Law, and Black-Hole Evaporation,” elliottmcguckenphysics.com (April 20, 2026). URL: <https://elliottmcguckenphysics.com/2026/04/20/how-the-mcgucken-principle-of-a-fourth-expanding-dimension-derives-the-results-of-hawkings-particle-creation-by-black-holes-1975-dx%e2%82%84-dt-ic-as-the-physical-mechanism-underlying-hawki/>. Derives the five central results of Hawking 1975 as theorems of $dx_4/dt = ic$, with five structural results used in §VIII.4(g) of the present paper: (i) Hawking radiation as x_4 -stationary mode emission from the horizon, thermalized by the Euclidean cigar periodicity $\beta = 2\pi/k$ obtained through the McGucken Wick rotation, with the physical mechanism identified as x_4 ’s outward expansion at rate c carrying the horizon’s thermal mode population to future null infinity [Proposition III.1] — resolving open problem HK-1 (the physical origin of Hawking radiation) by replacing the Bogoliubov-coefficient calculation with direct geometric mode emission; (ii) the Hawking temperature $T_H = \hbar k/(2\pi c k_B)$ as the angular period of the Euclidean cigar, with the two-sentence derivation (McGucken Wick rotation produces cigar; cigar period is inverse temperature by KMS) replacing Hawking’s multi-page Bogoliubov analysis [Proposition IV.1] — resolving open problem HK-2 (why Euclidean methods work) via the physical interpretation of the Wick rotation as removal of the i from $x_4 = ict$; (iii) the exact coefficient $\eta = 1/4$ in the Bekenstein-Hawking formula $S_{BH} = k_B A/(4\ell_P^2)$ from the Gibbons-Hawking-York boundary action evaluated on the Euclidean Schwarzschild cigar, $I_E = \beta M c^2/2$ with the $1/2$ factor coming from the flat-space subtraction $K - K_0$ at spatial infinity (Ricci-flatness of Schwarzschild

zeros the bulk integral) [Proposition V.1] — resolving open problem HK-3 (why exactly $1/4$) with explicit geometric origin; (iv) the evaporation law $dM/dt \propto -1/M^2$ from Stefan-Boltzmann emission applied to the horizon as hot surface of area A at temperature T_H , integrating to $\tau \propto M^3$ with numerical coefficient $\tau \approx (M/M_\odot)^3 \cdot 2.1 \times 10^{67}$ yr, with the classical area-theorem violation consistent (the theorem rested on the classical assumption that no null geodesics escape the horizon, failing at Planck-scale resolution where x_4 -oscillation quantization allows horizon-mode escape via cigar thermalization) [Proposition VI.1]; (v) the refined Generalized Second Law $dS_{\text{ext}}/dt + dS_{\text{BH}}/dt \geq 0$ as the same global McGucken second law of [MG-HLA] applied to an evolving partition under evaporation — no new thermodynamic principle, just the monotonic x_4 -expansion applied to a time-dependent horizon [Proposition VII.1]; and the resolution of open problems HK-4 (information paradox, via six-sense null-surface locality preserving bulk-boundary correlations through Hawking emission — Page curves and replica-wormhole “islands” both reflecting the preserved six-fold geometric identity of null hypersurfaces under x_4 -expansion) and HK-5 (trans-Planckian problem, dissolved by Planck-scale mode quantization making sub- ℓ_P wavelengths not physically independent). §IX extends the framework to the four-step chain from Hawking 1975 through 't Hooft-Susskind holography to AdS/CFT and FRW cosmological holography, with the testable cosmological signature $\rho^2(t_{\text{rec}}) \approx 7$ distinguishing McGucken-horizon from Hubble-horizon holography at recombination and the absence of the horizon/flatness problem without inflation. Also contains §II.5 the self-contained demonstration that all five pieces of machinery used in the derivation — Rindler near-horizon form, Wick rotation, KMS condition, Einstein-Hilbert plus GHY boundary action, Stefan-Boltzmann law — are themselves theorems of $dx_4/dt = ic$.

[MG-FRW-Holography] E. McGucken, “McGucken Holography for FRW and de Sitter Space from a Single Master Principle: $dx_4/dt = ic$, the McGucken Sphere, Cosmological Holography, an Explicit Horizon Surface Term, and a Testable Departure from the Hubble-Horizon Entropy,” elliottmcguckenphysics.com (April 20, 2026). URL: <https://elliottmcguckenphysics.com/2026/04/20/mcgucken-holography-for-frw-and-de-sitter-space-from-a-single-master-principle-dx%e2%82%84-dt-ic-the-mcgucken-sphere-cosmological-holography-an-explicit-horizon-surface-term-and-a-testable-depa/>. Develops the full cosmological holography programme of the McGucken framework from the single master principle $dx_4/dt = ic$, with five structural results used in §VIII.4 of the present paper: (i) §4 — construction of the McGucken horizon in spatially flat FRW cosmology via the explicit embedding map $(X_1, X_2, X_3, X_4) = (a(t)r \sin \theta \cos \varphi, a(t)r \sin \theta \sin \varphi, a(t)r \cos \theta, \sqrt{[R_4(t)^2 - a(t)^2 r^2]})$ with the sphere identity $X_1^2 + X_2^2 + X_3^2 + X_4^2 = R_4(t)^2$ [Definition 5, Lemma 3]; the embedding is real iff $a(t)r \leq R_4(t)$, and the saturation locus $a(t)r_H(t) = R_4(t)$ defines the McGucken horizon with proper radius $R_H(t) = R_4(t)$ [Theorem 2]; holographic area $A_{\text{Mc}}(t) = 4\pi R_4(t)^2$ and entropy $S_{\text{Mc}}(t) = \pi R_4(t)^2/\ell_P^2$ follow from the standard area law [Theorem 3]; (ii) §8 — explicit modified Gibbons-Hawking-York horizon surface term $S_{\text{surf}}[g; R_4] = (1/8\pi G) \int_{\Sigma_H} d^3x \sqrt{|h|} (K - K_0)$ evaluated on the McGucken horizon, with the sub-

traction K_0 removing the flat-space-embedding contribution [Definition 9]; Theorem 6 verifies the surface term reproduces the horizon entropy law via the Euclidean-action argument of Gibbons-Hawking (Euclidean period $\beta = 2\pi R_4(t)/c$ imposed by regularity at the horizon, combined with the standard Wald-entropy functional); (iii) §8.5 Theorem 7 — variation of the total effective action $S_{tot} = S_{geom} + S_{surf} + S_{matter+gauge}$ yields an Einstein-type emergent equation $G_{\mu\nu} + \Lambda g_{\mu\nu} = 8\pi G T^{\text{eff}}_{\mu\nu}$ with $\Lambda \sim 1/R_4(t)^2$ on cosmological scales, following Jacobson’s thermodynamic template for Einstein’s equation as an equation of state from horizon entropy and energy flow; the standard FRW relation $\Lambda \sim H^2/c^2$ is recovered at de Sitter asymptotic; (iv) §9 — Lemma 5 establishes the asymptotic consistency condition $R_\infty = c/H_\infty$ at late times, with Conjecture 1 proposing the generalized radius law $R_4(t) = c \int_0^t f(t') dt'$ interpolating between early-time $R_4(t) = ct$ and late-time $R_4(t) \rightarrow c/H_\infty$ (simplest candidate: $f(t) = \exp(-H_\infty t)$); the specific matter-content-dependent $f(t)$ is flagged as open work; (v) §10 — the empirical signature $\rho(t) = R_H(t)/R_{Hub}(t) = R_4(t)H(t)/c$ with explicit computation at recombination ($z \approx 1100$, $t_{rec} \approx 1.2 \times 10^{13}$ s) giving $R_4(t_{rec}) \approx 3.6 \times 10^{21}$ m, $R_{Hub,rec} \approx 1.4 \times 10^{21}$ m, $\rho(t_{rec}) \approx 2.6$, and entropy ratio $S_{Mc}/S_{Hub} \approx 7$ — a sharp quantitative distinction between McGucken horizon holography and standard Hubble-horizon holographic cosmology at recombination, distinguishable in principle through primordial power spectrum, CMB Silk damping scale, BAO acoustic scale, and nucleosynthesis pattern [§10.4 testable channels]. §10.4(b) also notes that the early-time regime $R_4(t) = ct$ eliminates the horizon problem without inflation, a qualitative signature supplementing the quantitative $\rho^2(t_{rec}) \approx 7$ prediction. Paper honestly flags the Jacobson-template derivation of Theorem 7 as following the thermodynamic equation-of-state logic, and the specific functional form of $f(t)$ in Conjecture 1 as open. Used in §VIII.4 of the present paper for the cosmological-holography pillar of the unified chain from Bekenstein 1973 through Hawking 1975 to FRW/de Sitter holography.

[MG-Witten1995] E. McGucken, “String Theory Dynamics from $dx_4/dt = ic$: The Results of Witten’s ‘String Theory Dynamics in Various Dimensions’ as Theorems of the McGucken Principle — Why the Extra Spatial Dimensions of String Theory Are Not Required, and How the Eleven-Dimensional M-Theory Unification Follows from McGucken’s Fourth Expanding Dimension Alone,” elliottmcguckenphysics.com (April 22, 2026). URL: <https://elliottmcguckenphysics.com/2026/04/22/string-theory-dynamics-from-dx%e2%82%84-dt-ic-the-results-of-wittens-string-theory-dynamics-in-various-dimensions-as-theorems-of-the-mcgucken-principle-why-the-extra-spatial-dimensi/>. Establishes the formal no-extra-dimensions theorem (Proposition II.5): every physical prediction of the five consistent superstring theories plus 11D supergravity — mass spectra, BPS charges, moduli-space geometries, low-energy effective actions, scattering amplitudes — is recoverable from the four-dimensional Minkowski manifold $M = \mathbb{R}^3 \times \langle x_4 \rangle$ alone, without additional spatial dimensions. Contains the explicit $2+4+1=7$ moduli construction (§II.6.1): two intrinsic McGucken-Sphere angles, four supersymmetry-consistency Kähler/complex-structure moduli forced by the Clifford-algebraic matter structure of [MG-Dirac], and one radial modulus

R identified with x_4 at its coupling-dependent scale. Supplies the worked quintic Calabi-Yau calculation (§II.6.1.k) with Hodge numbers $h^{\{1,1\}} = 1$, $h^{\{2,1\}} = 101$ matching the McGucken decomposition. Derives Propositions III.1 (eleventh dimension = x_4), III.2 (Type IIA strong-coupling decompactification as x_4 -oscillation decompactification), III.3 (notational collapse $x_4 \rightarrow t$ as mechanism of concealment), and Remark III.1.1 identifying Kaluza-Klein 1921 and Witten 1995 as two discoveries of the same dimension at different coupling regimes. Identifies the McGucken Principle as the non-perturbative formulation of M-theory, with the five perturbative superstring theories plus 11D supergravity as six perturbative expansions of x_4 's advance around different classical backgrounds.

[MG-ExtraDim] E. McGucken, "Extra Dimension Confusion Resolved: How the McGucken Principle $dx_4/dt = ic$ Identifies the Extra Dimensions of Kaluza-Klein Theory, String Theory, M-Theory, and AdS/CFT as the Fourth Dimension x_4 Read in Four Different Mathematical Languages," elliottmcguckenphysics.com (April 2026). URL: <https://elliottmcguckenphysics.com/> (paper at the site; exact permalink to be confirmed upon publication).

[MG-Jarlskog] E. McGucken, "The CKM Complex Phase and the Jarlskog Invariant from the McGucken Principle of a Fourth Expanding Dimension $dx_4/dt = ic$: Compton-Frequency Interference, the Kobayashi-Maskawa Three-Generation Requirement as a Geometric Theorem, and Numerical Verification at Version 1 Scope," [elliottmcguckenphysics.com](https://elliottmcguckenphysics.com/2026/04/19/the-ckm-complex-phase-and-the-jarlskog-invariant-from-the-mcgucken-principle-of-a-fourth-expanding-dimension-dx%e2%82%84-dt-ic-compton-frequency-interference-the-kobayashi-maskawa-three-generation/) (April 19, 2026). URL: <https://elliottmcguckenphysics.com/2026/04/19/the-ckm-complex-phase-and-the-jarlskog-invariant-from-the-mcgucken-principle-of-a-fourth-expanding-dimension-dx%e2%82%84-dt-ic-compton-frequency-interference-the-kobayashi-maskawa-three-generation/> . Establishes the three-generation requirement for CP violation as a geometric theorem from the rephasing-counting formula $(n - 1)(n - 2)/2$ applied in the McGucken framework, with absorbable phases identified as global x_4 -phase rotations of quark fields under condition (M). Verifies numerically that $|J|_{\text{McGucken}} = 3.08 \times 10^{-5}$ matches the directly measured $|J|_{\text{exp}} = (3.08 \pm 0.14) \times 10^{-5}$ to three significant figures using PDG 2024 CKM parameters. Introduces the Version 1 / Version 2 scope distinction (structural origin vs. parameter reduction) that organizes the McGucken program's delivery schedule.

[MG-Cabibbo] E. McGucken, "The Cabibbo Angle from Quark Mass Ratios in the McGucken Principle Framework: A Partial Version 2 Derivation of the CKM Matrix from $dx_4/dt = ic$ and a Geometric Reading of the Gatto-Fritzsch Relation," [elliottmcguckenphysics.com](https://elliottmcguckenphysics.com/2026/04/19/the-cabibbo-angle-from-quark-mass-ratios-in-the-mcgucken-principle-framework-a-partial-version-2-derivation-of-the-ckm-matrix-from-dx%e2%82%84-dt-ic-and-a-geometric-reading-of-the-gatto-fritzsch-re/) (April 19, 2026). URL: <https://elliottmcguckenphysics.com/2026/04/19/the-cabibbo-angle-from-quark-mass-ratios-in-the-mcgucken-principle-framework-a-partial-version-2-derivation-of-the-ckm-matrix-from-dx%e2%82%84-dt-ic-and-a-geometric-reading-of-the-gatto-fritzsch-re/> . The first Version 2 parameter-reduction result in the McGucken program: derives $\sin \theta_{12} = \sqrt{m_d/m_s} = 0.2236$ from quark mass ratios alone, matching observed 0.2250 to 0.6%, with the geometric-mean mixing term $m_{\text{mix}} = \sqrt{m_d m_s}$

rigorously derived from the LTD action principle as a theorem rather than an ansatz. Also identifies the y_t^3 -per-generation-step suppression pattern for the heavy-sector CKM angles ($\xi_{23} \approx y_t^3$ within 20%, $\xi_{13} \approx y_t^6$ within 10%).

[MG-Feynman] E. McGucken, “Feynman Diagrams as Theorems of the McGucken Principle: Propagators, Vertices, Loops, Wick Contractions, and the Dyson Expansion as Iterated Huygens-with-Interaction on the Expanding Fourth Dimension,” elliottmcguckenphysics.com (April 2026). URL: <https://elliottmcguckenphysics.com/> (paper at the site; exact permalink to be confirmed upon publication).

[MG-Wick] E. McGucken, “The Wick Rotation as a Theorem of $dx_4/dt = ic$: How the McGucken Principle of the Fourth Expanding Dimension Provides the Physical Mechanism Underlying the Wick Rotation and All of Its Applications Throughout Physics,” elliottmcguckenphysics.com (April 20, 2026). URL: <https://elliottmcguckenphysics.com/2026/04/20/the-wick-rotation-as-a-theorem-of-dx%e2%82%84-dt-ic-how-the-mcgucken-principle-of-the-fourth-expanding-dimension-provides-the-physical-mechanism-underlying-the-wick-rotation-and-all-of-its-applicat/>. Establishes that Wick’s 1954 substitution $t \rightarrow -i\tau$ is a theorem of $dx_4/dt = ic$ rather than a formal analytic-continuation device, with six formal Propositions and seven structural results used in §VIII.7 of the present paper: (i) Proposition IV.1 — the Wick rotation is the coordinate identification $\tau = x_4/c$ under the McGucken Principle with $x_4 = ict$; from $x_4 = ict$, $t = -ix_4/c$; setting $\tau = x_4/c$ gives $t = -i\tau$; what Wick performed in 1954 as a mathematical rotation in the complex time plane is physically the re-expression of quantities as functions of x_4/c instead of t , with the Euclidean “imaginary time” τ being the physical fourth axis x_4 in units where $c = 1$; (ii) Lemma II.2 and Proposition VIII.1 — the Wick rotation is the 90° physical rotation in the (x_0, x_4) -plane of Minkowski spacetime, taking the x_0 -axis to the x_4 -axis, and every “contour rotation in the complex t -plane” is the image under $x_4 = ict$ of this physical rotation; intermediate rotation angles $\theta \in [0, \pi/2]$ correspond to physical observation frames at intermediate orientations, with the holomorphicity theorems that underlie the standard derivation being the rotational symmetry of four-dimensional Euclidean geometry in the (x_0, x_4) -plane; (iii) Propositions V.1-V.2 — the Euclidean path-integral convergence follows from the reality of the action evaluated along x_4 : $iS[\varphi]$ is real-valued and equal to $-S_E[\varphi]$, with the path-integral weight $e^{iS/\hbar}$ being the Boltzmann weight $e^{-S_E/\hbar}$ when expressed along x_4 ; the “oscillatory” Minkowski integral and the “convergent” Euclidean integral are the same integral written with respect to two different projections of the same four-dimensional geometry, supplying the physical basis of lattice QCD, Euclidean QFT, and constructive QFT; (iv) Propositions VI.1-VI.3 — temperature as x_4 -compactification: the Matsubara imaginary-time circle of circumference $\beta = \hbar/(kT)$ is the compactification of the physical x_4 -axis with period $\Delta x_4 = c\beta$, making temperature a geometric property of the fourth dimension’s periodicity (hot = small x_4 -circle, cold = large x_4 -circle); the Hawking temperature $T_H = \hbar\kappa/(2\pi kc)$ of a black hole follows from the requirement that the x_4 -axis close smoothly at the horizon without a conical singularity, forcing

$\beta_H = 2\pi c/\kappa$; Unruh and de Sitter temperatures follow from the same geometric construction applied to Rindler and cosmological horizons, with Corollary VI.2 establishing bosonic/fermionic boundary conditions from the spin-1/2 half-rotation rule on the x_4 -circle; (v) Proposition VII.1 and Corollary VII.2 — the Osterwalder-Schrader reflection positivity axiom $\langle (\theta F)^* F \rangle_E \geq 0$ is the combination of $x_4 \rightarrow -x_4$ reflection symmetry (geometric fact from the McGucken Principle for any Lagrangian built from x_4 -scalars) plus Hilbert-space positivity, and the OS reconstruction theorem itself is the reverse Wick rotation — the 90° rotation from the x_4 -axis back to the x_0 -axis; (vi) Proposition IX.1 and Corollaries IX.2-IX.3 — instantons are classical trajectories along the physical fourth axis x_4 (not “classical trajectories in imaginary time”), quantum-mechanical tunneling in real time is classical motion along x_4 , and the Hartle-Hawking no-boundary proposal is the closing-off of the x_4 -axis at its origin (cosmic initial conditions correspond to closed x_4 -geometries with the fourth axis capping off at a single point rather than extending infinitely); (vii) §V.5 — the catalog of twelve concrete instances in which physicists have inserted factors of i “by hand” throughout quantum theory (canonical quantization rules, Schrödinger equation, CCR, Feynman path integral weight, $+i\epsilon$ prescription, Dirac equation, Heisenberg equation of motion, Wick substitution itself, complex wavefunction, Fresnel-Gaussian integrals, Fourier transform kernel, Euclidean-Minkowski action relation), all of which trace to the same algebraic marker of x_4 ’s perpendicularity to the three spatial dimensions — twelve distinct insertions, one structural source. Used in §VIII.7 of the present paper for the first-of-its-kind structural claim on the Wick rotation’s physical meaning, and extensively in §VIII.4(g) via [MG-Hawking] for the Hawking-cigar derivation; also connects to Remark III.5.2 of the present paper’s §III.5 for the twelve-instances-of- i identification.

[MG-QvsB] E. McGucken, “The McGucken Quantum Formalism versus Bohmian Mechanics: A Comprehensive Comparison, with Discussion of the Pilot Wave, the Quantum Potential, the Preferred Foliation Problem, the Born Rule Derivations, and How the McGucken Principle $dx_4/dt = ic$ Provides a Physical Mechanism Underlying the Copenhagen Formalism,” elliottmcguckenphysics.com (April 20, 2026). URL: <https://elliottmcguckenphysics.com/2026/04/20/the-mcgucken-quantum-formalism-versus-bohmian-mechanics-a-comprehensive-comparison-with-discussion-of-the-pilot-wave-the-quantum-potential-the-preferred-foliation-problem-the-born-rule-derivation/>. Conducts a systematic ten-element structural comparison between the McGucken Quantum Formalism and Bohmian mechanics — the most developed realist interpretation of quantum mechanics originating in de Broglie 1927 and extended by Bohm 1952. Five structural results used in §VIII.8 of the present paper for the Born rule section: (i) the Born-rule comparison (element 3 of §IV) establishing that Bohmian mechanics treats $\rho = |\psi|^2$ as the “quantum equilibrium hypothesis” — a statistical postulate — with the Dürr-Goldstein-Zanghì typicality argument and the Valentini-Westerman relaxation argument providing framework-internal justifications but not deriving the specific $|\psi|^2$ functional form from prior physics, whereas the McGucken framework supplies the full Born rule (both the quadratic exponent

and the distribution shape) from $dx_4/dt = ic$ with the i in the principle forcing ψ to be complex and the $SO(3)$ symmetry of x_4 's expansion forcing the uniform Haar distribution; (ii) Maudlin's 1996 preferred-foliation critique of Bohmian mechanics and its extensive but unresolved response literature (Dürr-Goldstein-Münch-Berndl-Zanghì with an undetectable absolute foliation, Nikolić with a covariantly-determined foliation, Galvan with all-foliations-simultaneously, Struyve-Tumulka with degenerate foliations) versus MQF's immunity to the critique by construction (the canonical observer-time foliation is derived from $dx_4/dt = ic$ rather than posited as extra structure); (iii) the six-sense geometric locality of the McGucken Sphere (foliation leaf, distance-function level set, Huygens caustic, Legendrian submanifold, conformal-pencil member, null-hypersurface cross-section) as the mechanism that makes quantum nonlocal correlations a local-in-4D phenomenon, contrasted with Bohmian mechanics' faster-than-light configuration-space guidance; (iv) the configuration-space realism problem in Bohmian mechanics — the $3N$ -dimensional wave function as physically real, with "empty waves" in unoccupied branches — versus MQF's 3D-spatial wave on the McGucken Sphere cross-section of x_4 's expansion; (v) the derivability comparison establishing that Bohmian mechanics operates at the layer of interpreting the Schrödinger equation after polar decomposition without deriving the wave equation itself, whereas \mathcal{L}_{McG} derives the Dirac equation (Proposition V.1), the Schrödinger equation (as its non-relativistic limit), and the numerical values of c and \hbar (from the oscillatory form of the McGucken Principle at the Planck scale). The paper establishes that the McGucken framework is structurally stronger than Bohmian mechanics on eight of ten comparison elements, roughly equivalent on one (entanglement mechanism, both providing physical accounts), and empirically equivalent at current precision on the tenth (with the Compton coupling prediction $D_x^{\wedge}(McG) = \varepsilon^2 c^2 \Omega / (2\gamma^2)$ providing a distinguishing signature). Also contains the dynamical-geometry naturalness argument (§VI.4.1) establishing that the ontological commitment of $dx_4/dt = ic$ is not a new step but the simplest instantiation of dynamical geometry already accepted by physics since Einstein's 1915 field equations, Guth's 1980 inflation, and the LIGO 2015 gravitational-wave detection. Used in §VIII.8 of the present paper for the Bohmian alternative analysis that establishes the first-of-its-kind claim extends specifically against the most developed realist alternative to Copenhagen.

[MG-NonlocCopen] E. McGucken, "Quantum Nonlocality and Probability from the McGucken Principle of a Fourth Expanding Dimension — How $dx_4/dt = ic$ Provides the Physical Mechanism Underlying the Copenhagen Interpretation as well as Relativity, Entropy, Cosmology, and the Constants of Nature," elliottmcguckenphysics.com (April 16, 2026). URL: <https://elliottmcguckenphysics.com/2026/04/16/quantum-nonlocality-and-probability-from-the-mcgucken-principle-of-a-fourth-expanding-dimension-how-dx4-dt-ic-provides-the-physical-mechanism-underlying-the-copenhagen-interpr/>

. Establishes the geometric mechanism for quantum nonlocality through the McGucken Sphere's six-sense geometric locality, and simultaneously supplies the physical mechanisms for the six open questions (D1-D6) that Copenhagen's founders

acknowledged their formalism left unexplained. Seven structural results used in §VIII.9 of the present paper: (i) §2.2 — the catalog of Copenhagen’s six open questions: D1 measurement problem, D2 absence of collapse mechanism, D3 observer problem, D4 unexplained Born rule, D5 undefined Heisenberg cut, D6 unexplained first-order/second-order derivative asymmetry of the Schrödinger equation; (ii) §4 — the McGucken Sphere as geometric locality in six independent mathematical senses (foliation leaf of forward light cone, level set of the distance-from-origin function, caustic in geometric-optics Huygens envelope, Legendrian submanifold of contact geometry, member of conformal/inversive Möbius pencil, and — deepest — canonical causal locality as null-hypersurface cross-section of Minkowski spacetime); (iii) Claim 5.1 — quantum probability as inherited nonlocality: because the wavefront is a geometric locality in six senses, a photon inhabits the entire sphere with equal geometric weight until measurement localizes it in 3D, and the equal probability over the wavefront is forced by the SO(3) rotational symmetry of the expansion with uniqueness of Haar measure; (iv) §5.3a — explicit derivation of the full non-uniform $|\psi|^2$ distribution via linear superposition of McGucken Spheres from extended sources with phase coherence producing interference; (v) §5.5a — explicit recovery of the CHSH singlet correlation $E(a, b) = -\cos \theta_{ab}$ from shared wavefront identity, with the Tsirelson bound $2\sqrt{2}$ achieved through shared null-hypersurface membership rather than hidden variables or superluminal signaling; (vi) §6 — the six-question resolution of D1-D6 within the McGucken framework: Theorem 6.1 classical limit ($S \gg \hbar$, stationary phase forces localization), §6.1 measurement as localization not collapse, §6.3 observer as macroscopic system with classical dynamics, §6.4 Born rule as wavefront intensity (cross-referencing [MG-Born]), §6.5 Heisenberg cut at $S \sim \hbar$, §6.6a derivative asymmetry as nonrelativistic artifact of symmetric Klein-Gordon equation; (vii) §10.1 — falsification surface: distinct predictions at cosmological level including CMB preferred-frame identification, no-graviton prediction, absence of magnetic monopoles, and the resolution of the eleven cosmological mysteries. Also contains §9.1 closing the loop from $dx_4/dt = ic$ to $[p, q] = i\hbar$ as derivation chain. Used in §VIII.9 of the present paper for the first-of-its-kind structural claim on quantum nonlocality and Copenhagen open questions.

[MG-Constants] E. McGucken, “How the McGucken Principle of a Fourth Expanding Dimension $dx_4/dt = ic$ Sets the Constants c (the Velocity of Light) and \hbar (Planck’s Constant),” elliottmcguckenphysics.com (April 11, 2026). URL: <https://elliottmcguckenphysics.com/2026/04/11/how-the-mcgucken-principle-of-a-fourth-expanding-dimension-dx4-dt-sets-the-constants-c-the-velocity-of-light-and-h-plancks-constant/> . Establishes that both fundamental constants of quantum mechanics and relativity — c and \hbar — descend from the single geometric principle $dx_4/dt = ic$ rather than being independent empirical inputs. Six structural results used in §VIII.10 of the present paper: (i) §III — c as geometric budget constraint: the master equation $u^\mu u_\mu = -c^2$ partitions a fixed four-speed budget between spatial motion and advance along x_4 , with c being the rate of x_4 ’s expansion rather than a dynamical speed limit imposed from outside; an object cannot travel faster than c

for the same reason a right triangle cannot have a hypotenuse shorter than either of its legs — a geometric impossibility, not merely a dynamical law; (ii) §IV — the oscillatory character of x_4 's expansion at the Planck scale, with the Planck wavelength $\ell_P = \sqrt{(\hbar G/c^3)} \approx 1.616 \times 10^{-35}$ m, Planck time $t_P = \sqrt{(\hbar G/c^5)} \approx 5.391 \times 10^{-44}$ s, and Planck frequency $f_P = 1/t_P \approx 1.855 \times 10^{43}$ Hz identified as the fundamental oscillation quantities of x_4 itself, not merely coincidental combinations of the three fundamental constants; (iii) §V — \hbar as the quantum of action per oscillatory step of x_4 at the Planck frequency, with $\hbar = m_P \cdot c^2 / (2\pi \cdot f_P)$ and the Planck mass $m_P = \sqrt{(\hbar c/G)} \approx 2.176 \times 10^{-8}$ kg identified as the mass of a particle that couples to x_4 's expansion at exactly one quantum per fundamental oscillation; (iv) §V — mass as sub-harmonic coupling frequency: every particle of mass m couples to x_4 's oscillatory expansion at its Compton frequency $f_C = mc^2/h$, which is a sub-harmonic of the Planck frequency scaled by the ratio $f_C/f_P = m/m_P$; for the electron $f_C \approx 1.236 \times 10^{20}$ Hz, for the proton $f_C \approx 2.269 \times 10^{23}$ Hz, for the Planck particle $f_C = f_P$; a massless photon does not advance along x_4 at all but rides x_4 's expansion as a surfer rides a wave, stationary relative to it, acting as the perfect tracer of x_4 's motion; (v) §VI — the Lindgren-Liukkonen 2019 (Scientific Reports 9:19984) independent convergence through stochastic optimal control in Minkowski spacetime: requiring the stochastic action to be relativistically invariant forces the Lagrangian to be imaginary (because $\sqrt{(\det g)} = \sqrt{(-1)} = i$), forces the noise variance to be imaginary ($\sigma^2 = i/m$), and produces the Stueckelberg wave equation whose nonrelativistic limit is the Schrödinger equation; Lindgren-Liukkonen explicitly state their method cannot explain the analytic continuation (the Wick rotation) that produces the imaginary structure, which the McGucken Principle explains: no analytic continuation is needed, because x_4 is imaginary from the start; (vi) §VII — the vacuum energy density: if x_4 expands at f_P then the zero-point energy of x_4 's fundamental mode is $E_0 = (1/2)\hbar\omega_P$ distributed over the Planck volume ℓ_P^3 , giving an energy density of order 10^{113} J/m³ (precisely the quantum field theory prediction Weinberg called “the worst theoretical prediction in the history of physics”); the observed cosmological constant corresponds to the energy density of roughly one quantum of x_4 's expansion per observable universe volume, suggesting the cosmological-constant problem is a failure to correctly identify the vacuum state of x_4 's expansion rather than a failure of quantum field theory. Used in §VIII.10 of the present paper for the first-of-its-kind structural claim on c and \hbar as geometric theorems rather than empirical postulates.

[MG-Uncertainty] E. McGucken, “A Derivation of the Uncertainty Principle $\Delta x \Delta p \geq \hbar/2$ from the McGucken Principle of a Fourth Expanding Dimension $dx_4/dt = ic$ — The Expanding Fourth Dimension, the Imaginary Unit, and the Uncertainty Principle,” elliottmcguckenphysics.com (April 11, 2026). URL: <https://elliottmcguckenphysics.com/2026/04/11/a-derivation-of-the-uncertainty-principle-%e2%89%a5-%e2%84%8f-2-from-the-mcgucken-principle-of-a-fourth-expanding-dimension-dx%e2%82%84-dt-ic-the-expanding-fourth-dimension-th/> . Establishes the Heisenberg uncertainty principle $\Delta x \Delta p \geq \hbar/2$ as a theorem of four-dimensional geometry rather than a mathematical conse-

quence of a postulated commutation relation. Five structural derivation steps used in §VIII.11 of the present paper: (i) §3 — every particle with four-momentum p^μ carries the complex phase $\psi = \exp(i \cdot p^\mu \cdot x_\mu / \hbar) = \exp(i \cdot (-Et + p \cdot x) / \hbar)$, with the temporal component $-Et/\hbar$ arising from $i^2 = -1$ through two factors of i (one from $x_4 = ict$ and one from $p_4 = iE/c$, both descendants of the McGucken equation); this minus sign is what makes quantum time evolution unitary rather than exponential growth or decay, and the spatial part $p \cdot x/\hbar$ gives the de Broglie phase unifying time-evolution with wavelength in a single geometric statement; (ii) §4-§5 — the momentum operator $\hat{p} = -i\hbar\partial/\partial x$ is forced by the phase structure, with the factor i recording that spatial translation must produce complex phase rotation rather than real rescaling; (iii) §4 — localizing the particle requires superposing many winding rates via Fourier analysis: position and momentum are Fourier conjugate variables not by mathematical fiat but because they are two projections of the same complex phase function whose complex character is forced by $dx_4/dt = ic$; (iv) §5 — the Gaussian minimum-uncertainty wave packet has $\sigma_x \cdot \sigma_p = \hbar/2$ exactly, with the \hbar being the conversion factor between four-momentum and phase-winding rate set by the McGucken equation (the quantum of action connecting the geometric expansion rate c to observable momentum); (v) §5 — the general Cauchy-Schwarz/Robertson-Kennard inequality $(\Delta x)^2(\Delta p)^2 \geq |([\hat{x}, \hat{p}])|^2/4 = \hbar^2/4$ gives $\Delta x \Delta p \geq \hbar/2$, with $[\hat{x}, \hat{p}] = i\hbar$ inherited from the McGucken phase structure rather than postulated. Also contains §6 — explicit symbol-by-symbol dependency table tracing every factor of i and every factor of \hbar in the derivation back to $dx_4/dt = ic$ (every i as the same complex rotation encoded in $x_4 = ict$, \hbar as the conversion constant between four-momentum magnitude and phase-winding rate). §8 identifies the physical interpretation: the uncertainty principle is not about measurement disturbance but about the irreducible geometric complexity of motion through a fourth expanding dimension — because the fourth dimension never stops expanding at rate c and drives an irreducible complex phase in every particle's wave function, no particle can be simultaneously localized in both the spatial and momentum projections of that phase. Used in §VIII.11 of the present paper for the first-of-its-kind structural claim on the uncertainty principle as a four-dimensional geometric theorem.

[MG-Lambda] E. McGucken, “The McGucken Principle of the Fourth Expanding Dimension ($dx_4/dt = ic$) as the Resolution of the Vacuum Energy Problem and the Cosmological Constant: Why the Cosmological Constant Is an IR Quantity Determined by the Expansion Rate H_0 , Not a UV Quantity Determined by the Planck Scale — and Why QFT Overcounts by 10^{122} ,” elliottmcguckenphysics.com (April 15, 2026). URL: <https://elliottmcguckenphysics.com/2026/04/15/the-mcgucken-principle-of-the-fourth-expanding-dimension-dx4-dt-ic-as-the-resolution-of-the-vacuum-energy-problem-and-the-cosmological-constant/>. Establishes the geometric resolution of the cosmological-constant problem — the 10^{122} discrepancy between the QFT-predicted vacuum energy density ($\sim 10^{113}$ J/m³) and the observed value ($\sim 5 \times 10^{-10}$ J/m³) that Weinberg 1989 called “the worst theoretical prediction in the history of physics.” Six structural results used in §VIII.12 of the present paper: (i) §1.3 — redefinition

of vacuum energy as the energy of x_4 -expansion rather than the sum of zero-point energies of 3D field modes, making Λ an infrared quantity determined by H_0 rather than a UV quantity determined by the Planck scale; (ii) Theorem 2.1 — the cosmological constant $\Lambda = 3\Omega_\Lambda H_0^2/c^2$ is the Gaussian curvature of the expanding fourth dimension projected into three-dimensional space, with the Hubble radius $R_H = c/H_0 \approx 1.32 \times 10^{26}$ m giving $K = H_0^2/c^2 \approx 5.7 \times 10^{-53} \text{ m}^{-2}$ and the observed $\Lambda \approx 1.2 \times 10^{-52} \text{ m}^{-2}$ recovered to order-unity geometric factors without free parameters; (iii) Theorem 3.1 — CPT-pairwise cancellation of virtual particle-antiparticle pairs in x_4 : a virtual pair created at a point P on an expanding x_4 wavefront shares the same x_4 location (same wavefront) and advances through x_4 identically, with the CPT theorem guaranteeing that the particle's x_4 -stress-energy contribution is exactly cancelled by the antiparticle's contribution (CPT relates the two with sign reversal in the temporal/ x_4 direction); the cancellation is exact for every pair at every energy scale (CPT is exact in the Standard Model), and applies to global contributions to Λ but not to local effects (Lamb shift, Casimir force, vacuum polarization), resolving the 10^{122} discrepancy as QFT overcounting of CPT-balanced modes; (iv) Proposition 4.1 — the cosmological constant arises as a boundary/curvature term in the McGucken action with x_4 -constraint $S = \int d^4x \cdot \sqrt{g} \cdot [R/(16\pi G) + \lambda(g_{44} + c^2) + \mathcal{L}_{\text{matter}}]$, where $\lambda \rightarrow 0$ at sub-Hubble scales (local physics unaffected) and contributes H_0^2/c^2 at Hubble scales (Λ emerges); structurally analogous to unimodular gravity but fixes the value of Λ from x_4 -geometry rather than leaving it as an integration constant; (v) §10 — testable prediction $w(z) = -1 + \Omega_m(z)/(6\pi)$ for the dark energy equation of state, with zero free parameters; numerical predictions $w(z=0) = -0.983$, $w(z=1) = -0.958$, $w(z=2) = -0.951$, corresponding to $w_0 = -0.983$, $w_a = +0.050$ in CPL parameterization; current constraints $w_0 = -1.03 \pm 0.03$ are 0.6σ from prediction, within reach of DESI, Euclid, Roman, Rubin/LSST at ± 0.01 precision; (vi) §11 — systematic comparison with three related IR approaches (holographic dark energy Li 2004, unimodular gravity Einstein 1919 / Henneaux-Teitelboim 1989, vacuum energy sequestering Kaloper-Padilla 2014), establishing that McGucken uniquely supplies UV decoupling plus zero-free-parameter Λ value plus physical mechanism (x_4 -expansion) plus zero-parameter $w(z)$ prediction. Used in §VIII.12 of the present paper for the first-of-its-kind structural claim on cosmological constant and vacuum energy.

[MG-Horizon] E. McGucken, “The McGucken Principle of the Fourth Expanding Dimension ($dx_4/dt = ic$) as a Geometric Resolution of the Horizon Problem, the Flatness Problem, and the Homogeneity of the Cosmic Microwave Background — Without Inflation,” elliottmcguckenphysics.com (April 15, 2026). URL: <https://elliottmcguckenphysics.com/2026/04/15/the-mcgucken-principle-of-the-fourth-expanding-dimension-dx4-dt-ic-as-a-geometric-resolution-of-the-horizon-problem-the-flatness-problem-and-the-homogeneity-of-the-cosmic-microwave-bac/> . Establishes that the four classical initial-condition problems of Big-Bang cosmology — horizon, flatness, monopole, low-entropy initial conditions — are resolved by a single geometric mechanism: the shared expansion of x_4 at rate c acting identically at every

point without violating the no-communication theorem. Five structural results used in §VIII.13 of the present paper: (i) §3 — explicit invocation of the no-communication theorem (Ghirardi-Rimini-Weber 1980) to establish that any resolution of the horizon problem must supply an actual physical process that transports energy rather than merely correlating distant regions; entanglement creates correlations but cannot thermalize; (ii) Theorem 4.1 — thermalization via shared geometric expansion: the uniformity of the CMB follows from the homogeneity of x_4 -expansion because all points in the universe share the same expanding fourth dimension; two points A and B separated beyond the causal horizon reach the same temperature not by communicating but by being subjected to the same physical process acting on both identically (analogy: two identical ovens heated by identical power supplies to identical temperatures without communicating); the mechanism provides the actual energy-carrying expansion that the no-communication theorem requires without violating it; (iii) Theorem 5.1 — spatial flatness as geometric theorem: the Minkowski metric $ds^2 = dx^2 + dy^2 + dz^2 - c^2dt^2$ imposed by $x_4 = ict$ on the flat 4D Euclidean manifold $dl^2 = dx^2 + dy^2 + dz^2 + dx_4^2$ has flat spatial part $dx^2 + dy^2 + dz^2$; the one-part-in- 10^{60} fine-tuning required by standard Big-Bang cosmology is eliminated because flatness is inherited from the flat 4D manifold rather than fine-tuned at the Planck time; (iv) Proposition 6.1 — monopole dilution by continuous x_4 -expansion: GUT-era monopoles are diluted not by a special inflationary epoch but by the same universal geometric expansion that generates entropy increase throughout the universe's history; (v) §7 — the low-entropy initial conditions problem resolved as geometric theorem: Past Hypothesis eliminated because entropy is defined by dispersal from x_4 's origin, and at the origin (by definition of dispersal-measure) there is zero accumulated dispersal; five independent simulation trials demonstrate monotonic MSD increase (t=1: 25.00 exactly; t=2: typical 32-58; t=3: typical 49-103); Boltzmann-Gibbs entropy $S(t) = (3/2)k_B \ln(4\pi eDt)$ gives $dS/dt = (3/2)k_B/t > 0$ strictly; Penrose's $10^{10^{23}}$ figure dissolves because the full phase space of "possible initial conditions" is not the relevant space — x_4 's expansion has an origin, and at that origin entropy is necessarily minimal by definition. Also contains §10 — Brownian-Feynman-Huygens unification: Brownian motion as spatial projection of x_4 's isotropic expansion, Feynman path integral summing over paths that x_4 's spherical symmetry equally explores, Huygens' Principle as direct geometric statement of x_4 's spherically symmetric expansion; Wick rotation connecting quantum and statistical sectors. Used in §VIII.13 of the present paper for the first-of-its-kind structural claim on horizon, flatness, monopole, and low-entropy initial conditions.

[MG-Eleven] E. McGucken, "One Principle Solves Eleven Cosmological Mysteries: How the McGucken Principle of the Fourth Expanding Dimension ($dx_4/dt = ic$) Resolves the Greatest Open Problems in Cosmology, Including the Low-Entropy Initial Conditions Problem," elliottmcguckenphysics.com (April 13, 2026). URL: <https://elliottmcguckenphysics.com/2026/04/13/one-principle-solves-eleven-cosmological-mysteries-how-the-mcgucken-principle-of-the-fourth-expanding-dimension-dx%e2%82%84-dt-ic-resolves-the-greatest-open-problems-in-cosmology->

inclu/ . Comprehensive treatment of eleven open problems in cosmology resolved by $dx_4/dt = ic$: (I) Hubble tension — early vs late dynamical regimes of x_4 's expansion, with CMB measurement probing radiation-dominated phase and Cepheid measurement probing vacuum-dominated phase; (II) cosmological constant — $\rho_{vac} = \hbar c/V_{obs}$, one quantum of x_4 's expansion per observable universe volume, matching the observed $5 \times 10^{-10} \text{ J/m}^3$ without free parameters; (III) dark energy — ground-state energy of x_4 's expansion driving acceleration when matter dilutes, weakening as V_{obs} grows (consistent with DESI 2024-2025); (IV) dark matter — geometric curvature of x_4 's expansion around mass concentrations rather than particle species, explaining flat rotation curves and lensing without direct detection; (V) baryon asymmetry — $+ic$ vs $-ic$ directional distinction, Compton-frequency interference mechanism for CP violation, $\theta_{QCD} \approx 0$ from three-dimensional equivalence under x_4 's expansion; (VI) horizon problem — initial unrestricted phase of x_4 's expansion before material thermalization, reheating = thermalization of x_4 -expansion energy; (VII) S8 tension — evolving ρ_{vac} suppresses late-universe structure growth; (VIII) Axis of Evil — CMB frame = absolute rest in $x_1x_2x_3$ (geometric ground state of $dx_4/dt = ic$), large-scale imprint of x_4 's initial geometry; (IX) Fast Radio Bursts — release of x_4 curvature energy around extreme compact objects during phase transitions (starquakes, mergers, accretion), operating in any galaxy (including old ellipticals where magnetars cannot exist); (X) shape/size/fate — spatial flatness from spherically symmetric x_4 expansion, Big Freeze as geometrically necessary from $+ic$ irreversibility, no Big Crunch because reversal would require CPT-reversed antimatter universe; (XI) low-entropy initial conditions — geometric theorem from dispersal-measure definition at x_4 's origin, dissolving Penrose's $10^{10^{123}}$ figure by rejecting the relevance of “full phase space of possible initial conditions”; the initial entropy is inevitable, not special. Used in §VIII.13 of the present paper for the comprehensive catalog of cosmological problems resolved, particularly the §XIII treatment of low-entropy initial conditions and the §XIV unity-of-eleven-problems table.

[MG-Master] E. McGucken, “How the McGucken Principle and Equation — $dx_4/dt = ic$ — Provides a Physical Mechanism for Special Relativity, the Principle of Least Action, Huygens' Principle, the Schrödinger Equation, the Second Law of Thermodynamics, Quantum Nonlocality and Entanglement, Vacuum Energy, Dark Energy, and Dark Matter,” elliottmcguckenphysics.com (April 10, 2026). URL: <https://elliottmcguckenphysics.com/2026/04/10/282/> . The master “Singular Missing Physical Mechanism” synthesis paper — the comprehensive reference from which all of \mathcal{L}_{McG} 's derivational reach flows. Contains a 41-row derivation chain (from $dx_4/dt = ic$ as postulate to $\rho_{\Lambda} \sim \hbar/(c\lambda_{4^4})$ as testable cosmological prediction) establishing that the following are all theorems of the single McGucken Principle: (Part II) special relativity in its entirety — time dilation $dt/d\tau = \gamma$, length contraction $L = L_0/\gamma$, mass-energy equivalence $E_0 = mc^2$, Lorentz transformation as hyperbolic rotation in (x, x_4) plane, all from the master equation $u^\mu u_\mu = -c^2$; (Part III) the Principle of Least Action — unique Lorentz-invariant action $S = -mc^2 \int d\tau$ forced by proper-time invariance, with Newton's second law as non-relativistic corollary; (Part IV) Huygens'

Principle — retarded Green’s function $G \sim \delta(t - t' - |x-x'|/c)/|x-x'|$ supported exactly on the light cone, derived from the Klein-Gordon equation quantized from the four-momentum norm; (Part V) eikonal bridge — the Principle of Least Action and Huygens’ Principle as the same equation $(\nabla S)^2 - (1/c^2)(\partial_t S)^2 = m^2c^2$ seen from opposite sides of the semiclassical limit $\hbar \rightarrow 0$; (Part VI) Schrödinger equation — derived as nonrelativistic limit of Klein-Gordon via rest-mass factorization $\psi = \phi \cdot \exp(-imc^2t/\hbar)$; (Part VII) Second Law of Thermodynamics as $dS/dt = (3/2)k_B/t > 0$ strictly, with Brownian motion and Feynman path integral unified as real-time and imaginary-time sectors of x_4 ’s expansion connected by the Wick rotation; (Part VIII) McGucken Equivalence — quantum nonlocality as the 3D shadow of 4D x_4 -coincidence ($dt = 0$ at $v = c$ gives $x_4(\text{emission}) = x_4(\text{absorption})$ for every photon); (Part IX) six-step McGucken Proof that x_4 expands at c ; (Part X) time as emergent phenomenon from x_4 ’s advance, with all five arrows of time (thermodynamic, radiative, cosmological, causal, psychological) derived from x_4 ’s forward expansion; (Part XI) McGucken Sphere and quantum mechanics — double-slit, delayed-choice, and quantum-eraser experiments within the light-cone structure; (Part XII) Law of Nonlocality as formal theorem (all nonlocality begins as locality); (Part XIII) physical mechanism for vacuum energy, dark energy, dark matter, and derivation of c and \hbar from foundational motion, wavelength, and frequency of x_4 ’s oscillatory expansion. Used in §VIII.13.3 of the present paper for the master synthesis that all of special relativity is a theorem of $dx_4/dt = ic$ — establishing that the Minkowski metric, Lorentz invariance, and the background spacetime of \mathcal{L}_{McG} are themselves theorems of the same principle that forces the Lagrangian’s functional form. Also provides the comprehensive structural context within which all eleven first-of-its-kind resolutions of §§VIII.5-VIII.15 are understood as manifestations of the single geometric fact $dx_4/dt = ic$.

[MG-Entropy] E. McGucken, “The Derivation of Entropy’s Increase and Time’s Arrow from the McGucken Principle of a Fourth Expanding Dimension $dx_4/dt = ic$: A Deeper Connection between Brownian Motion’s Random Walk, Feynman’s Many Paths, Increasing Entropy, and Huygens’ Principle,” elliotmcguckenphysics.com (August 25, 2025). URL: <https://elliotmcguckenphysics.com/2025/08/25/the-derivation-of-entropys-increase-from-the-mcgucken-principle-of-a-fourth-expanding-dimension-dx4-dtic-a-deeper-connection-between-brownian-motions-random-walk-feynmans/>

. Establishes the Second Law of Thermodynamics as a strict geometric theorem of the spherically symmetric expansion of x_4 , with explicit numerical simulation confirmation. Four structural results used in §VIII.14 of the present paper: (i) the postulate — the fourth dimension expands at rate c in a spherically-symmetric manner, and after time t each particle has equal probability of being found anywhere on a sphere of radius r centered on its previous position; the spatial isotropy of displacement is a direct consequence of the sphere’s having no preferred direction; (ii) Entropy Calculation section — for twenty particles initially arranged equally on a circle of radius r , the mean squared displacement (MSD) measured at each time step increases monotonically across five independent simulation trials: Trial 1 ($t=1$: 25.00, $t=2$: 32.16, $t=3$: 49.34), Trial 2 ($t=1$: 25.00, $t=2$: 47.55, $t=3$: 70.91),

Trial 3 (t=1: 25.00, t=2: 47.93, t=3: 76.00), Trial 4 (t=1: 25.00, t=2: 41.54, t=3: 78.22), Trial 5 (t=1: 25.00, t=2: 57.96, t=3: 103.13); the fact that MSD = 25.00 exactly at t=1 in every trial is a theorem (the first-step dispersal is r^2 from any initial configuration with $r=5$ giving 25), not a coincidence; the strict increase at every subsequent step in every trial establishes $dS/dt > 0$ strictly across arbitrary initial configurations; (iii) the Brownian-motion / Feynman-path-integral / Huygens' Principle unification in the title and body — the three phenomena are three manifestations of x_4 's spherically symmetric expansion at rate c , unified by the Wick rotation that connects quantum real-time propagation to statistical imaginary-time diffusion, with Huygens' secondary wavelets of radius ct being x_4 's advance from each wavefront point; (iv) the physical mechanism underlying the Second Law — entropy increases because the expansion of x_4 leads to entropy's increase (direct quotation from the paper's postulate), identifying the Second Law not as a statistical tendency but as a dynamical consequence of the irreversible forward expansion of the fourth dimension. Used in §VIII.14 of the present paper for the first-of-its-kind structural claim on the Second Law as strict geometric theorem, the physical mechanism for Brownian motion, and the Brownian-path-integral-Huygens unification.

[MG-Singular] E. McGucken, "The Singular Missing Physical Mechanism — $dx_4/dt = ic$: How the Principle of the Expanding Fourth Dimension Gives Rise to the Constancy and Invariance of the Velocity of Light c ; the Second Law of Thermodynamics; Time, Its Flow, Its Arrows and Asymmetries; Quantum Nonlocality, Entanglement, and the McGucken Equivalence; the Principle of Least Action; Huygens' Principle; the Schrödinger Equation; the McGucken Sphere and the Law of Nonlocality; Vacuum Energy, Dark Energy, and Dark Matter; and the Deeper Physical Reality from Which All of Special Relativity Naturally Arises," elliottmcguckenphysics.com (April 10, 2026). URL: <https://elliottmcguckenphysics.com/2026/04/10/the-missing-physical-mechanism-how-the-principle-of-the-expanding-fourth-dimension-dx%e2%82%84-dt-ic-gives-rise-to-the-constancy-and-invariance-of-the-velocity-of-light-c-the-s/>.

Extended treatment of the unification-of-physics program, organized around the "mechanism problem" — the distinction between phenomenological laws (what happens) and physical mechanisms (why it happens). Five structural results used in §VIII.14 of the present paper: (i) §II — systematic catalog of the mechanism problem across the constancy of c , the Second Law of Thermodynamics, quantum nonlocality, the arrows of time, the Principle of Least Action, Huygens' Principle, and the Schrödinger equation, establishing that each of these foundational laws of contemporary physics remains in a pre-mechanistic state (known to hold with extraordinary precision but lacking a physical account of why it must hold); (ii) §V — the Second Law as geometric necessity: because x_4 expands at rate c in a perfectly spherically symmetric manner with no preferred spatial direction, the spatial projection of each particle's x_4 -driven displacement at each moment is isotropic; applied iteratively, this is precisely the condition required for Brownian motion, yielding a Gaussian spreading of any particle ensemble over time with diffusion coefficient $D = v^2\delta t/6$ and Boltzmann-Gibbs entropy $S(t) = (3/2) \cdot k_B \cdot \ln(4\pi eDt)$, giving $dS/dt = (3/2) \cdot k_B/t$

> 0 strictly; the Second Law is not a statistical tendency but a geometric necessity — entropy cannot decrease because x_4 cannot retreat; the irreversibility of thermodynamics is the irreversibility of x_4 's expansion expressed in three-dimensional statistical-mechanical language; (iii) §V — Wick-rotation unification: through the Wick rotation $t \rightarrow -it$ (which replaces the imaginary fourth axis $x_4 = ict$ with a real Euclidean axis $x_4 = c\tau$), Feynman's path integral for quantum mechanical propagation becomes the diffusion kernel summing over Brownian paths; quantum mechanical propagation in real time and thermal diffusion in imaginary time are analytically related facets of the same underlying geometric process of x_4 's spherically symmetric expansion; Huygens' wavelets, Brownian diffusion, and Feynman path integrals are unified as a single geometric phenomenon; (iv) §VI — all five arrows of time derived from the single geometric fact that x_4 expands in one direction ($+ic$ rather than $-ic$), irreversibly, at rate c : the thermodynamic arrow (entropy's increase from spherical symmetry + irreversibility), the radiative arrow (retarded Green's function supported on outward-expanding shells because x_4 does not retreat), the causal arrow (forward light cone propagation enforced by forward x_4 expansion), the cosmological arrow (universal expansion as collective manifestation of x_4 's advance), and the psychological arrow (memory as physical record of events that have influenced the system through forward light cone); Reichenbach 1956 unified two arrows, Penrose 1989 attempted cosmological-boundary grounding without deriving all five from a dynamical mechanism, Price 1996 analyzed philosophical tension without geometric resolution — none derives all five arrows from a single geometric postulate; (v) §XIV — the pattern-of-unification framing: Newton's unification explained Kepler's laws plus predicted new phenomena; Maxwell's unification explained electromagnetism plus predicted EM radiation; Einstein's special relativity unified space and time plus predicted $E = mc^2$; the McGucken Principle follows this pattern — its foundational structure (physical geometric axis advancing at ic) generates laws of special relativity, classical mechanics, wave optics, quantum mechanics, and thermodynamics as theorems, explains why these laws hold, and extends physics toward mechanisms for phenomena that the existing formalisms describe but do not explain. Used in §VIII.14 of the present paper for the first-of-its-kind structural claim on the Second Law / Brownian mechanism / five arrows of time resolution.

[MG-Twistor] E. McGucken, "How the McGucken Principle of a Fourth Expanding Dimension Gives Rise to Twistor Space: $dx_4/dt = ic$ as the Physical Mechanism Underlying Penrose's Twistor Theory," elliottmcguckenphysics.com (April 20, 2026). URL: <https://elliottmcguckenphysics.com/2026/04/20/how-the-mcgucken-principle-of-a-fourth-expanding-dimension-gives-rise-to-twistor-space-dx%E2%82%84-dt-ic-as-the-physical-mechanism-underlying-penroses-twistor-theory/> . Establishes the central geometric identification that twistor space CP^3 arises from $dx_4/dt = ic$. Seventeen structural results used in §VIII.15 of the present paper: (i) Theorem III.1 (Central Identification): the complex projective three-manifold CP^3 of twistor space, with Hermitian pairing of signature $(2, 2)$, incidence relation, and Weyl-spinor decomposition $Z^\alpha = (\omega^\wedge A, \pi_{\{A\}})$, arises from the McGucken Principle dx_4/dt

= ic applied to Minkowski spacetime, via four simultaneous identifications: complex structure from x_4 's perpendicularity (Lemma II.1), Hermitian (2, 2) signature from $x_4 = ict$'s three real axes plus one imaginary axis, Weyl-spinor decomposition from $\text{Spin}(4) = \text{SU}(2) \times \text{SU}(2)$ double cover of four-dimensional rotations, and the incidence relation $\omega^A = i \cdot x^{\{AA'\}} \cdot \pi_{\{A'\}}$ as the algebraic form of the event \leftrightarrow McGucken Sphere correspondence; (ii) Proposition IV.1 (null = x_4 -stationary): a worldline is null iff $dx_4/dt = 0$, so photons are stationary in x_4 and twistor theory's privileging of null geodesics follows from the McGucken Principle's privileging of x_4 -stationary worldlines; (iii) Proposition V.1 (point-line duality = event \leftrightarrow McGucken Sphere): Penrose's points-as-lines / lines-as-points duality is the event \leftrightarrow Sphere geometric correspondence, with each event generating a sphere's worth of null geodesics and each null geodesic piercing successive Spheres; (iv) Proposition VI.1 (Penrose transform domain = x_4 -stationary fields): the Penrose transform works cleanly for massless fields because massless fields are x_4 -stationary and live entirely in x_4 's complex-analytic geometry; massive fields with $|v| < c$ advance through x_4 and require Compton-frequency coupling corrections (Corollary VI.2); (v) Proposition VII.1 (chirality from x_4 -irreversibility): twistor theory's natural description of self-dual but not anti-self-dual fields follows from $dx_4/dt = +ic$ (not $-ic$); (vi) Proposition VIII.1 (McGucken split of gravity): the full gravitational field decomposes into the x_4 -domain (flat, complex, twistorial, self-dual sector) and the spatial-metric domain h_{ij} (real, curved, dynamical, anti-self-dual sector plus trace), coupled by the Einstein equation; (vii) Proposition IX.1 (scattering-amplitude simplicity): MHV amplitudes, BCFW recursion, and the amplituhedron simplify because massless scattering lives entirely in x_4 's geometry, with spinor-helicity variables as the natural coordinates; (viii) Proposition X.1 (McGucken Equivalence: twistor nonlocality = quantum nonlocality): entangled photons produced at a common event p_0 remain at a common x_4 -coordinate for all subsequent time because photons are x_4 -stationary, and their twistor-space correspondence meets at the CP^1 representing p_0 ; (ix) Proposition X.3 (McGucken Sphere as six-sense locality): the Sphere is simultaneously a foliation leaf, a distance-function level set, a Huygens caustic, a Legendrian submanifold in contact geometry, a conformal-pencil member in inversive geometry, and a null hypersurface cross-section — the canonical causal locality of Minkowski geometry; (x) Proposition X.4 (Penrose-McGucken identification): Penrose's light cone at each event, represented as CP^1 , is geometrically identical to the McGucken Sphere $\Sigma_+(p_0)$; (xi) Proposition X.5 (points-as-rays from McGucken Spheres): each event is the apex of a Sphere and each null geodesic is one of its radiating directions; (xii) Proposition X.6 (shared-Sphere entanglement): EPR correlations and the singlet correlation $E(a,b) = -\cos \theta_{ab}$ are derived from shared McGucken Sphere geometry without any local hidden variable, via the $\text{SO}(3)$ Haar-measure symmetry of the Sphere; (xiii) Proposition XI.1 (complex-structure problem resolved): physics requires complex geometry because x_4 is perpendicular to space and i is the algebraic marker of perpendicularity; (xiv) Proposition XII.1 (signature problem resolved): Hermitian (2, 2) follows directly from $x_4 = ict$, and the reality condition $Z^\alpha \cdot \bar{Z}_\alpha = 0$ is the

physical selection of x_4 -stationary worldlines; (xv) Proposition XIII.1 (googly problem resolved): twistor theory's chirality is the correct reflection of x_4 's irreversibility, with the anti-self-dual sector living in h_{ij} rather than in twistor space; (xvi) Proposition XIV.1 (curved-spacetime problem resolved): twistor space is flat because x_4 's rate is invariant, while spatial curvature lives in h_{ij} as a separate geometric domain; (xvii) Propositions XV.1, XVI.1, XVI.2: physical-interpretation problem resolved (twistor space is the geometry of x_4), spin- $\frac{1}{2}$ as rotation involving x_4 producing the 4π periodicity from x_4 's imaginary character, and the Dirac equation as a theorem of $dx_4/dt = ic$ via the derivation chain master equation \rightarrow four-momentum norm \rightarrow Dirac's Clifford-algebra linearization, with the Dirac Weyl-spinor decomposition identical to the twistor Weyl-spinor decomposition. The paper's §I.3 provides the complete McGucken Principle history from Princeton undergraduate work with Wheeler (c. 1989-1993), through the UNC Chapel Hill dissertation appendix (1998-1999), the Usenet-PhysicsForums development (2003-2007), the five FQXi essays (2008-2013), the seven-book consolidation (2016-2017), and the current elliotmcguckenphysics.com comprehensive derivation program (2024-2026). Used in §VIII.15 of the present paper for the first-of-its-kind structural claim on Penrose's twistor theory as the geometry of x_4 and the five-problem resolution.

[MG-WittenTwistor] E. McGucken, "How the McGucken Principle of a Fourth Expanding Dimension Resolves the Open Problems of Witten's Twistor Programme: $dx_4/dt = ic$ as the Physical Mechanism Underlying Perturbative Gauge Theory as a String Theory in Twistor Space, Conformal Supergravity in Twistor-String Theory, Parity Invariance for Strings in Twistor Space, and the 1978 Twistor Formulation of Classical Yang-Mills Theory," elliotmcguckenphysics.com (April 20, 2026). URL: <https://elliotmcguckenphysics.com/2026/04/20/how-the-mcgucken-principle-of-a-fourth-expanding-dimension-resolves-the-open-problems-of-wittens-twistor-programme-dx%E2%82%84-dt-ic-as-the-physical-mechanism-underlying-perturbative-gauge-theory/>. Reads Witten's four-paper twistor programme through the McGucken Principle, resolving seven open problems. Seven Propositions used in §VIII.15.5 of the present paper: (i) Proposition II.1 (classical Yang-Mills fields are x_4 -geometric objects): the 1978 Ward-Witten correspondence [W1] extending Ward 1977 to full non-self-dual Yang-Mills is the mathematical form of the physical fact that classical gauge fields are massless and hence x_4 -stationary (Proposition IV.1 of [MG-Twistor]), resolving Problem W-1 (physical-interpretation gap for gauge theory in twistor space); (ii) Proposition III.1 (amplitude localization from x_4 -stationarity): Witten's 2003 [W2] observation that MHV amplitudes localize on complex lines, NMHV on conics, and helicity- k amplitudes on degree- $(k-1)$ curves in CP^3 is a theorem of external gluons being x_4 -stationary points of twistor space (Proposition IV.1 of [MG-Twistor]) with their common-origin interaction region forcing a single McGucken Sphere CP^1 for each event, assembling into higher-degree curves via helicity-flip x_4 -direction-changes, resolving Problem W-2 (amplitude-localization puzzle); (iii) Proposition IV.1 (parity vs x_4 -irreversibility): Witten [W3]'s parity-invariance theorem for connected D-instanton configurations rests on the geometric fact that

parity P acts on the three spatial dimensions (as the antipodal map $S^2 \rightarrow S^2$ on each McGucken Sphere CP^1), while x_4 has an unambiguous arrow $dx_4/dt = +ic$ (never $-ic$) making the disconnected sector parity-obscure, resolving Problem W-7 (parity obscurity); (iv) Proposition V.1 (contamination from incomplete x_4 -vs- h_{ij} separation): the Berkovits-Witten [W4] conformal-supergravity contamination at loop level is the structural consequence of the twistor string operating entirely on x_4 's geometry and thereby capturing only the self-dual (conformal) half of gravity — not Einstein gravity, which requires the h_{ij} -sector of the McGucken split (Proposition VIII.1 of [MG-Twistor]), resolving Problem W-4 (conformal-supergravity contamination); (v) Proposition V.2 (McGucken fix): pairing the twistor-string x_4 -sector with independent h_{ij} -sector dynamics recovers Einstein gravity and removes the pure- $N=4$ -SYM loop contamination; (vi) Proposition VI.1 (gravity gap as structural): Witten's 2003 remark that "I do not know of any string theory whose instanton expansion might reproduce the perturbation expansion of General Relativity or supergravity" is resolved as a structural feature — a twistor string can only generate the x_4 -sector's instanton expansion, and Einstein gravity's anti-self-dual h_{ij} -sector requires an independent dynamical description; Cachazo-Skinner 2012 works for $N=8$ SUGRA because extended supersymmetry constrains h_{ij} -dependent terms severely, while generic Einstein gravity's h_{ij} -sector is not so constrained, resolving Problem W-3 (gravity gap); (vii) Proposition VII.1 (chirality from $dx_4/dt = +ic$): twistor theory's chirality in [W2], [W4], and throughout the programme is the physical signature of x_4 's irreversibility, resolving Problem W-5 (googly in modern programme); Proposition VIII.1 (twistor-string flat-spacetime restriction): all four Witten papers work in flat Minkowski because x_4 's expansion rate is invariant under any spacetime curvature, making twistor space always flat and curvature always localized in h_{ij} ; Mason-Skinner ambitwistor strings and Adamo-Casali-Skinner curved-background extensions work because they track null x_4 -stationary data without embedding curved h_{ij} into twistor space, resolving Problem W-6 (curved-spacetime restriction). The paper establishes that the forty-eight-year arc of Witten's twistor work (1978-2004) receives its physical foundation in the McGucken Principle, with every Witten-paper central technical result identified as a theorem about the x_4 -sector of spacetime physics. Used in §VIII.15.5 of the present paper for the structural extension of the twistor identification from Penrose's classical framework to Witten's perturbative-gauge-theory programme and its subsequent developments (CSW, BCFW, amplituhedron, Cachazo-Skinner, Mason-Skinner, Hodges, Adamo-Mason).

[MG-Compton] E. McGucken, "A Compton Coupling Between Matter and the Expanding Fourth Dimension: A Proposed Matter Interaction for the McGucken Principle, with Consequences for Diffusion and Entropy," [elliotmcguckenphysics.com](https://elliotmcguckenphysics.com/2026/04/18/a-compton-coupling-between-matter-and-the-expanding-fourth-dimension-a-proposed-matter-interaction-for-the-mcgucken-principle-with-consequences-for-diffusion-and-entropy/) (April 18, 2026). URL: <https://elliotmcguckenphysics.com/2026/04/18/a-compton-coupling-between-matter-and-the-expanding-fourth-dimension-a-proposed-matter-interaction-for-the-mcgucken-principle-with-consequences-for-diffusion-and-entropy/>. Proposes a specific matter-coupling prescription to complete the McGucken Principle into a full physical theory, analogous to how Maxwell's field equations

require the Lorentz force law or Einstein's field equations require the geodesic equation. Five structural results used in §VIII.17 of the present paper: (i) §2 — the coupling proposal $\psi \sim e^{(-i \cdot mc^2 \cdot \tau / \hbar)} \times [1 + \varepsilon \cdot \cos(\Omega \cdot \tau)]$, with effective Hamiltonian $H_{\text{mod}}(\tau) = \varepsilon \cdot mc^2 \cdot \cos(\Omega \cdot \tau)$, elevating the rest-mass phase from observationally-inert global phase to physical oscillation in response to x_4 's expansion modulation; parameters ε (dimensionless coupling amplitude) and Ω (modulation frequency) are universal across species and inputs to be experimentally constrained; (ii) §3 — Floquet-analysis derivation of momentum-space diffusion $D_p = \varepsilon^2 \cdot m^2 \cdot c^2 \cdot \Omega / 2$ via Magnus/van Vleck expansion of the time-periodic Hamiltonian $H_0 + H_{\text{mod}}$, with second-order contributions generating dressed states and stochastic transitions via the Lindblad equation for the reduced density matrix coupled to a weak environment; (iii) §4 — spatial-diffusion derivation $D_x^{\text{McG}} = \varepsilon^2 \cdot c^2 \cdot \Omega / (2 \cdot \gamma^2)$ via the Langevin/Ornstein-Uhlenbeck reduction with mass cancellation: the Compton coupling scales as m through the rest energy mc^2 while mobility scales as $1/m$, producing mass-independent spatial diffusion — a sharp prediction distinguishing Compton coupling from all thermal and quantum-noise processes; (iv) §5-§6 — total diffusion $D_{\text{total}} = kT / (m \cdot \gamma) + \varepsilon^2 \cdot c^2 \cdot \Omega / (2 \cdot \gamma^2)$ with temperature-independent second term persisting at $T \rightarrow 0$; entropy evolution $S(t) = (3/2) \cdot k_B \cdot \ln(4\pi e \cdot D_{\text{total}} \cdot t)$ with zero-temperature entropy increase driven by the Compton mechanism, establishing a quantitative link between the thermodynamic arrow of time and the geometric arrow of x_4 's expansion; (v) §7 — three experimental-test channels: (a) zero-temperature residual diffusion at $\varepsilon^2 \cdot \Omega \lesssim 2 \cdot D_0 \cdot \exp(\gamma^2 / c^2)$ constraint, with current atomic-clock bounds giving $\varepsilon \lesssim 10^{-20}$ for Planck-frequency Ω ; (b) cross-species mass-independence $D_{\{0,A\}} / D_{\{0,B\}} \approx (\gamma_B / \gamma_A)^2$ testable across electrons in solids, ions in traps, and neutral atoms in optical lattices; (c) spectroscopic sidebands at $\pm\Omega$ offsets testable in optical-clock and trapped-ion interferometry at fractional precisions 10^{-18} - 10^{-19} . §8 acknowledges that the Compton coupling is one ansatz among possible matter-coupling proposals, with phase-noise, non-harmonic-modulation, or multi-frequency alternatives giving different functional forms. Used in §VIII.17 of the present paper for the twelfth first-of-its-kind structural claim on the Compton coupling as the matter-interaction prescription completing \mathcal{L}_{McG} into a full physical theory with specific laboratory-experiment predictions.

[MG-PathInt] E. McGucken, "A Derivation of Feynman's Path Integral from the McGucken Principle of the Fourth Expanding Dimension $dx_4/dt = ic$," elliottmcguckenphysics.com (April 15, 2026). URL: <https://elliottmcguckenphysics.com/2026/04/15/a-derivation-of-feynmans-path-integral-from-the-mcgucken-principle-of-the-fourth-expanding-dimension-dx4-dt-ic/>

[MG-Higgs] E. McGucken, "The Higgs Mechanism from the McGucken Principle of a Fourth Expanding Dimension $dx_4/dt = ic$: The Higgs Field as Geometric Pointer to the x_4 -Direction in Electroweak Symmetry Breaking," elliottmcguckenphysics.com (in preparation, 2026). URL: <https://elliottmcguckenphysics.com/> (manuscript in preparation; permalink to be assigned upon publication).

[MG-LQG] E. McGucken, “Loop Quantum Gravity from the McGucken Principle of a Fourth Expanding Dimension $dx_4/dt = ic$: Spin Networks as the Discrete Structure of x_4 -Oscillation at the Planck Scale,” elliotmcguckenphysics.com (in preparation, 2026). URL: <https://elliotmcguckenphysics.com/> (manuscript in preparation; permalink to be assigned upon publication).

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[Wheeler-Letter] J. A. Wheeler, Letter of recommendation for Elliot McGucken, Princeton University, Joseph Henry Professor of Physics (c. 1990). Quoted in full in the Historical Note §I.5 above.

[MG-Dissertation] E. McGucken, *Multiple Unit Artificial Retina Chipset to Aid the Visually Impaired and Enhanced Holed-Emitter CMOS Phototransistors*. NSF-funded Ph.D. dissertation, University of North Carolina at Chapel Hill (1998). Appendix contains the first written formulation of the McGucken Principle, treating time as an emergent phenomenon arising from a fourth expanding dimension. The dissertation’s primary technical work on the artificial retina chipset received Fight for Sight and NSF grants and a Merrill Lynch Innovations Award, and is now helping the blind see.

[MG-FQXi2008] E. McGucken, “Time as an Emergent Phenomenon: Traveling Back to the Heroic Age of Physics (In Memory of John Archibald Wheeler),” Foundational Questions Institute essay (August 2008). First formal treatment of the McGucken Principle in the scholarly literature. URL: <https://forums.fqxi.org/d/238>

[MG-FQXi2009] E. McGucken, “What is Ultimately Possible in Physics?,” Foundational Questions Institute essay (2009). URL: <https://forums.fqxi.org/d/432>

[MG-FQXi2011] E. McGucken, “On the Emergence of QM, Relativity, Entropy, Time, $i\hbar$, and ic from the Foundational, Physical Reality of a Fourth Dimension x_4 Expanding with a Discrete (Digital) Wavelength λ_P at c Relative to Three Continuous (Analog) Spatial Dimensions,” Foundational Questions Institute essay (2010–2011). First explicit identification of the structural parallel between $dx_4/dt = ic$ and the canonical commutation relation $[q, p] = i\hbar$.

[MG-FQXi2012] E. McGucken, “MDT’s $dx_4/dt = ic$ Triumphs Over the Wrong Physical Assumption that Time is a Dimension,” Foundational Questions Institute essay (2012). URL: <https://forums.fqxi.org/d/1429>

[MG-FQXi2013] E. McGucken, “Where is the Wisdom we have lost in Information?,” Foundational Questions Institute essay (2013).

[MG-Book2016] E. McGucken, *Light Time Dimension Theory: The Foundational Physics Unifying Einstein’s Relativity and Quantum Mechanics. A Simple, Illustrated Introduction to the Physical Model of the Fourth Expanding Dimension*. 45EPIC Hero’s Odyssey Mythology Press (2016). Amazon ASIN: B01KP8XGQ6.

[MG-BookTime] E. McGucken, *The Physics of Time: Time and Its Arrows in Quantum Mechanics, Relativity, the Second Law of Thermodynamics, Entropy, the Twin*

Paradox, and Cosmology Explained via LTD Theory's Expanding Fourth Dimension. 45EPIC Hero's Odyssey Mythology Press (2017). Amazon ASIN: B0F2PZCW6B.

[MG-BookEntanglement] E. McGucken, *Quantum Entanglement & Einstein's Spooky Action at a Distance Explained: The Foundational Physics of Quantum Mechanics' Nonlocality & Probability: The Nonlocality of the Fourth Expanding Dimension.* 45EPIC Hero's Odyssey Mythology Press (2017). Contains the Peebles-exchange passage quoted in §I.5.

[MG-BookRelativity] E. McGucken, *Einstein's Relativity Derived from LTD Theory's Principle.* 45EPIC Hero's Odyssey Mythology Press (2017).

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